

# First oscillation results from $\text{NO}\nu\text{A}$

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Caltech

for the  $\text{NO}\nu\text{A}$  Collaboration

Joint Experimental-Theoretical Seminar, Fermilab  
August 6, 2015

# Long-baseline neutrino oscillations

$\nu_\mu$  disappearance:

$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \underbrace{\sin^2 2\theta_{23}}_{\text{experimental data are consistent with unity ("maximal mixing")}} \sin^2(\Delta m_{32}^2 L / 4E)$$

*...to leading order*

experimental data are **consistent with unity**  
("maximal mixing")

➔ Need a leap in precision on  $\theta_{23}$  (and  $\Delta m_{32}^2$ )

$\nu_e$  appearance:

$$P(\nu_\mu \rightarrow \nu_e) \approx \sin^2 \theta_{23} \underbrace{\sin^2 2\theta_{13}}_{\text{Daya Bay reactor experiment: } \sin^2(2\theta_{13}) = 0.084 \pm 0.005} \sin^2(\Delta m_{32}^2 L / 4E)$$

*...plus potentially large CPV and matter effect modifications!*

➔ Non-zero  $\theta_{13}$  opens the long-baseline appearance channel, and...

$$\theta_{13} > 0 \Rightarrow \nu_{\mu} \rightarrow \nu_e$$

Makes feasible long-baseline measurements of...

### neutrino mass hierarchy

via matter effects that modify  $P(\nu_{\mu} \rightarrow \nu_e)$

Implications for:  $0\nu\beta\beta$  data and Majorana nature of  $\nu$ ; approach to  $m_{\beta}$ ; cosmology; astrophysics; theoretical frameworks for mass generation, quark/lepton unification; Is the lightest charged lepton associated with the heaviest light neutrino?

### CP violation

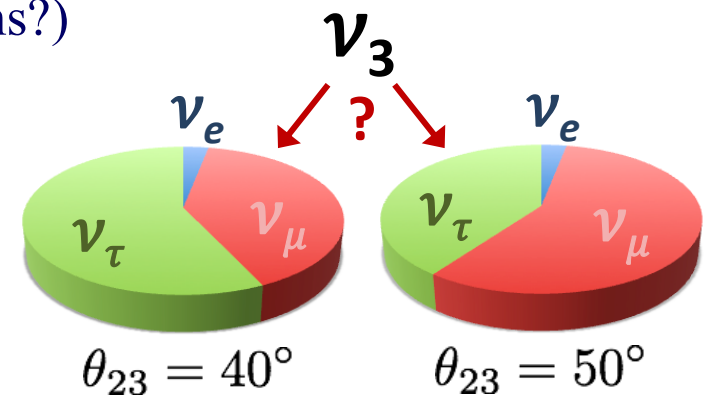
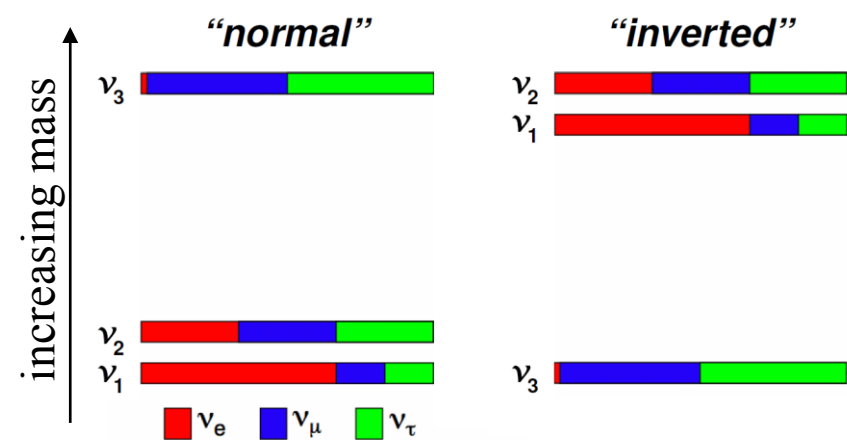
via dependence of  $P(\nu_{\mu} \rightarrow \nu_e)$  on CP phase  $\delta$ . Amplified by  $\nu/\bar{\nu}$  comparisons.

baryon asymmetry through see-saw/leptogenesis; fundamental question in the Standard Model (is CP respected by leptons?)

### $\nu_3$ flavor mixing

via leading-order factor  $\sin^2(\theta_{23})$

Is  $\nu_3$  more strongly coupled to  $\mu$  or  $\tau$  flavor?; frameworks for mass generation, unification

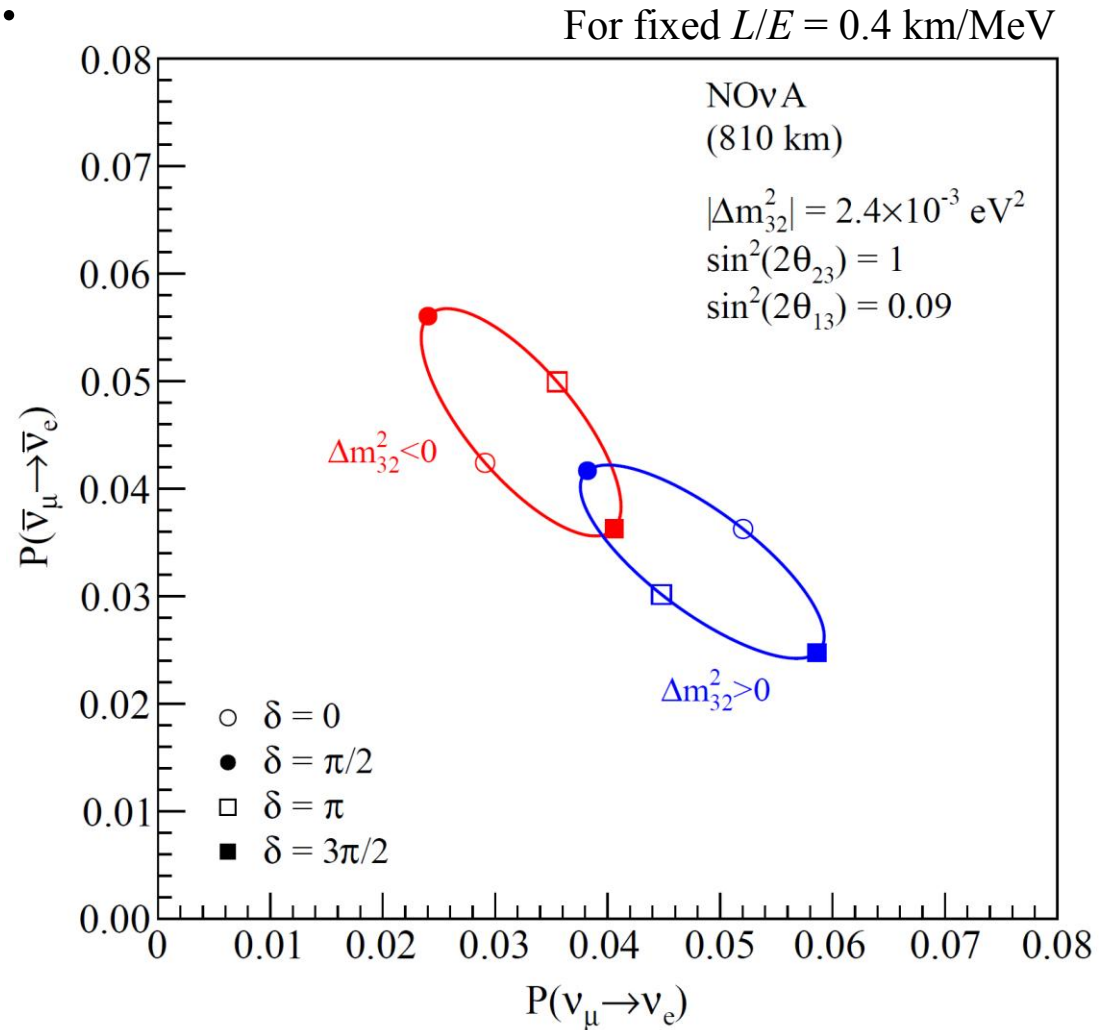


# Long-baseline $\nu_\mu \rightarrow \nu_e$

A more quantitative sketch...

**At right:**

$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$  vs.  $P(\nu_\mu \rightarrow \nu_e)$   
plotted for a single neutrino  
energy and baseline



# Long-baseline $\nu_\mu \rightarrow \nu_e$

A more quantitative sketch...

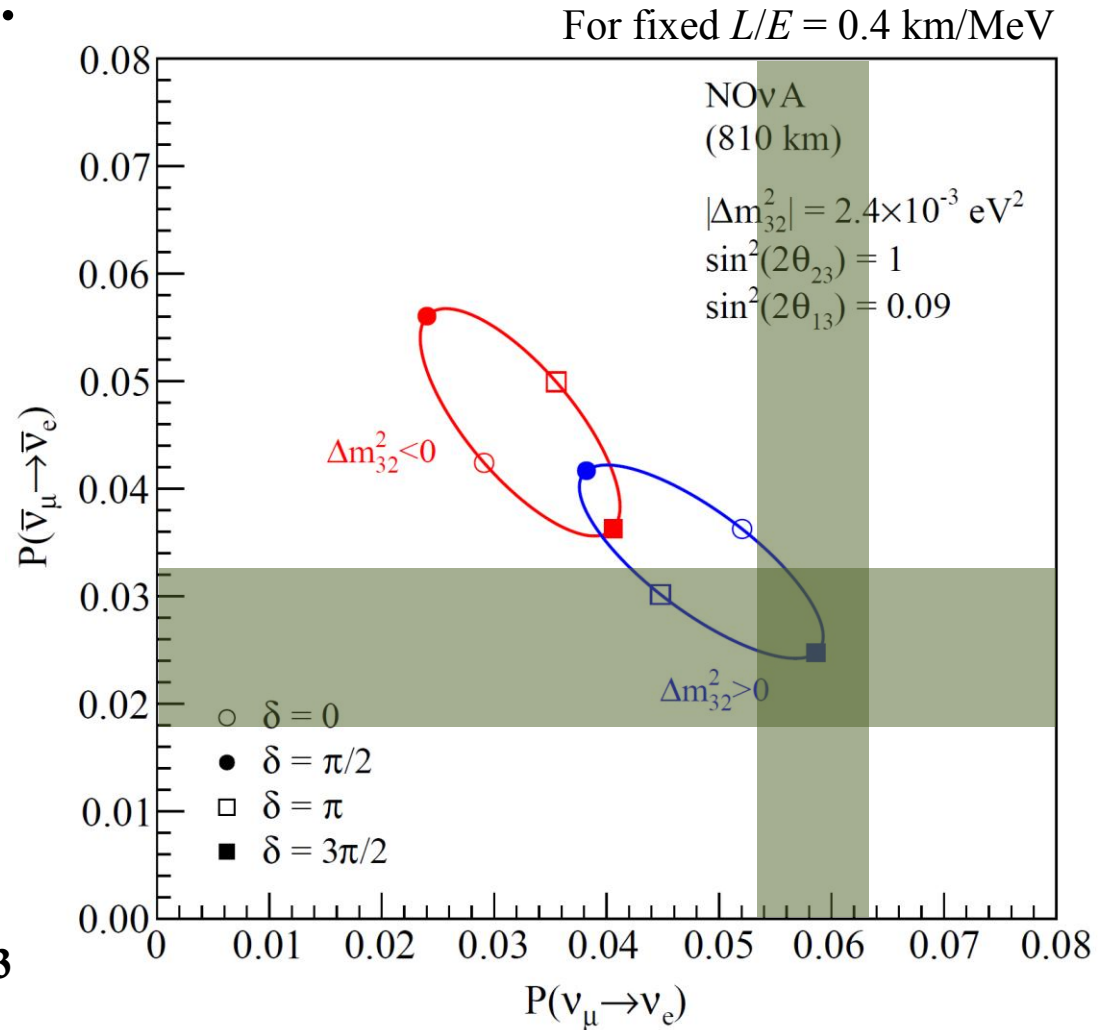
**At right:**

$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$  vs.  $P(\nu_\mu \rightarrow \nu_e)$   
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**Measure these probabilities**  
*(an example measurement  
of each shown)*

**Also:**

Both probabilities  $\propto \sin^2 \theta_{23}$



# NOvA

## *A broad physics scope*

Using  $\nu_\mu \rightarrow \nu_e$ ,  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  ...

- Determine the  $\nu$  mass hierarchy
- Determine the  $\theta_{23}$  octant
- Constrain  $\delta_{CP}$

Using  $\nu_\mu \rightarrow \nu_\mu$ ,  $\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu$  ...

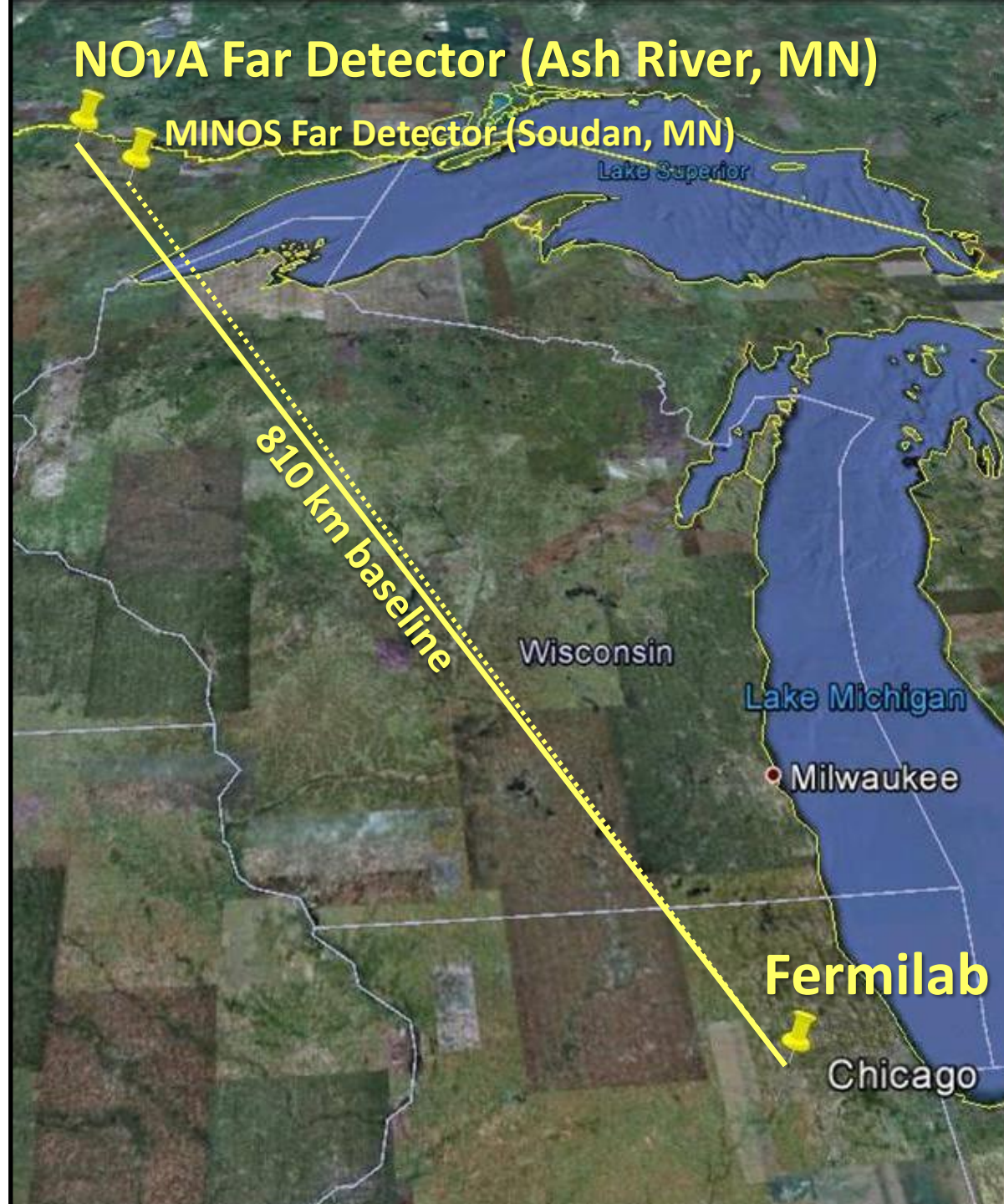
- Precision measurements of  $\sin^2 2\theta_{23}$  and  $\Delta m_{32}^2$ .  
(Exclude  $\theta_{23} = \pi/4$ ?)
- **Over-constrain** the atmos. sector  
(four oscillation channels)

**Also ...**

- Neutrino cross sections at the NOvA Near Detector
- Sterile neutrinos
- Supernova neutrinos
- Other exotica

## NOvA Far Detector (Ash River, MN)

MINOS Far Detector (Soudan, MN)



810 km baseline

Lake Superior

Wisconsin

Lake Michigan

Milwaukee

Fermilab

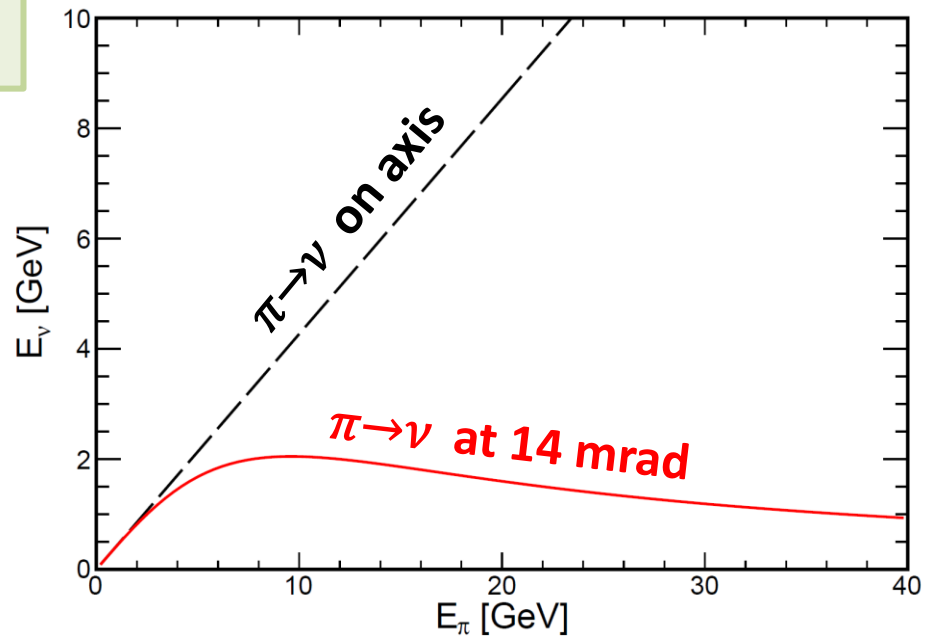
Chicago

# NuMI off-axis beam

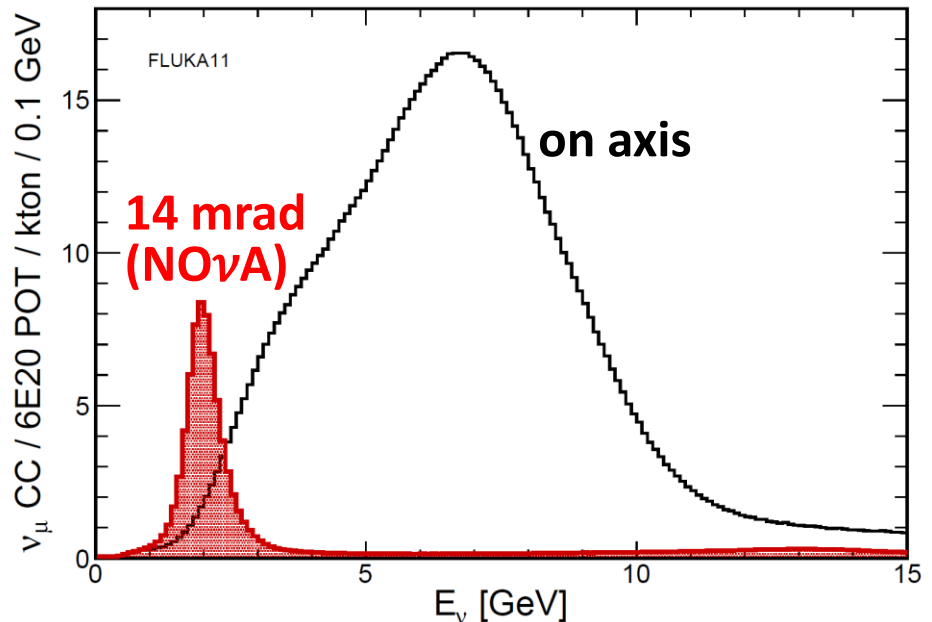
NO $\nu$ A detectors are sited **14 mrad** off the NuMI beam axis

With the **medium-energy NuMI** tune, yields a narrow 2-GeV spectrum at the NO $\nu$ A detectors

→ **Reduces NC and  $\nu_e$  CC backgrounds** in the oscillation analyses while maintaining **high  $\nu_\mu$  flux at 2 GeV**.



NO $\nu$ A Simulation



# Fermilab Neutrino Complex

**NuMI =**  
*Neutrinos from the  
Main Injector*

**Long shutdown in 2012–2013**

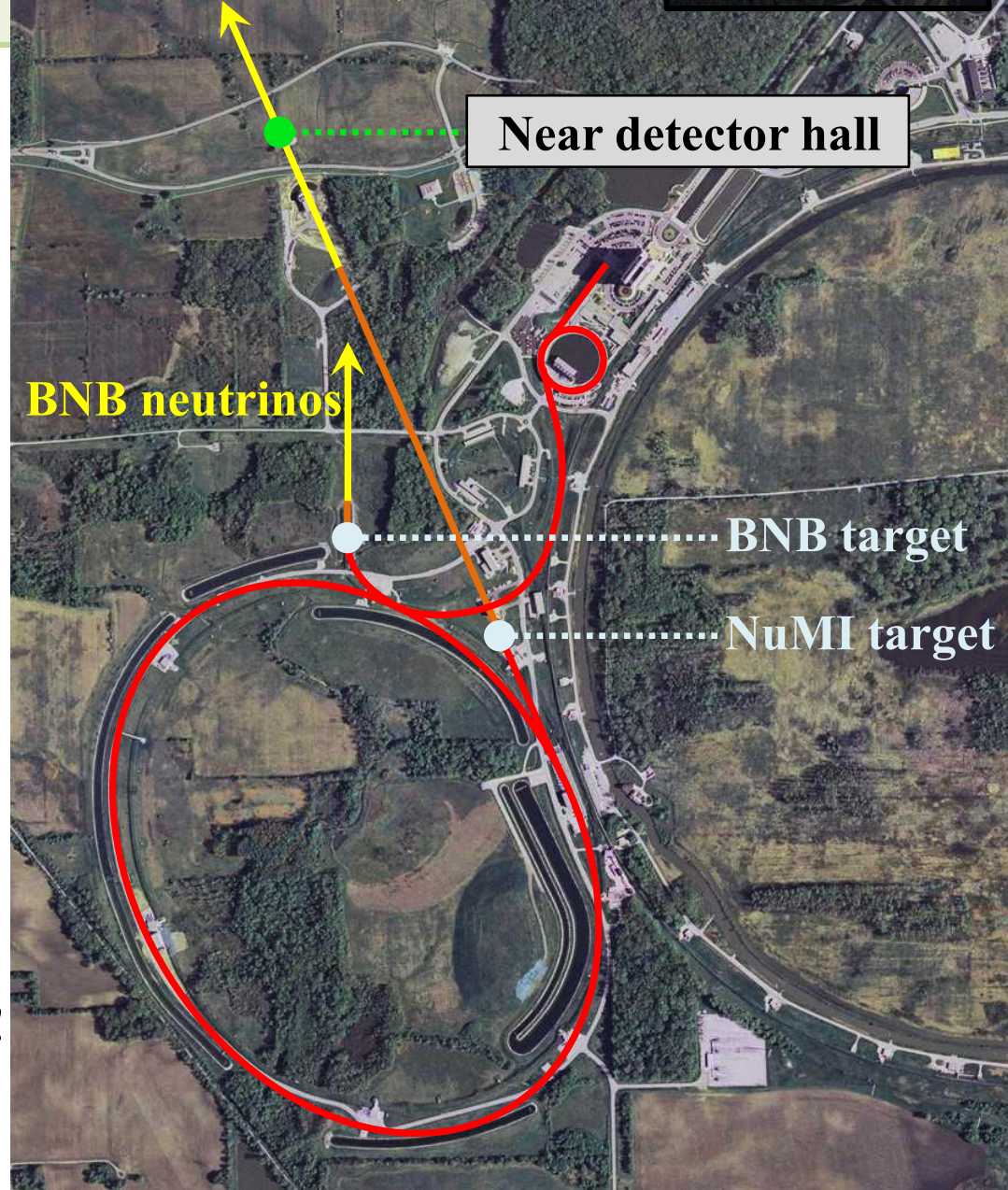
- Repurpose recycler for injection
- Add associated kickers and instrumentation
- RF, power supply upgrades
- Overhaul of NuMI target station  
→ **Major upgrades toward  
700 kW operation**

*Since March 2015:*

- Routine slip-stacking (2+6 batches)  
into recycler, typically ~420 kW  
→ **Beam power record: 521 kW!**
- **85% uptime!**

**NuMI neutrinos**  
(onward to MINOS and  
NOvA far detectors)

proton beams  
decay regions  
neutrino beams





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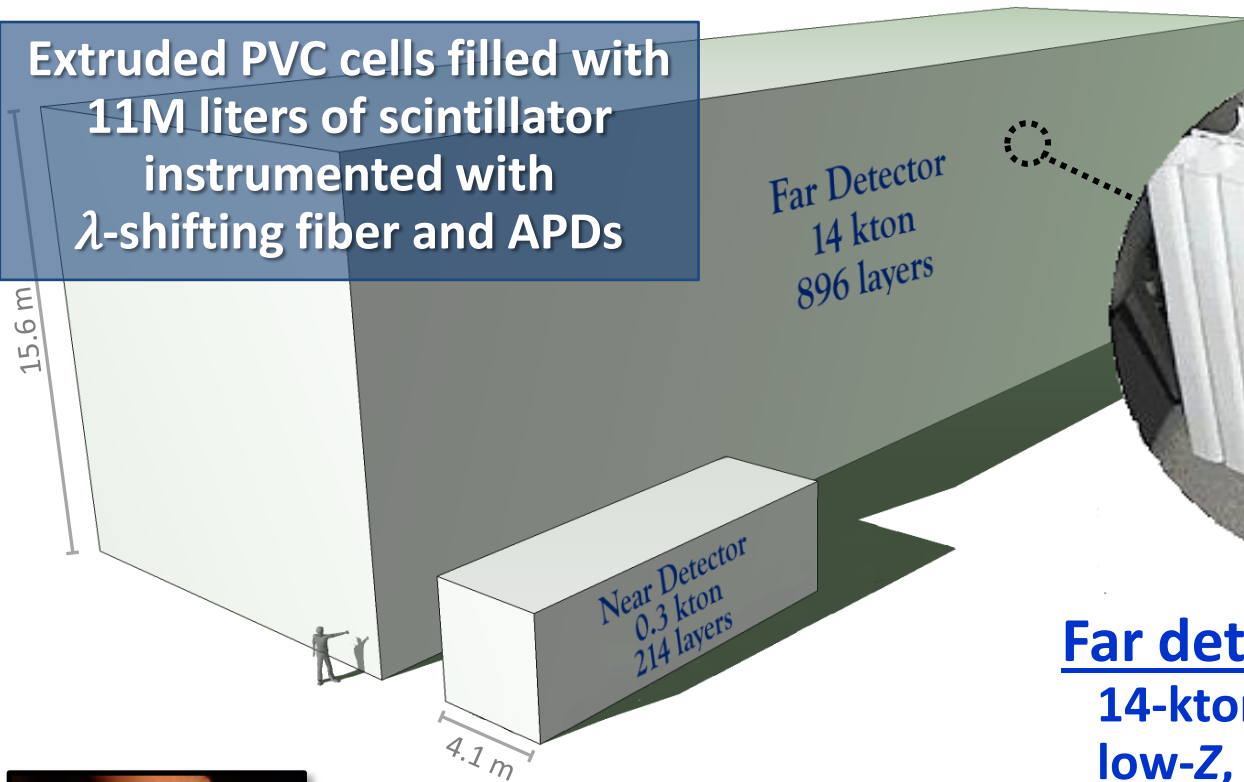
Near detector hall

**Fantastic beam performance!**  
**Many thanks to Fermilab for all their efforts.**

NuMI target

# NO $\nu$ A detectors

Extruded PVC cells filled with  
11M liters of scintillator  
instrumented with  
 $\lambda$ -shifting fiber and APDs



## A NO $\nu$ A cell

To APD



1560 cm

4 cm × 6 cm

### Far detector:

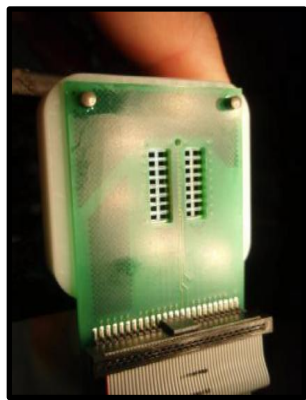
14-kton, fine-grained,  
low-Z, highly-active  
tracking calorimeter  
→ 344,000 channels

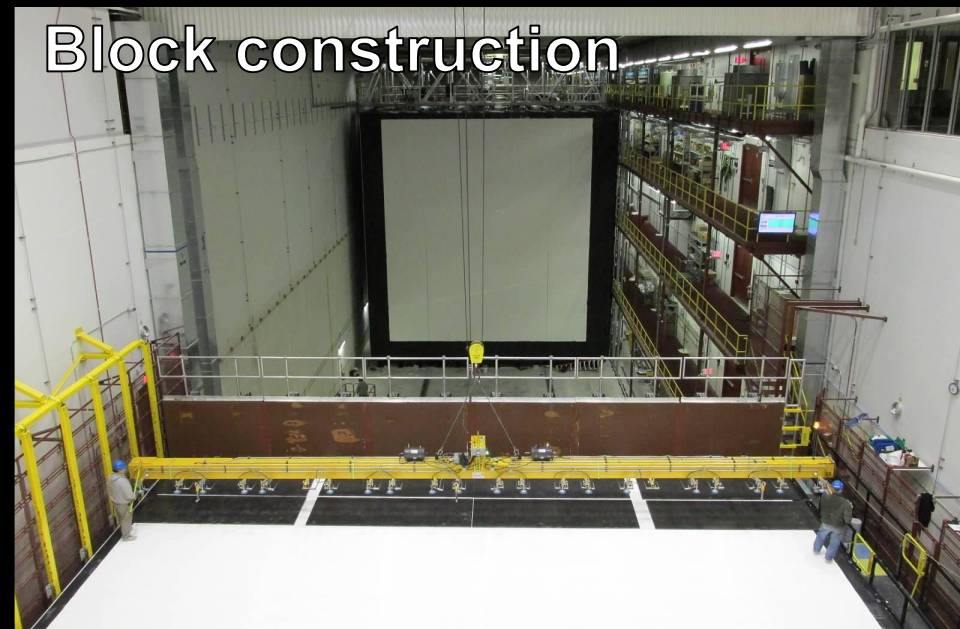
### Near detector:

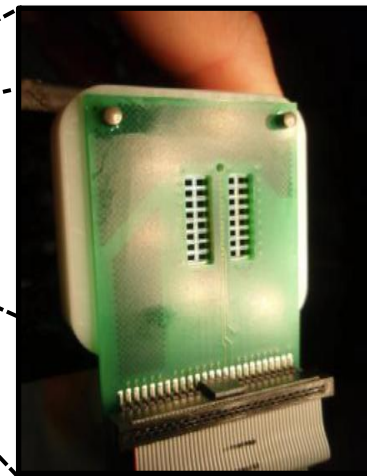
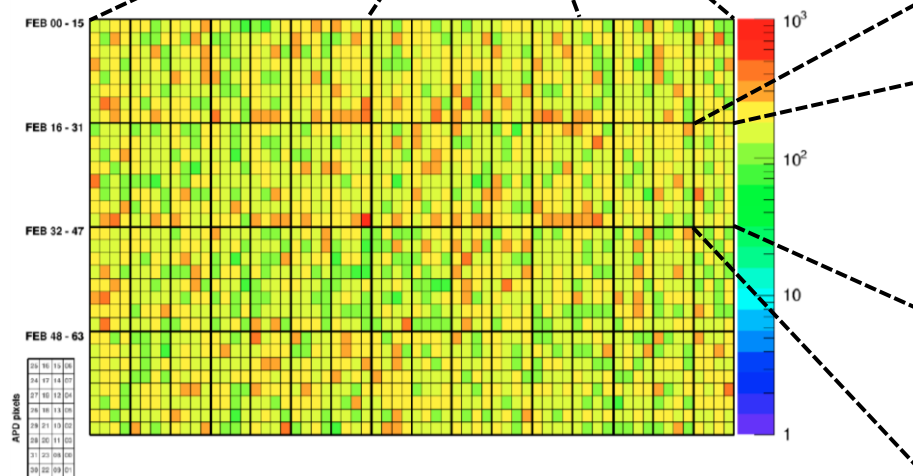
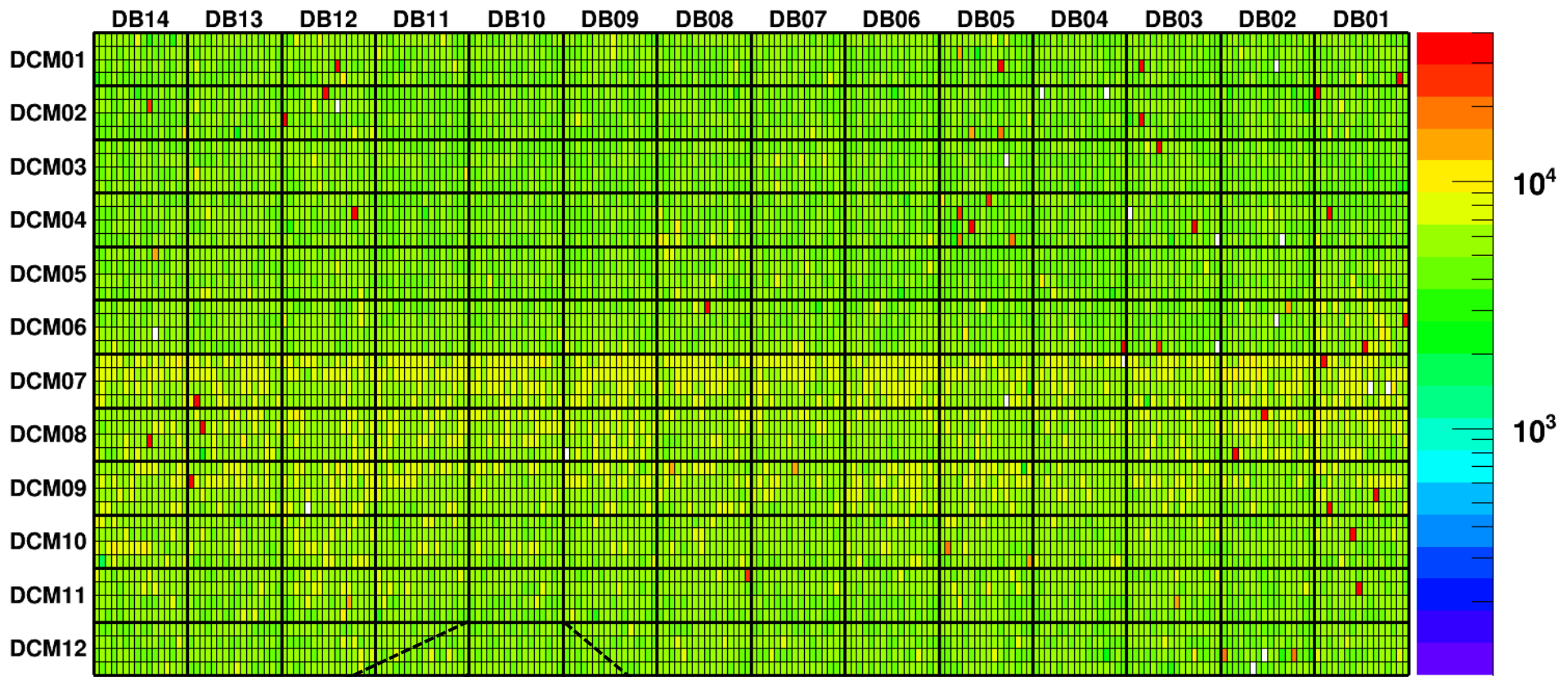
0.3-kton version of  
the same  
→ 20,000 channels

32-pixel APD

Fiber pairs  
from 32 cells

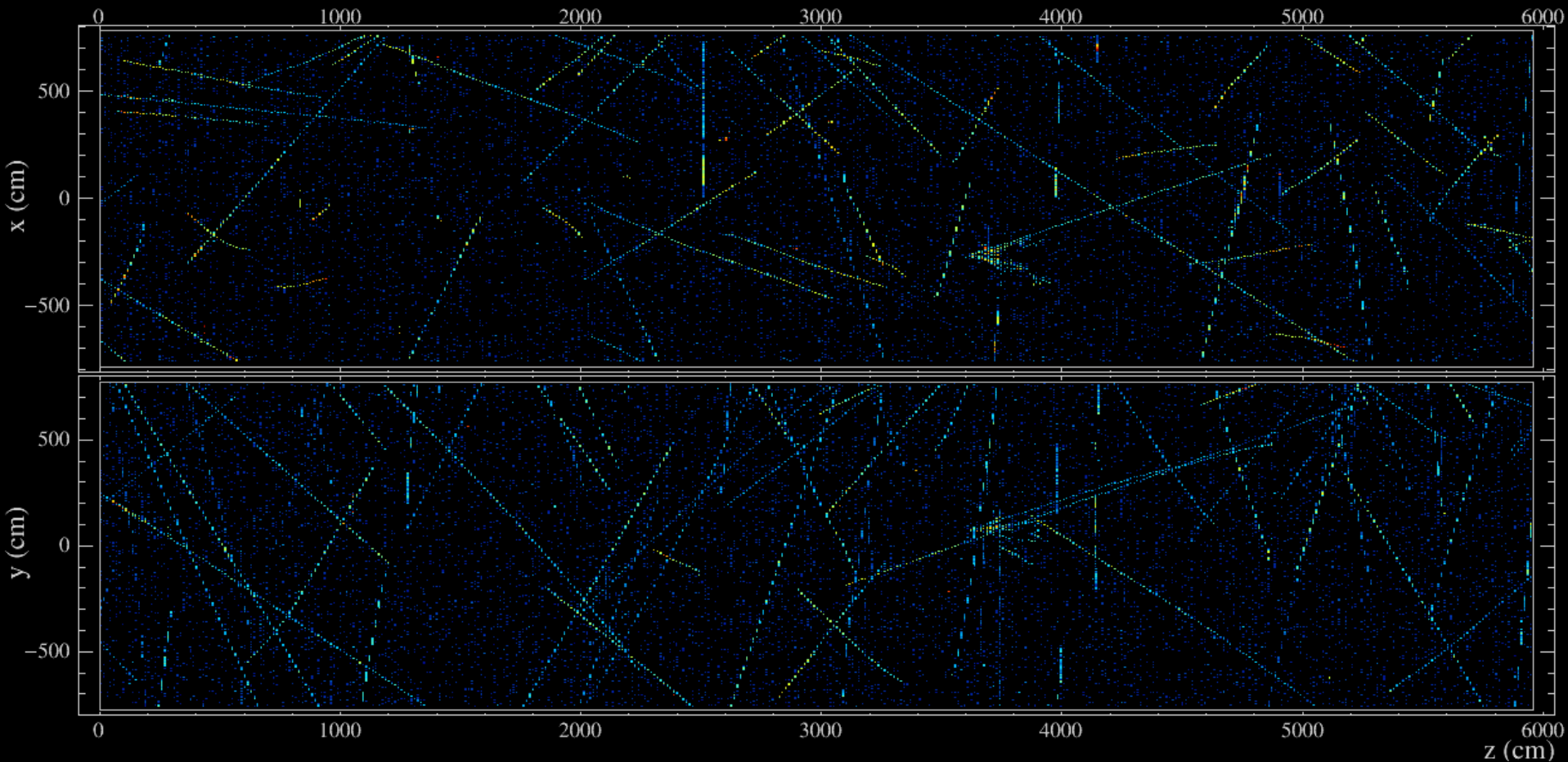






**344,064 channels!**  
**99.5% operational**

# 550 $\mu$ s exposure of the Far Detector



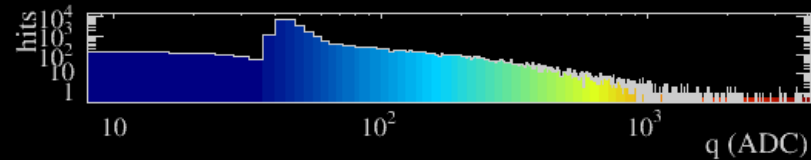
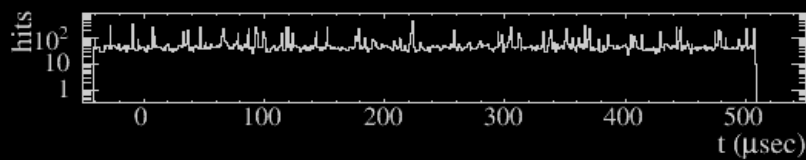
NOvA - FNAL E929

