# K<sup>+</sup> production by neutrinos at MINERvA

Chris Marshall University of Rochester Fermilab Wine and Cheese 5 February, 2016







# The big picture



- Motivation for studying K<sup>+</sup> production by neutrinos
- Identifying K<sup>+</sup> in MINERvA
- Differential cross section measurements:
  - Charged-current K<sup>+</sup> production
  - Neutral-current K<sup>+</sup> production
- Search for charged-current coherent K<sup>+</sup> production



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Abe, K. et al. arXiv:1109.3262



Hewett, J.L. et al. arXiv:1401.6077 [hep-ex] FERMILAB-CONF-14-019-CH02





- K<sup>+</sup> production by atmospheric neutrinos, especially by the neutral current, is a background in searches for the proton decay  $p \rightarrow K^+v$
- K<sup>+</sup> production complements measurements of  $\pi^+$  production as a probe of hadron interactions inside the nucleus







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**105 MeV kinetic energy** for proton at rest  $\mathbf{K}^{+}$ Water Cherenkov threshold = 252 MeV

Big detector

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# DUNE background prediction



1 event per Mton-year JHEP **0704** 041 (2007)

"It is natural to ask to what extent simulations are capable of providing reliable estimates for such rare processes. What if the actual rate for single-kaon atmospheric-neutrino events is higher by a factor of ten or more? Is that even conceivable?"

The Long-Baseline Neutrino Experiment Exploring Fundamental Symmetries of the Universe



A proton is about to decay!



Argon nucleus not to scale

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The kaon must get out of the nucleus, and may undergo final-state interactions (FSI)

Argon nucleus not to scale

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Momentum spectrum is smeared by FSI

> Argon nucleus not to scale

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And other nucleons could be knocked out

Argon nucleus not to scale

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# We can study this with neutrinos





FSI affects kaons produced in neutrino reactions

Does our nuclear model (kaon spectrum) agree with the data?

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# Kaon production via FSI





Argon nucleus not to scale

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# Pion and kaon production as probes of FSI



# $v_{\mu}$ CC GiBUU event generator predictions, MINERvA LE flux, carbon target



Mosel, Lalakulich, Gallmeister, Phys. Rev. D 89, 093003



# Pion and kaon production as probes of FSI

### $v_{\mu}$ CC MINERvA data



Phys. Rev. D 92, 092008 (2015) Wine & Cheese 7 February 2014



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# What can MINERvA do?

- Measure K<sup>+</sup> production cross sections on CH
- Benchmark Monte Carlo generators
- Measure kaon spectrum sensitive to FSI



# Existing K<sup>+</sup> neutrino data





N. J. Baker et al., Phys.Rev. D24, 2779 (1981)



#### ANL 12' bubble chamber

BNL 7' bubble chamber

Also Gargamelle: Physics Letters B 73 4-5 (1978)

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- "Associated production", " $\Delta S = 0$ ": pairs of strange particles in final state
  - $v_{\mu} n \rightarrow \mu^{-} K^{+} \Lambda$
  - $\nu_{\mu} n \rightarrow \mu^{-} K^{+} K^{-} p$
  - $\nu_{\mu} n \rightarrow \nu_{\mu} K^+ \Sigma^-$





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- "Single kaon production", " $\Delta S = 1$ ": Cabibbo-suppressed, single kaon final state
  - $\nu_{\mu} N \rightarrow \mu^{-} K^{+} N$





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- "Single kaon production", " $\Delta S = 1$ ": Cabibbo-suppressed, single kaon final state
  - $\nu_{\mu} N \rightarrow \mu^{-} K^{+} N$
- "Coherent kaon production": nucleus remains in ground state
  - $\nu_{\mu} A \rightarrow \mu^{-} K^{+} A$



# Meet the generator

- GENIE version 2.8.4
  - Also used by NOvA, DUNE, MicroBooNE, others
- Kaons are generated in the hadronization model:
  - KNO for 1.7 < W / GeV < 2.3
  - AGKY for 2.3 < W / GeV < 3.0
  - PYTHIA for W > 3 GeV
- Rate of strange production is tuned to  $\Lambda$  and  $K^0{}_S$  data on deuterium from BEBC and FNAL 15' bubble chambers
- Single kaon production not in default model, but introduced in 2.10 based on Alam et al. Phys.Rev. **D**82 033001 (2010)
- No coherent K<sup>+</sup> production