Run: 18620 / 13

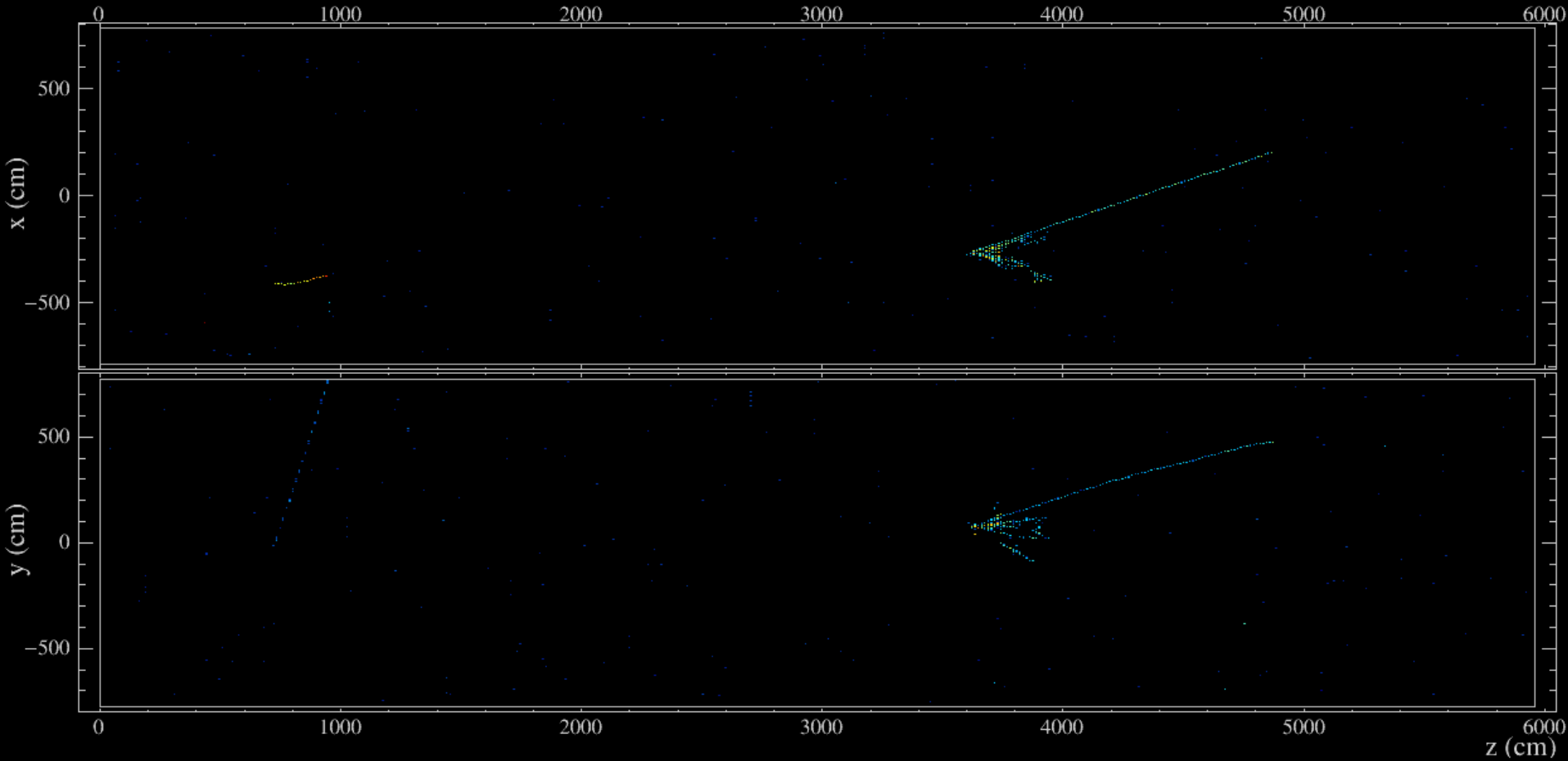
Event: 178402 / --

UTC Fri Jan 9, 2015

00:13:53.087341608



# Time-zoom on 10 $\mu$ s interval during NuMI beam pulse



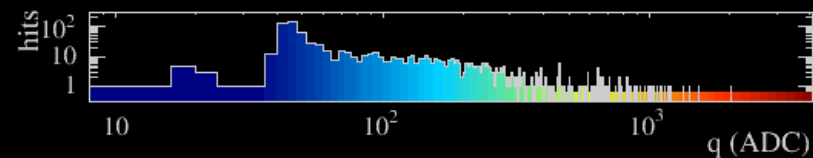
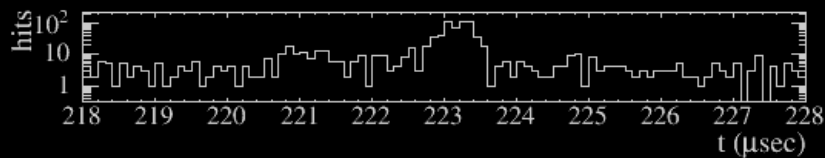
NOvA - FNAL E929

Run: 18620 / 13

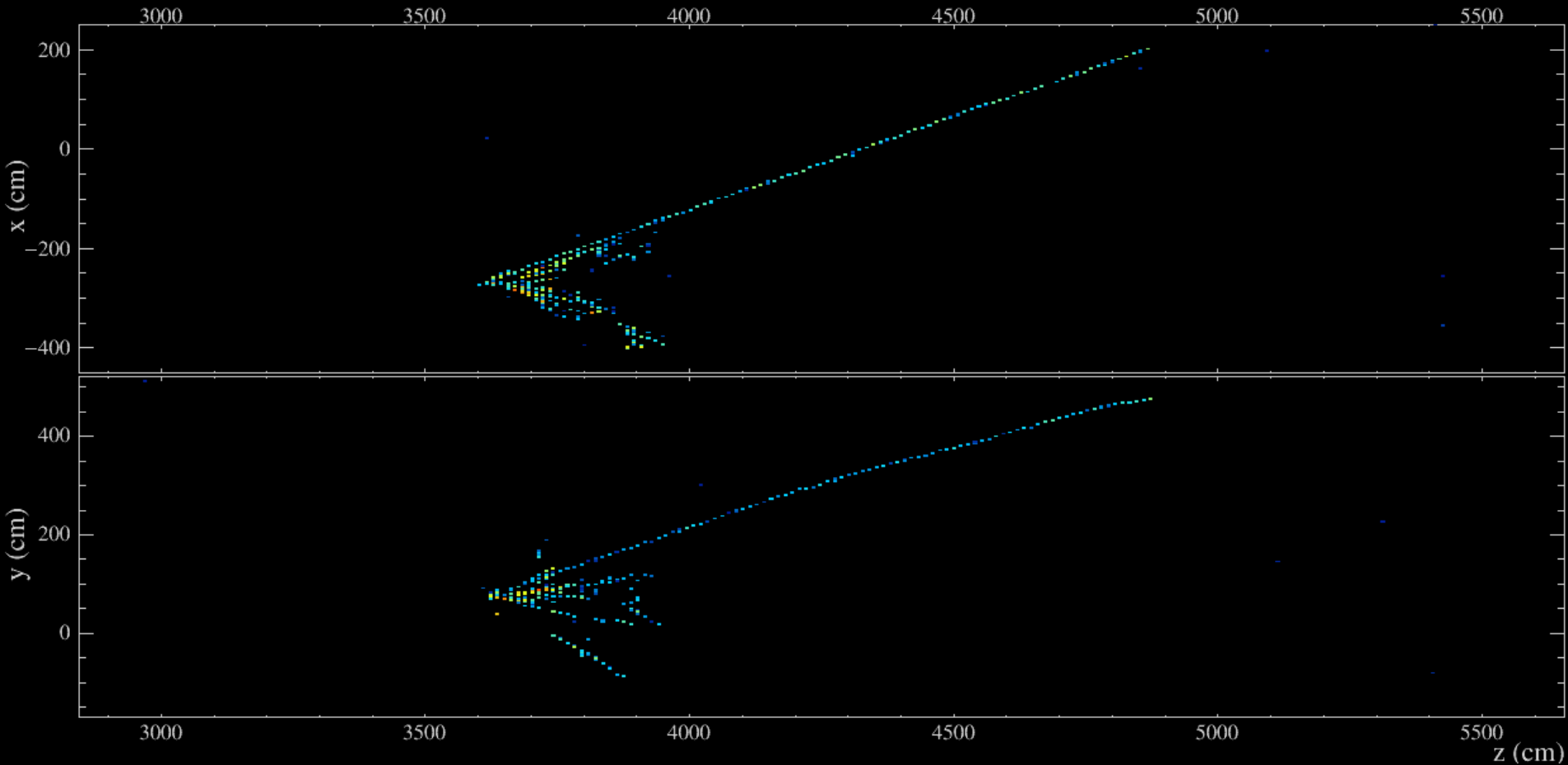
Event: 178402 / --

UTC Fri Jan 9, 2015

00:13:53.087341608



# Close-up of neutrino interaction in the Far Detector



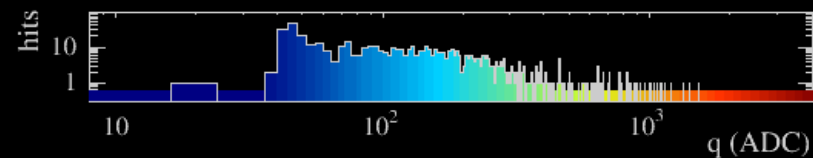
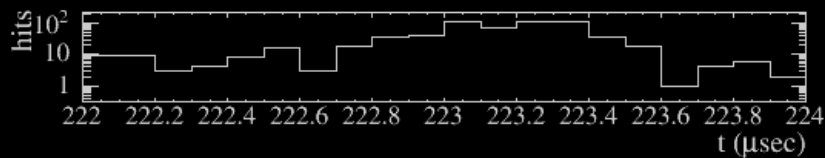
NOvA - FNAL E929

Run: 18620 / 13

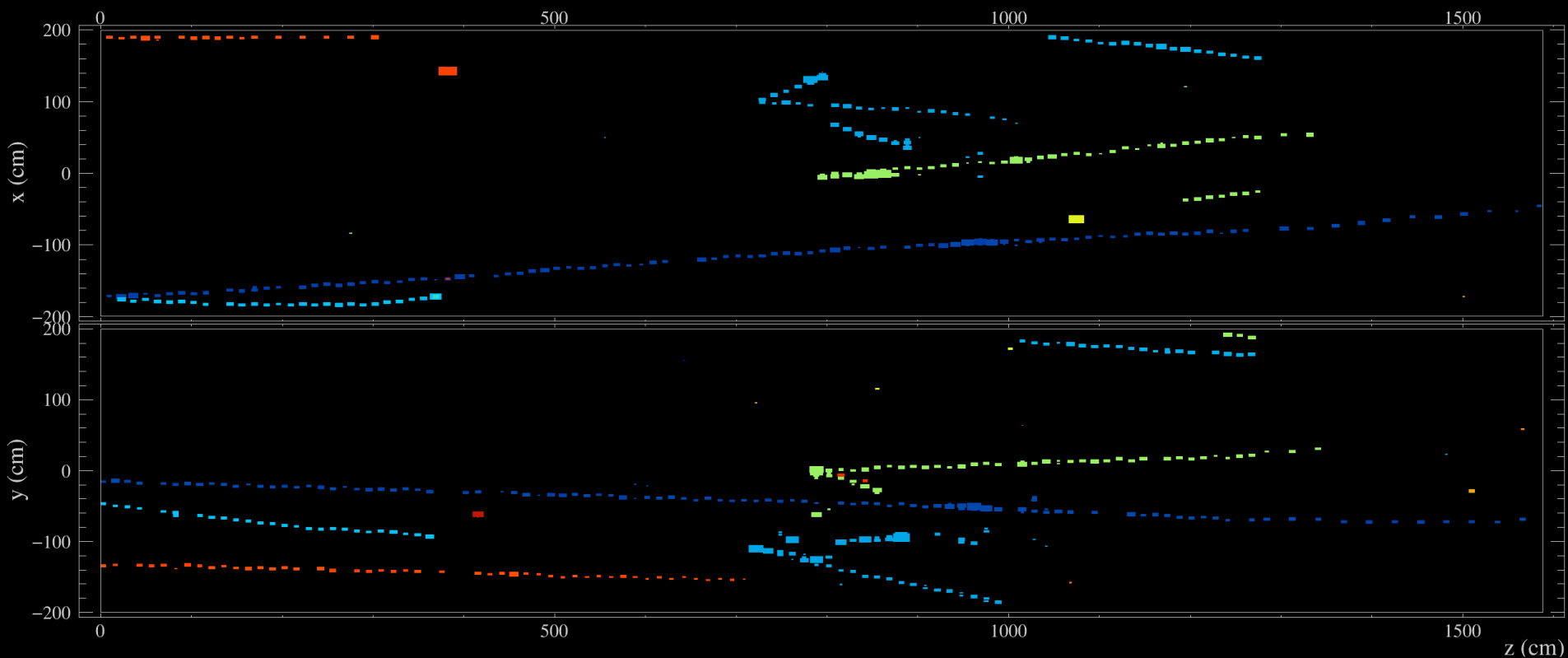
Event: 178402 / --

UTC Fri Jan 9, 2015

00:13:53.087341608



# Near Detector: 10 $\mu$ s of readout during NuMI beam pulse (color $\Rightarrow$ time of hit)



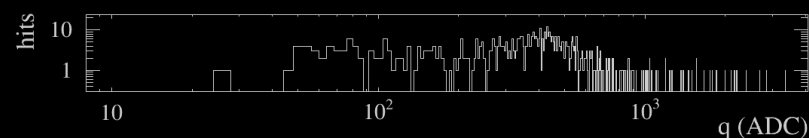
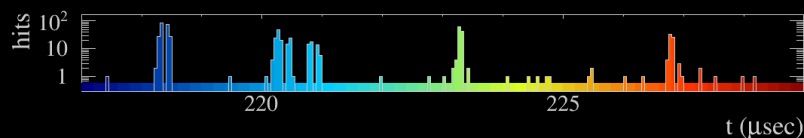
NOvA - FNAL E929

Run: 10407 / 1

Event: 27950 / --

UTC Thu Sep 4, 2014

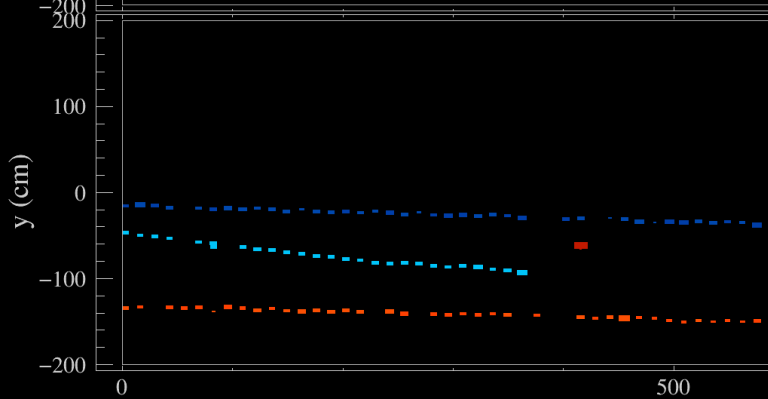
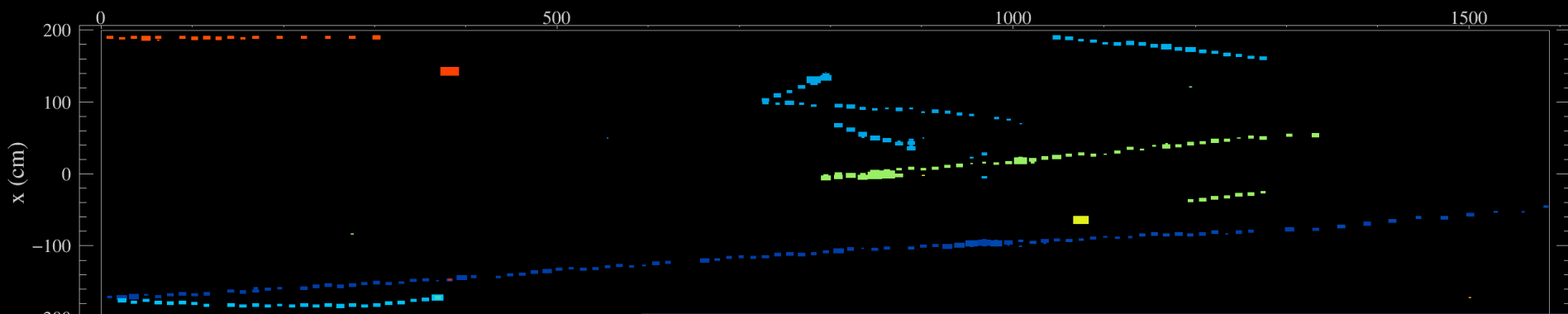
05:28:44.034495968



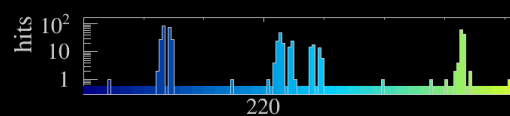


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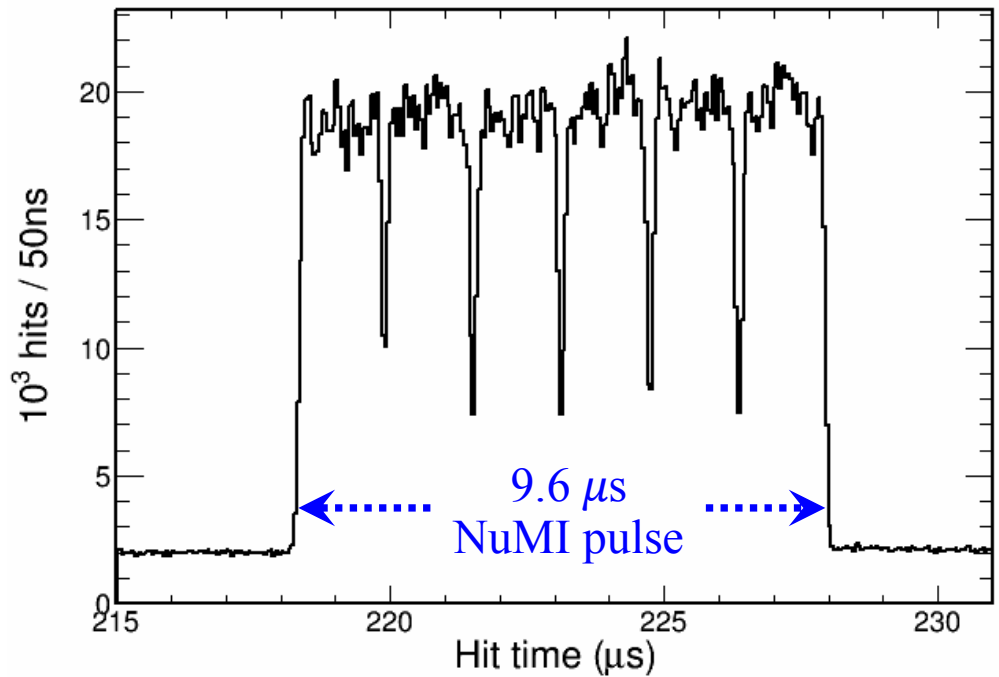
(color  $\Rightarrow$  time of hit)



NOvA - FNAL E929  
Run: 10407 / 1  
Event: 27950 / --  
UTC Thu Sep 4, 2014  
05:28:44.034495968



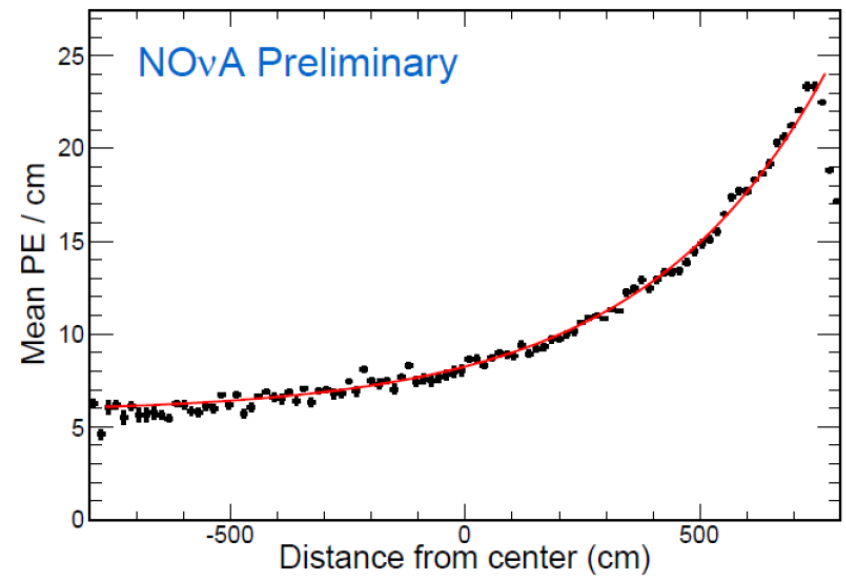
Time of all hits in Near Det during NuMI spills (~1 hr)



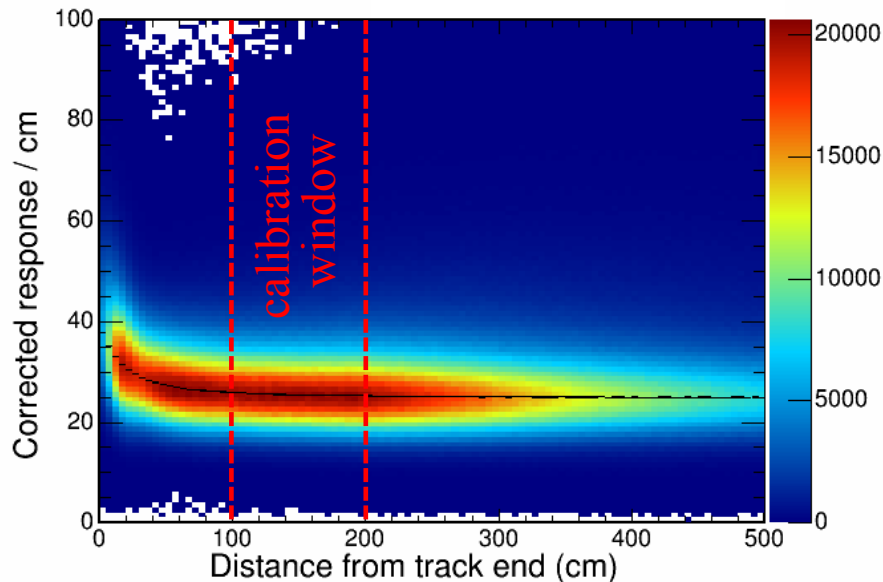
# Calibration

- **Biggest effect** that needs correction is **attenuation** in the WLS fiber  
*Example FD cell* →
- **Stopping muons** provide a standard candle for setting absolute energy scale (*below*)

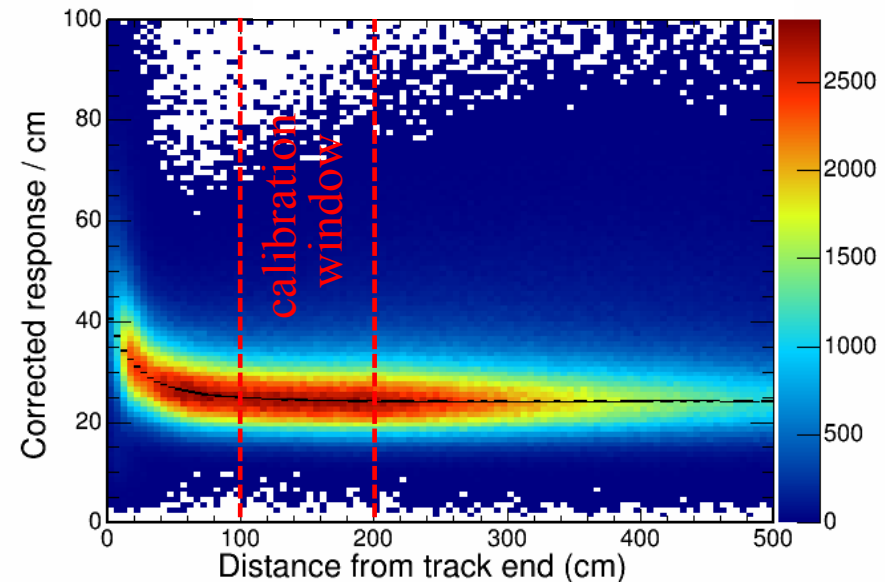
FD cosmic data - plane 84 (horizontal), cell 12



Far Detector Data



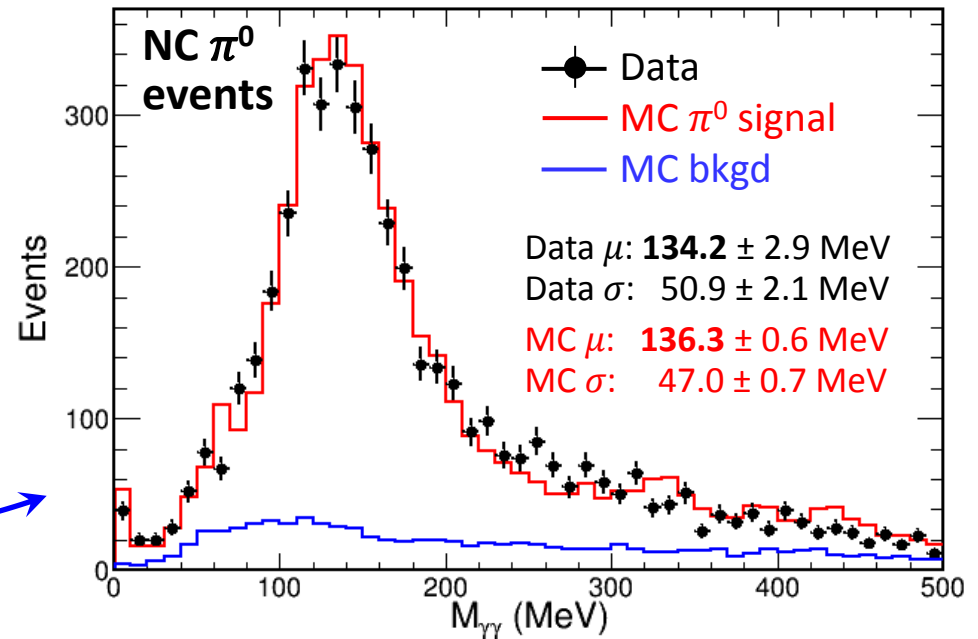
Far Detector Simulation



# Multiple probes of energy scale

## In Near Detector

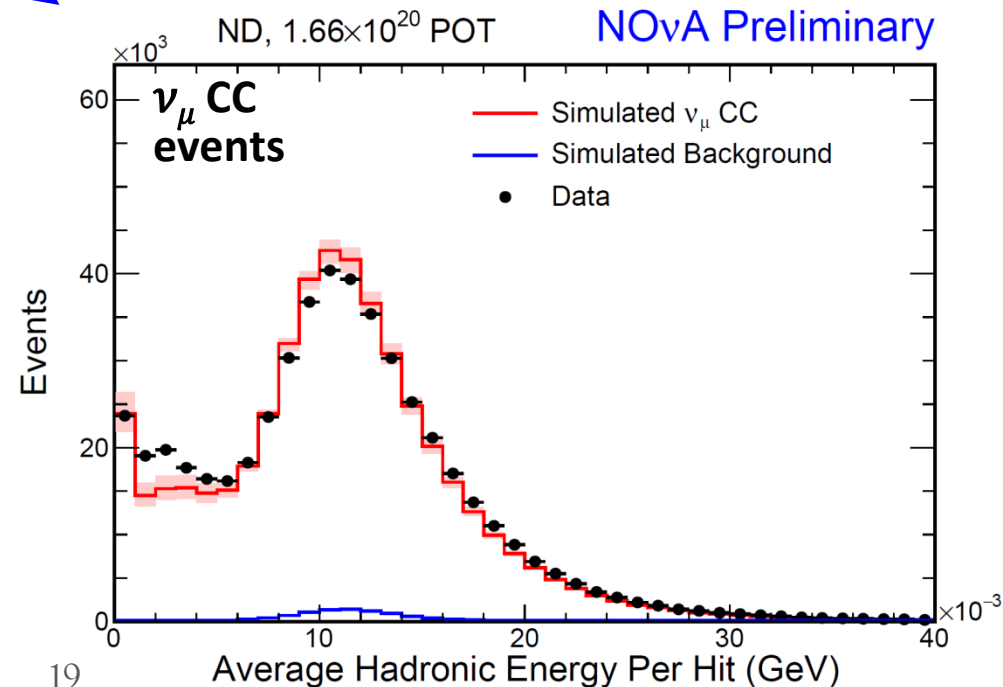
- cosmic  $\mu$   $dE/dx$  [ $\sim$ vertical]
- beam  $\mu$   $dE/dx$  [ $\sim$ horizontal]
- Michel  $e^-$  spectrum
- $\pi^0$  mass
- hadronic shower  $E$ -per-hit



## In Far Detector

- cosmic  $\mu$   $dE/dx$  [ $\sim$ vertical]
- beam  $\mu$   $dE/dx$  [ $\sim$ horizontal]
- Michel  $e^-$  spectrum

**All agree within  $\pm 5\%$**

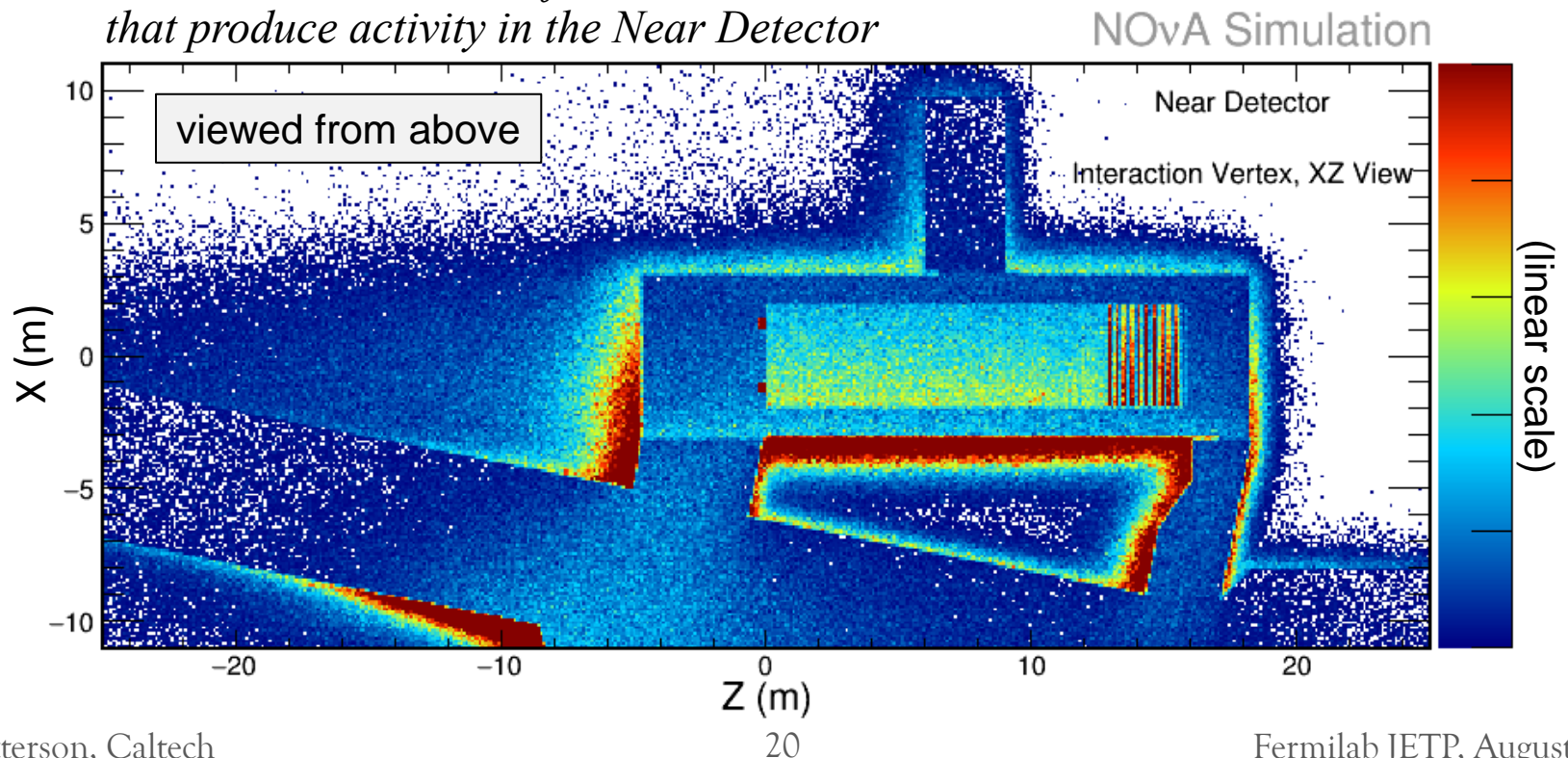


# Simulation

## Highly detailed end-to-end simulation chain

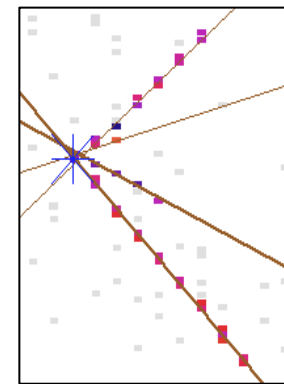
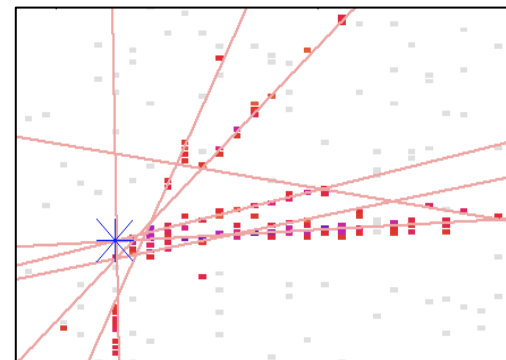
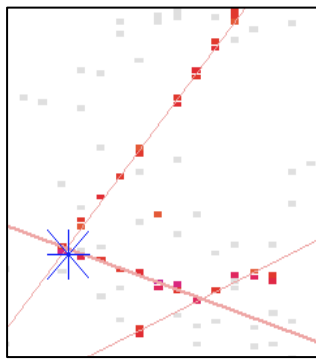
- Beam hadron production, propagation; neutrino flux: **FLUKA/FLUGG**
- Cosmic ray flux: **CRY**
- Neutrino interactions and FSI modeling: **GENIE**
- Detector simulation: **GEANT4**
- Readout electronics and DAQ: **Custom simulation routines**

*Simulation: Locations of neutrino interactions that produce activity in the Near Detector*

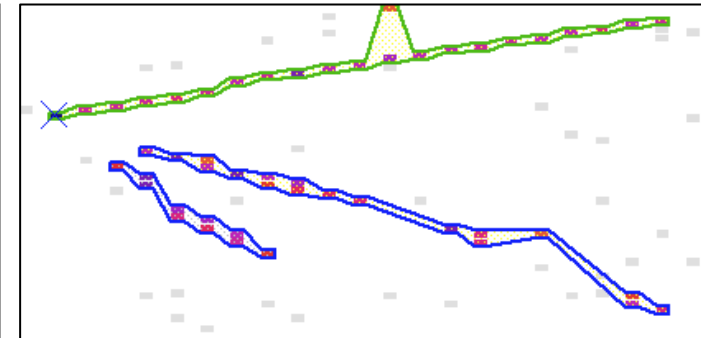
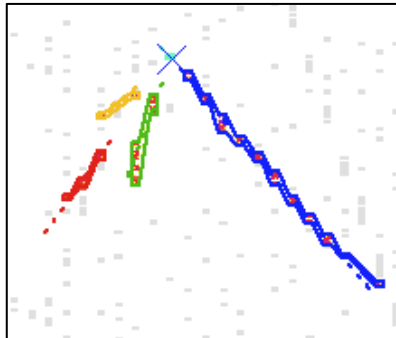


# Reconstruction

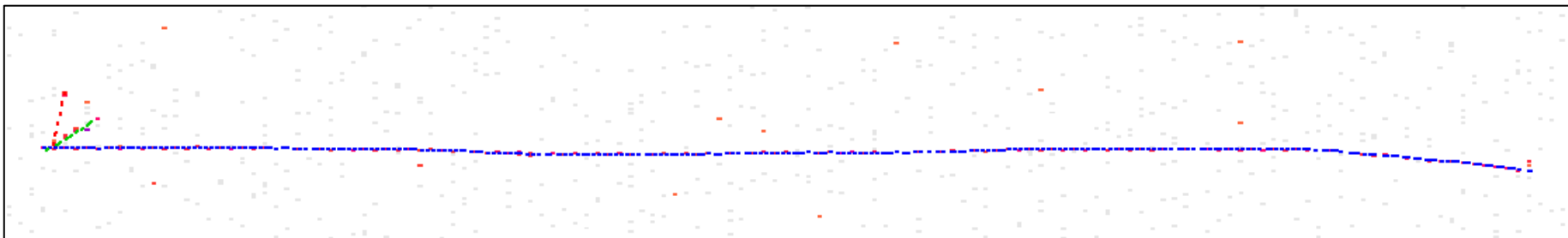
**Vertexing:** Find lines of energy depositions w/ Hough transform  
*CC events: 11 cm resolution*



**Clustering:** Find clusters in angular space around vertex.  
Merge views via topology and prong  $dE/dx$



**Tracking:** Trace particle trajectories with **Kalman filter** tracker (below).  
Also have a **cosmic ray tracker**: lightweight, very fast, and useful for large calibration samples and online monitoring tools.



# Far Detector data set

- During the construction era, we **began collecting physics data** with each Far Detector “diblock” (64 detector planes) as soon as it was **fully commissioned and physics-ready**
- Thus, FD size is **not static** throughout our data set

Protons-on-target in data set:  $3.45 \times 10^{20}$  POT

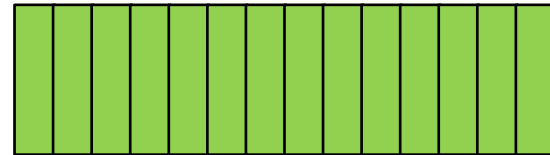
Fraction of detector operational: 79.4% (POT-weighted average)

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**Full-detector-equivalent exposure:  $2.74 \times 10^{20}$  POT-equiv**



**Partial Far Detector  
during construction**  
(6 diblock example)



**Full Far Detector**  
(14 diblocks)

# Far Detector data set

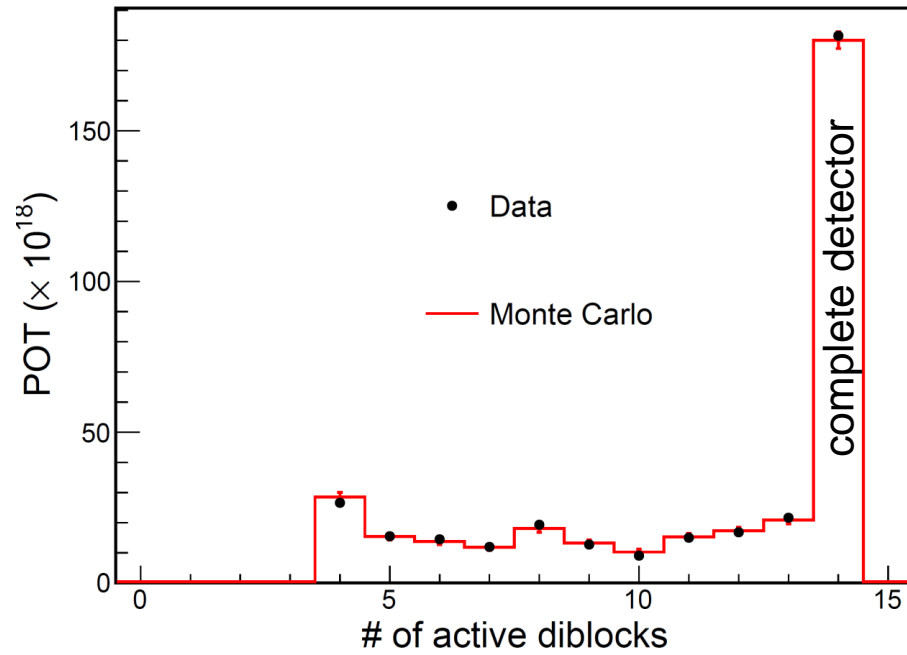
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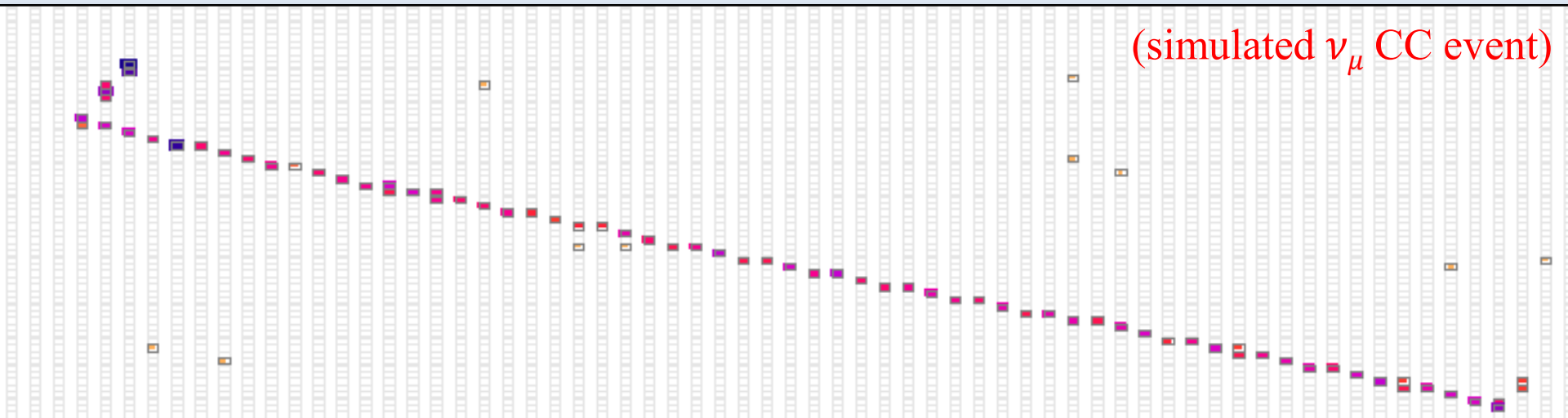
**Full-detector-equivalent exposure:  $2.74 \times 10^{20}$  POT-equiv**

- *Aside:* We simulate the full suite of FD configurations in our analyses



# $\nu_\mu$ disappearance

- Identify **contained  $\nu_\mu$  CC events** in each detector
- Measure their **energies**
- Extract oscillation information from differences between the **Far and Near energy spectra**





# $\nu_\mu$ CC selection

First, basic containment cuts require a buffer of no cell activity around the event. Then...

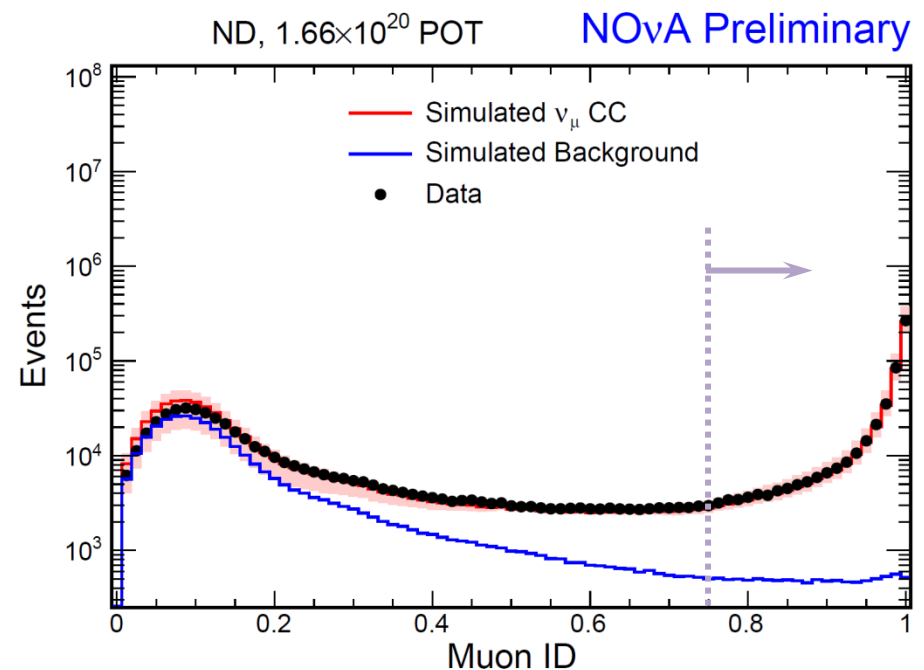
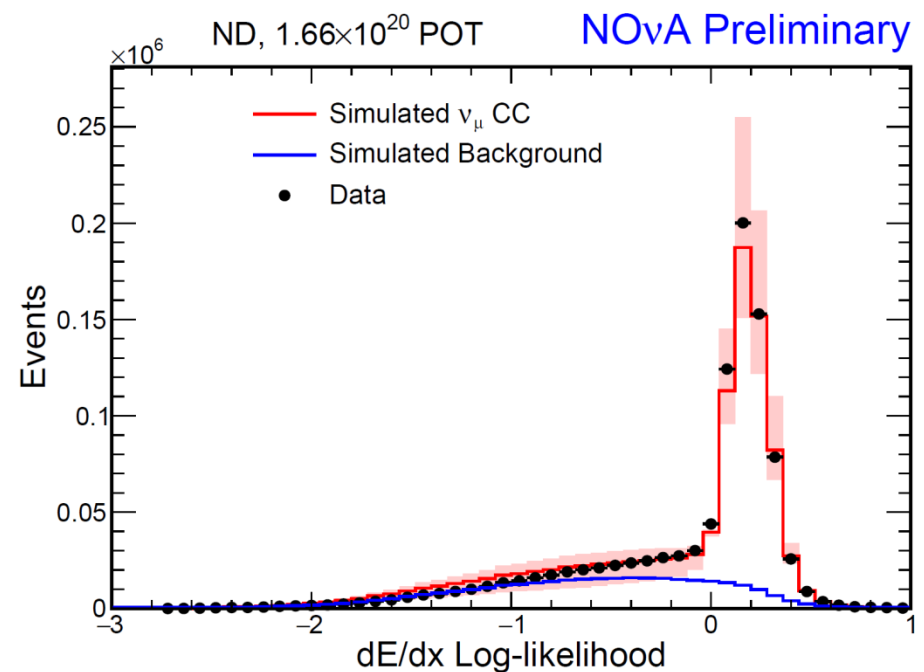
## Muon ID

4-variable  $k$ -nearest-neighbors algorithm used to identify muons.

*Inputs:*

- track length
- $dE/dx$  along track
- scattering along track
- track-only plane fraction

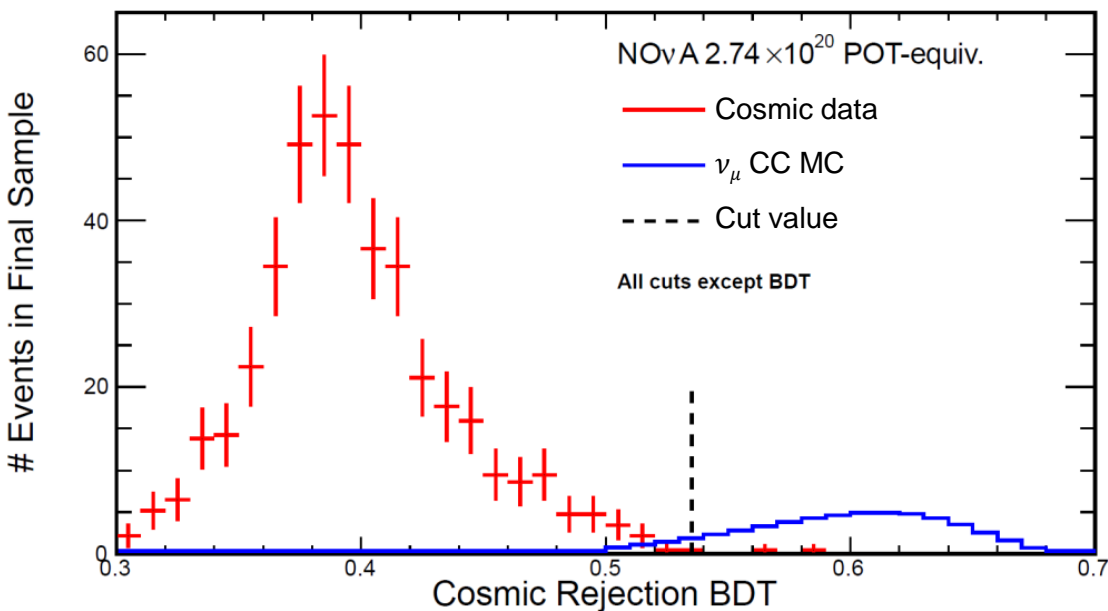
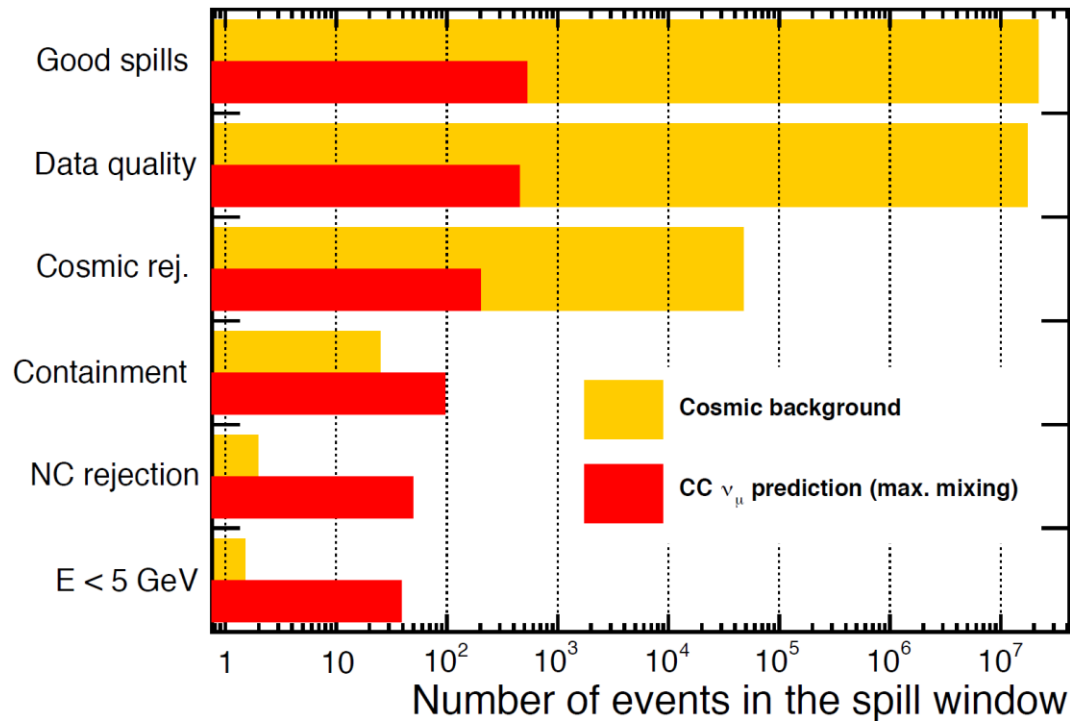
Keep events with  $\mu$  ID  $> 0.75$



# Cosmic rejection

Rejection factor from  
 beam timing:  $10^5$   
 event topology:  $10^7$  (!)

Final cosmic bkgnd rate  
 measured directly with  
 beam-off FD data.



← Output of **cosmic rejection decision tree** after all other cuts

Based on reconstructed track direction, position, and length; and energy and number of hits in event

# Energy estimation

**Reconstructed muon track:**

$$\text{length} \Rightarrow E_{\mu}$$

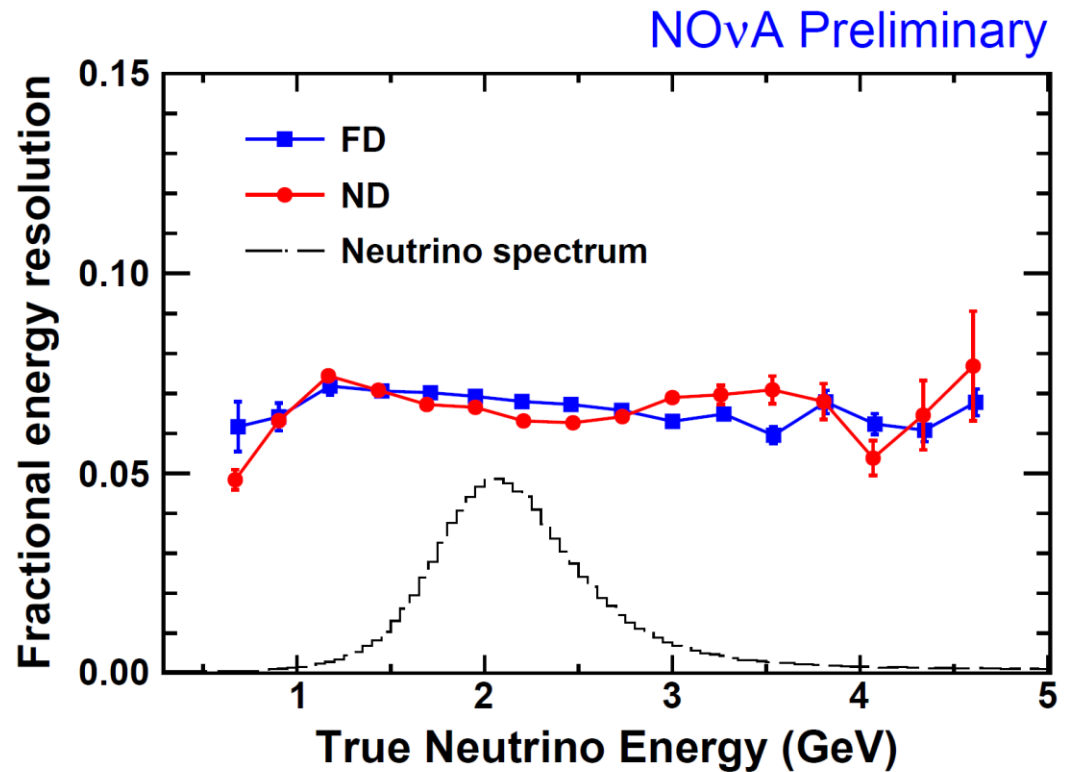
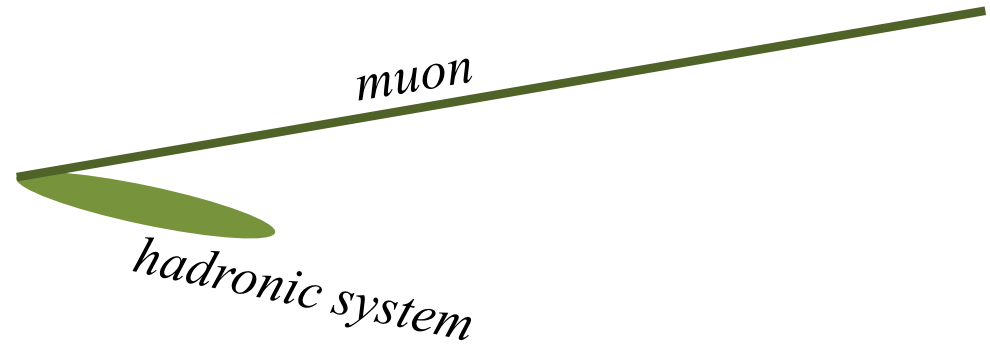
**Hadronic system:**

$$\sum_{\text{cells}} E_{\text{visible}} \Rightarrow E_{\text{had}}$$

**Reconstructed  $\nu_{\mu}$  energy is the sum of these two:**

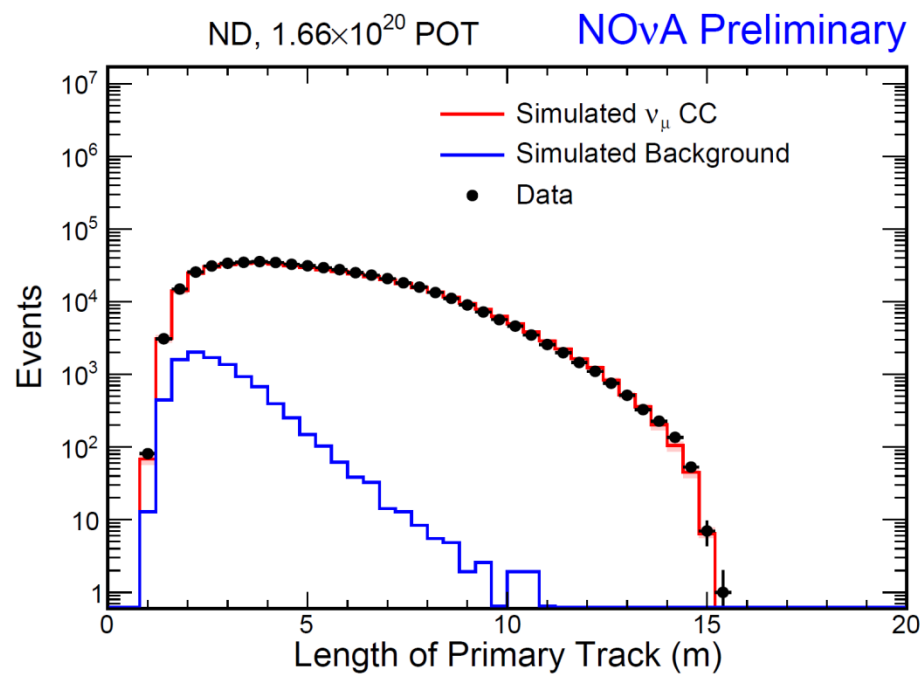
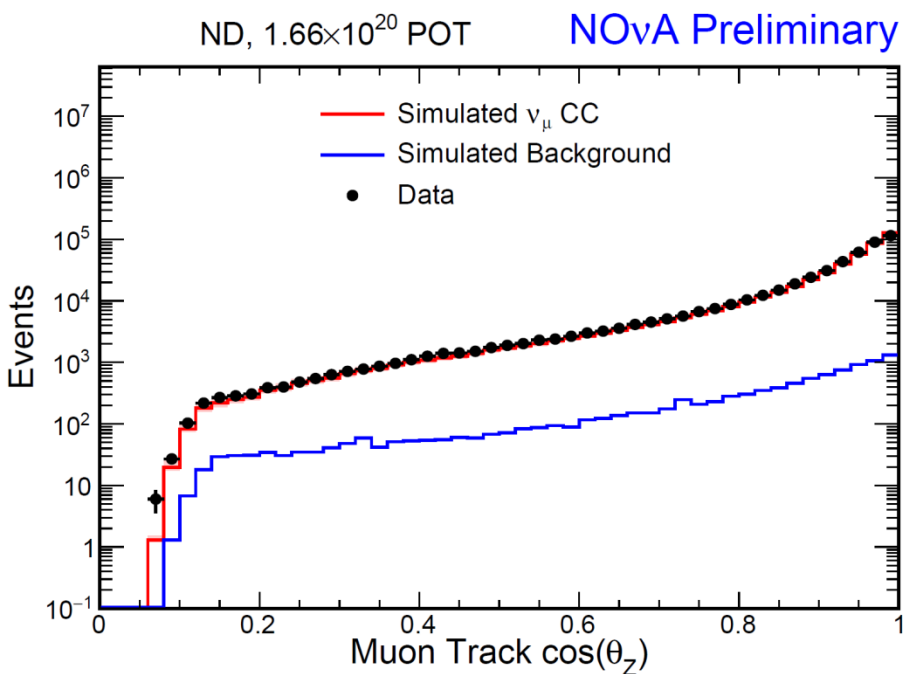
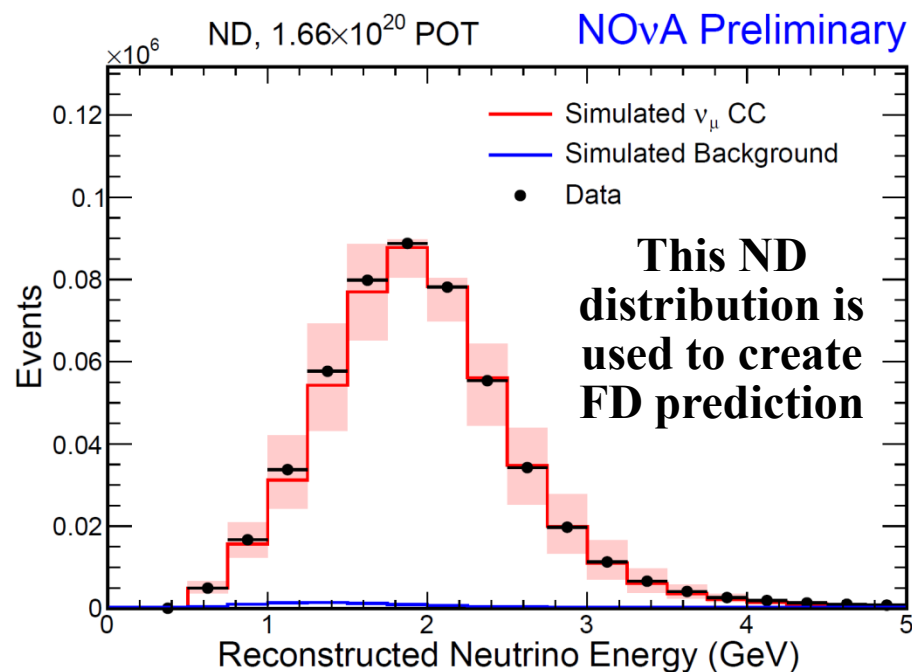
$$E_{\nu} = E_{\mu} + E_{\text{had}}$$

*Energy resolution at beam peak  $\sim 7\%$*



# Kinematic variables in Near Det. after all cuts

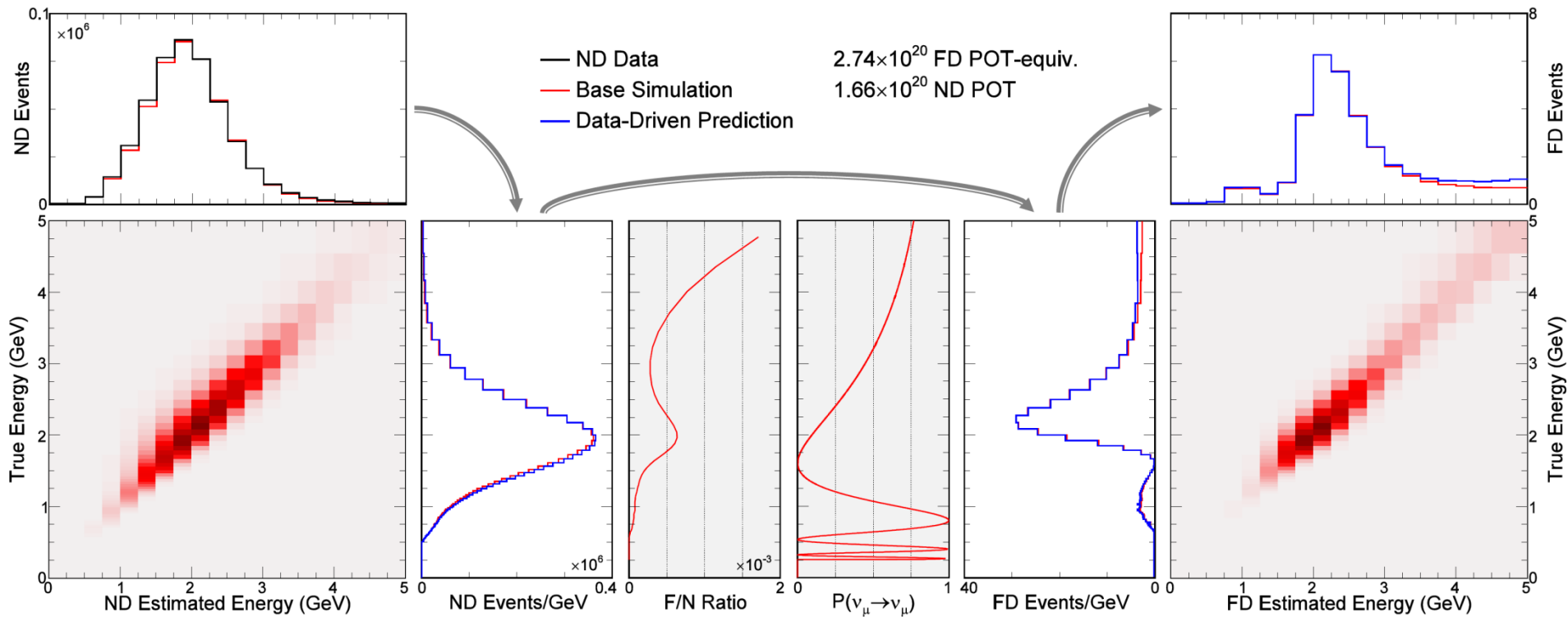
→ *Sample purity in ND = 98%*



# Far Detector prediction

- (1) Estimate the underlying **true energy distribution** of selected ND events
- (2) Multiply by expected **Far/Near event ratio** and  $\nu_\mu \rightarrow \nu_\mu$  **oscillation probability** as a function of true energy
- (3) Convert FD true energy distribution into **predicted FD reco energy distribution**

**Systematic uncertainties** assessed by **varying all MC-based steps**



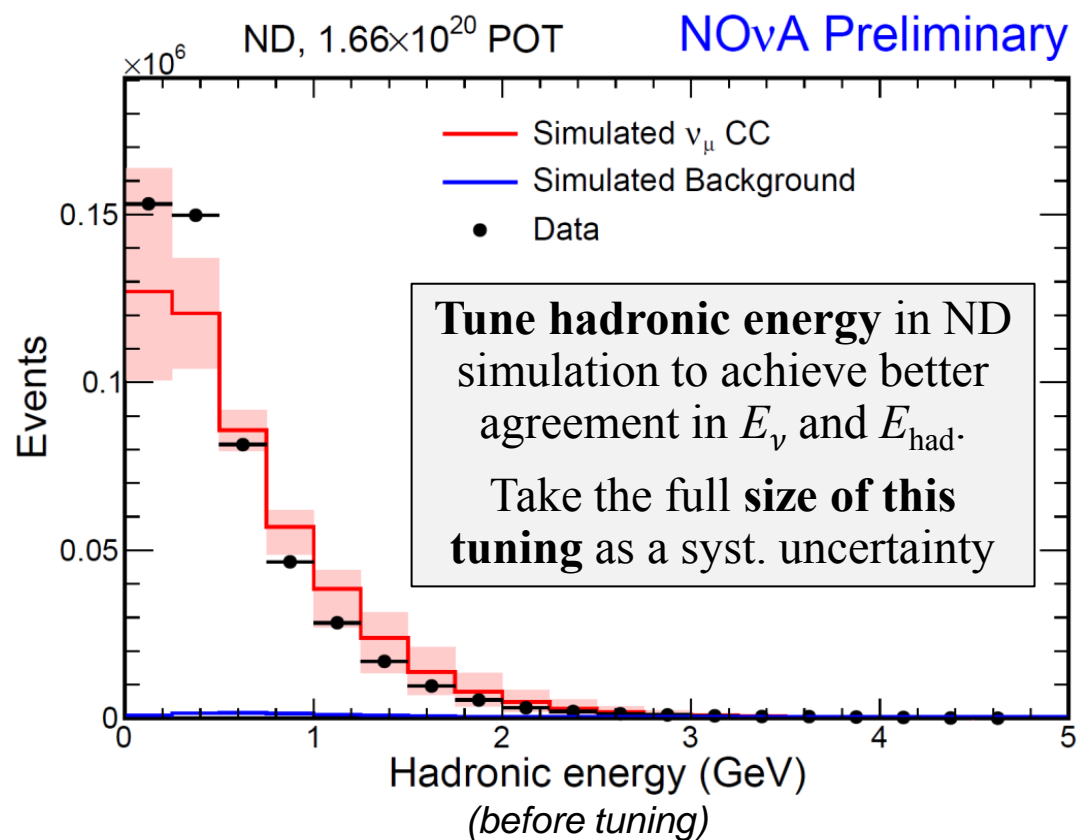
# Systematics

Most of our systematic uncertainties have **relatively little influence** on the result

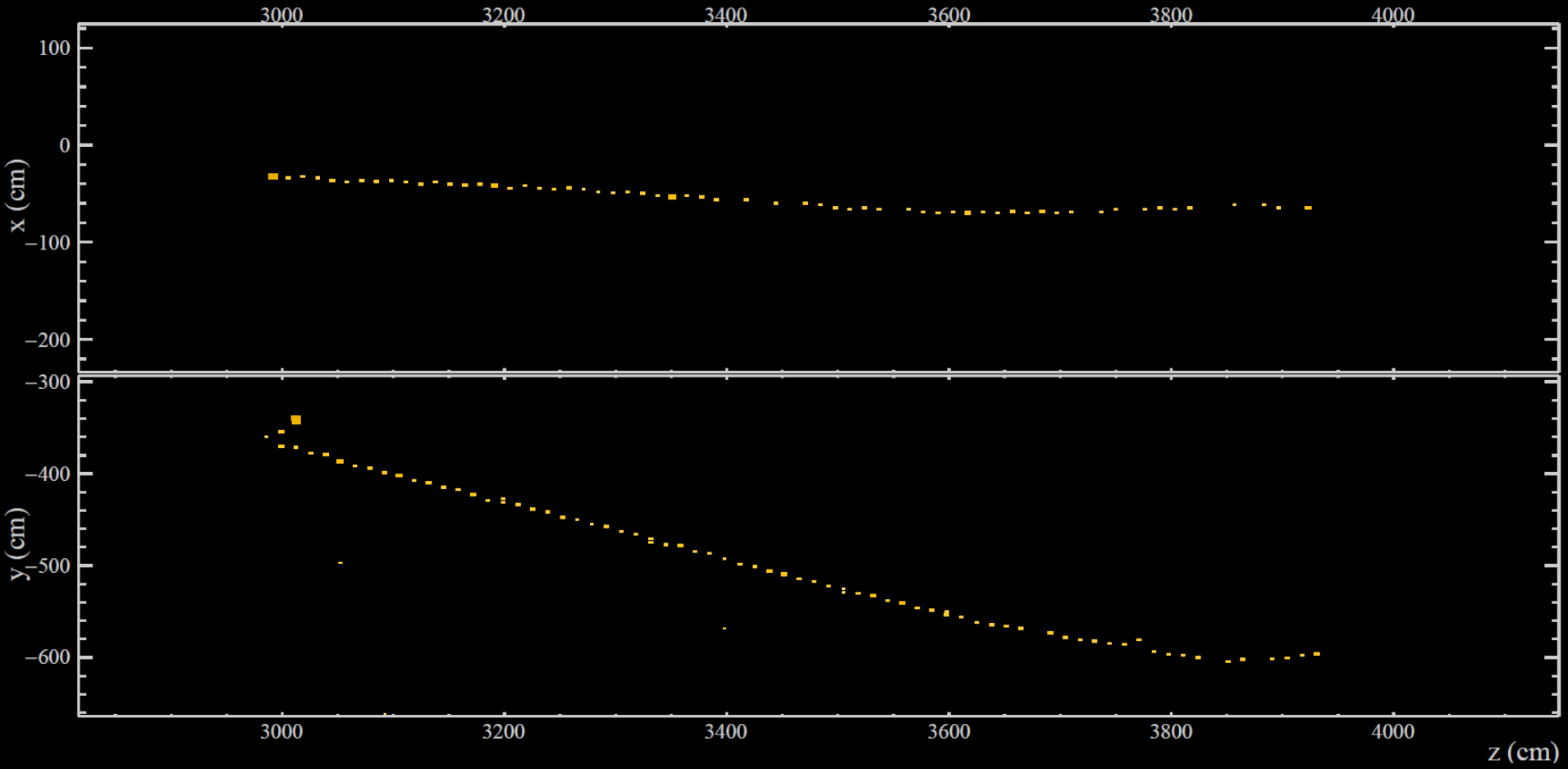
**Hadronic energy** syst. is one with a noticeable effect  $\rightarrow$   
(*impact reduced by ND-to-FD prediction procedure*)

## Uncertainties assessed

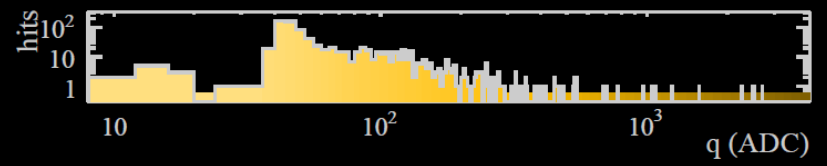
- Hadronic energy  
(21%, *~equiv. to 6% on  $E_\nu$* )
- Neutrino flux  
(NA49 + *beam transport model*)
- Absolute, relative normalization  
(1%, 2%)
- Neutrino interactions  
(*GENIE / Intranuke model*)
- NC and  $\nu_\tau$  CC background rate  
(100% each)
- Multiple calibration and light-level systematics  
(*Hit energy, fiber attenuation, threshold effects*)
- Oscillation parameter uncertainties  
(*current world knowledge*)



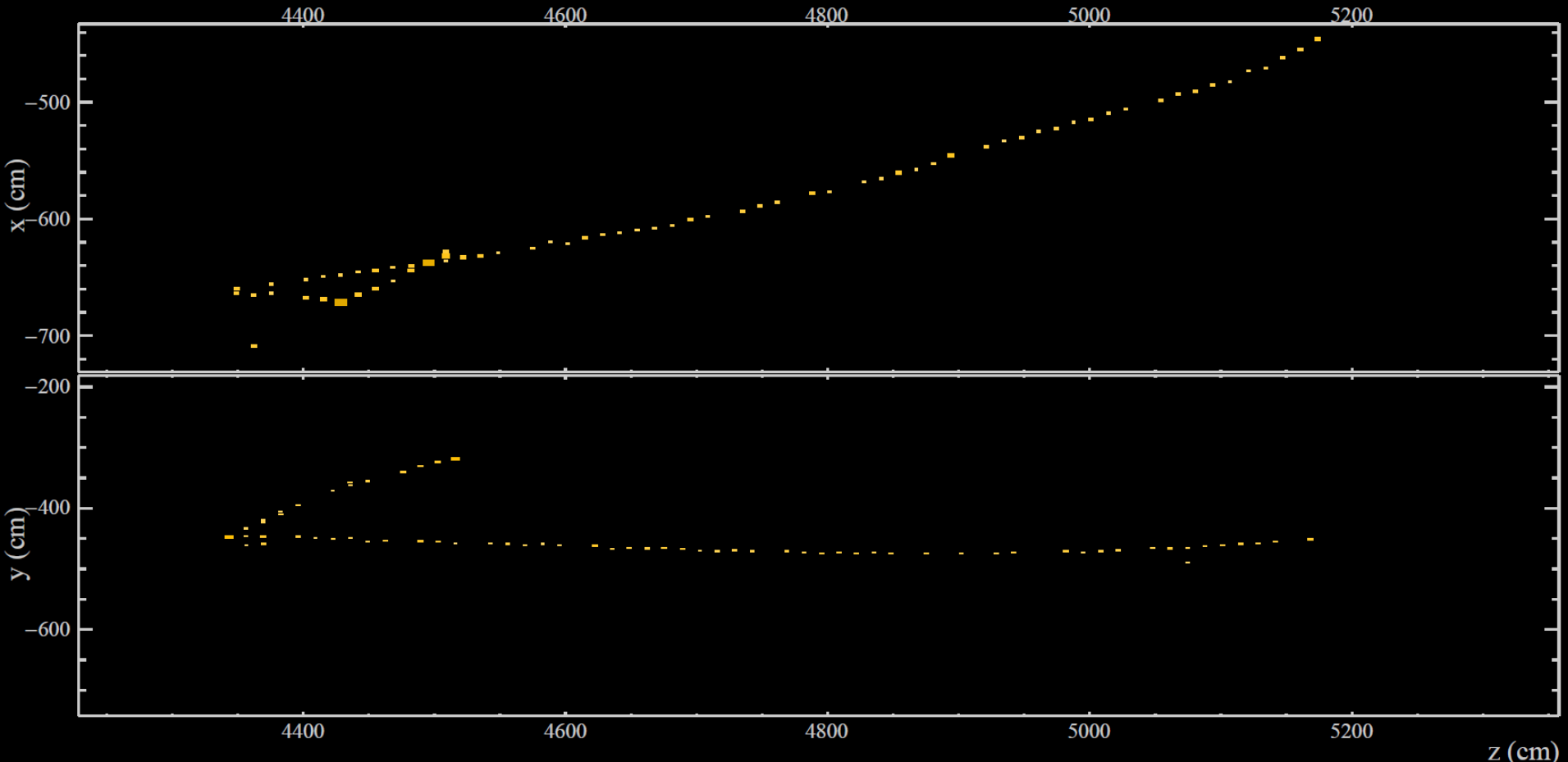
# Far Detector selected $\nu_\mu$ CC candidate



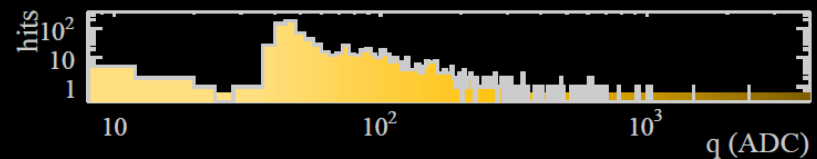
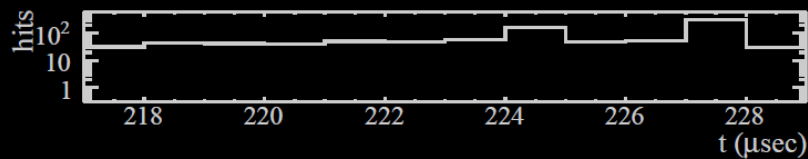
**NOvA - FNAL E929**  
Run: 18756 / 37  
Event: 597960 / --  
UTC Sun Jan 25, 2015  
13:29:18.710709824



# Far Detector selected $\nu_\mu$ CC candidate

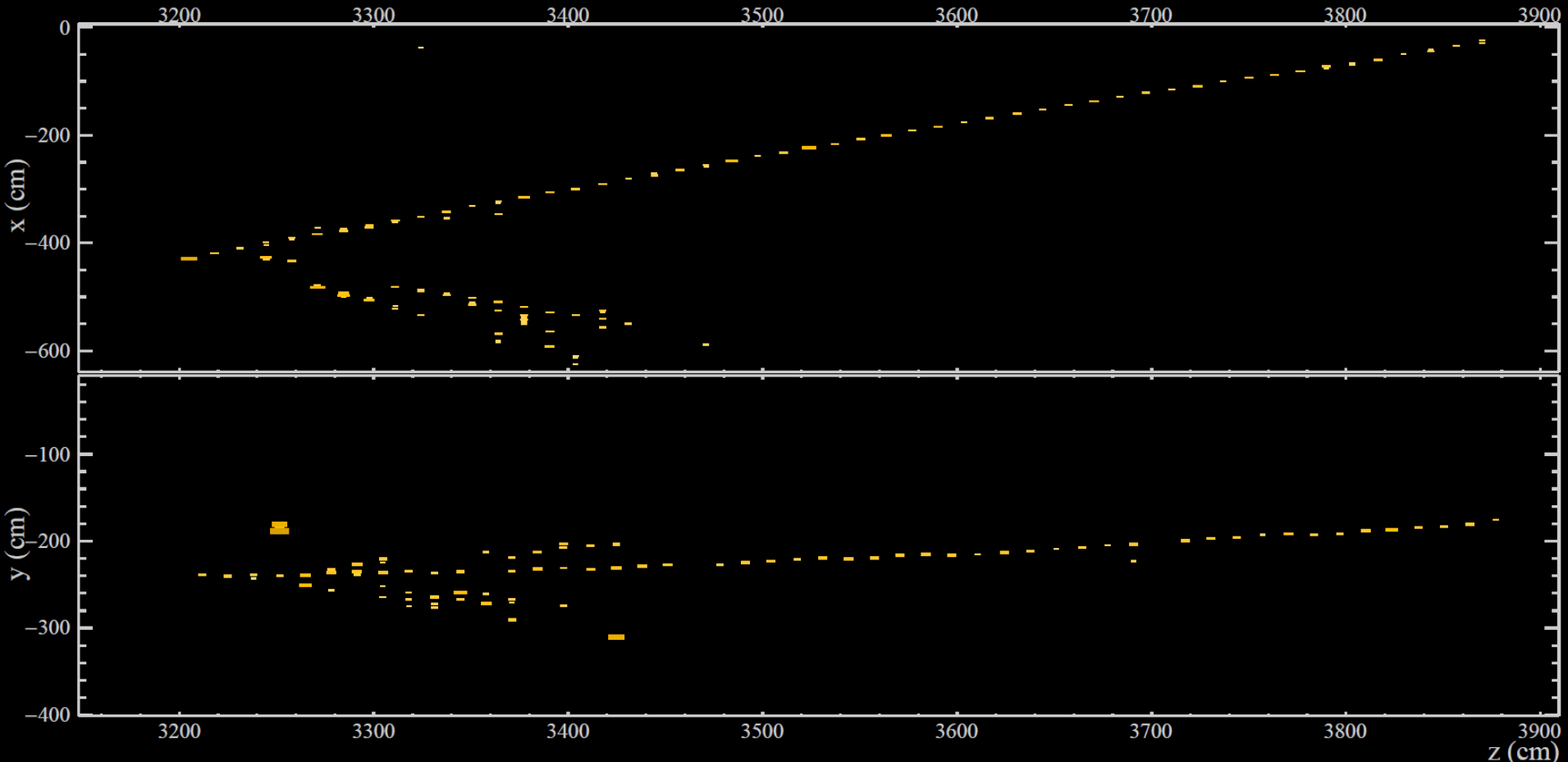


**NOvA - FNAL E929**  
Run: 18791 / 48  
Event: 765587 / --  
UTC Fri Jan 30, 2015  
07:19:18.516289184

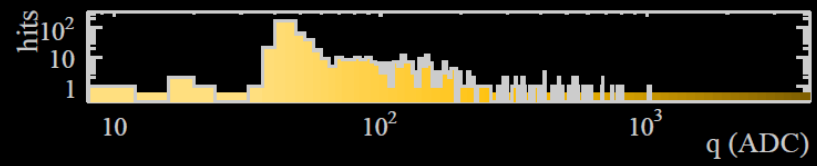
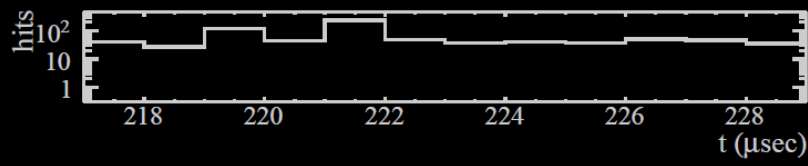