### The MINERvA detector



arXiv:1305.5199







# The MINERvA detector for this analysis



**Elevation View** Side HCAL = veto Nucl. Targets region = veto Side ECAL **Fiducial volume** Electromagnetic 5.57 tons Calorimeter Calorimeter Hadronic 2.14 m **Active Tracker** Region 8.3 tons total **CH** target 15 tons 30 tons Side ECAL 0.6 tons Side HCAL = veto 5 m

Nucl. Inst. and Meth. A743 (2014) 130 arXiv:1305.5199

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# Neutrino flux



Leo Aliaga Wine & Cheese, 18 December 2015



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My guess:  $v_{\mu}p \rightarrow \mu^{-}K^{+}\Sigma^{+}$ 





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# CC and NC sample definitions



For CC analysis, require  $\mu^{-}$  in the final state

For NC analysis, require zero charged leptons at neutrino interaction vertex







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## Identifying K<sup>+</sup> by timing



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## Kinked track selection



- Fit timing profile with two hypotheses, cut on loglikelihood ratio to select kaon-like events
- All from  $K^+ \rightarrow \mu^+ \nu$  decays, muon is monoenergetic





# Reconstructing events without kinked tracks



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## Use MC to convert range to kaon kinetic energy

Too short to make a "User track"



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## Kaon kinetic energy residuals





## Time difference to decay



• Suppressing an enormous number of background events below 7 ns time gap



## Decay candidate energy





• Require energy to be consistent with  $K^+ \to \mu^+ \nu$  or  $K^+ \to \pi^+ \pi^0$ 



## Decay number of hits





• Require at least 10 energy deposits (hits); neutron interaction will typically produce fewer



## Distance to decay product





• Require decay product candidate to be spatially near the kaon track candidate



## Tune the pile-up background





• Use events where the late activity is far from the kaon candidate to measure the pile-up background in data



## CC/NC separation





• For CC event, require a track to travel > 250 g/cm<sup>2</sup>



## CC/NC separation





- Cut corresponds to ~3.1 nuclear interaction lengths
- Back of F.V. through calorimeters ~550 g/cm<sup>2</sup>



## CC/NC separation





- Implicit cut on muon energy > 500 MeV for CC analysis
- Muon/pion separation at these energies is poor



## We have come to a fork in the road



### Now we have selected events with K<sup>+</sup>

Cross section extraction is qualitatively similar for CC and NC analyses: We will follow the CC "path"

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• Pion interactions that produce kaons inside the detector, but outside the target nucleus, are a background



• High-energy kaons (KE > 0.6 GeV) that interact in the detector have badly misreconstructed energies



# Constraining high-energy kaons and $\pi \rightarrow K$ in detector events





- Events with interactions have high visible energy in the detector
- Use sideband of very high hadronic visible energy

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## Tune the background



 Constrain the highenergy kaons, and kaons from pion interactions together

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# Sample sizes after background subtraction



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## Cross section: good agreement with GENIE





## Data disfavors NuWro



- Kaons in NuWro come only from hadronization (PYTHIA)
- Shape is inconsistent with NuWro at low energy





## Go back to the fork





### NC cross section extraction is qualitatively similar

Skip to the results instead





## The big picture



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## Neutral current event





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## Cross section: good agreement with GENIE




### Additional measurement: non-kaon visible energy





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Defining a model-independent proxy for "visible energy"



- Total non-kaon hadron energy is  $v E_K$
- But detector response to neutrons, protons, pions is very different – we don't want to rely on a Monte Carlo to tell us how the energy is distributed
- Define "true visible energy":
  - Kinetic energy of p,  $\pi^{\pm}$ , K-
  - Total energy of  $\pi^0$ ,  $K^0$
  - Include prompt decay products of strange baryons  $\Lambda, \Sigma$



### Visible energy cross section







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#### Coherent meson production





- Neutrino interacts with entire nucleus, which remains in its ground state
- Characterized by small four-momentum transfer to nucleus



### Coherent kaon production?





Expect factor of ~20 Cabibbo suppression, plus additional factor of ~4 phase space suppression due to heavier final state

- Never been observed experimentally
- Theoretical prediction from L. Alvarez-Ruso et al., Phys. Rev. **C** 87, 015503



### Coherent kaon production?





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### Coherent kaon production?