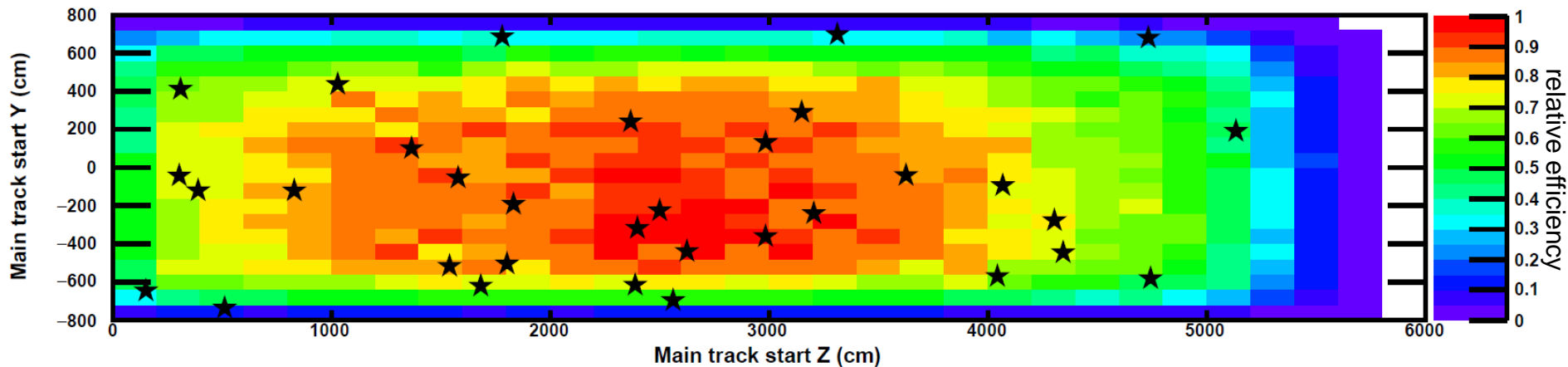
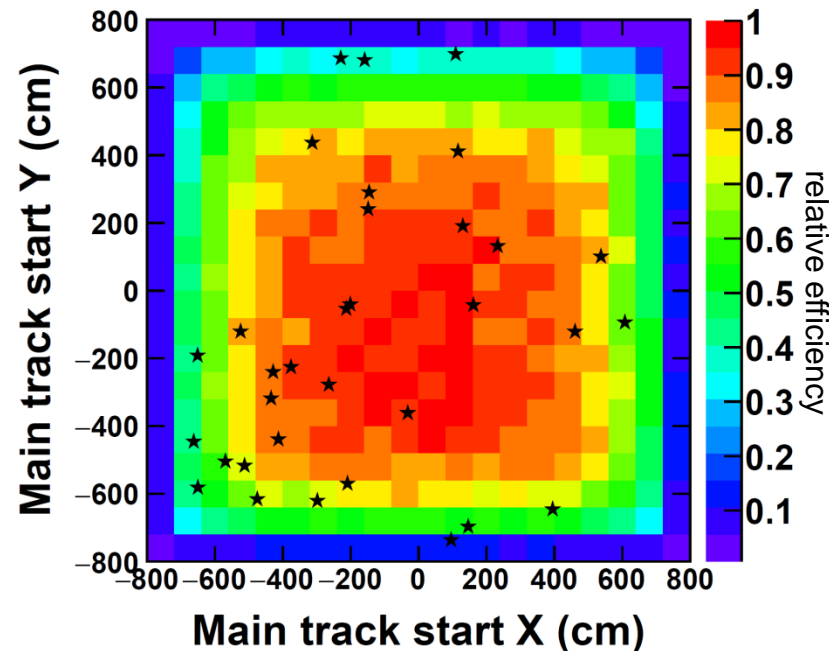
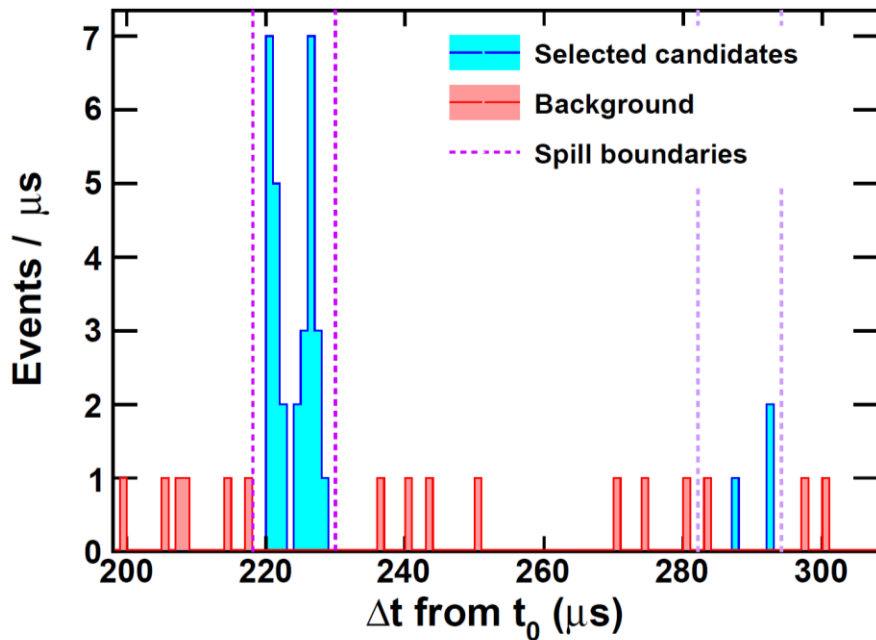
# Far Detector selected $\nu_\mu$ CC candidate



NOvA - FNAL E929  
Run: 19084 / 62  
Event: 908450 / --  
UTC Thu Mar 12, 2015  
04:16:51.818581248



# FD $\nu_\mu$ CC candidates: when and where

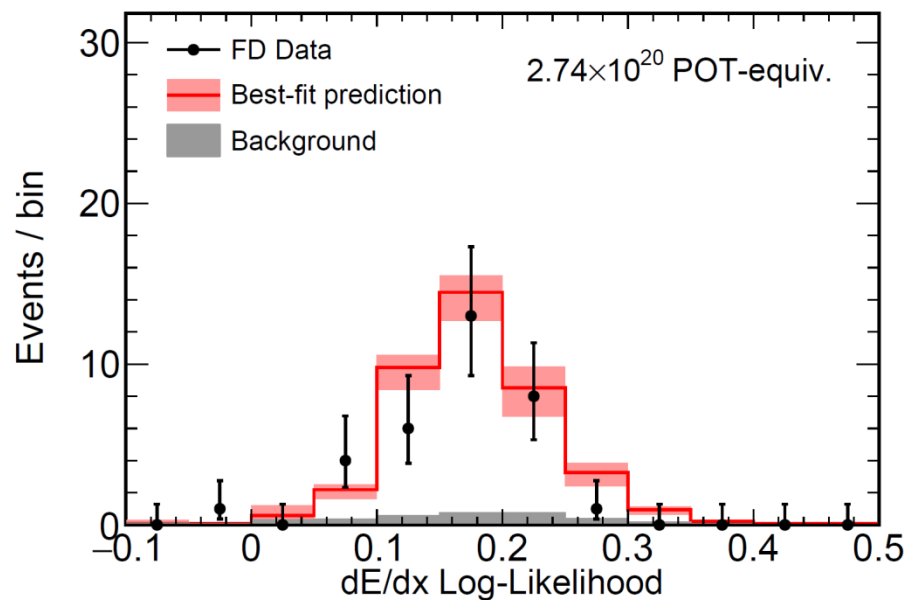
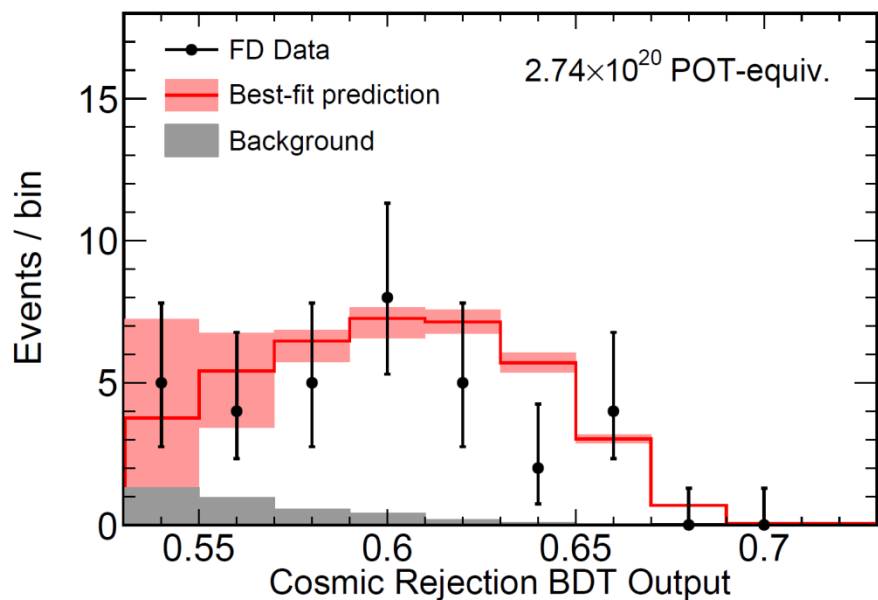
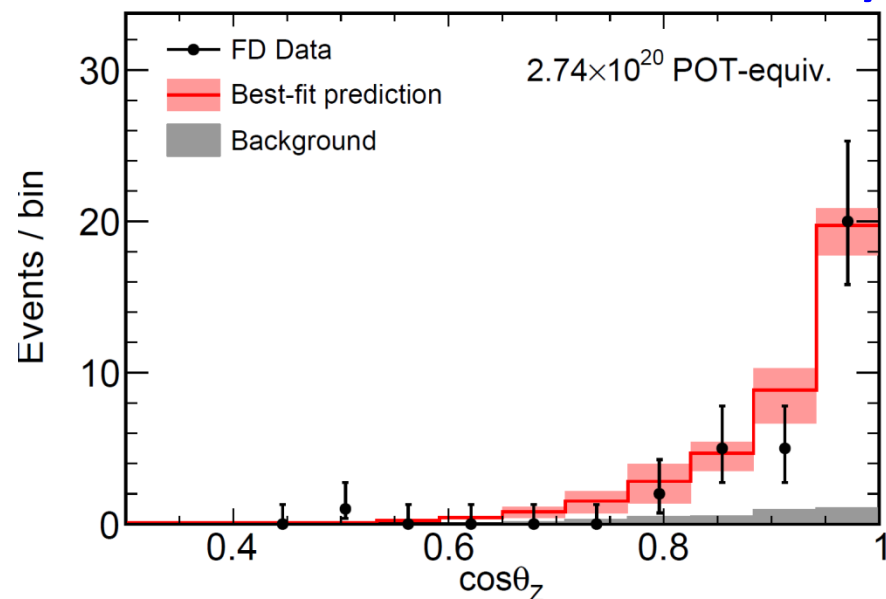
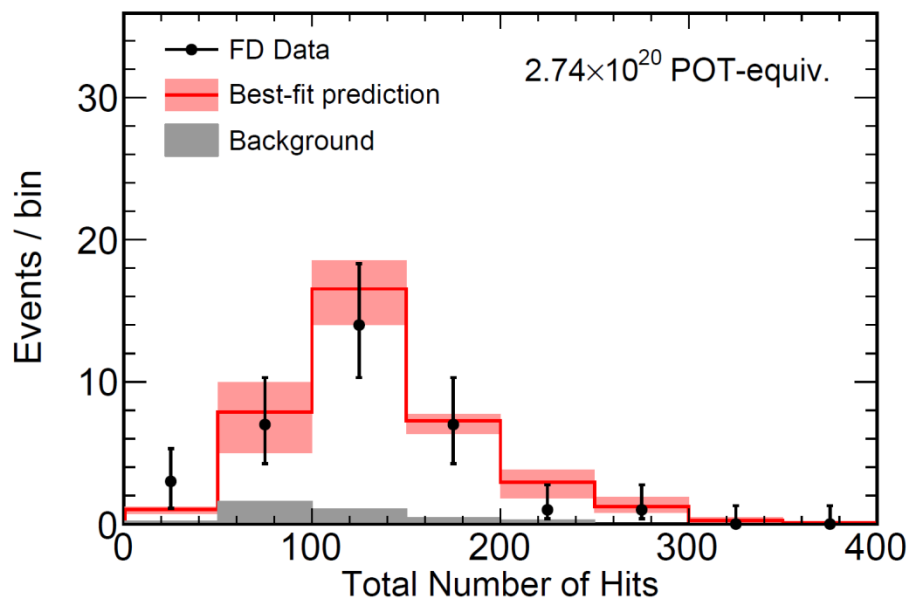


Note 1: Second timing window at  $+64 \mu\text{s}$  required for some of the early data

Note 2: Colors show relative efficiency. Not weighted by time variation in detector size.

# FD $\nu_\mu$ CC candidates: event distributions

All NO $\nu$ A Preliminary



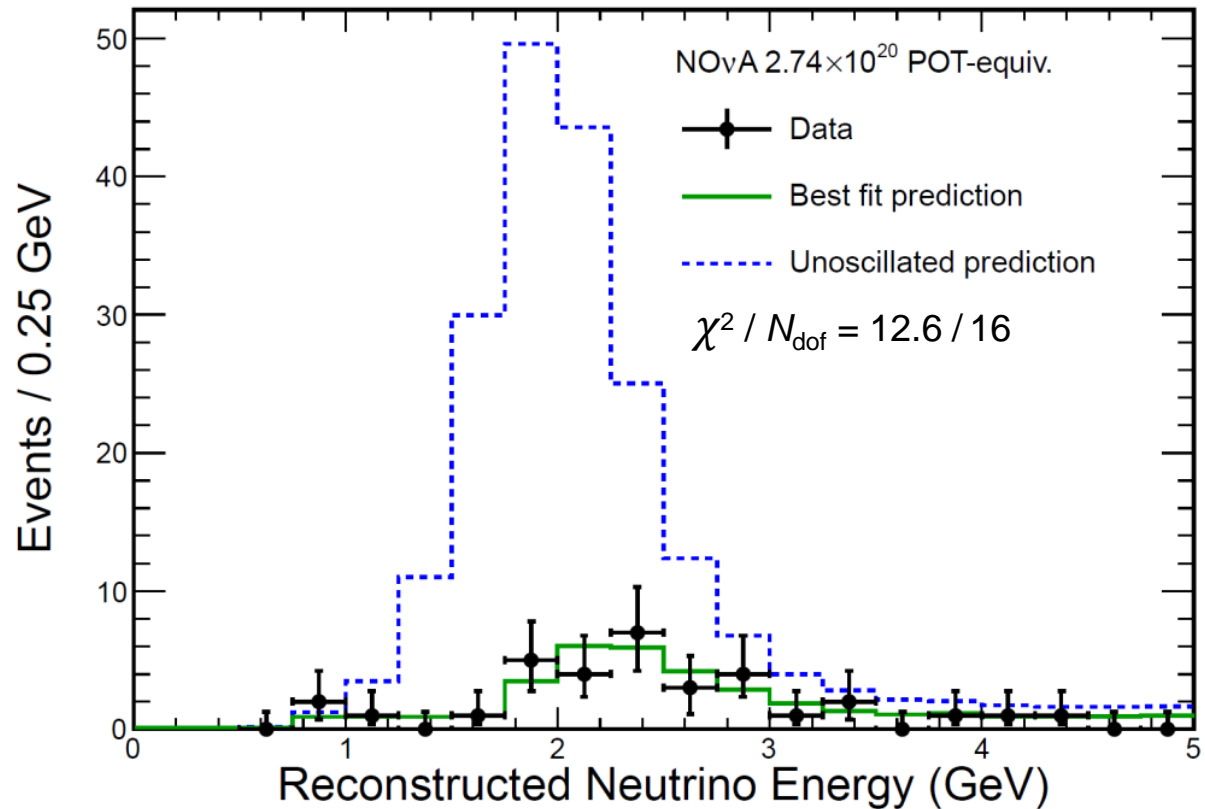
# FD energy spectrum

NOvA Preliminary

**33 events** selected  
in Far Detector  
(0 – 5 GeV)

In the absence of  
oscillations, would  
expect **201 events**

(including 2.0 beam bkgnd  
and 1.4 cosmic bkgnd)



**Spectrum is well matched by oscillation fit for  $\Delta m_{32}^2$  and  $\theta_{23}$**   
(syst. uncertainties included in fit via nuisance parameters)

**Clear observation of  $\nu_{\mu}$  disappearance**

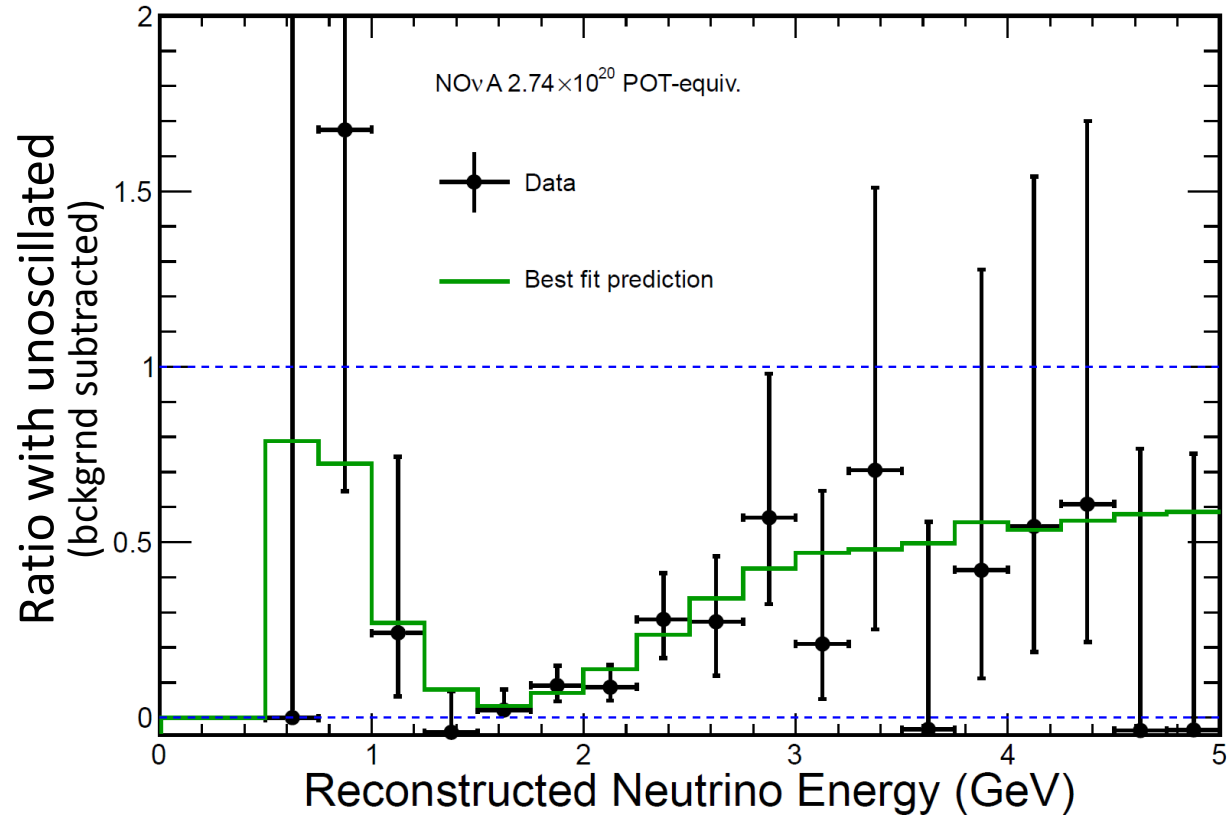
# FD energy spectrum

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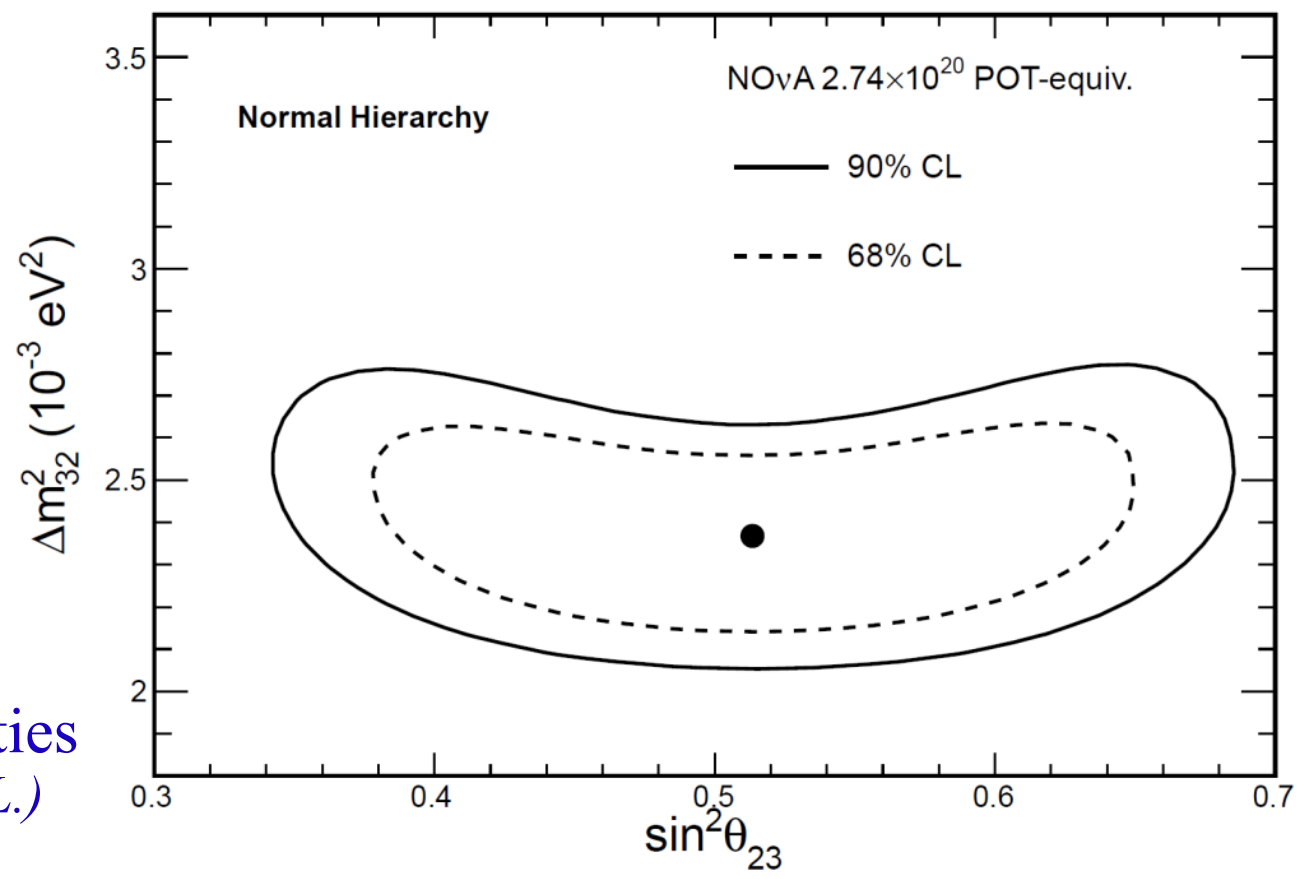
# Fit result

**At right:**

NO $\nu$ A allowed regions in  $(\Delta m_{32}^2, \sin^2 \theta_{23})$  parameter space

**Below:**

Extracted parameter values and uncertainties  
(1D profiles at 68% C.L.)



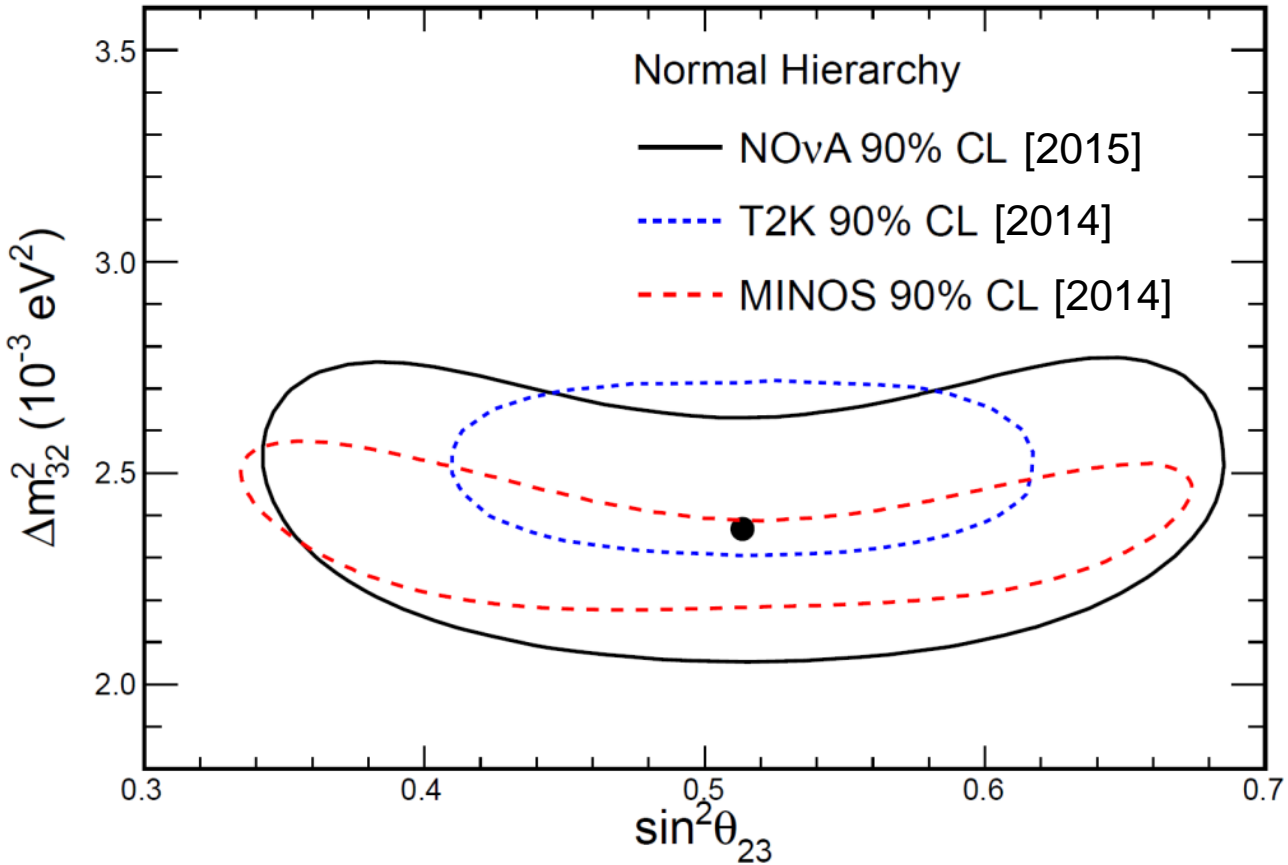
$$\Delta m_{32}^2 = \begin{cases} +2.37^{+0.16}_{-0.15} \text{ [NH]} \\ -2.40^{+0.14}_{-0.17} \text{ [IH]} \end{cases} \times 10^{-3} \text{ eV}^2$$

$$\sin^2(\theta_{23}) = 0.51 \pm 0.10$$

*6.5% measurement uncertainty*

Allowed regions are consistent with MINOS and T2K (shown at right)

NOvA sensitivity already compelling with only 7.6% of nominal exposure!



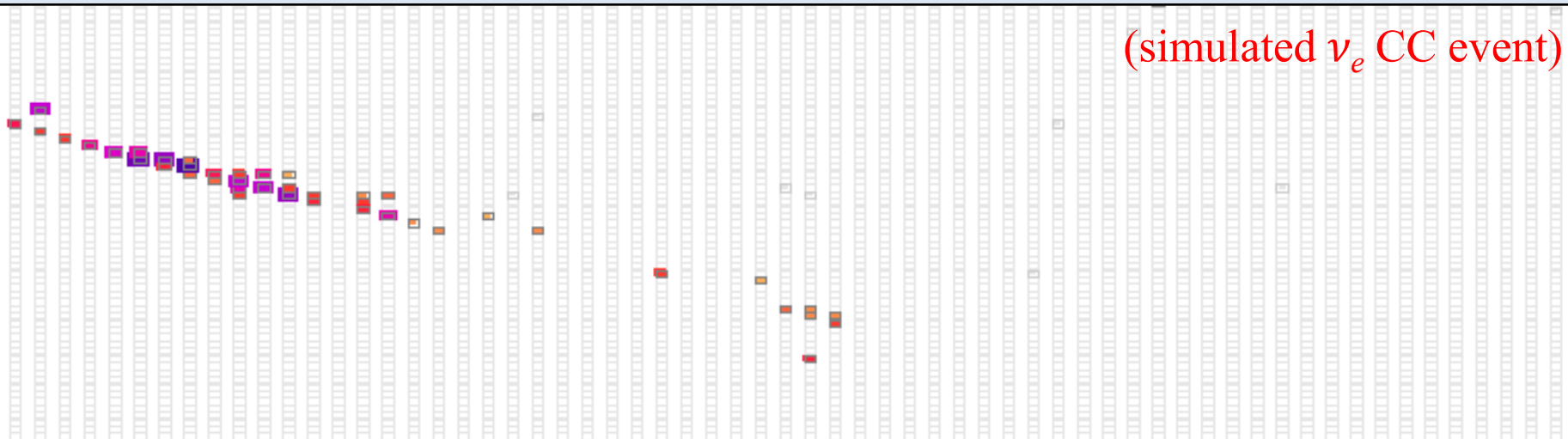
$$\Delta m_{32}^2 = \begin{cases} +2.37^{+0.16}_{-0.15} \text{ [NH]} \\ -2.40^{+0.14}_{-0.17} \text{ [IH]} \end{cases} \times 10^{-3} \text{ eV}^2$$

$$\sin^2(\theta_{23}) = 0.51 \pm 0.10$$

6.5% measurement uncertainty

# $\nu_e$ appearance

- Identify **contained  $\nu_e$  CC candidates** in each detector
- Use Near Det. candidates to **predict beam backgrounds** in the Far Detector
- Interpret any **Far Det. excess** over predicted backgrounds as  $\nu_e$  appearance





# Pre-selection

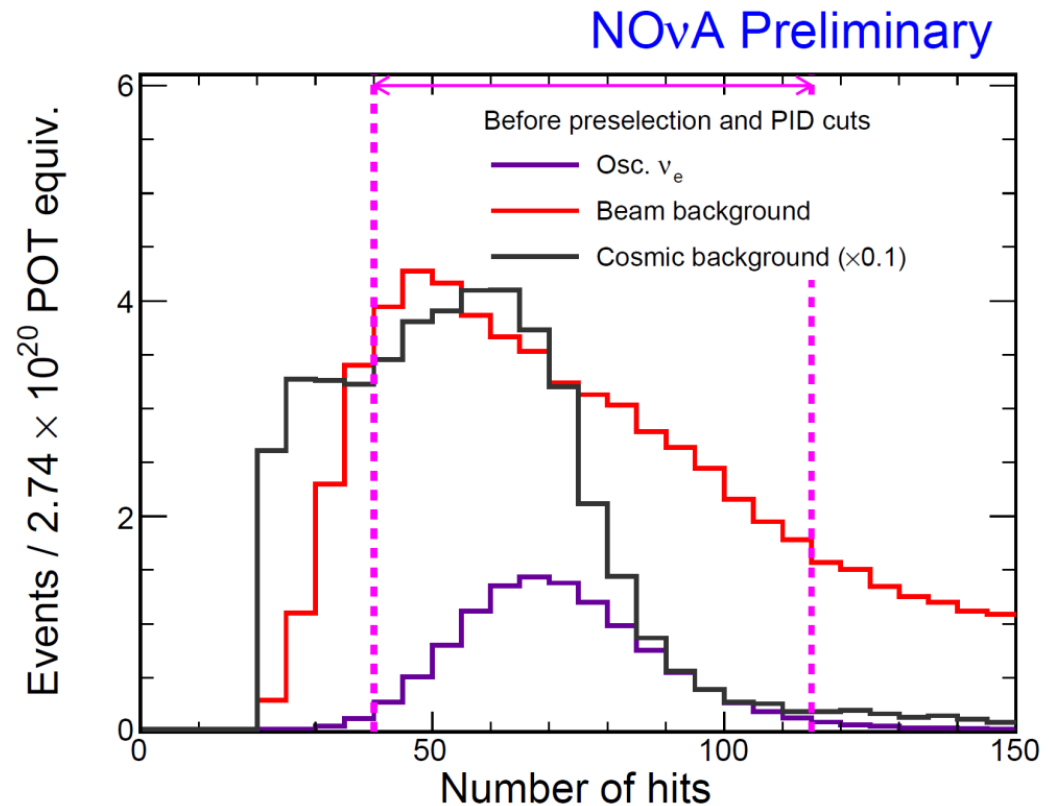
**First, basic containment cuts** require sufficient distance from the largest reconstructed shower to the walls.

**Then, cuts applied to:**

- shower length
- number of hits in event
- calorimetric energy

All three related to the “size” of the event

*We know well the range of energies any appearing  $\nu_e$  might have*



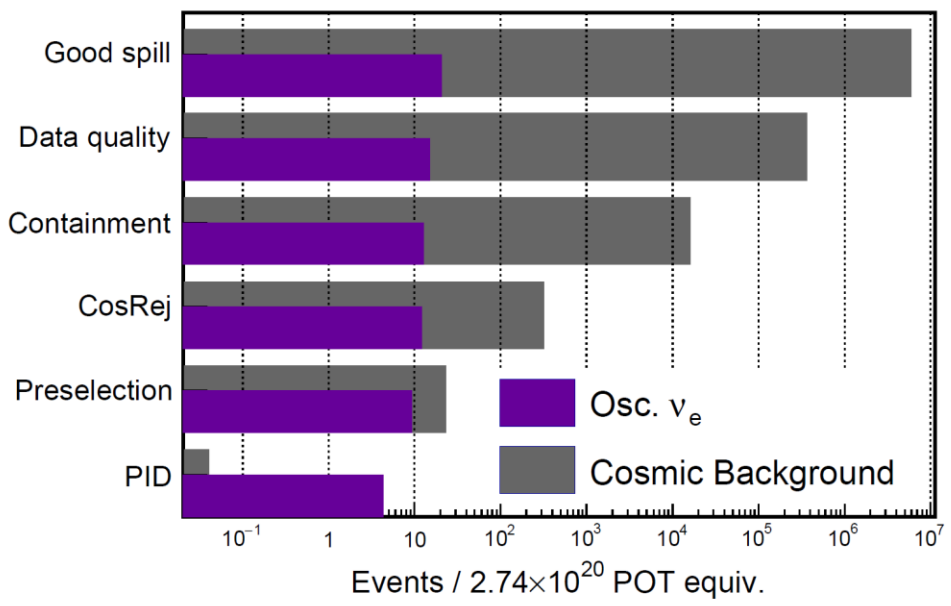
# Cosmic rejection

Cut events with large reconstructed  $p_T/p$

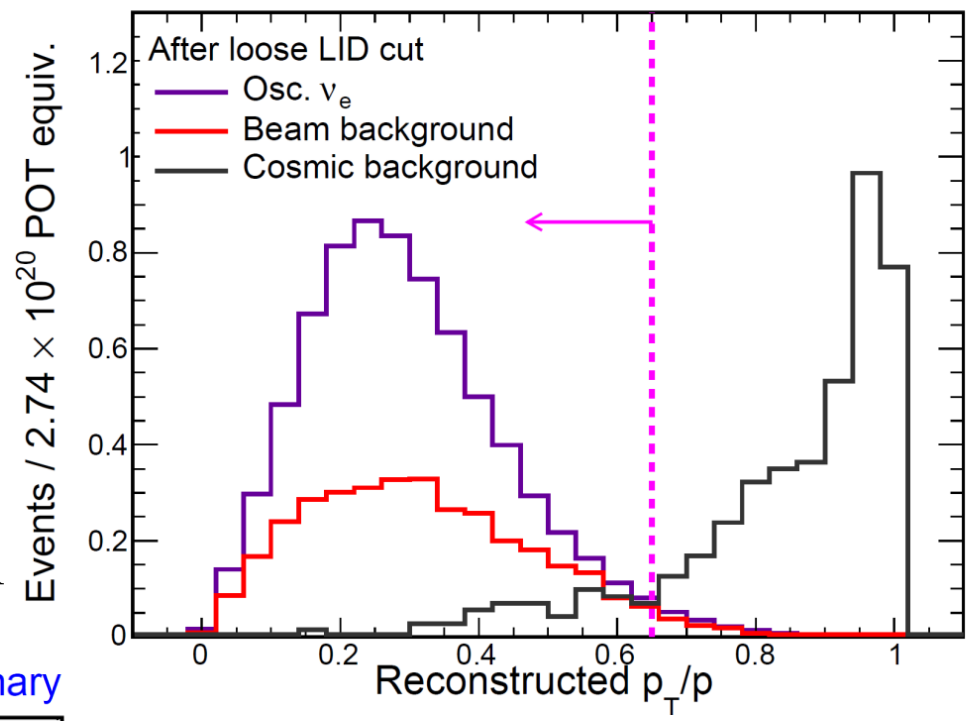
*Rejects downward-directed cosmic shower*

The  $\nu_e$  selectors themselves provide a lot of cosmic rejection

NOvA Preliminary



NOvA Preliminary



Achieve **1 part in  $\sim 10^8$**  rejection of cosmic ray interactions.

Expected cosmic background:  
**0.06 events**

(measured with beam-off data)

# $\nu_e$ CC event identification

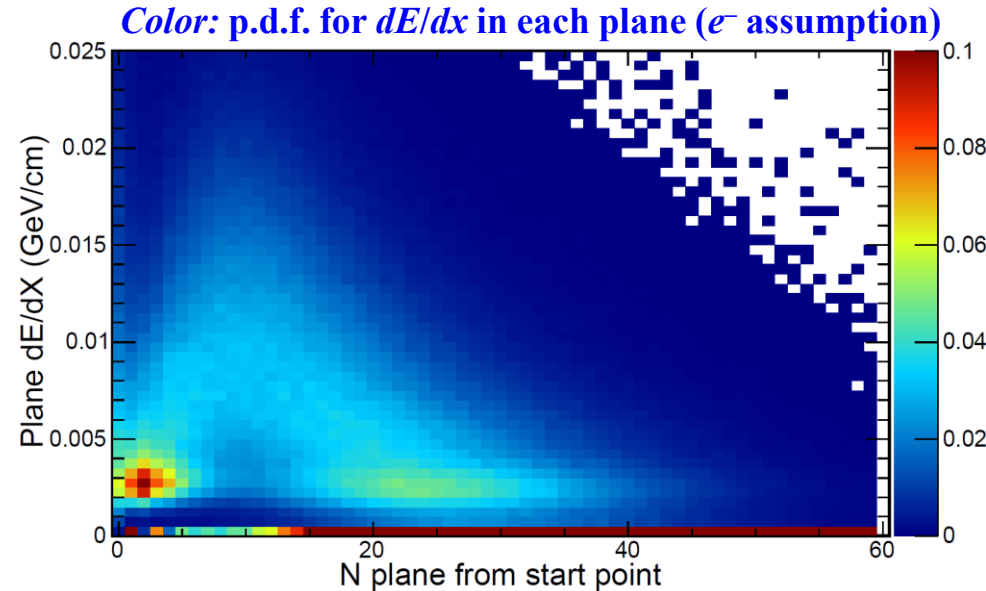
We have developed two independent  $\nu_e$  CC selection algorithms

→ *Very different designs*

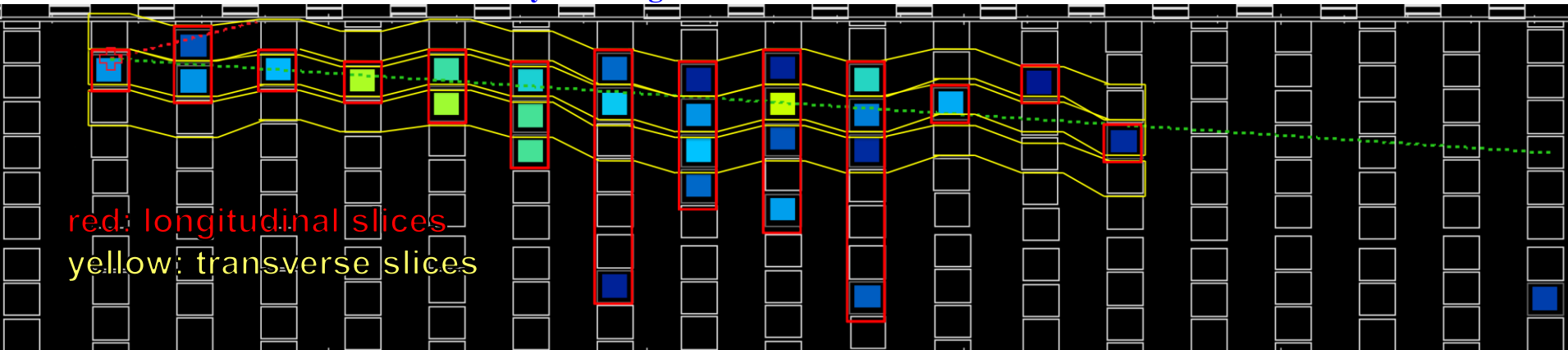
## LID: Likelihood Identification

$dE/dx$  likelihoods calculated for **longitudinal and transverse** slices of leading shower under multiple particle hypotheses

Likelihoods feed an artificial neural network along with **kinematic and topological info**:  
*e.g.*, energy near vertex, shower angle, vertex-to-shower gap



## Likelihoods calculated for each red and yellow region



## LEM: Library Event Matching

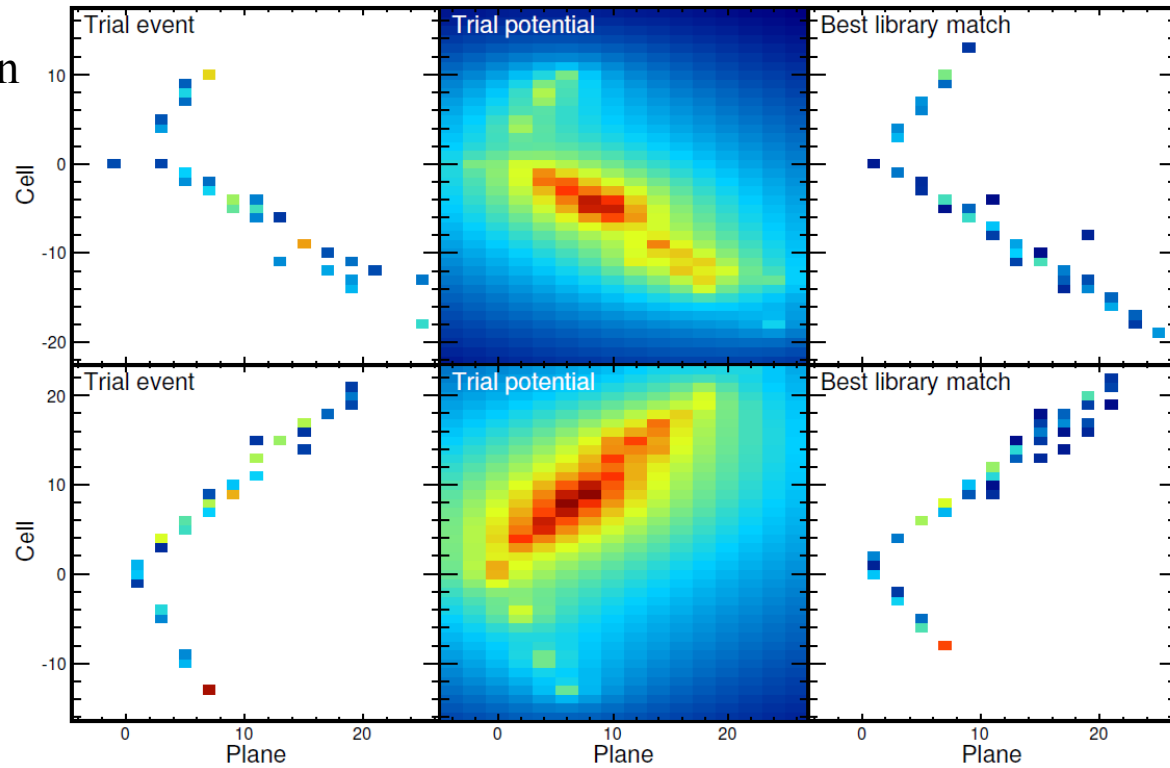
**Spatial pattern** of energy deposition is compared directly to that of  $\sim 10^8$  simulated events (“library”)

Key properties of the **best-matched library events** (*e.g.*, fraction that are signal events) are input into a decision tree to form discriminant

*Left panels: candidate event, both views*

*Right panels: best-matched library event, both views*

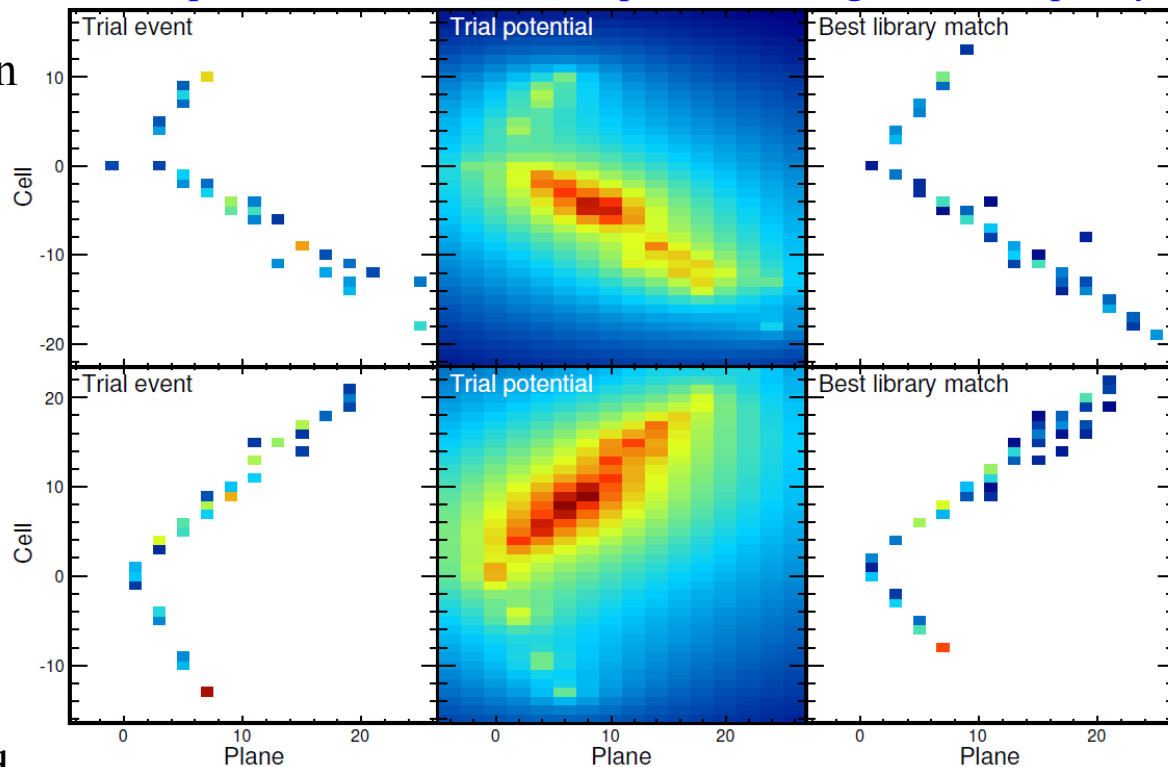
*Middle panels: an intermediate step in calculating the match quality*



*Left panels: candidate event, both views*

*Right panels: best-matched library event, both views*

*Middle panels: an intermediate step in calculating the match quality*



## LEM: Library Event Matching

**Spatial pattern** of energy deposition is compared directly to that of  $\sim 10^8$  simulated events (“library”)

Key properties of the **best-matched library events** (e.g., fraction that are signal events) are input into a decision tree to form discriminant

## LID and LEM sensitivities

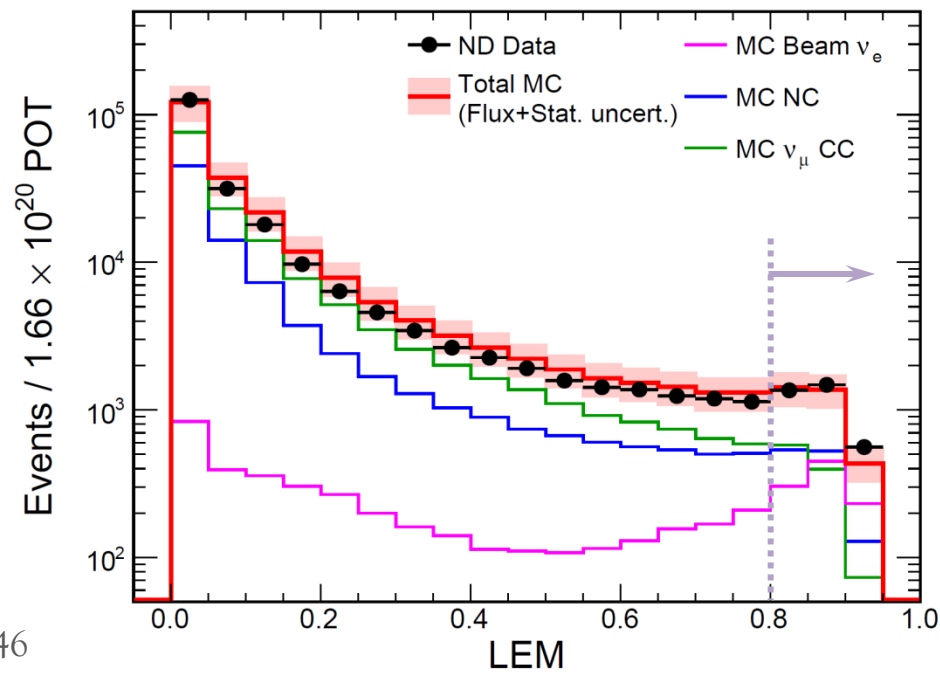
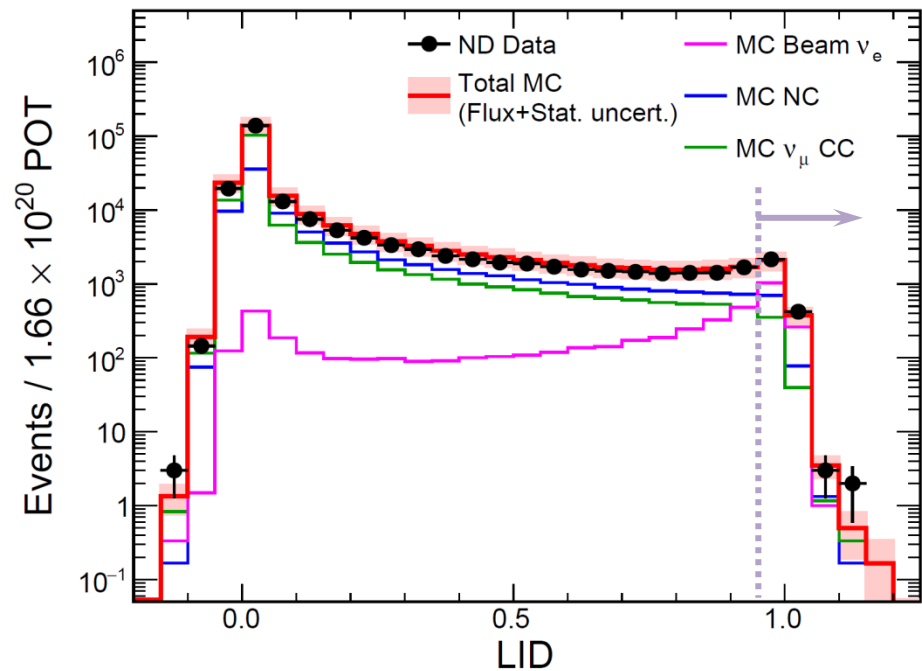
**Identical performance** as measured with signal efficiency, sig/bg ratio, systematic uncertainties, and overall sensitivity to  $\nu_e$  appearance and oscillation parameters.

Thus, prior to unblinding, decided to **show both results** and to use the more traditional **LID technique** as the primary result where required.

# LID and LEM distributions for ND data and simulation

*all preselection cuts applied*

**Good agreement over full range**

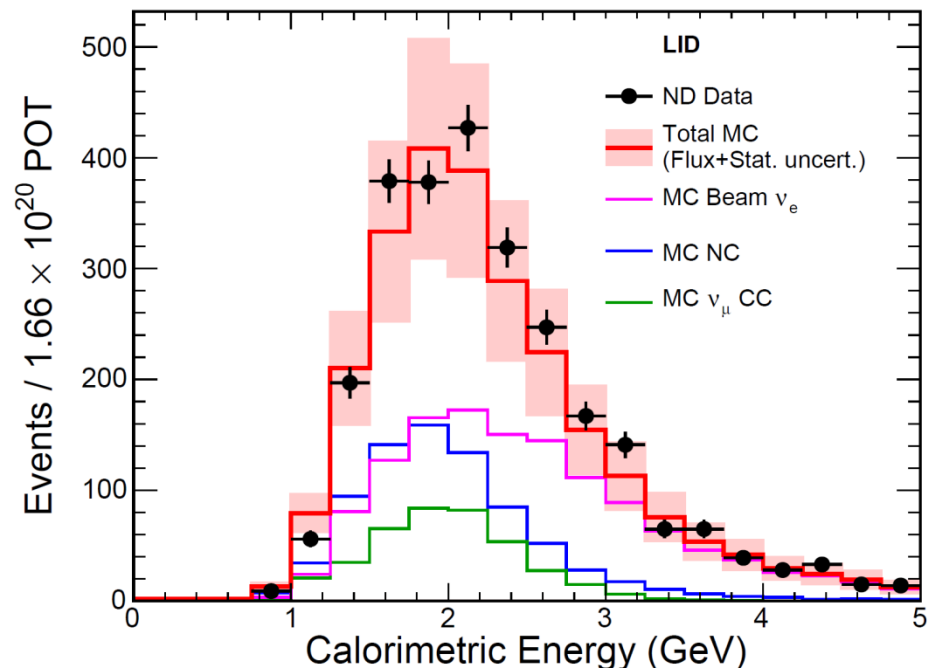
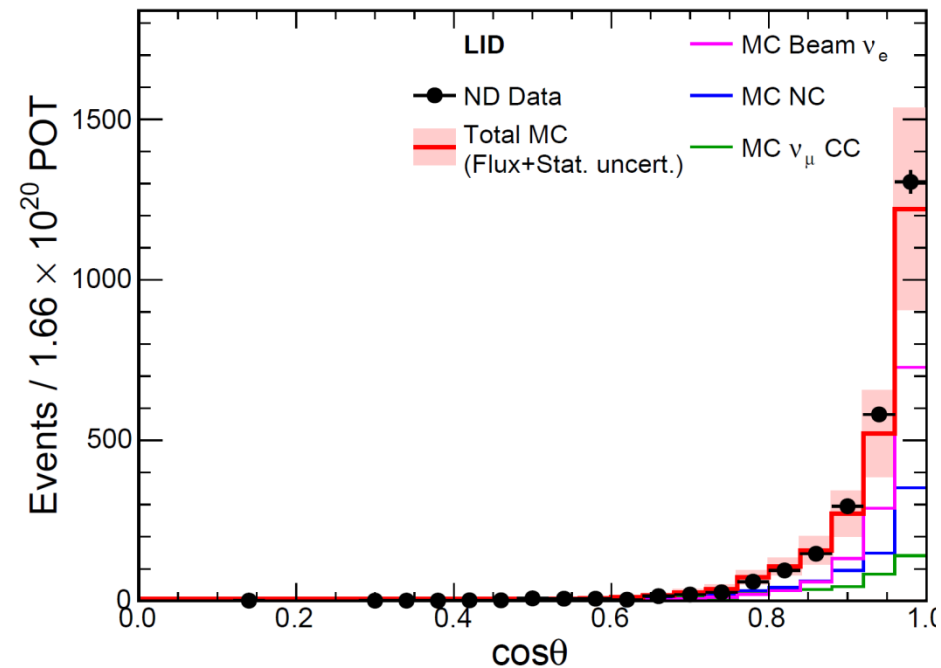


# Shower direction and event energy distributions for ND data and simulation, *after all cuts*

**This ND distribution is used to  
create FD background prediction**

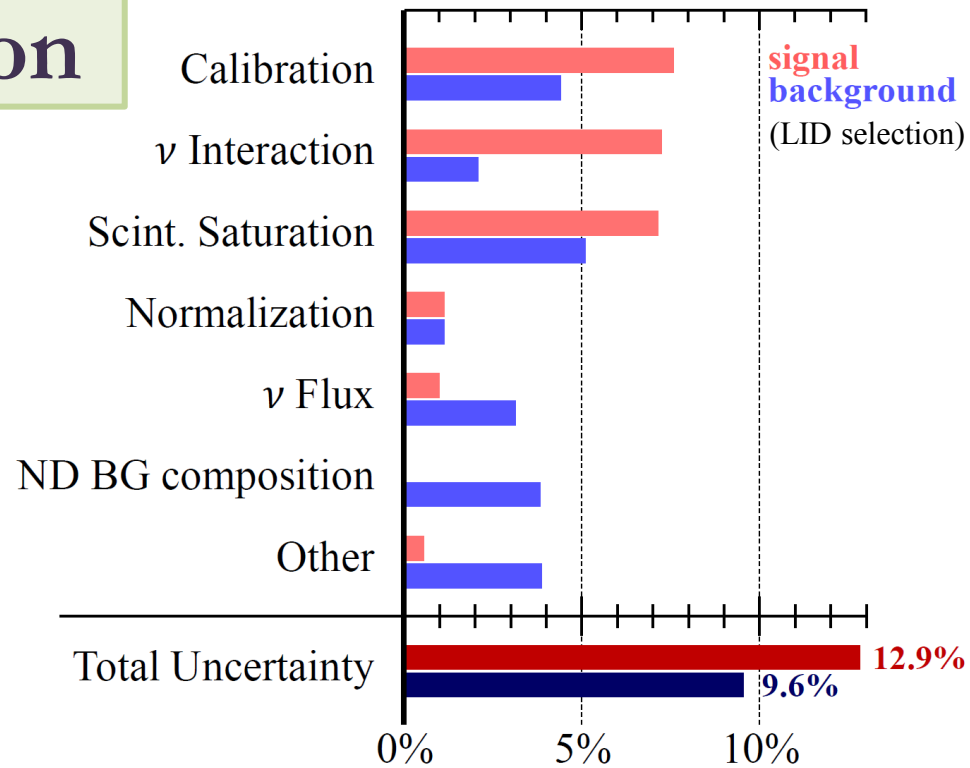
NOvA Preliminary

NOvA Preliminary



# Far Detector prediction

- **ND data** is translated to **FD bckgnd expectation** in each energy bin, using Far/Near ratios from simulation
- **FD *signal* expectation** is pinned to the ND-selected  $\nu_\mu$  CC spectrum
- Most **systematics** are assessed via **variations** in the Far/Near ratios



## Some FD sample stats:

**Signal efficiency** relative to containment cuts: **35%**

**Expected overlap** in LID/LEM samples: **62%**  
→ *Differences in which events each technique selects*

**After all selection, 0.7% of NC events remain, relative to those after containment**



# Checks of EM shower modeling

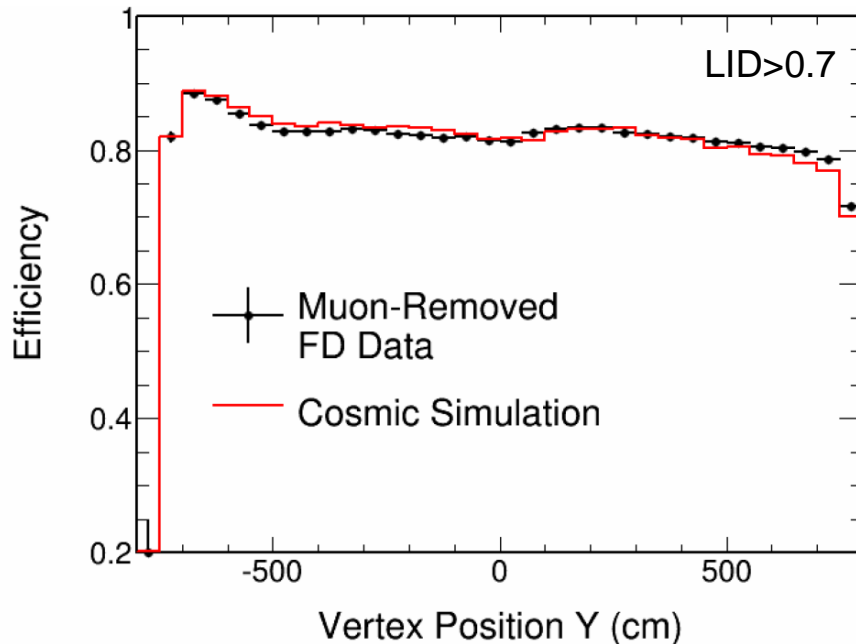
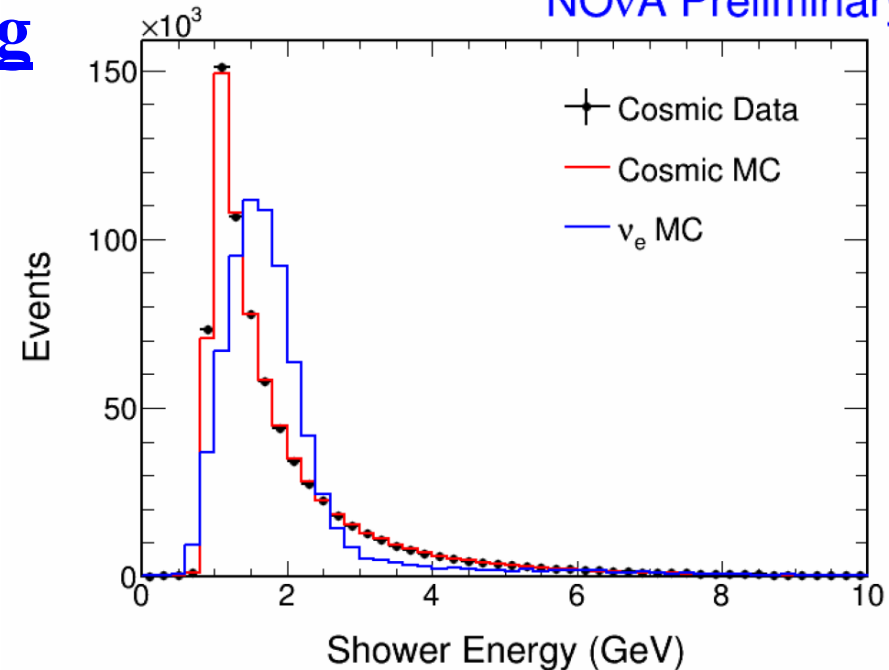
In addition to  $\pi^0$  in the ND, we have **bremsstrahlung photons in ND and FD**

Right: energies of brem showers in FD

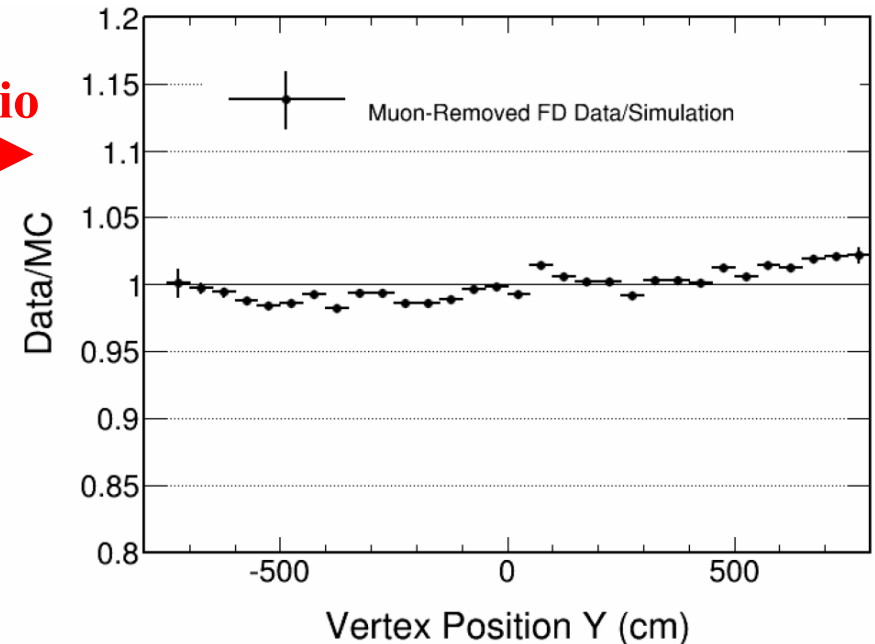
- Excellent data/MC agreement
- Probes relevant  $E$  range (blue curve)

Below: selection efficiency varies a bit across the large Far Detector

- Well modeled by simulation



ratio  
→



# FD predictions with systematic uncertainties indicated

## LID selector

**Background** [ plus few-percent variations depending on osc. pars. ]

**$0.94 \pm 0.09$  events** [ 49%  $\nu_e$  CC, 37% NC ]

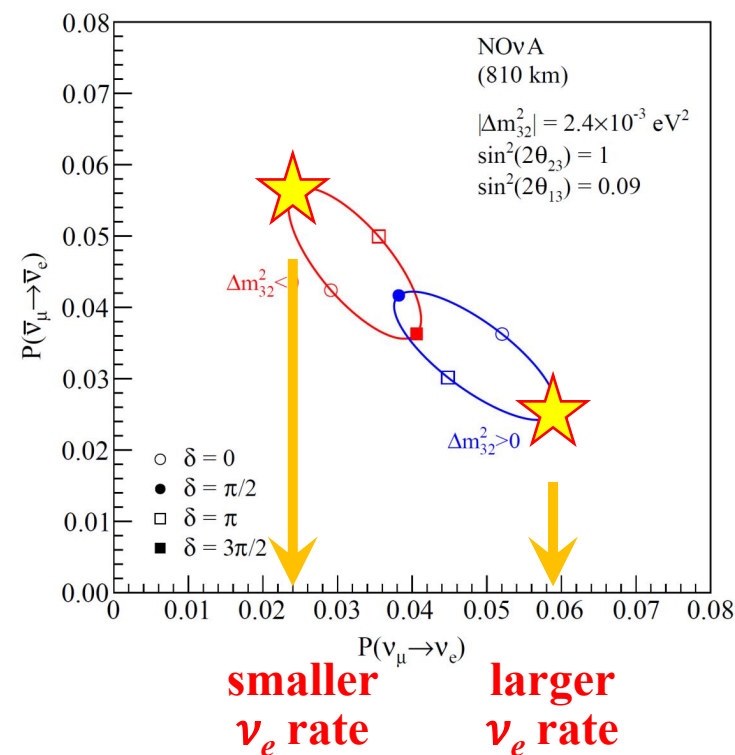
**$2.74 \times 10^{20}$   
POT equiv.**

**Signal** [ NH,  $\delta = 3\pi/2$ ,  $\theta_{23} = \pi/4$  ]

**$5.62 \pm 0.72$  events**

**Signal** [ IH,  $\delta = \pi/2$ ,  $\theta_{23} = \pi/4$  ]

**$2.24 \pm 0.29$  events**



# FD predictions with systematic uncertainties indicated

## LEM selector

**Background** [ plus few-percent variations depending on osc. pars. ]

**$1.00 \pm 0.11$  events** [ 46%  $\nu_e$  CC, 40% NC ]

**$2.74 \times 10^{20}$   
POT equiv.**

**Signal** [ NH,  $\delta = 3\pi/2$ ,  $\theta_{23} = \pi/4$  ]

**$5.91 \pm 0.65$  events**

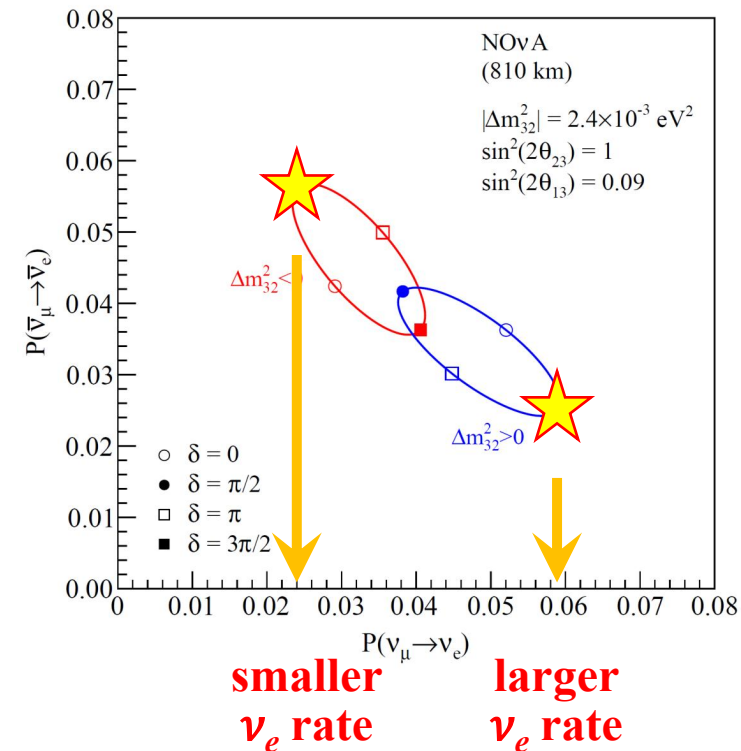
**Signal** [ IH,  $\delta = \pi/2$ ,  $\theta_{23} = \pi/4$  ]

**$2.34 \pm 0.26$  events**

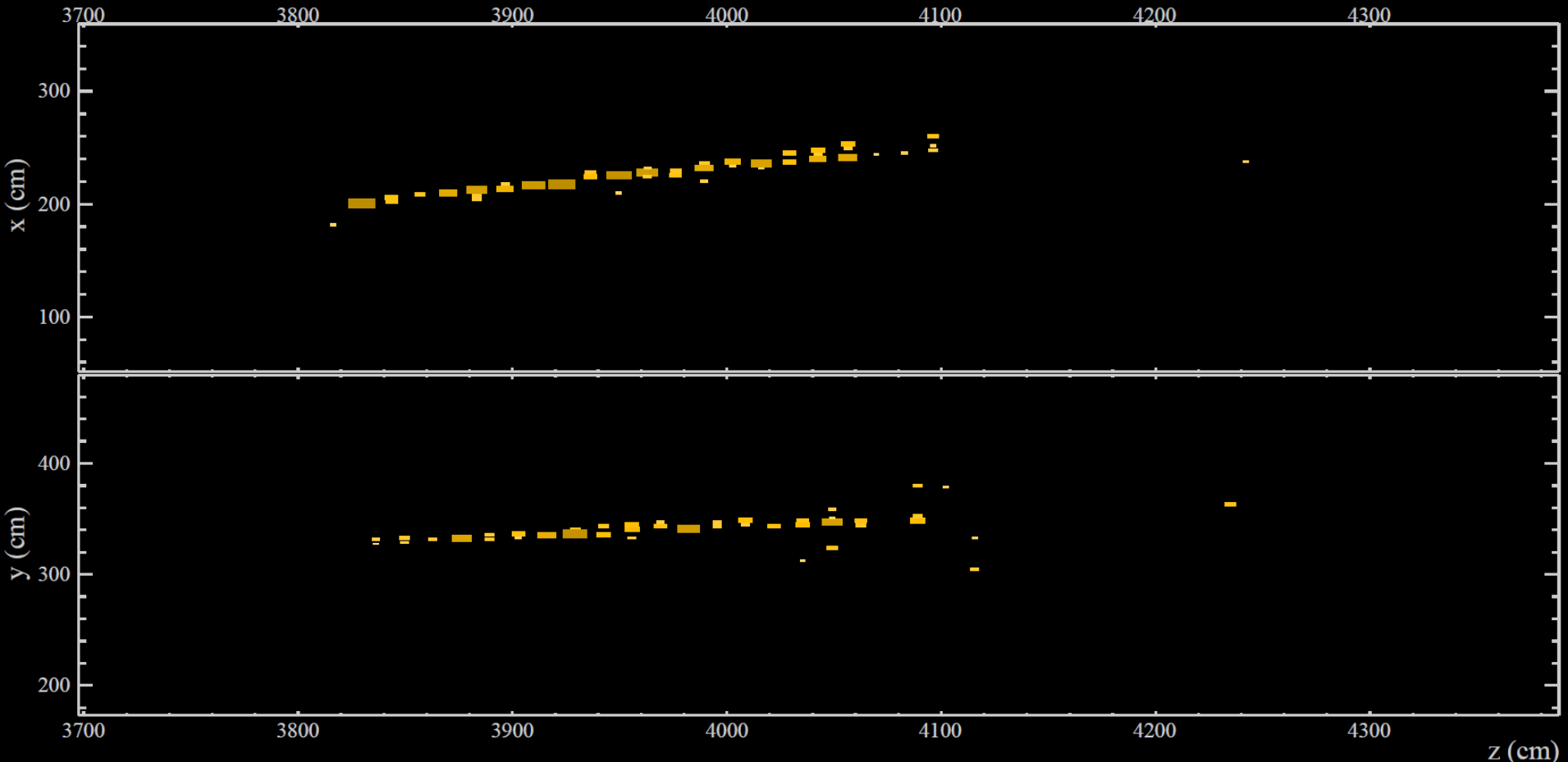
*Aside:* Before unblinding, **two sidebands checks** –

- (1) Near-PID (LID/LEM) sideband, and
- (2) High-energy sideband

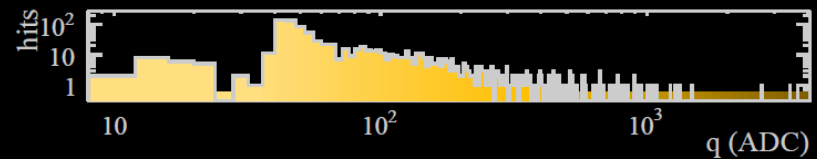
Results of both were **well within expectations**.



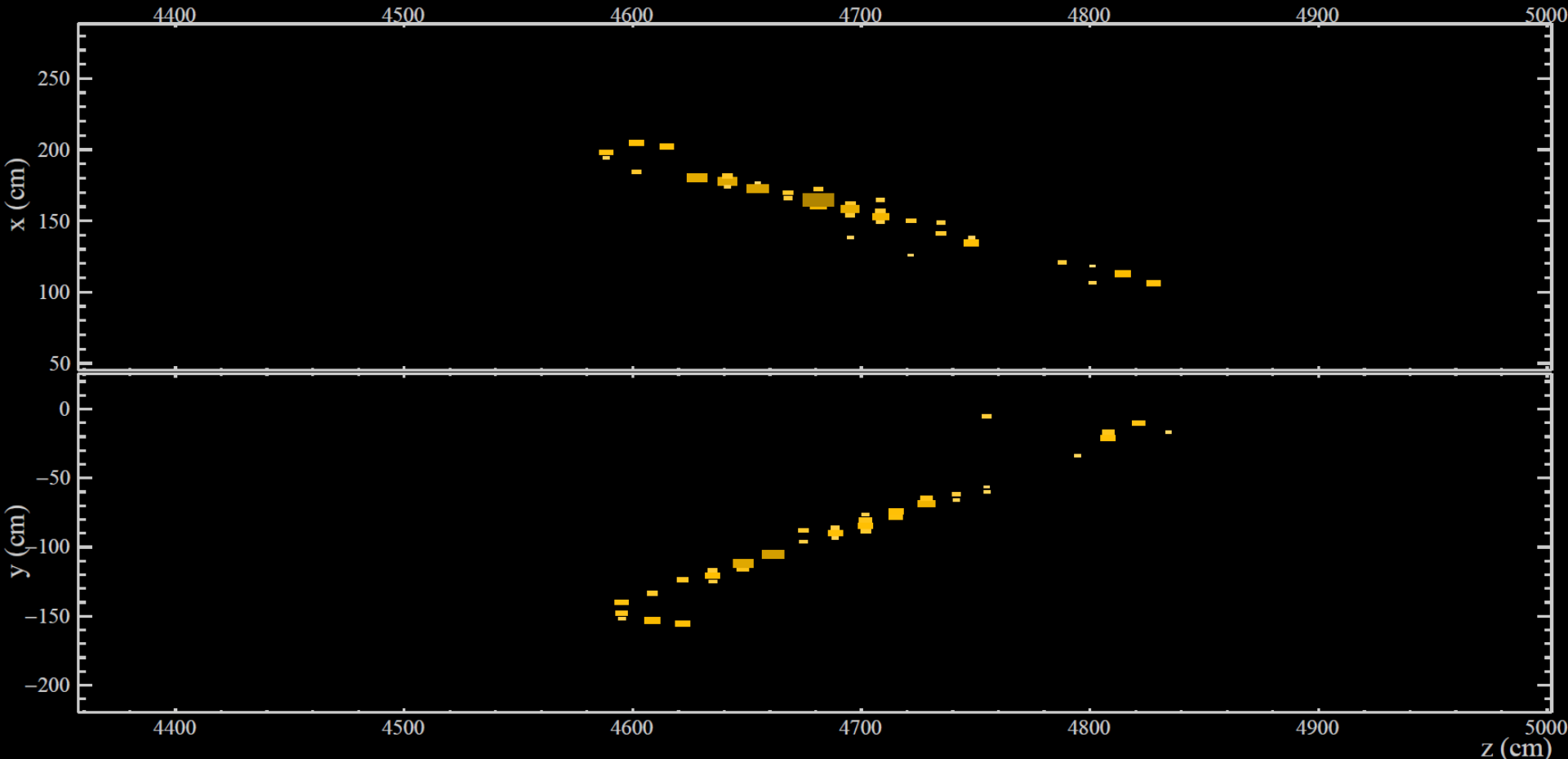
# Far Detector selected $\nu_e$ CC candidate



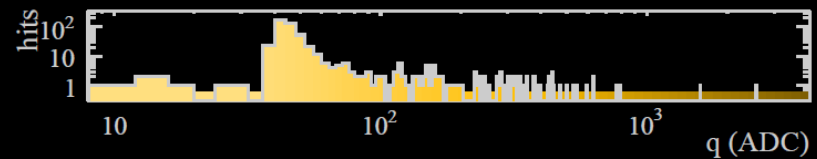
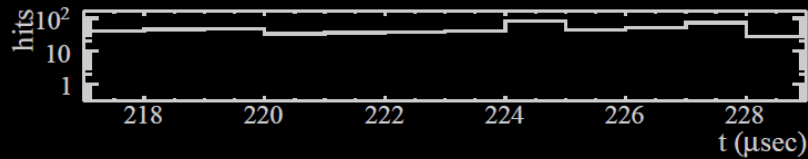
**NOvA - FNAL E929**  
Run: 17103 / 7  
Event: 27816 / --  
UTC Wed Sep 3, 2014  
10:04:58.572014784



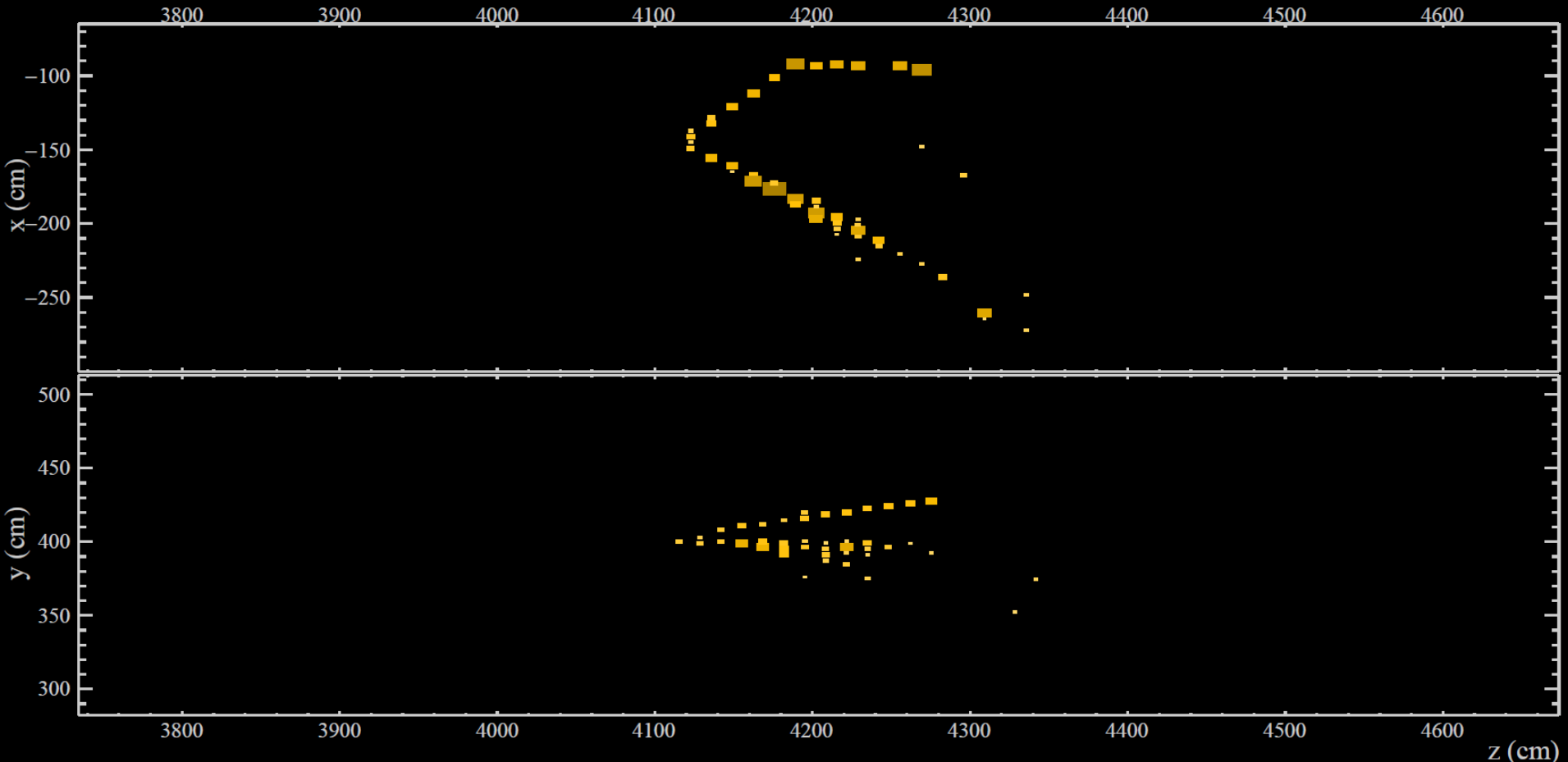
# Far Detector selected $\nu_e$ CC candidate



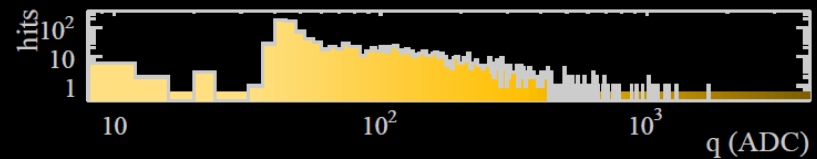
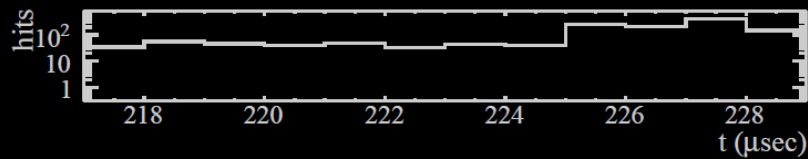
**NOvA - FNAL E929**  
Run: 19165 / 62  
Event: 920415 / --  
UTC Mon Mar 23, 2015  
11:43:54.311669120