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### Coherent signal simulation





- Take coherent  $\pi^+$  events from GENIE 2.8, and replace the  $\pi^+$  with a  $K^+$
- Assumes  $\pi$ -A and K-A elastic cross sections are equal
- MC only used for shape of reconstructed t



### Energy ~10cm around vertex





- Require two tracks, with vertex energy between 20 and 60 MeV
- Red is coherent signal MC





# Reconstruct t from muon and kaon 4-vectors



- Cut on vertex energy, no isolated showers from  $\pi^0$
- Tune background to sideband region
- Event counting analysis: signal region t < 0.1 was chosen blind
- Spectral analysis: use t < 0.2</li>



# Event counting analysis: Reject null hypothesis at 96%



 Probability to observe 3 or more background events is 3.9%



### Spectral analysis



For background and signal PDFs B and S,

$$\mathcal{L}(\mu) = \prod_{data \ i} \left( \mathbf{B}(t_i) + \mathbf{S}_{\mu}(t_i) \right)$$

where  $\mu$  is the integrated number of signal events:

$$\int_0^{0.2} \mathbf{S}_{\mu}(t) dt = \mu$$

*R* is the likelihood ratio of the null hypothesis ( $\mu = 0$ ) to the best-fit value of  $\mu$ 

$$R = \frac{\mathcal{L}(0)}{\mathcal{L}(\mu_{best})}$$





### Spectral analysis



- $\mu_{best}$  in data is 4.0
- $-2\log(R) = 7.24$
- In background-only pseudoexperiments, the probability to observe -2log(*R*) > 7.24 is 0.3%
- 3σ observation of coherent K<sup>+</sup> signal in spectral analysis



Summary



- MINERvA has made the best measurement to date of charged-current and neutral-current K<sup>+</sup> production by neutrinos
- Probed FSI by studying kaon spectrum
- Looked for "kaon plus nothing" neutral current events that could fake proton decay signal
- Observed charged-current coherent  $K^+$  production at  $3\sigma$
- GENIE cross section + nuclear model does a good job of reproducing the data – great news for DUNE & Hyper-K nucleon decay searches









The MINERvA collaboration in Duluth, Minnesota, 2014

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### The MINERvA detector





208 active planes × 127 scintillator bars





Plane views: 1. Vertical bars 2. +60° 3. -60°

> Nucl. Inst. and Meth. A743 (2014) 130 arXiv:1305.5199

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### NuMI beamline





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### Meet the cast

- K<sup>+</sup> (us), 494 MeV, τ = 12.4 ns
  - $K^+ \rightarrow \mu^+ \nu$  (64%)
  - $K^+ \rightarrow \pi^+ \pi^0$  (20%)
- $\Lambda$  (uds), 1115 MeV,  $\tau$  = 0.3 ns
- $\Sigma^{\pm}$  (uus/dds), ~1190 MeV,  $\tau$  ~ 0.1 ns
- K<sup>0</sup> (ds), 498 MeV, either decays promptly, absorbs, or charge exchanges to K<sup>+</sup>





### GENIE 2.8.4 MC simulation











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"Associated production" of K + strange baryon ( $\Lambda$ ,  $\Sigma$ ) from the decay of high-mass baryon resonances

In GENIE, individual resonances are simulated up to hadronic invariant mass W = 1.7 GeV, which is barely above the KA threshold of ~1.6 GeV, so very few kaons come from resonance decays in GENIE

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### Kaons from hadronization





In DIS, it is possible to get ss pairs in hadronization, which results in KK, K $\Lambda$  or K $\Sigma$  pairs

GENIE uses KNO parameterization for 1.7 < W < 2.3 GeV, the "AGKY" model for 2.3 < W < 3.0, and PYTHIA for W > 3.0Tuned to  $\Lambda$  and K<sup>0</sup> bubble chamber data vs. W Chris Marshall - University of Rochester



### Kaons in bubble chambers





ANL 12'  $K^0\Lambda$  event

- Bubble chambers are fantastic detectors for  $\Lambda \rightarrow p\pi^-$  and  $K^0 \rightarrow \pi^+\pi^-$  from the "double V"
- K<sup>+</sup> are much harder
  - Ionization pattern can be ambiguous between π/K/p
  - Can reconstruct decay if the kaon stops in detector
  - Can infer from presence of  $\Lambda$