# Far Detector selected $\nu_e$ CC candidate



**NOvA - FNAL E929**  
Run: 19578 / 5  
Event: 98069 / --  
UTC Thu May 14, 2015  
17:55:39.044985484



# Far Detector selected events

LID: 6  $\nu_e$  candidates

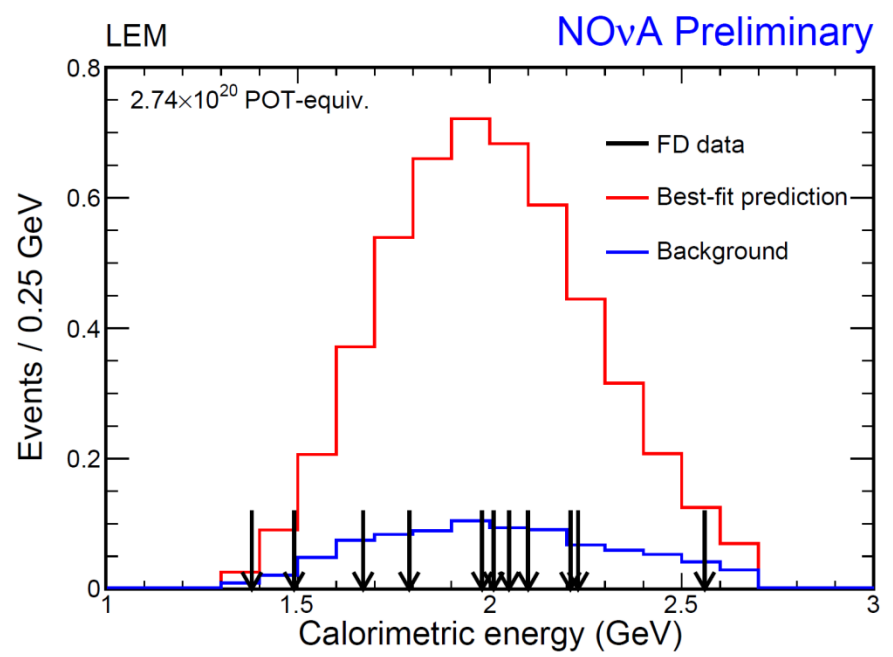
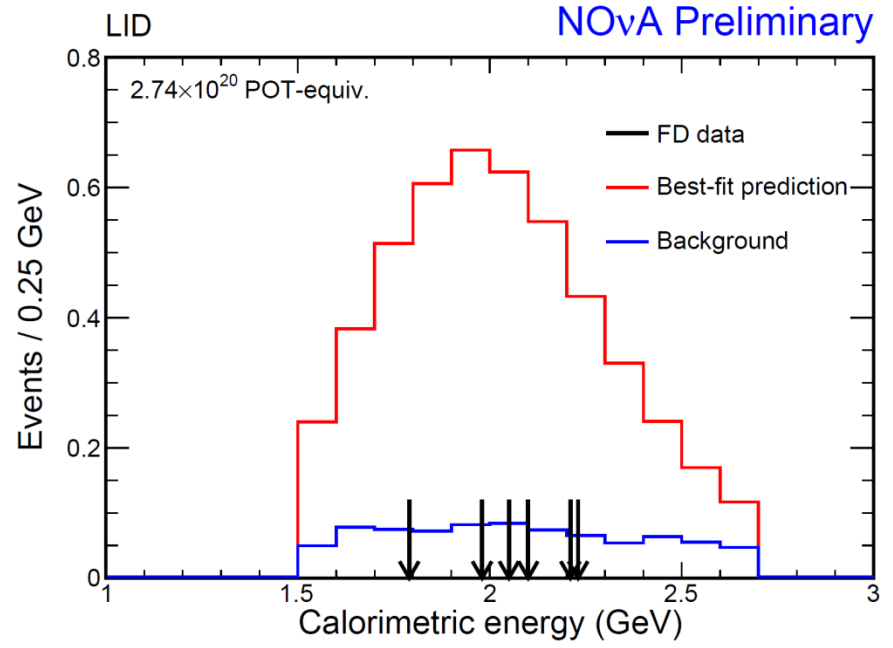
$3.3\sigma$  significance for  $\nu_e$  appearance

*At right:*  
Calorimetric energy

LEM: 11  $\nu_e$  candidates

$5.5\sigma$  significance for  $\nu_e$  appearance

*(All 6 LID events present in LEM set)*



# Far Detector selected events

LID: 6  $\nu_e$  candidates

$3.3\sigma$  significance for  $\nu_e$  appearance

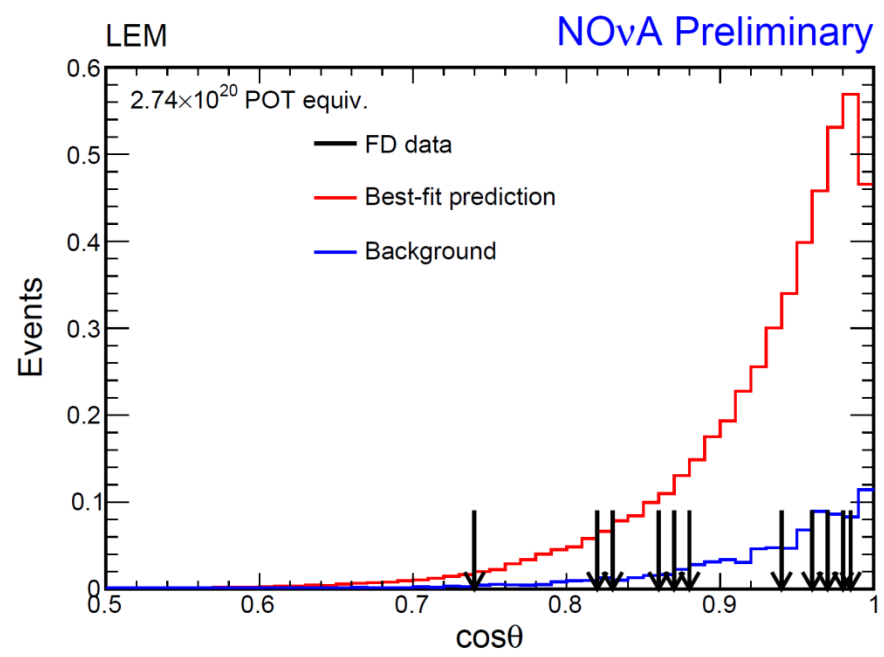
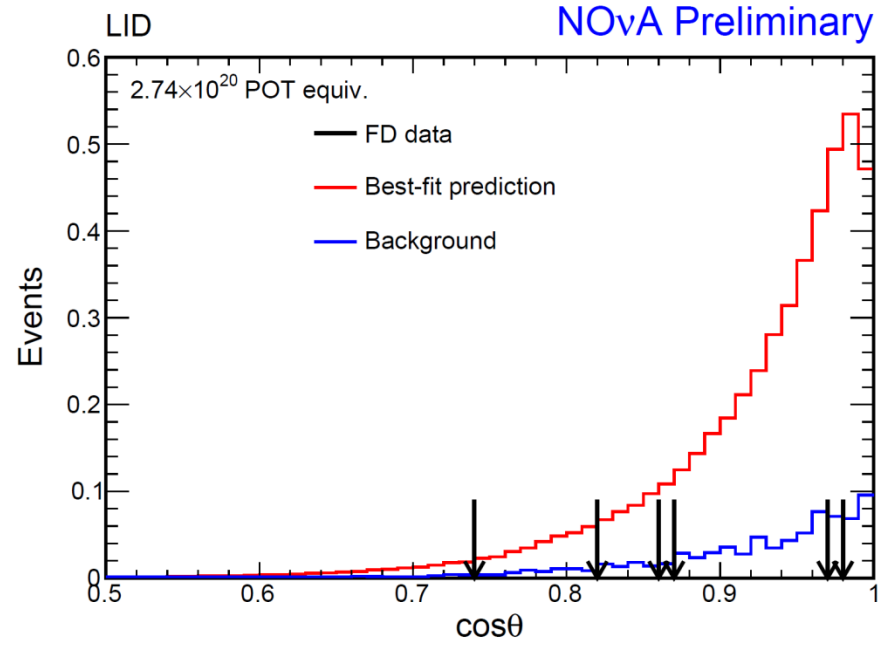
*At right:*

Reconstructed direction  
of leading shower

LEM: 11  $\nu_e$  candidates

$5.5\sigma$  significance for  $\nu_e$  appearance

*(All 6 LID events present in LEM set)*

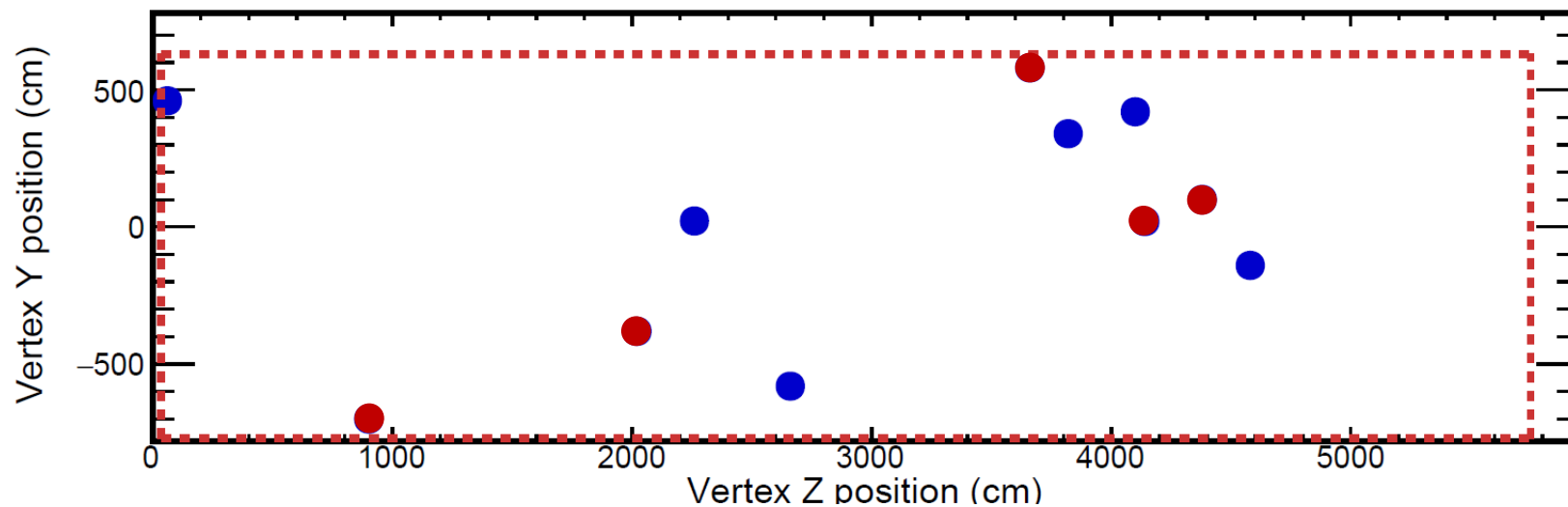
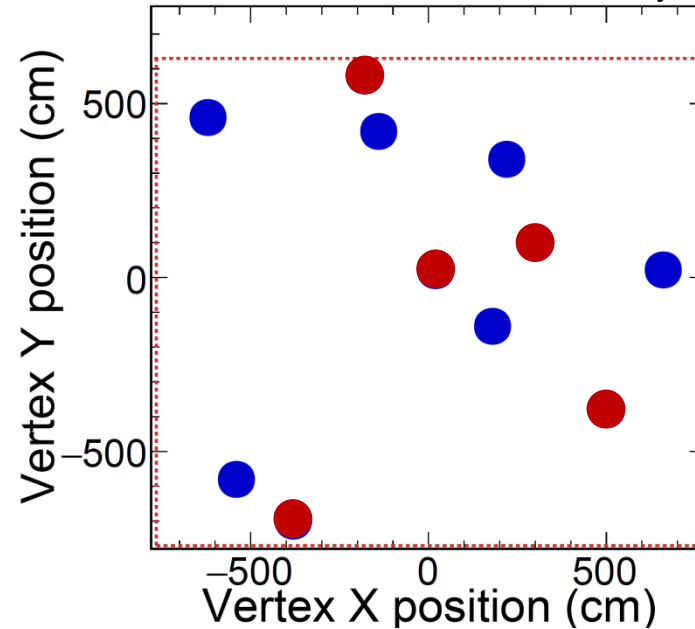
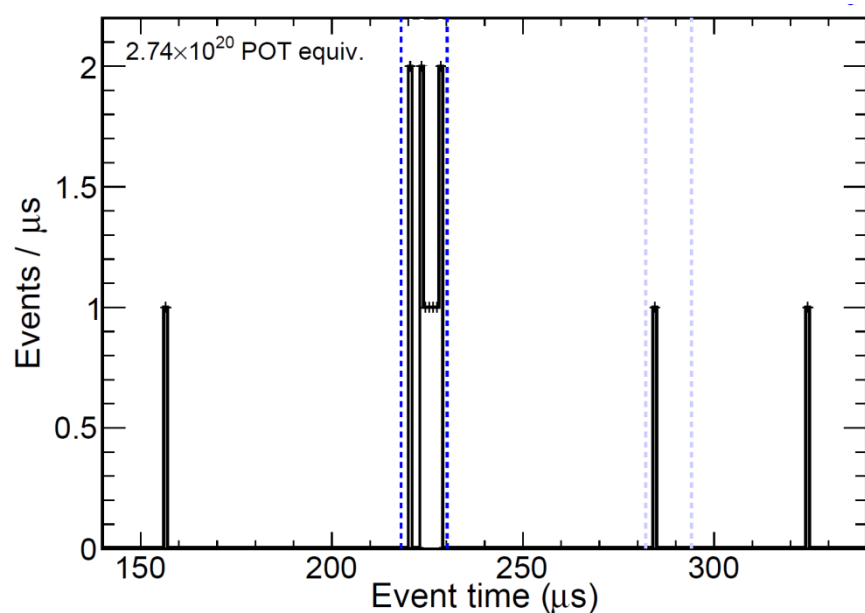




# FD $\nu_e$ CC candidates: when and where

( LID + LEM events )

- LID & LEM
- LID only
- LEM only



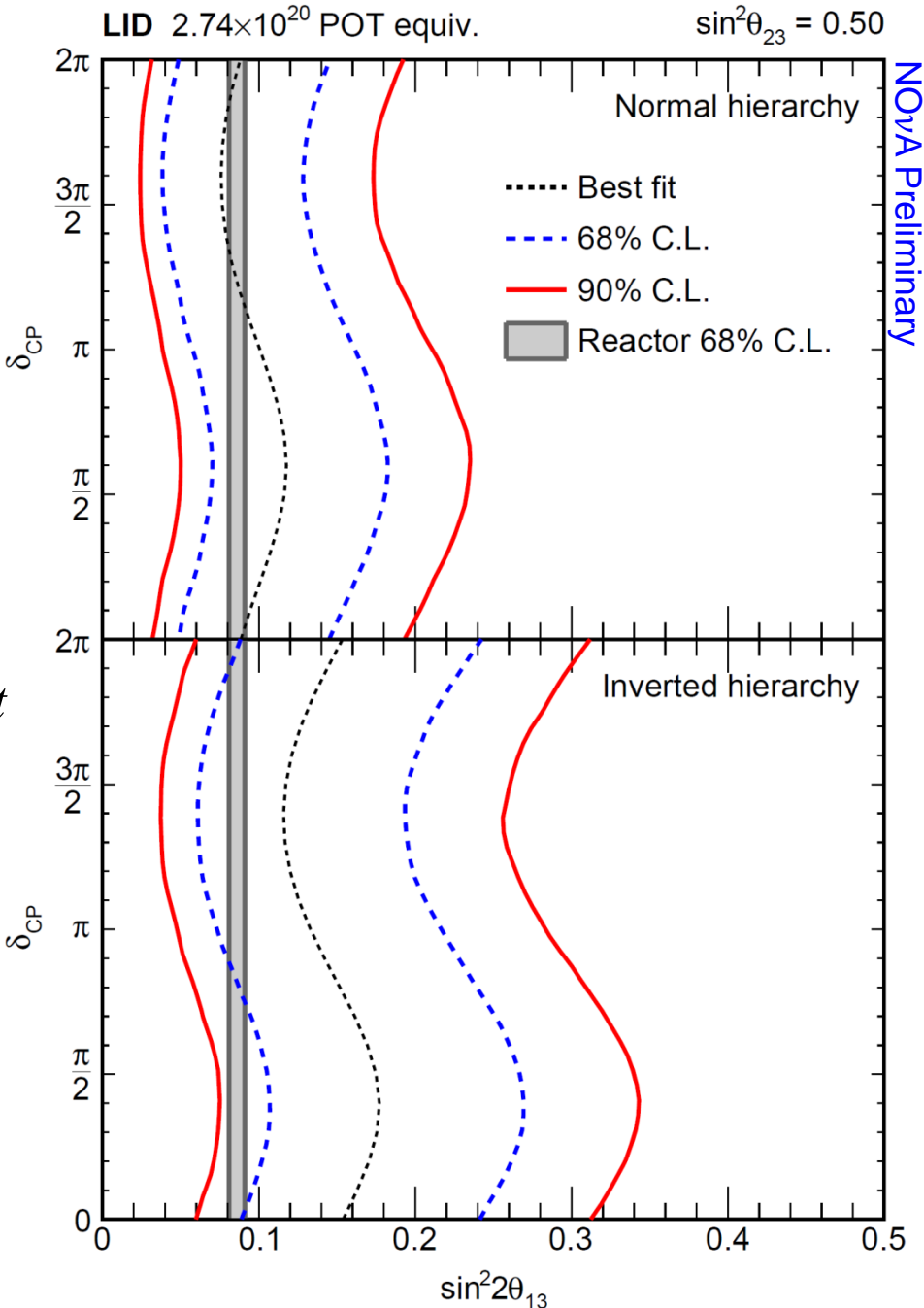
Note: Second timing window at +64  $\mu\text{s}$  required for some of the early data.

# Result using LID selector

FD selection: 6  $\nu_e$  candidates

For  $(\delta_{CP}, \sin^2 2\theta_{13})$  allowed regions

- Feldman-Cousins procedure applied
- solar osc. parameters varied
- $\Delta m_{32}^2$  varied by *new NOvA measurement*
- $\sin^2 \theta_{23} = 0.5$

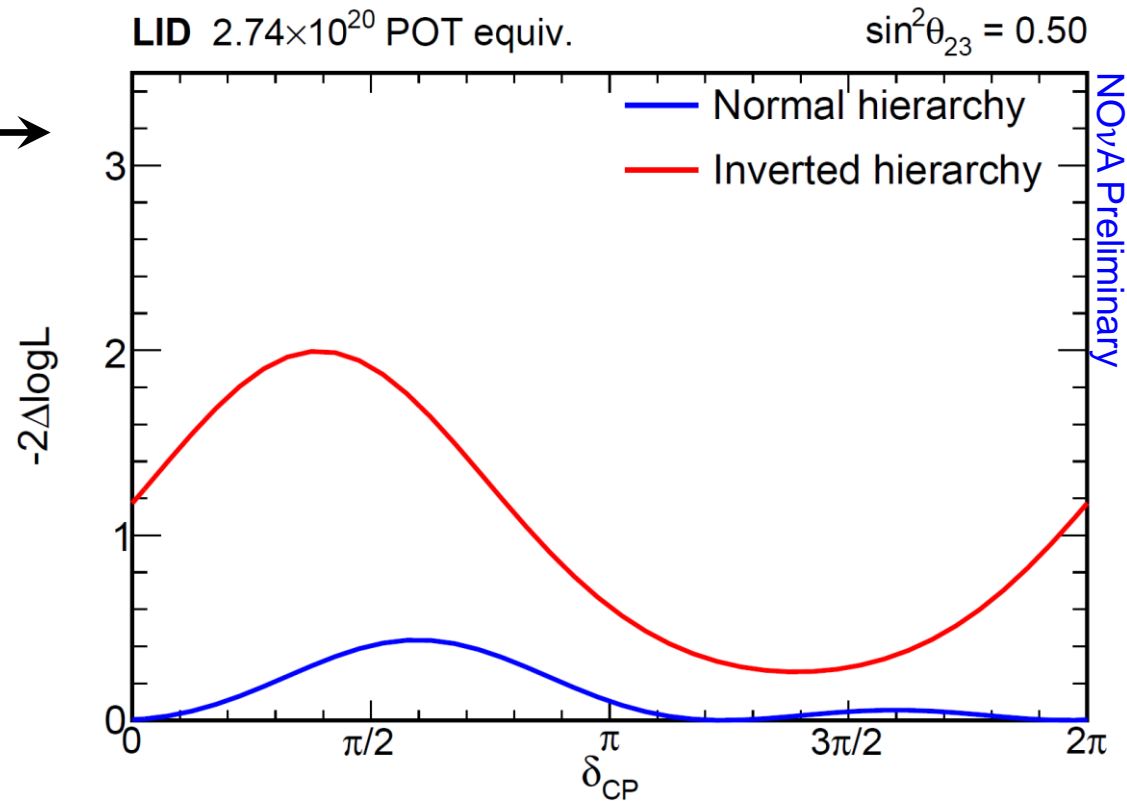


# Result using LID selector

Applying **global reactor constraint** of  $\sin^2 2\theta_{13} = 0.086 \pm 0.005$

- Again apply Feldman-Cousins procedure to interpret  $-2\Delta\log L$   
*Note: noticeable deviations from simple interpretation expected in this case*  
[e.g., Elevant and Schwetz, arxiv:1506.07685]

First,  $-2\Delta\log L$  itself  $\rightarrow$



# Result using LID selector

Applying **global reactor constraint** of  $\sin^2 2\theta_{13} = 0.086 \pm 0.005$

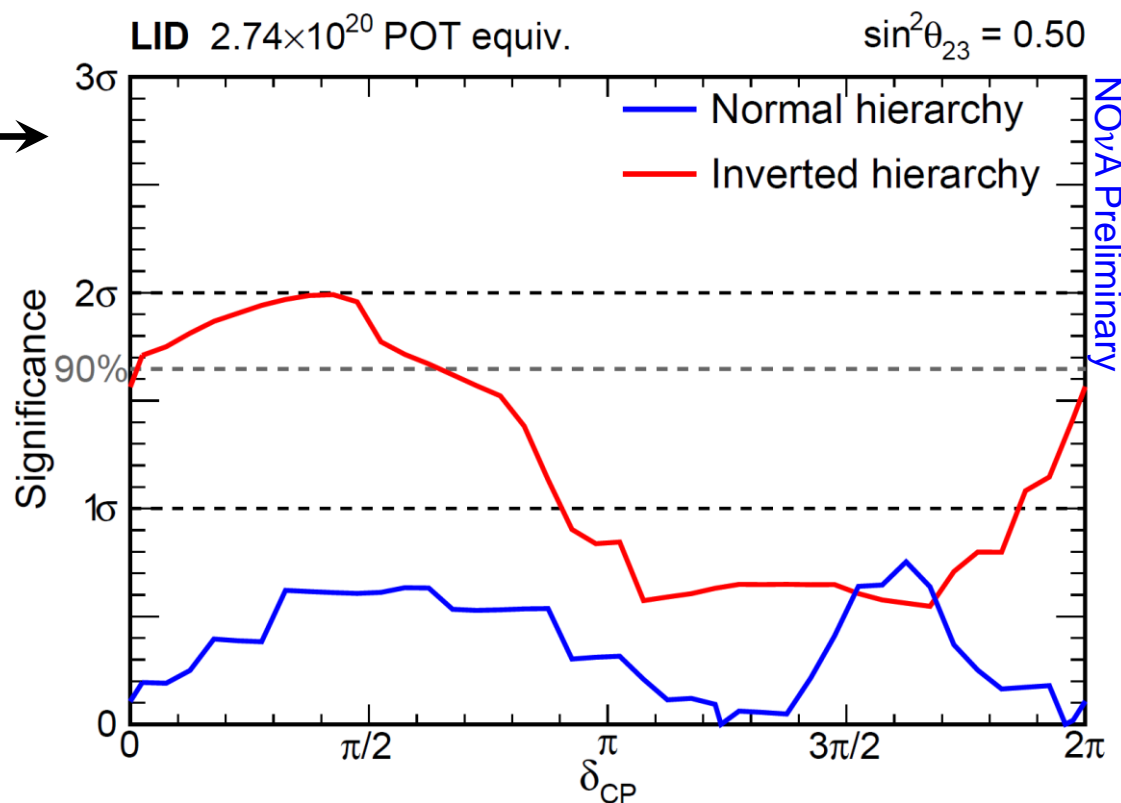
- Again apply Feldman-Cousins procedure to interpret  $-2\Delta\log L$   
*Note: noticeable deviations from simple interpretation expected in this case*  
[e.g., Elevant and Schwetz, arxiv:1506.07685]

Other assumptions for  $\sin^2\theta_{23}$  shown in backup

Converted into significance →  
[ steps due to discrete nature  
of counting expt. ]

For all  $\sin^2\theta_{23}$  in [ 0.4, 0.6 ]

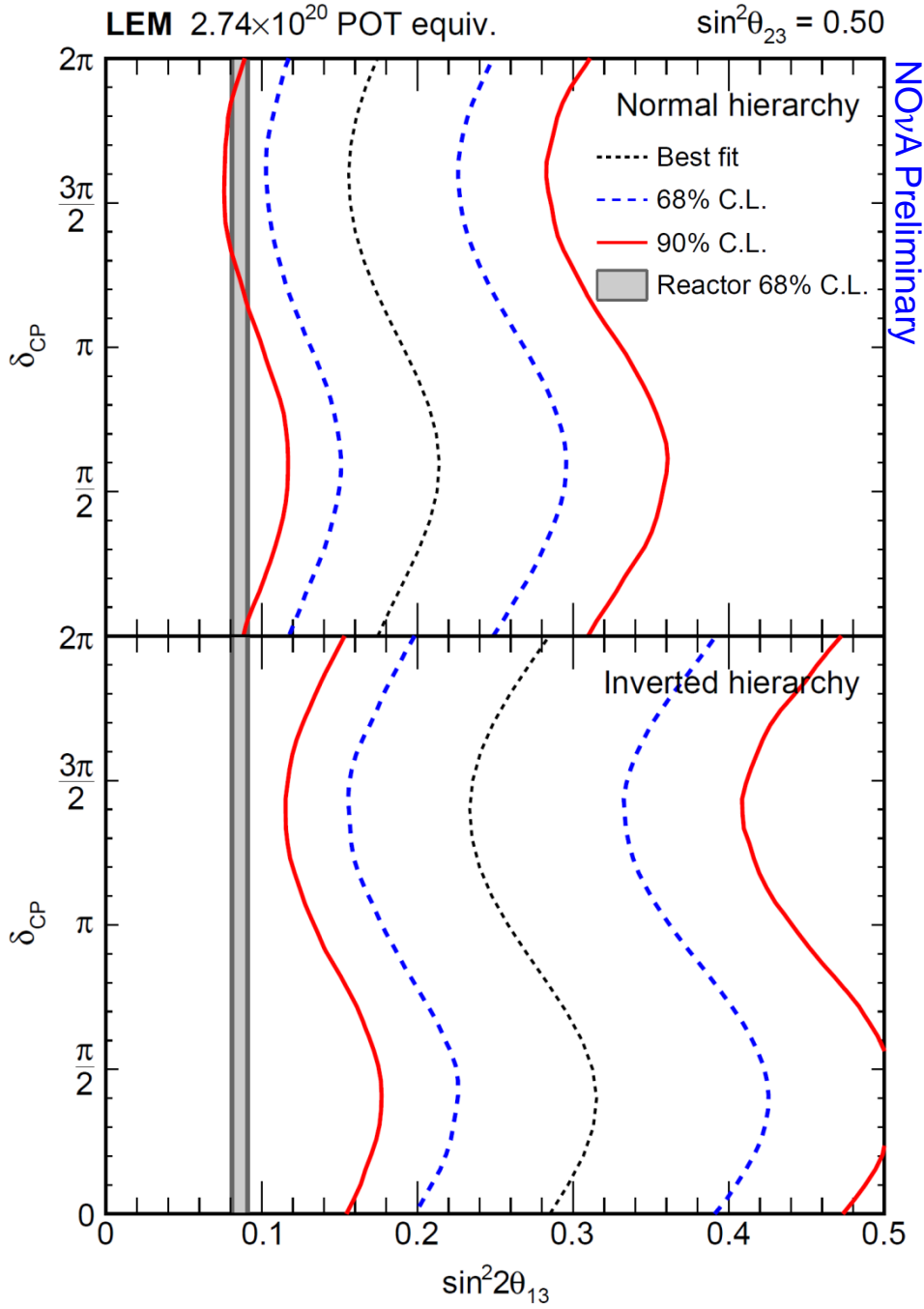
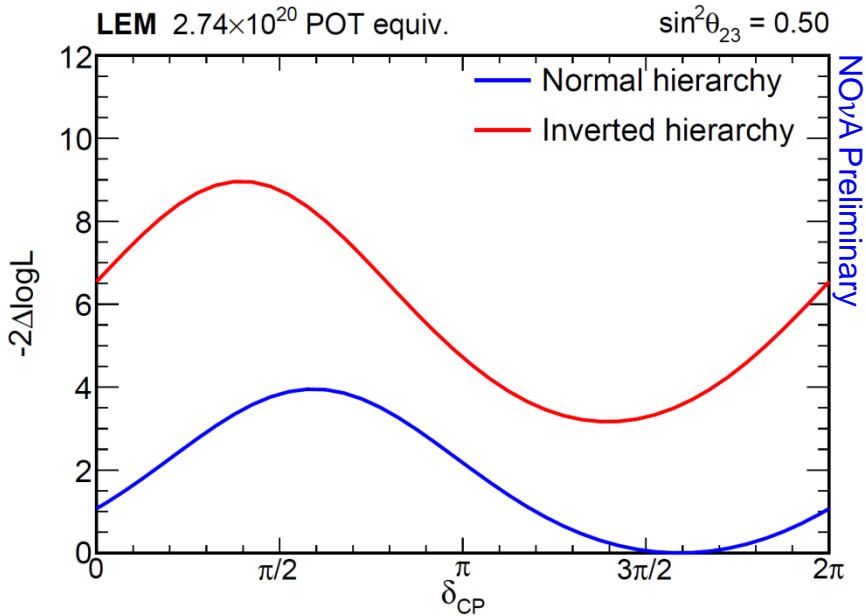
IH for  $\delta \in [ 0, 0.8\pi ]$  is  
mildly disfavored ( $>1\sigma$ )



# Result using LEM selector

FD selection: 11  $\nu_e$  candidates

*Below: With reactor constraint applied*  
(significance on next page)



Other assumptions for  $\sin^2\theta_{23}$  shown in backup

## Result using LEM selector

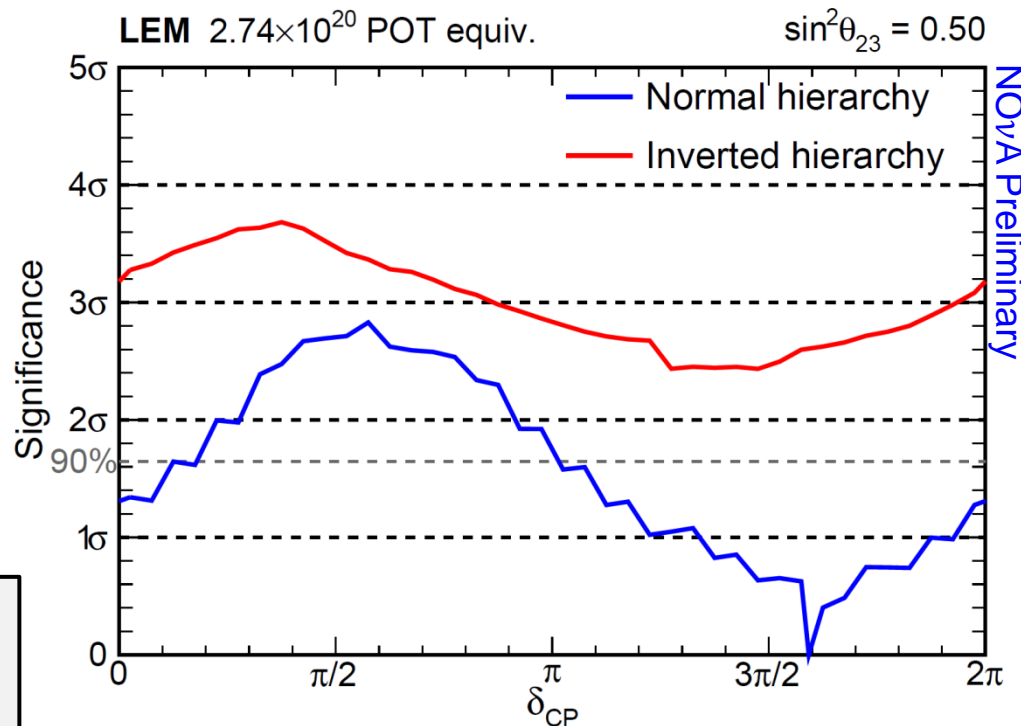
For all  $\sin^2\theta_{23}$  in [ 0.4, 0.6 ]

**IH** is disfavored at  $>2.2\sigma$

**NH** for  $\delta \in [ 0, \pi ]$  is mildly disfavored ( $>1\sigma$ )

### LID, LEM Consistency

- Both prefer **normal hierarchy**
- Both prefer  $\delta$  near  $3\pi/2$
- Given expected correlations, the observed event counts yield a reasonable **mutual  $p$ -value of 10%**.



The specific point **IH,  $\delta=\pi/2$**  is disfavored at

**$1.6\sigma$  [LID],  $3.2\sigma$  [LEM]**

for all  $\sin^2\theta_{23}$  in [ 0.4, 0.6 ]

# What's next?

- **We are currently in a scheduled beam shutdown**
  - Beam returns **early October**.
  - Rapid commissioning **toward 700 kW**  
*(increased Booster rep rate, 4(6)+6 slip-stacking)*
- ***FD exposure: expect sizeable increase in short time***
  - Full FD, higher beam powers  $\Rightarrow$  **>2× data set next summer**
- **Other NOvA physics: *Program underway***
  - Neutrino cross sections  
*(millions of ND interactions already in-hand)*
  - Sterile neutrinos, non-standard interactions, *CPT* tests
  - Supernova neutrinos
  - Dark matter and monopole searches
  - *and more...*

# Summary

With  $2.74 \times 10^{20}$  POT-equiv. exposure...

$$\Delta m_{32}^2 = \begin{cases} +2.37^{+0.16}_{-0.15} & \text{[NH]} \\ -2.40^{+0.14}_{-0.17} & \text{[IH]} \end{cases} \times 10^{-3} \text{ eV}^2$$

$$\sin^2(\theta_{23}) = 0.51 \pm 0.10$$

- $\nu_\mu \rightarrow \nu_\mu$  { • Unambiguous  $\nu_\mu$  disappearance signature
- 6.5% measurement of atm. mass splitting, and  $\theta_{23}$  measurement consistent with maximal mixing
- $\nu_\mu \rightarrow \nu_e$  { •  $\nu_e$  appearance signal at  $3.3\sigma$  for primary  $\nu_e$  selector,  $5.5\sigma$  for secondary selector.
- At max. mixing, disfavor IH for  $\delta \in [0, 0.6\pi]$  at 90% C.L. w/ primary selector. With secondary selector, further preference for NH.

Above results obtained with 7.6% of baseline NOvA exposure.

**Much more to come!**



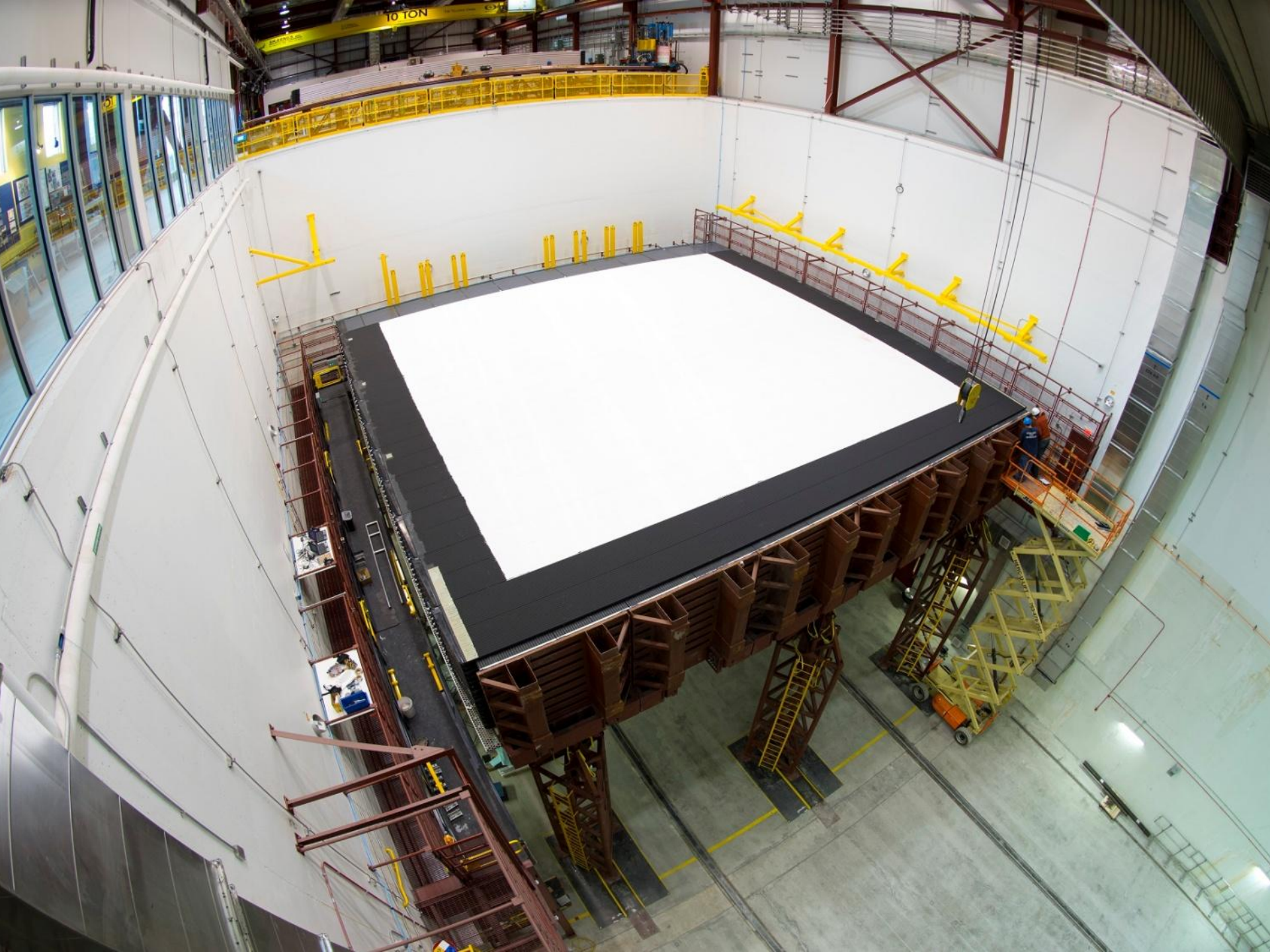




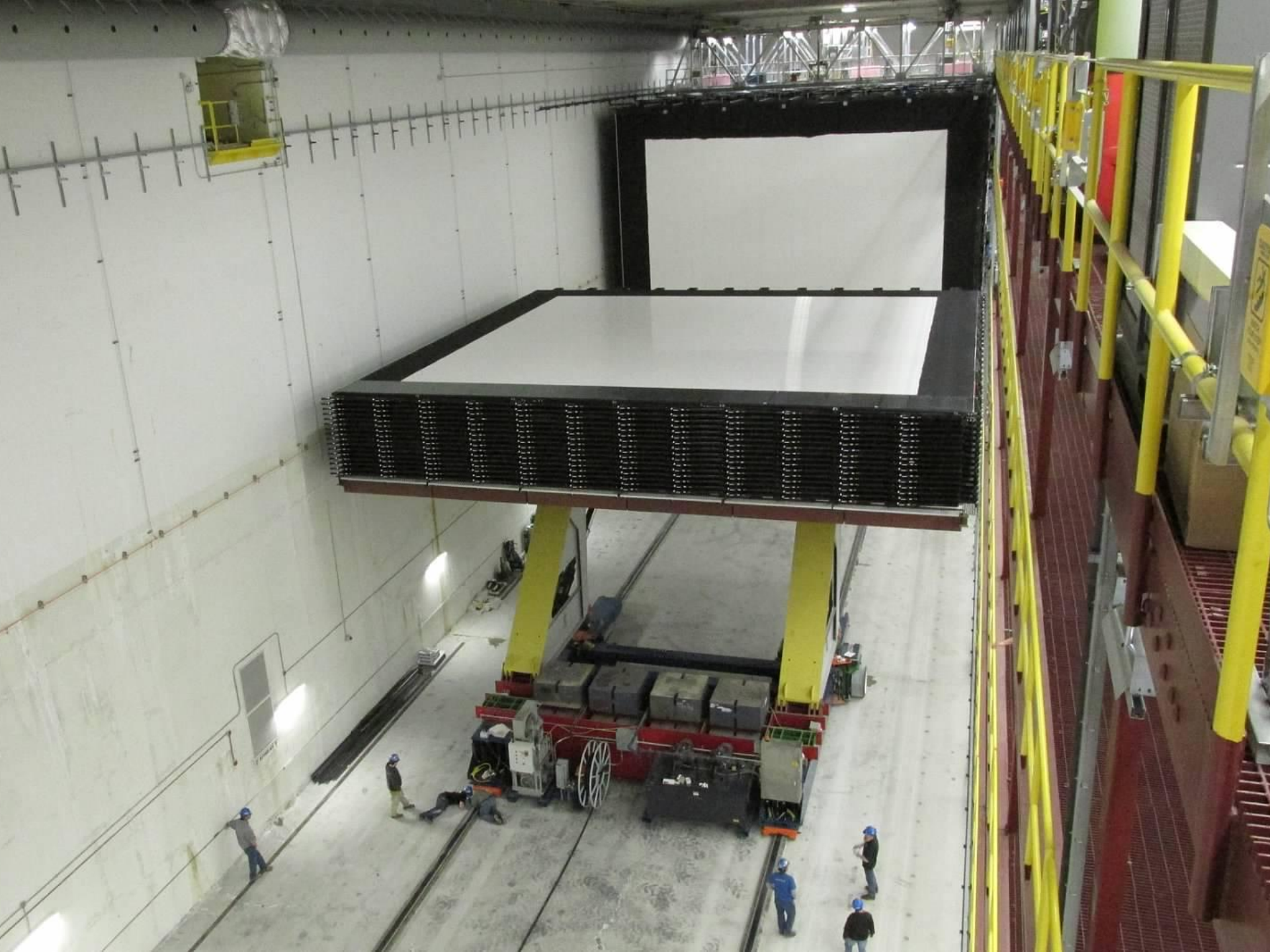
# *Extras*





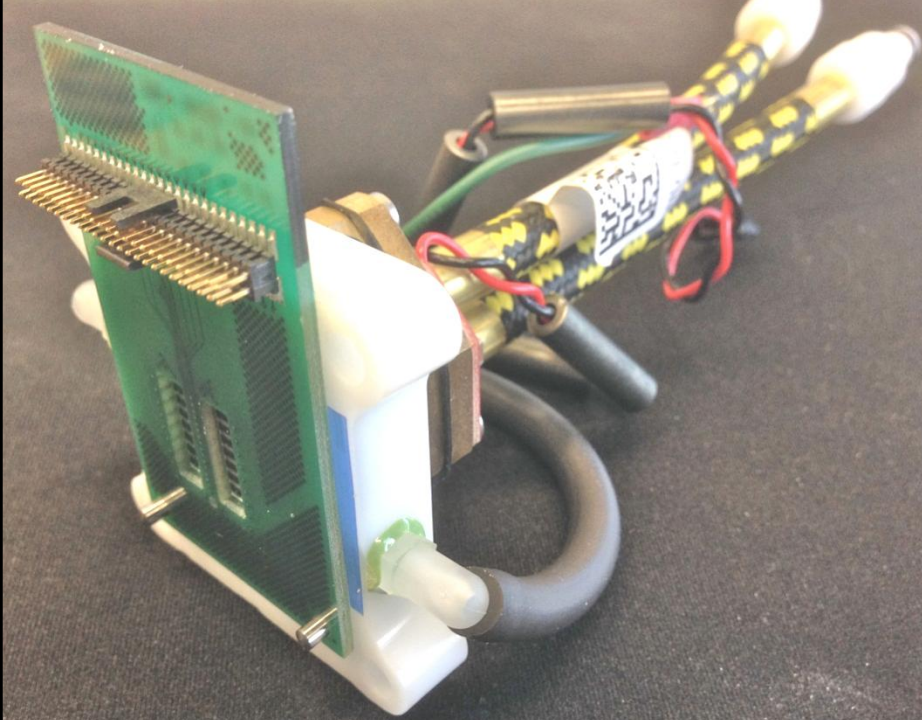
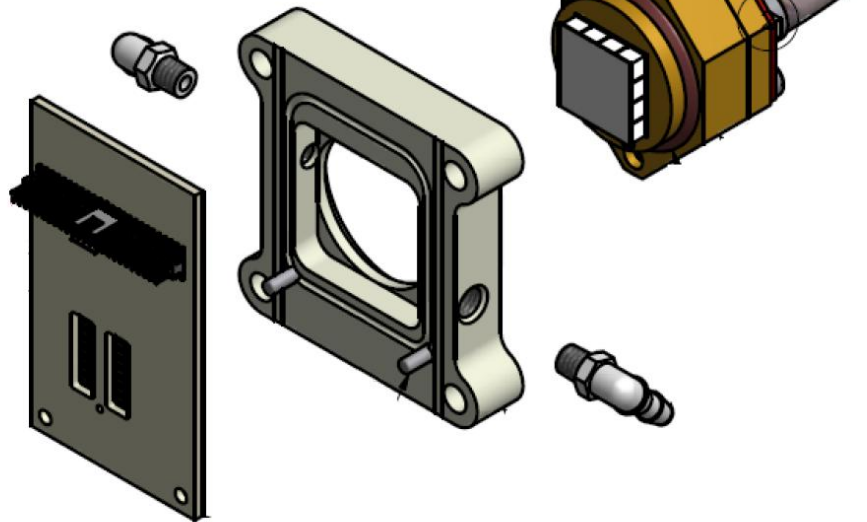