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### Longest track range (NC)



- Additional cut: reject MINOSmatched tracks
- Use CC-like region as a sideband
- Rejecting MINOS tracks reduces the amount of
  extrapolation from
  low-y to high-y
  events



### Tune the background



- Scale the non-pile-up backgrounds by 0.96
- Because you scale multiple backgrounds simultaneously, uncertainties arise when the composition is different in signal and sideband region



### Extracting a cross section: NC





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### Extracting a cross section: NC





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#### Extracting a cross section: NC





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### Extracting a cross section: NC





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### CC background-subtracted





Reconstructed K<sup>+</sup> kinetic energy (MeV)

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#### CC unfolded





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## CC efficiency-corrected





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#### CC XS: zoomed out







## NC background-subtracted







## NC unfolded







## NC efficiency-corrected





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#### NC XS: zoomed out



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## NC visible energy background-subtracted





Non-K<sup>+</sup> visible energy (GeV)

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## NC visible energy unfolded







## NC visible energy unfolded







## NC kinetic energy in lowest visible energy bin only



Events per 100 MeV

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#### Kaon-carbon interactions





Force agreement between GEANT4 (old version) and external data by reweighting events based in interaction fate

Take ±10% uncertainty on inelastic cross section, which covers disagreement conservatively





#### $\pi^+C \rightarrow K^+X$ cross section





Force agreement between GEANT4 (old version) and external data by reweighting events based in interaction fate

Take ±10% uncertainty on inelastic cross section, which covers disagreement conservatively



# NC sideband constraint on CC events: neutrino energy





Flux error enters because signal region is low-energy muons, which tend to come from lower-energy neutrinos

Sideband region includes flux tail, where uncertainties are generally larger



# NC sideband constraint on CC events: y distribution





Signal region is very high y because muon energy is small (< 500 MeV)

Sideband region is all muons > 500 MeV, which is lower inelasticity, but we exclude very low y events from the sideband to reduce the extrapolation



## Single kaon production





~10% of total cross section for MINERvA flux, according to this one model

Combine SK and nominal samples by fixing cross section as a function of W



## Single kaon production





Cabibbo-suppressed channel  $v_{\mu}N \rightarrow \mu^{-}K^{+}N$  is not in GENIE 2.8

Potentially important, if acceptance is different for these events

Implemented based on Alam et al. Phys.Rev. **D**82 033001 (2010), and in GENIE 2.10

Used to implement a correction in this analysis

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## SK hadronic energy distribution







#### Nominal efficiency



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#### Corrected efficiency



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#### Covariance matrices: CC





Systematic uncertainties only (left), statistical uncertainty only, which enters via the unfolding procedure



## Coherent t raw background



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## Coherent t tuned background







## Coherent t tuned background after $\pi^0$ rejection scan





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#### Coherent t residual



 $\nu_{\mu} \, \textbf{A} \, \rightarrow \, \mu^{\text{-}} \, \textbf{K}^{\text{+}} \, \textbf{A}$ 



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Left: scale factor for background based on sideband constraint

Right: Background acceptance in the  $\pi^0$  rejection scan

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## Coherent background prediction





In each pseudoexperiment, scale the nominal background by randomly taking a number from each of the plots on the previous slide and multiplying them together

This plot is the mean number of background events — "observed" background in a pseudoexperiment is a Poisson random number taken from a distribution with this mean



Use fits to the signal and background MC to perform an unbinned likelihood fit on the data

Reconstructed t (GeV<sup>2</sup>)

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Reconstructed t (GeV<sup>2</sup>)



## Coherent signal model: KE







## Coherent signal model: theta

















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## $\pi^0$ scan: reject #2





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## $\pi^0$ scan: keeper #1





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#### $\pi^0$ scan: keeper #2





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## Including low-energy kaons



 $\mu^{\scriptscriptstyle -} \, K^{\scriptscriptstyle +} \, \Lambda$  final state,  $\pi^{\scriptscriptstyle -}$  from  $\Lambda$  decay is tracked, but  $K^{\scriptscriptstyle +}$  is not


## Scan for the range





In U view, decay  $\mu^+$  overlaps the vertex, but a human with some training can make an excellent range measurement

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