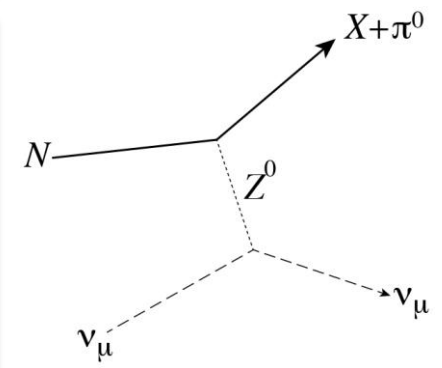
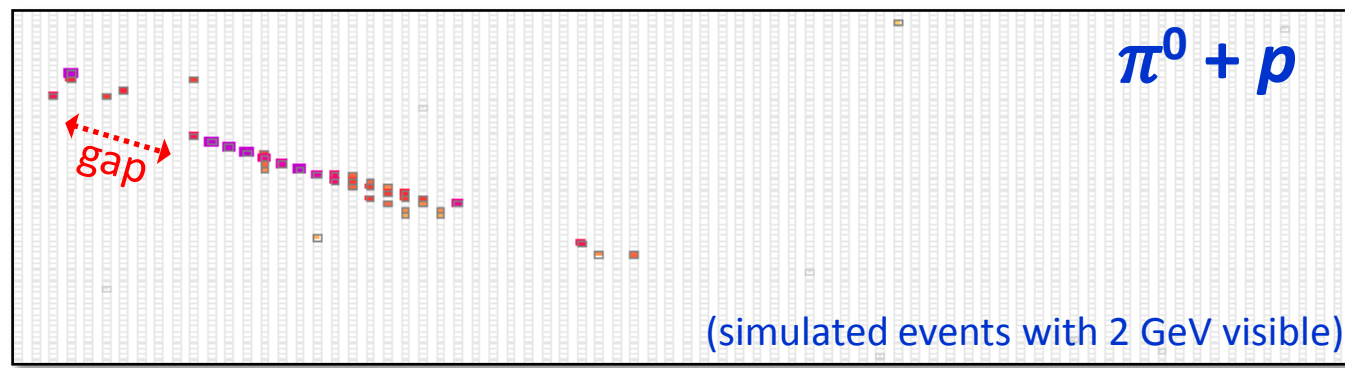
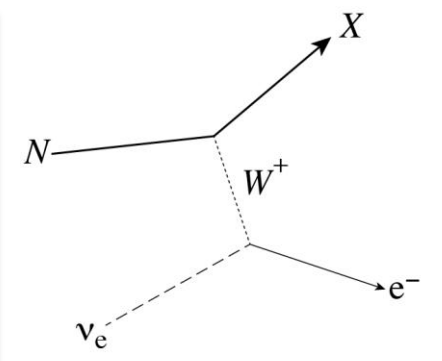
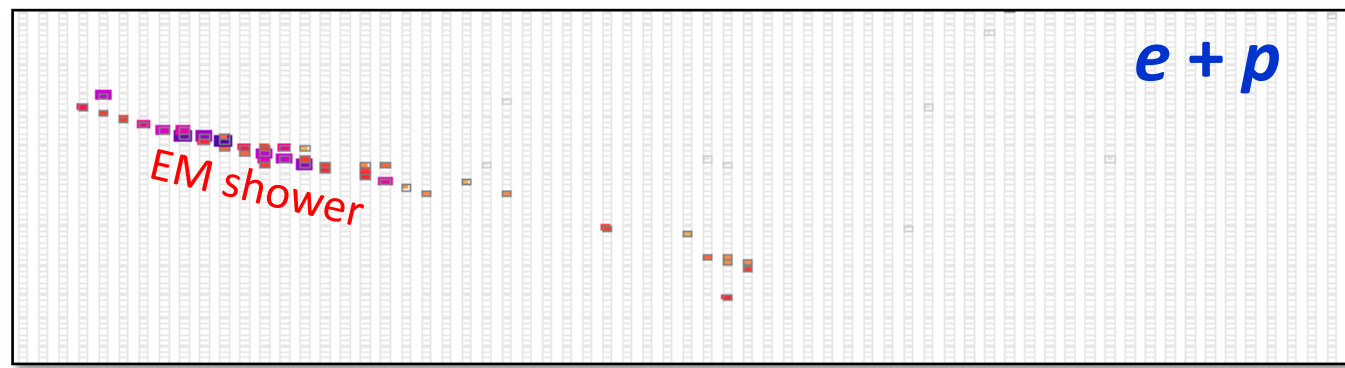
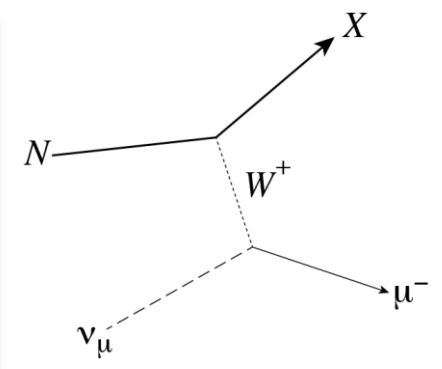
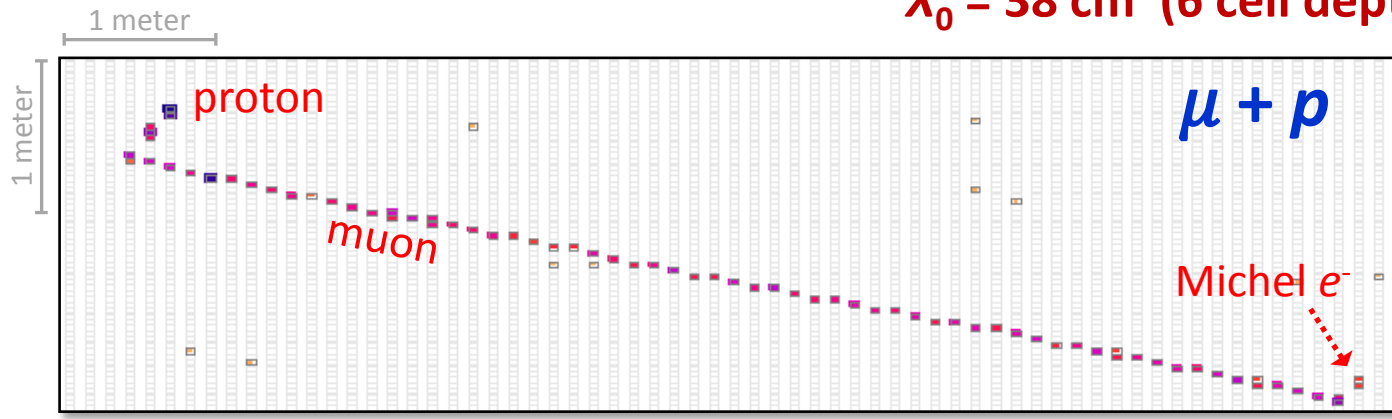


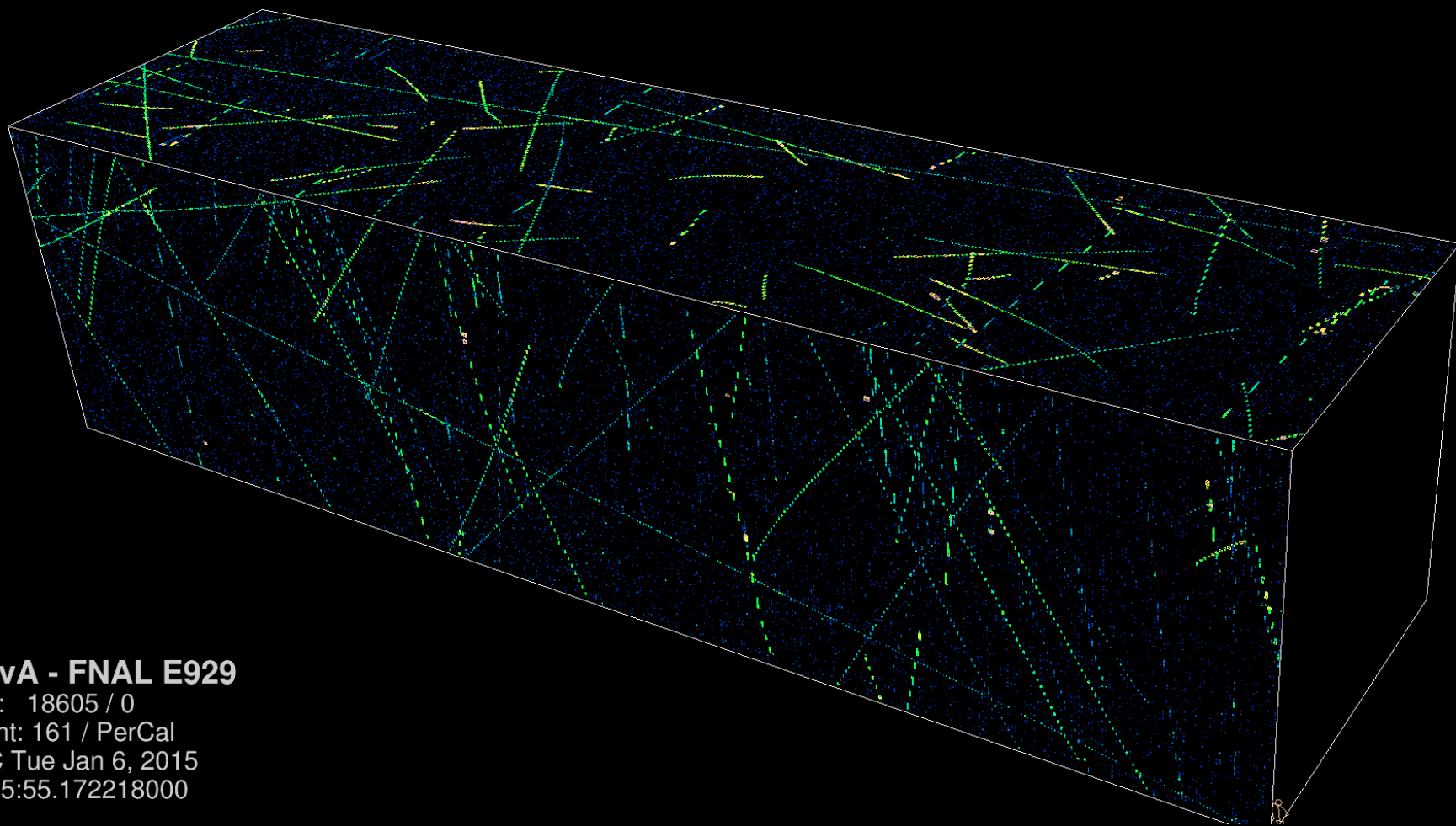


# Events in NOvA

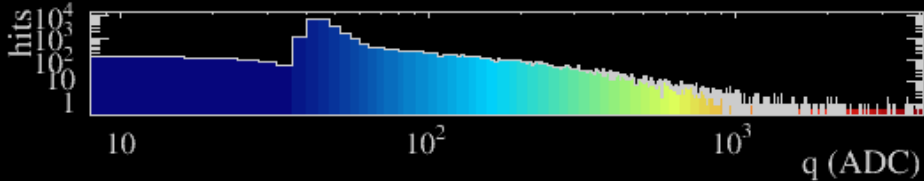
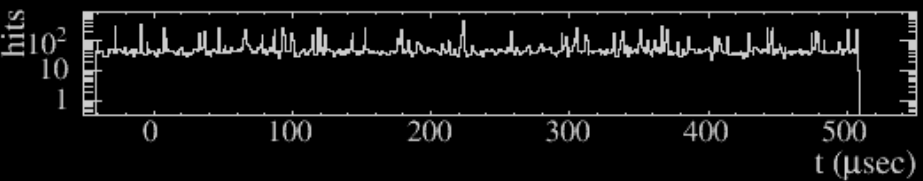
## Superb spatial granularity for a detector of this scale

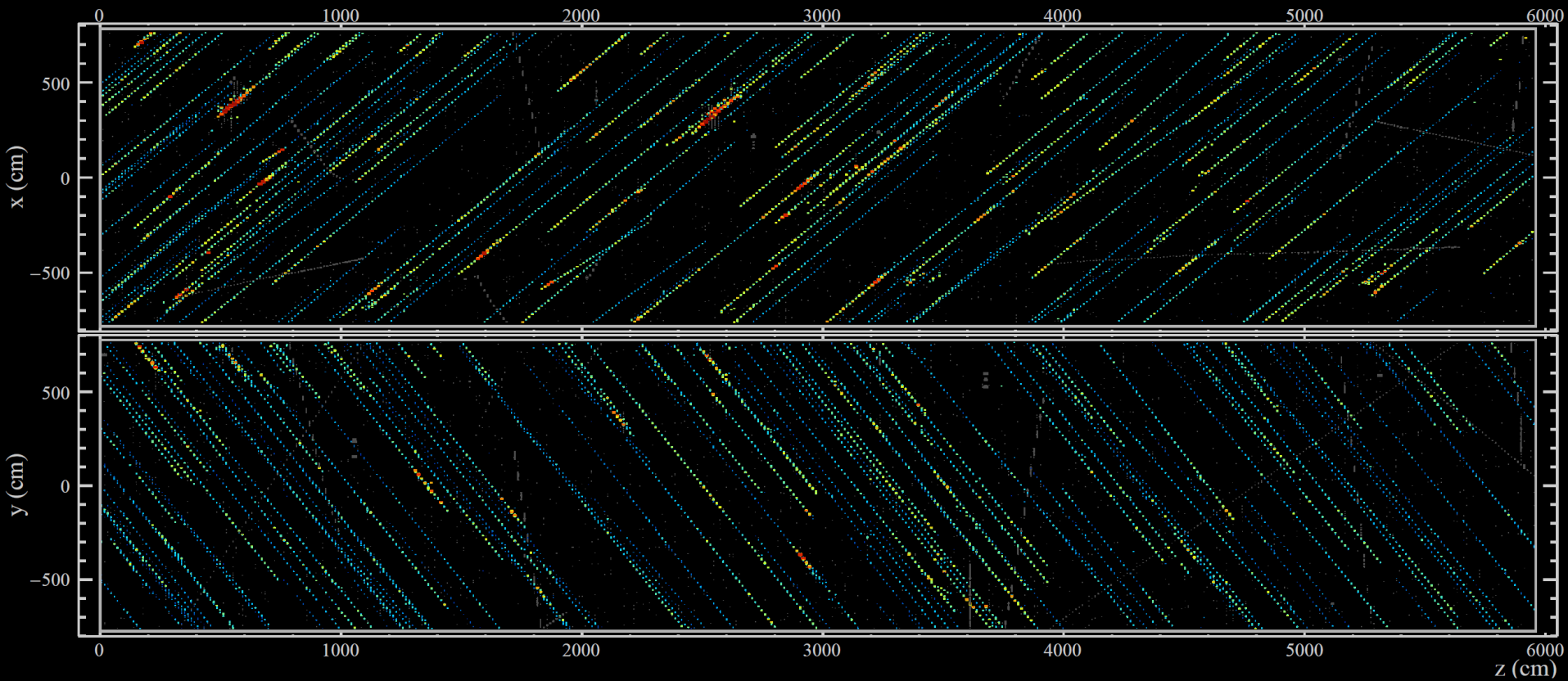
$X_0 = 38 \text{ cm}$  (6 cell depths, 10 cell widths)





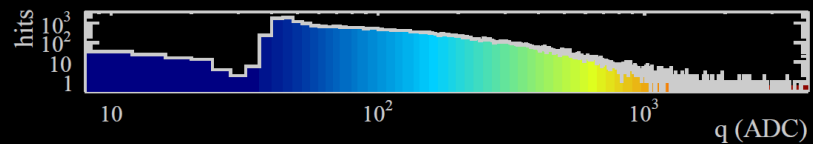
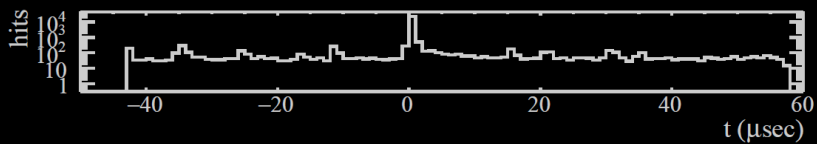
**NOvA - FNAL E929**  
Run: 18605 / 0  
Event: 161 / PerCal  
UTC Tue Jan 6, 2015  
23:25:55.172218000





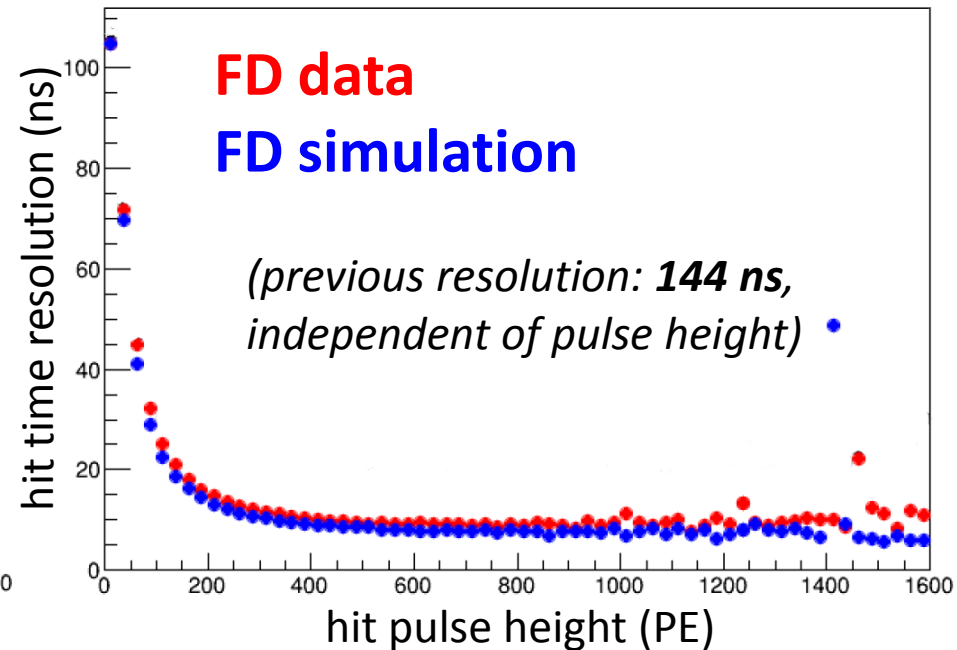
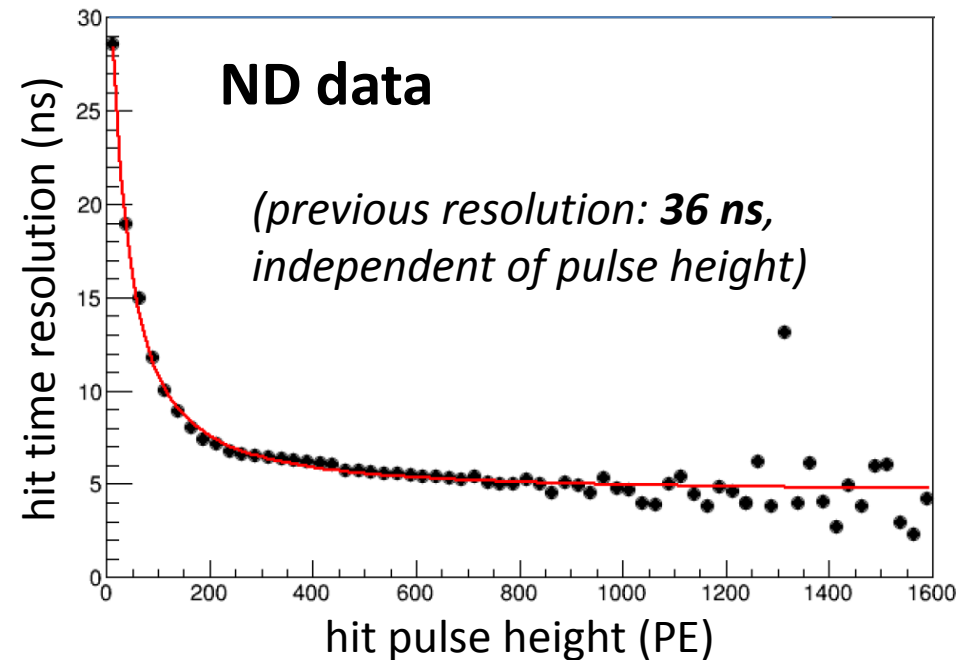
**NOVA - FNAL E929**

Run: 18967 / 30  
 Event: 434586 / DDenergy  
 UTC Sun Feb 22, 2015  
 14:53:48.204742704



# Timing resolution

- **Recently deployed firmware** leads to substantial improvement in timing resolution
- **Fully incorporated** into calibration procedures, simulation packages, and analysis software
- **Benefitting event clustering** and opening new lines of analysis



# Isolating individual interactions

- A standard trigger in the Far Detector (FD) records 550  $\mu\text{s}$  of activity:
  - hundreds of **noise hits** (since we keep the DAQ thresholds as low as possible)
  - about **50 cosmic rays**
  - and rarely, a **neutrino interaction**

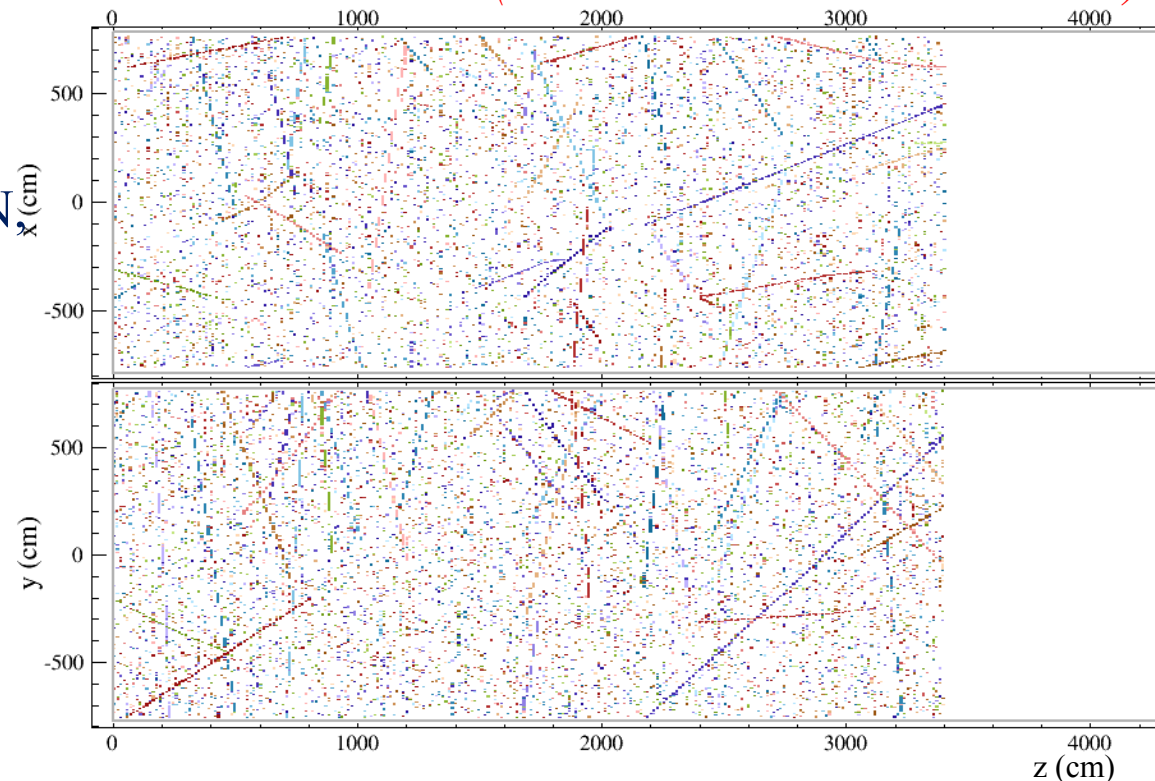
- Look for causally connectable **clusters in space/time**

- Algorithm inspired by DBSCAN  
**M. Ester *et al.* (1996)**

*According to FD simulation:*  
**Avg. completeness: 99.3%**  
**Avg. purity: 99.5%**

*(Actually improved beyond this now...)*

**A NuMI trigger recorded in April**  
*(detector still under construction)*



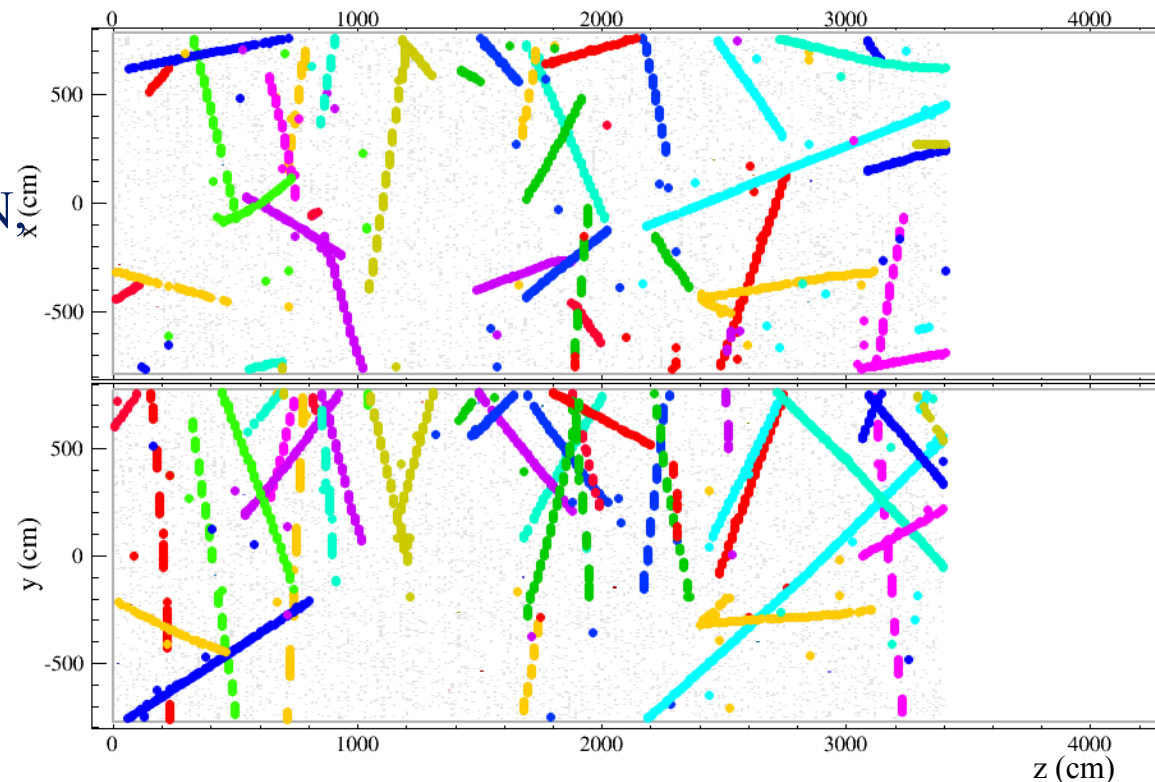
# Isolating individual interactions

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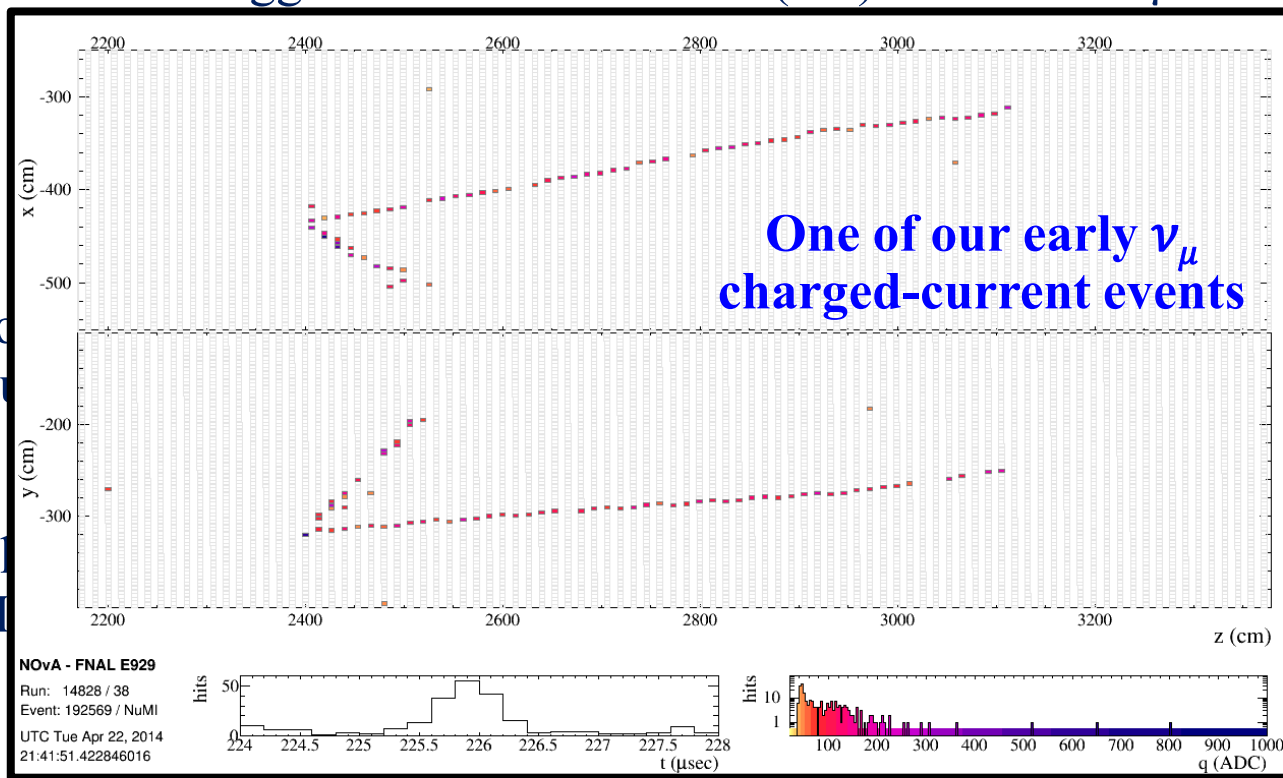
## Individual physics events isolated



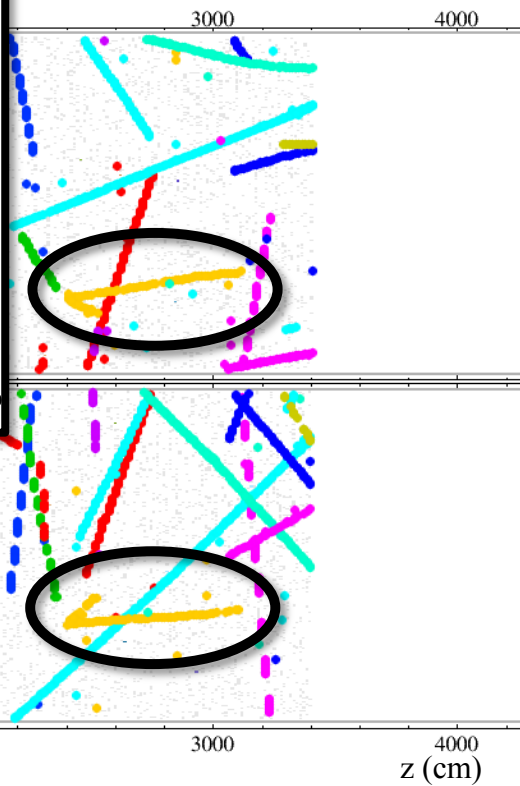
# Isolating individual interactions

- A standard trigger in the Far Detector (FD) records 550  $\mu\text{s}$  of activity:

*as low as possible)*

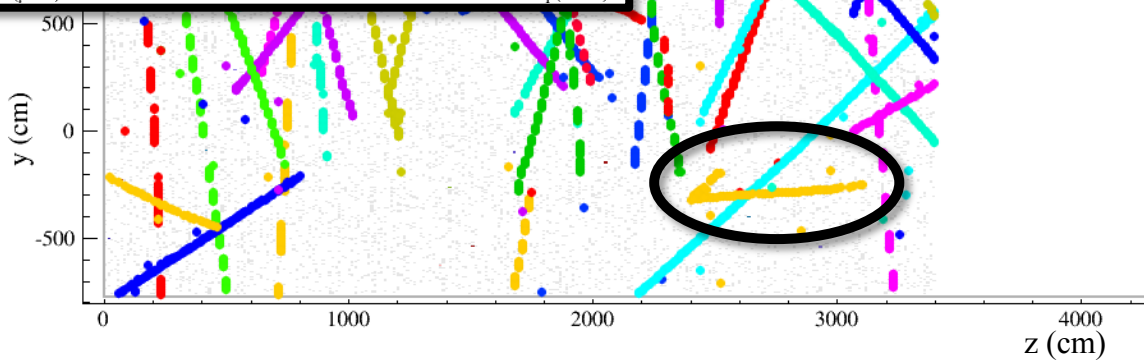


**physics events isolated**



According to FD simulation:  
**Avg. completeness: 99.3%**  
**Avg. purity: 99.5%**

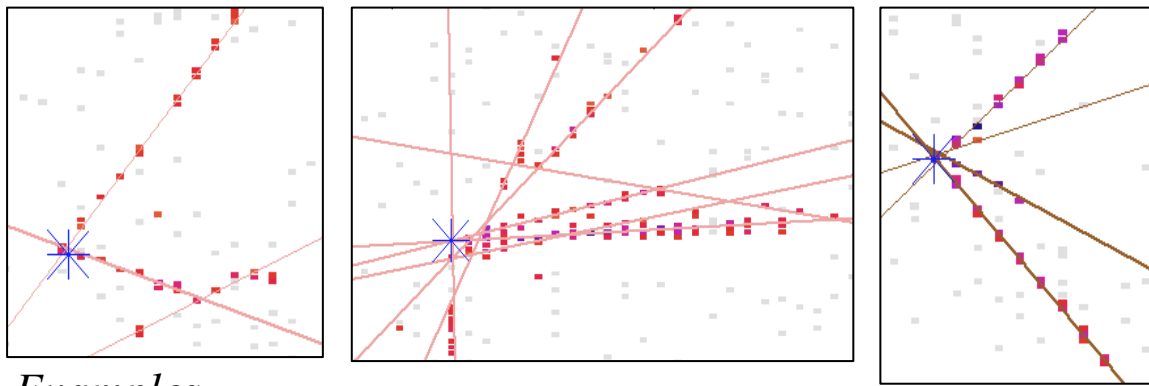
*(Actually improved beyond this now...)*



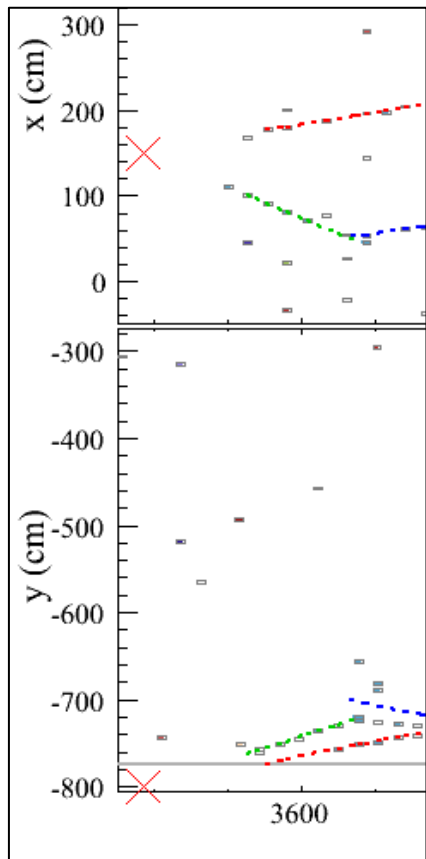


# Event vertexing

- Find lines of energy depositions using a Hough transform.



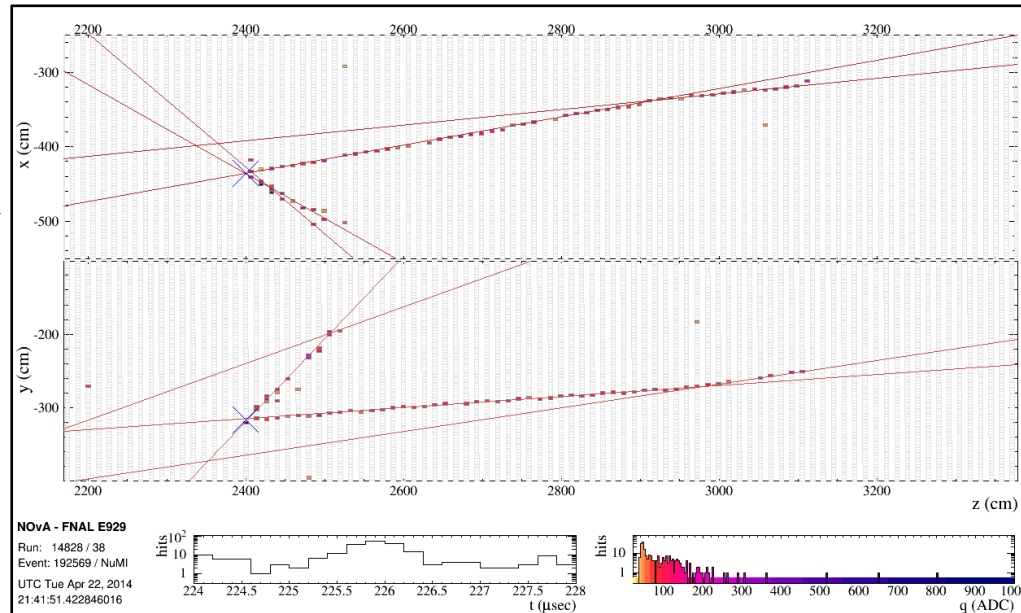
Examples...



The FD  $\nu_\mu$  CC data event from earlier



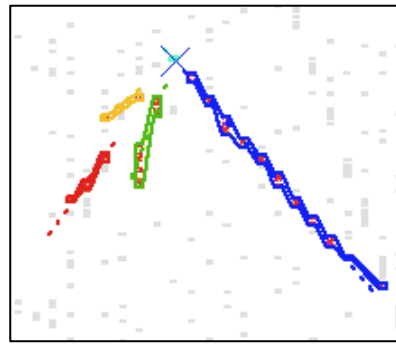
A vertex outside the detector



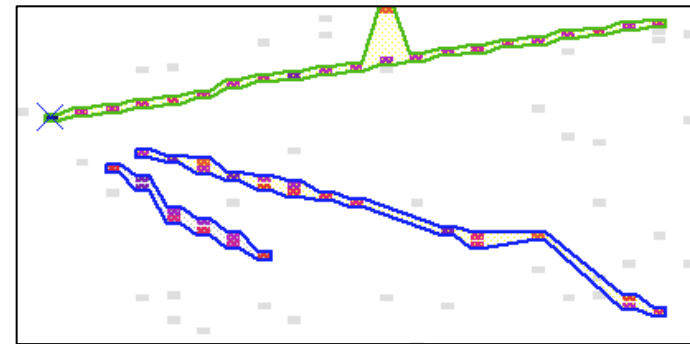
Vertex resolution for **charged-current events: 11 cm**  
 Vertex resolution for **neutral-current events: 29 cm**

# Prong clustering

- Given a seed vertex, look for **clusters in angular space** around it.
- Prongs in each view are matched based on topology and  $dE/dx$  to form 3D objects.

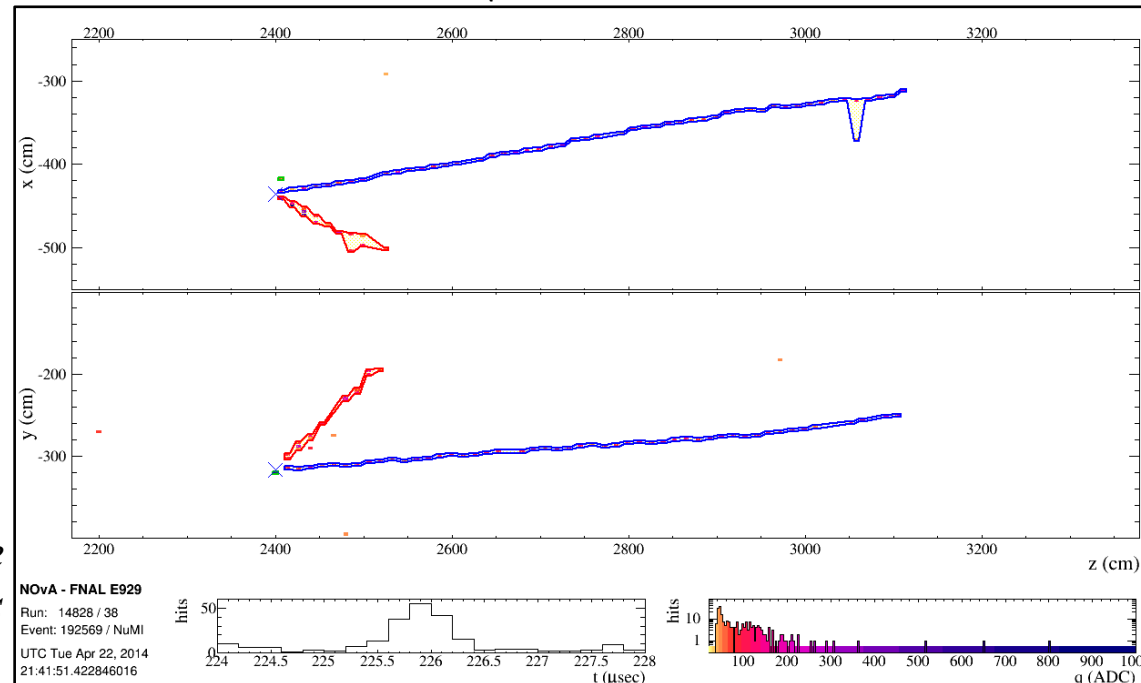


*Cosmic ray neutron event in the FD data*



*Simulated  $\nu_\mu$  CC event*

*FD  $\nu_\mu$  CC data event*

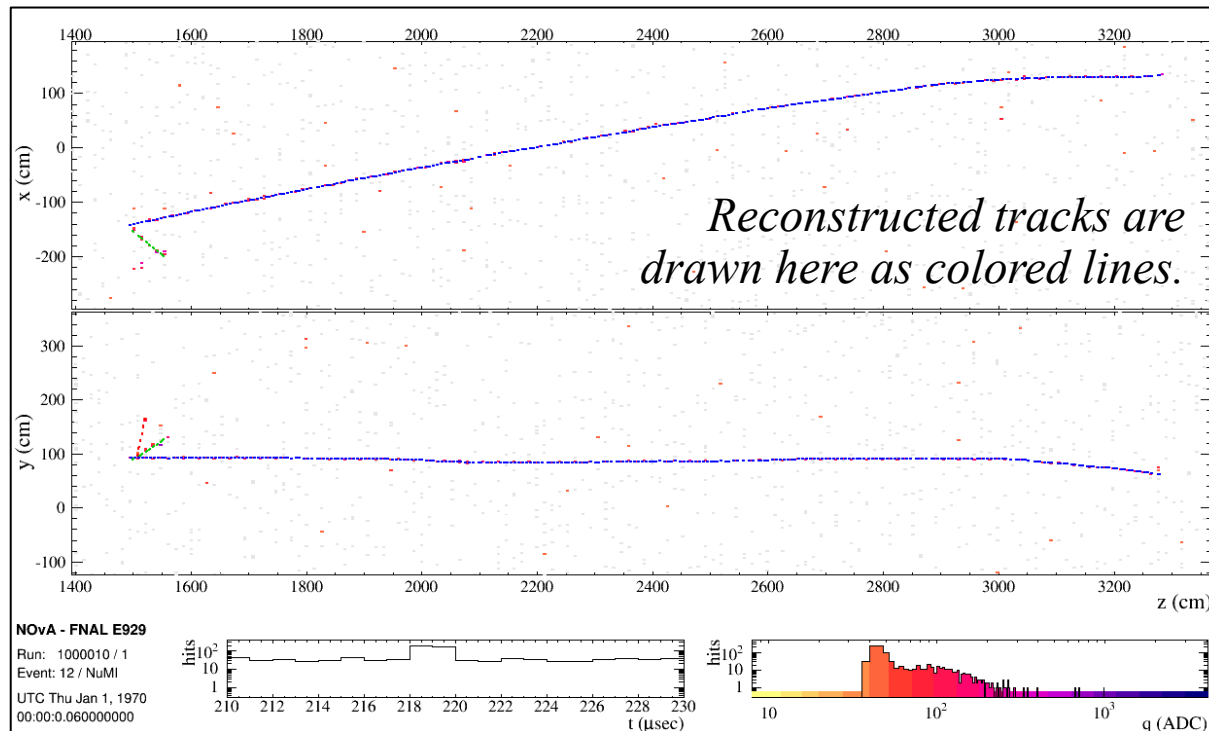


*Prongs are drawn here by outlining the cells that belong to them.*

# Tracking

- Two primary trackers in use:
  - *Cosmic ray tracker*: lightweight, very fast, good for large calibration samples and online tools
  - *Kalman filter tracker*: more detailed, traces scattering for accurate energy, direction measurement.

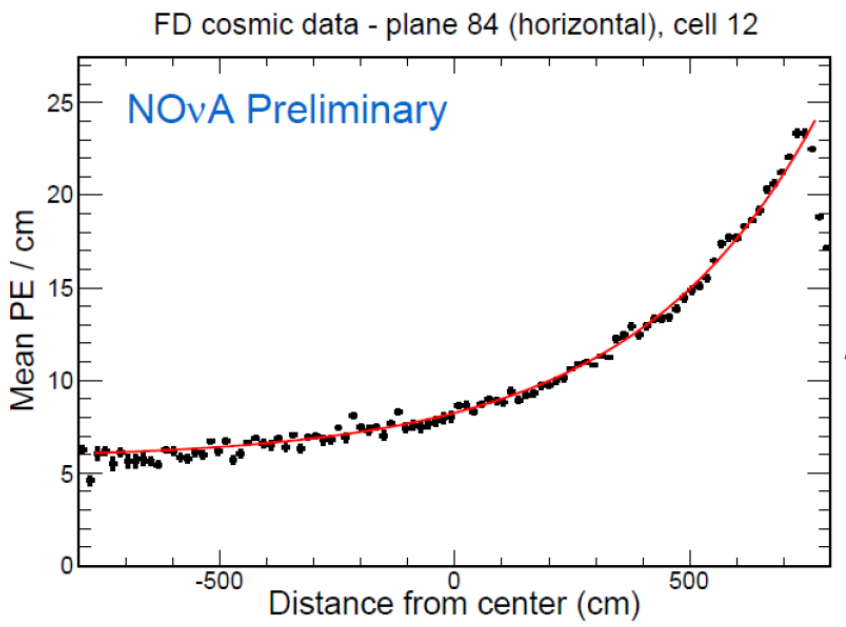
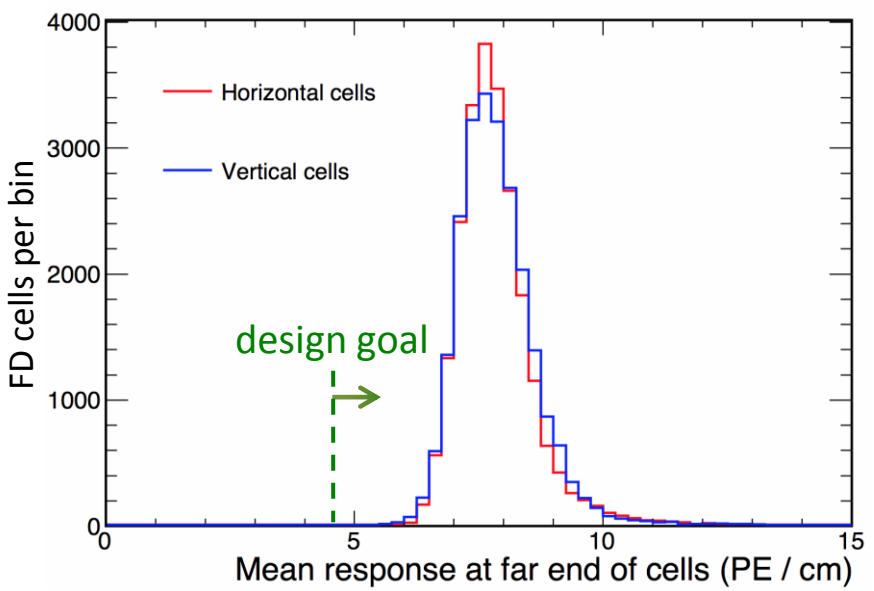
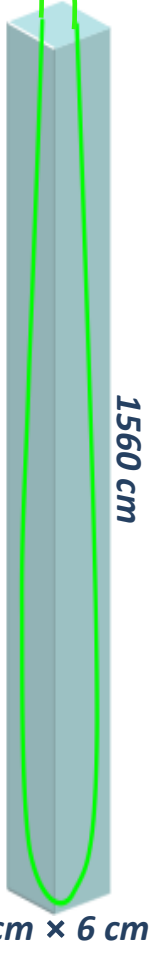
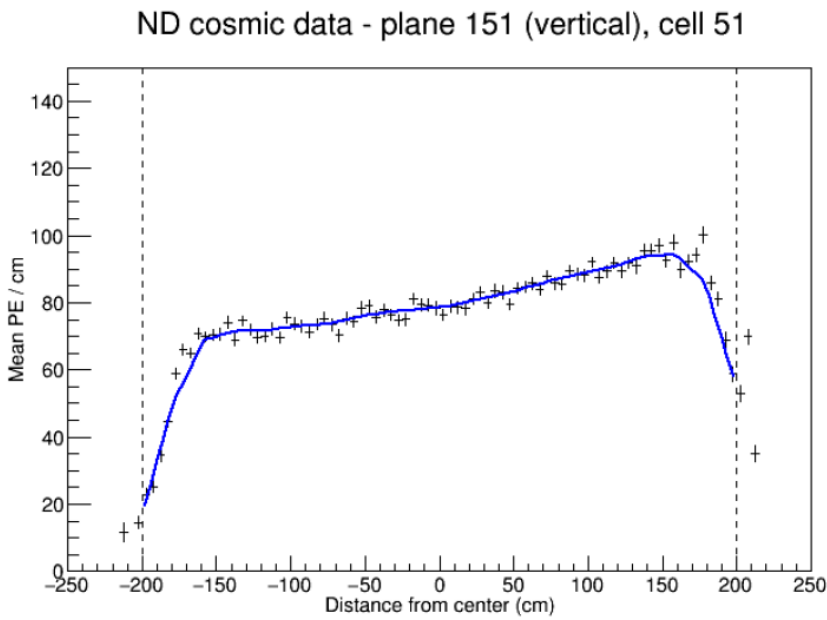
(simulated event)



# Calibration

To APD

- **Biggest effect** that needs correction is **attenuation** in the WLS fiber
- **Muons** (cosmic or  $\nu$ -induced) used to probe detector response
- **Light level requirements at end of cell are well met**

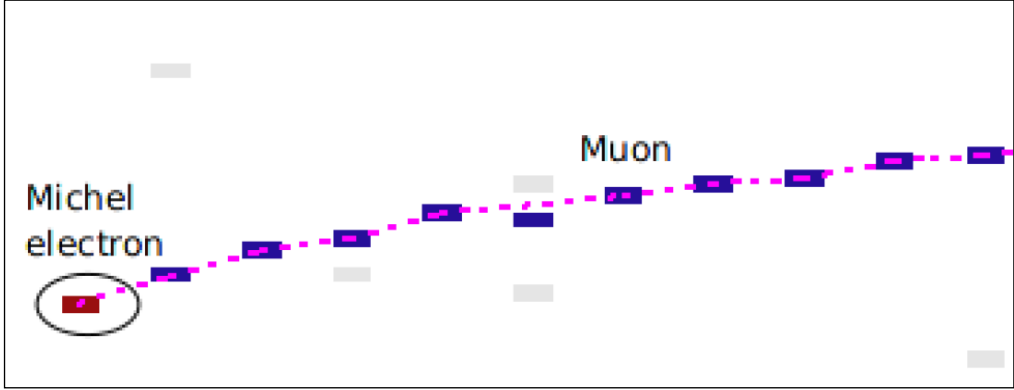


4 cm x 6 cm

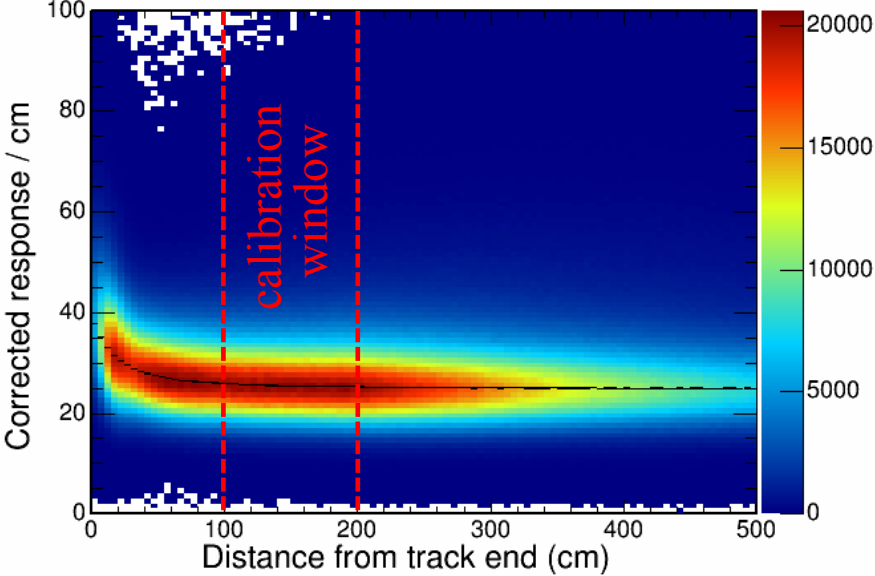
- Stopping muons provide a standard candle for setting the absolute energy scale

- Energy scale set using hits between 100 cm and 200 cm from end of muon tracks

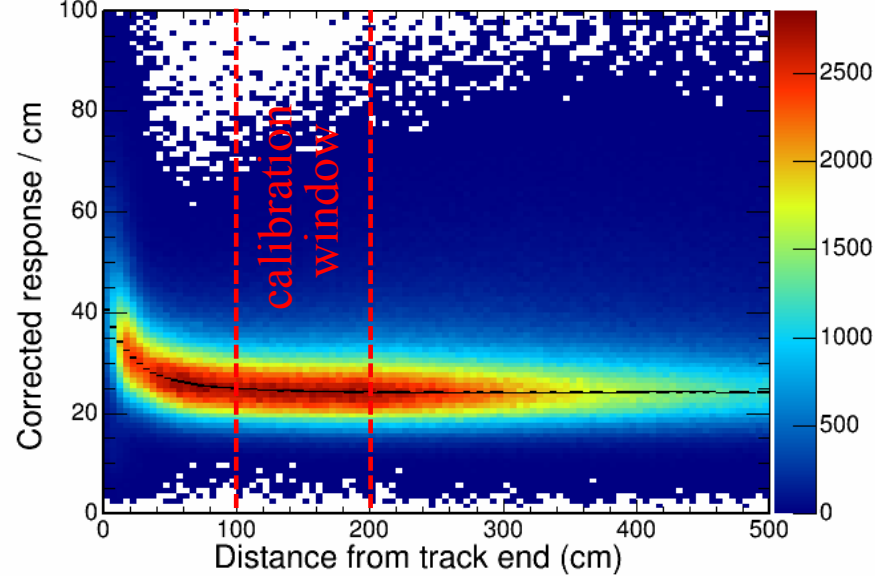
Michel electron tag yields very pure stopping muon sample



Far Detector Data



Far Detector Simulation



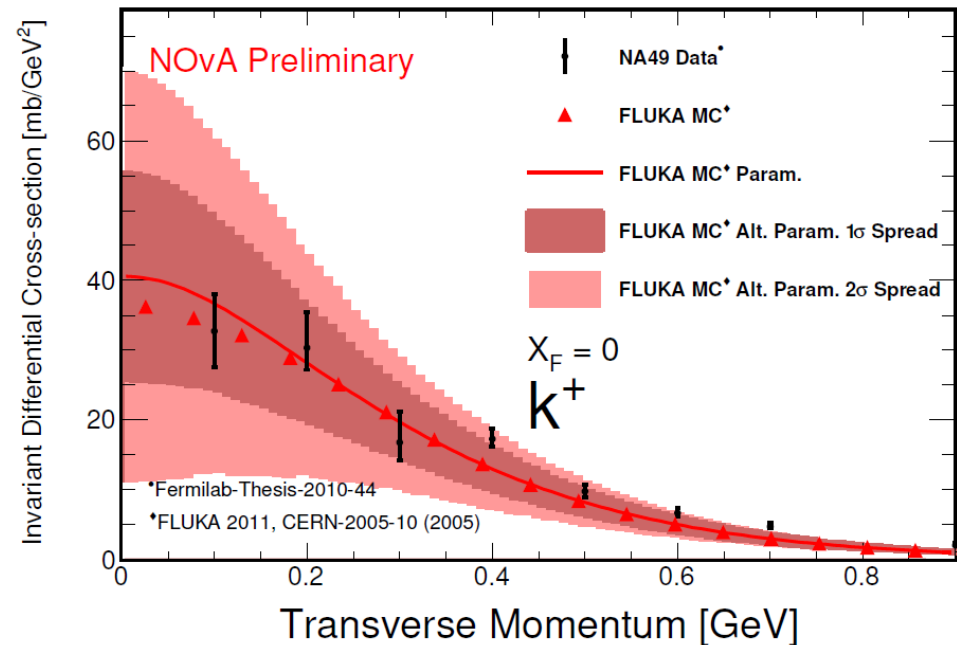
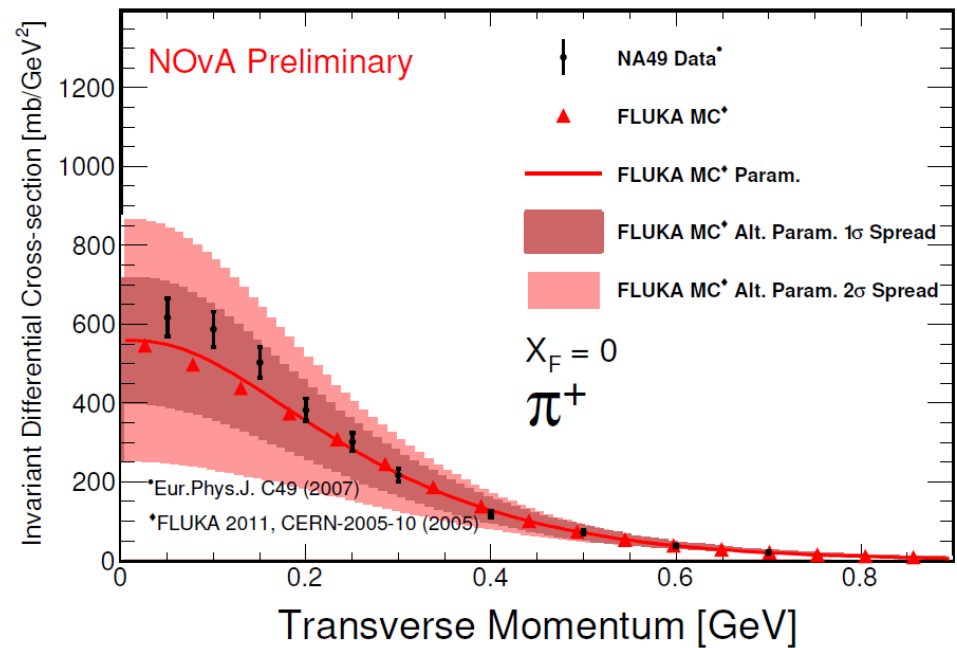
# NuMI flux simulation

Full beamline geometry implemented in FLUKA (11.2c.0) and FLUGG (2009\_3)

Uncertainties in hadron production based on NA49 data (*examples at right*)

Additional, lesser uncertainties assessed on beam transport model:

*horn current, horn position, skin depth, beam position, beam spot size, target position*



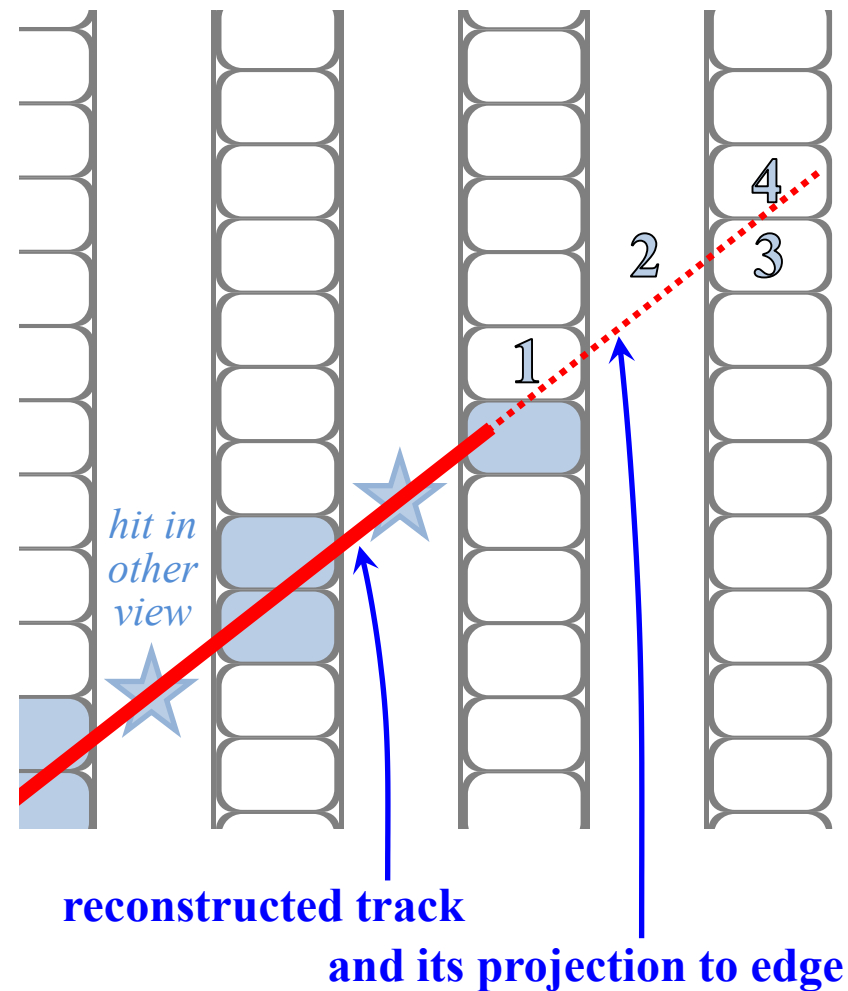
# $\nu_\mu$ CC containment

## Purpose:

- ensure all **energy is recorded**
- (ND) exclude muons from neutrino interactions in the **surrounding rock**
- (FD) remove most obvious incoming **cosmic rays**

## Require...

- no activity in **outermost two cells/planes**, and
- a minimum number of **un-hit cells along projection** to the wall  
→ *10 cells in Far Det., 4 (fwd) or 8 (bck) in Near Det.*

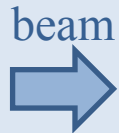
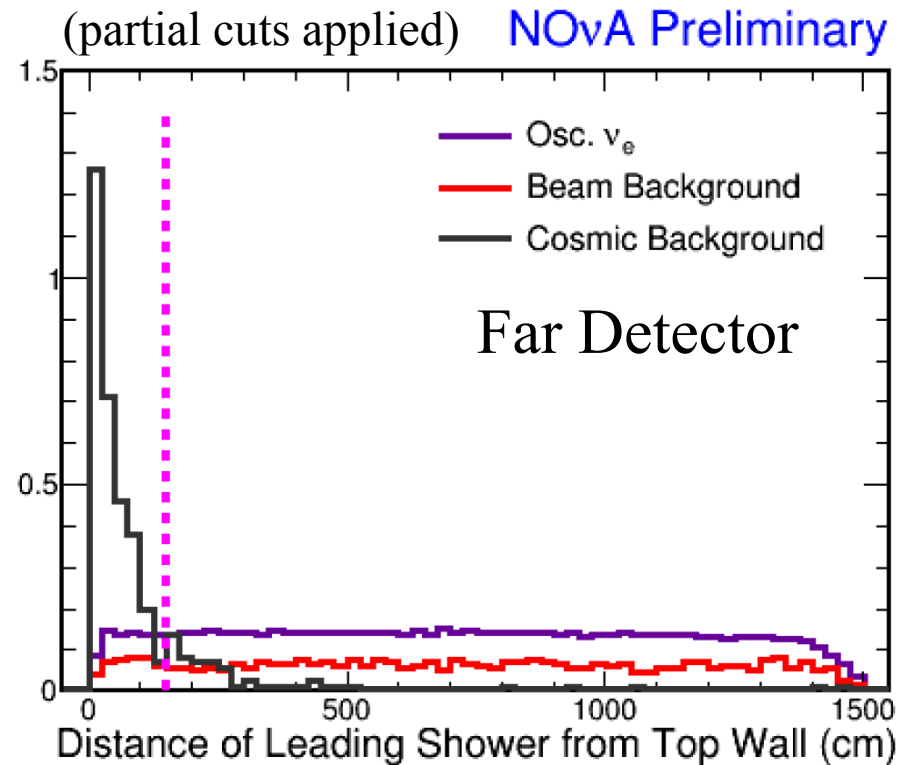


# $\nu_e$ CC containment

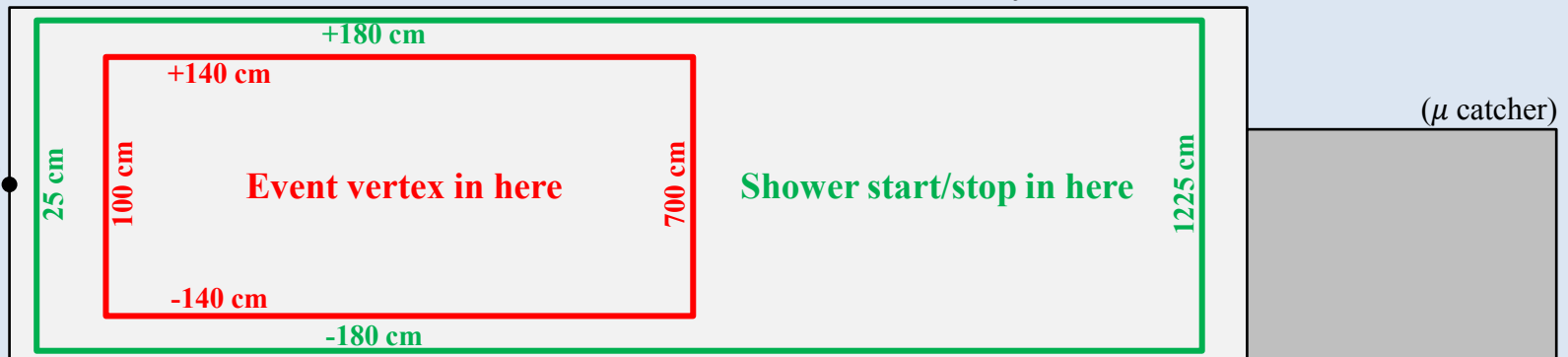
## Require...

- Event vertex in fiducial region
- Leading shower's endpoints not too close to walls

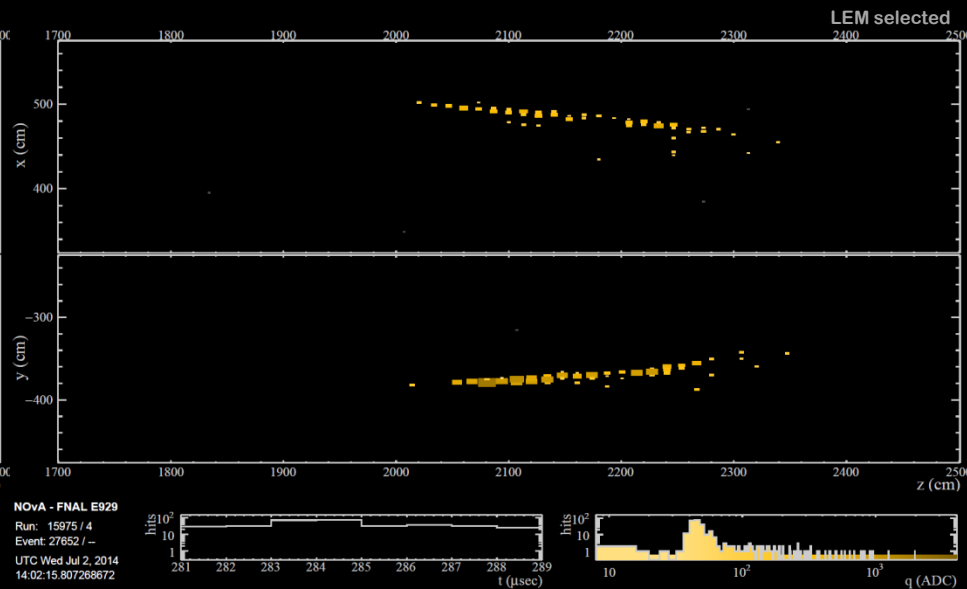
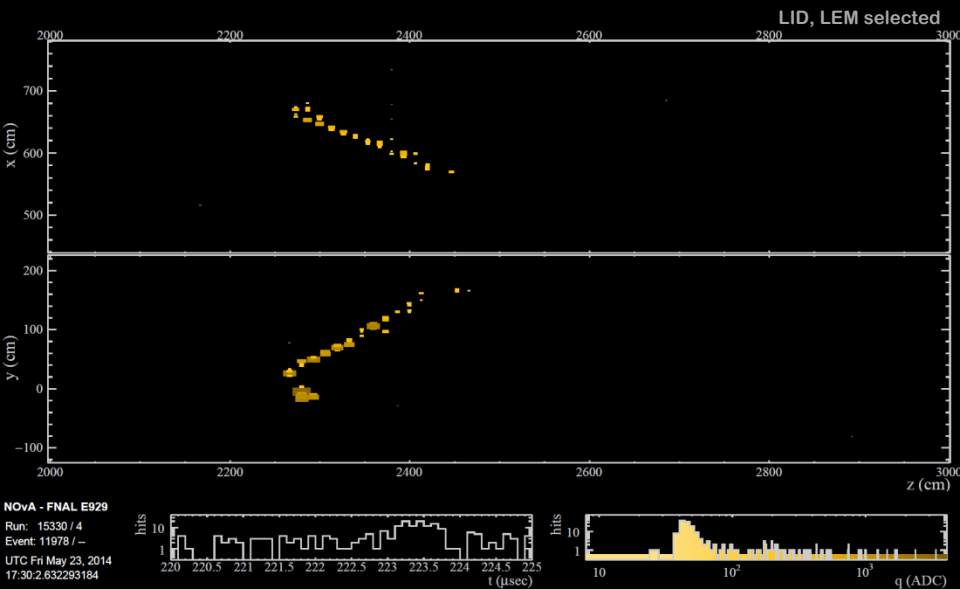
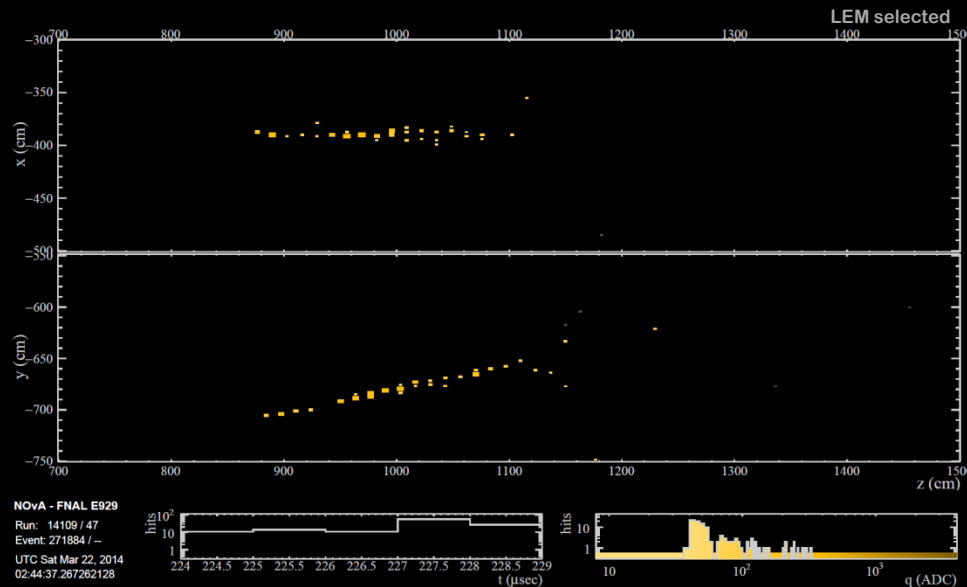
**Top and back walls** of Far Detector have largest required buffers  
(150 cm and 200 cm, respectively)

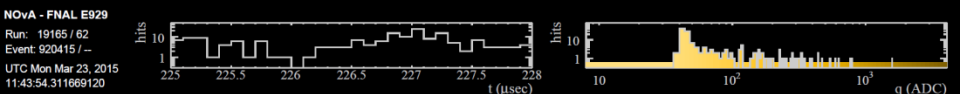
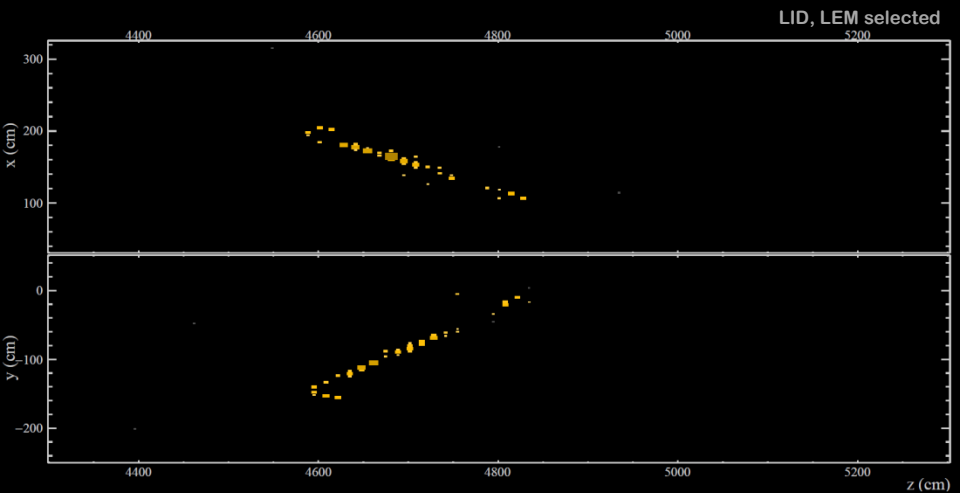
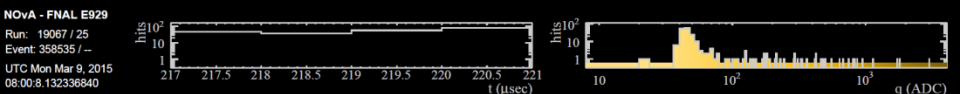
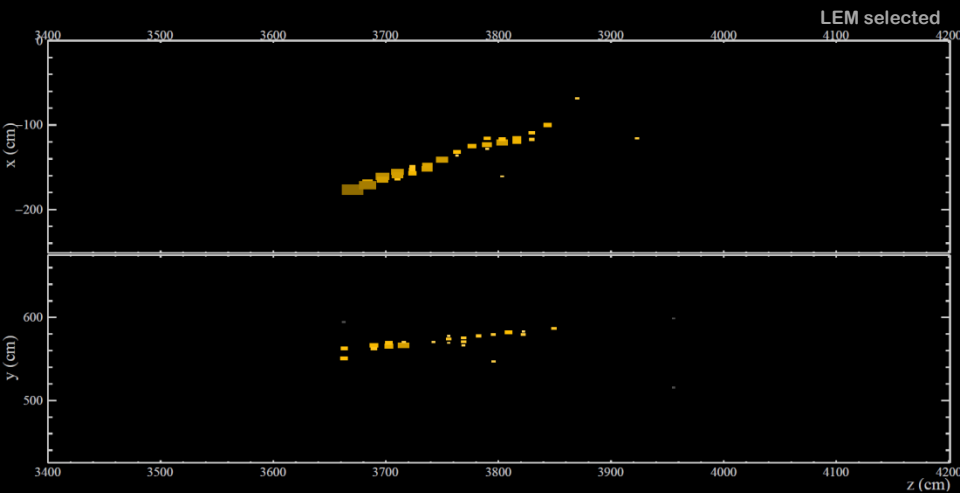
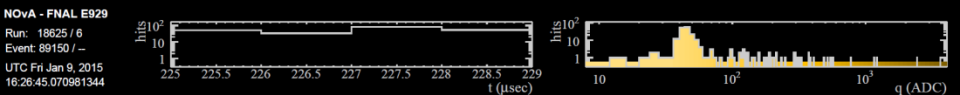
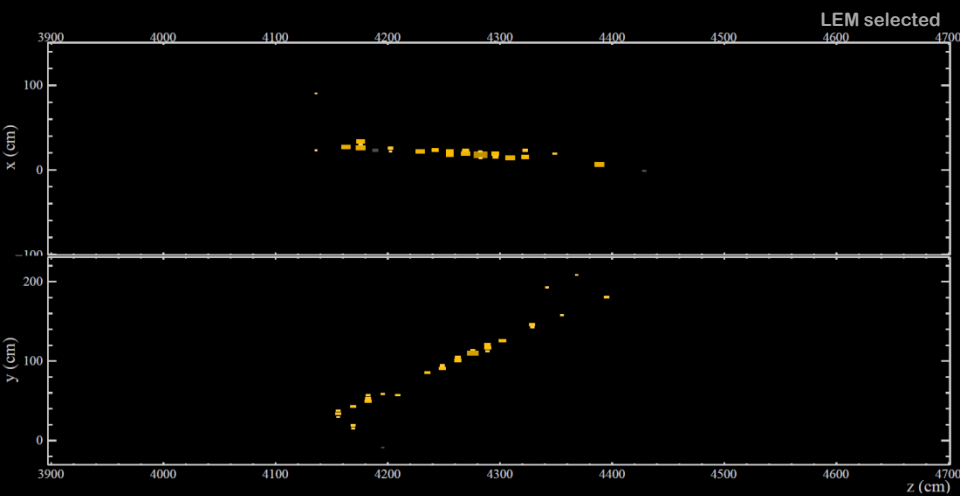
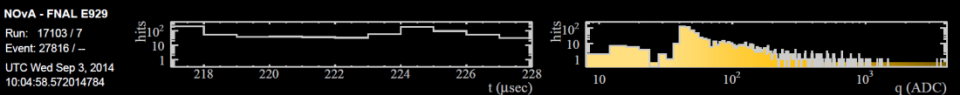
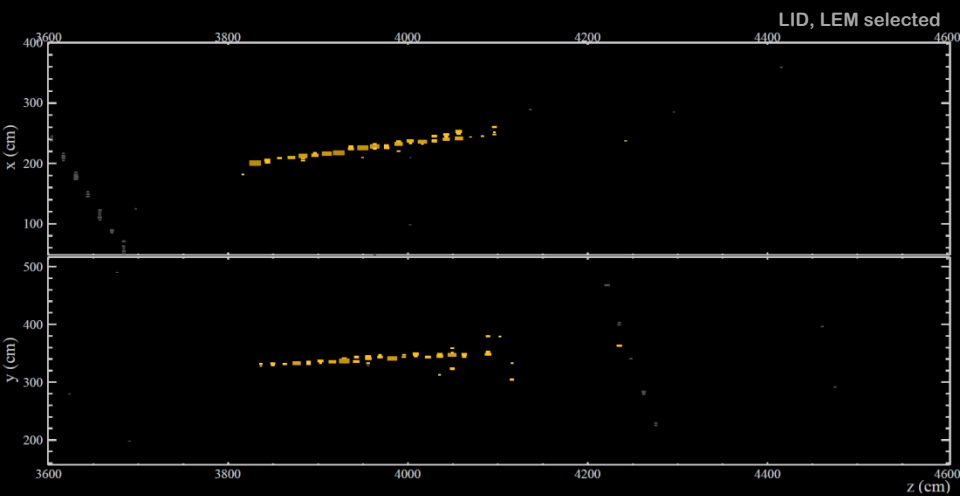


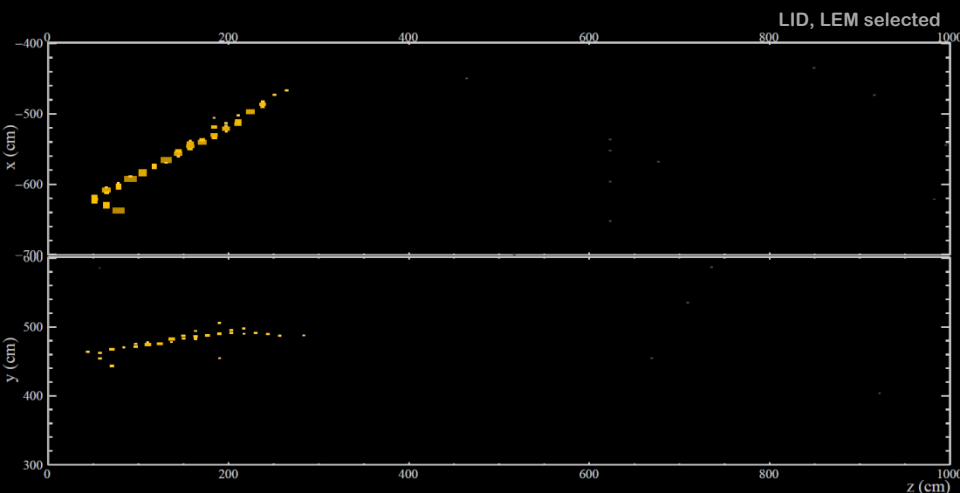
(0, 0)



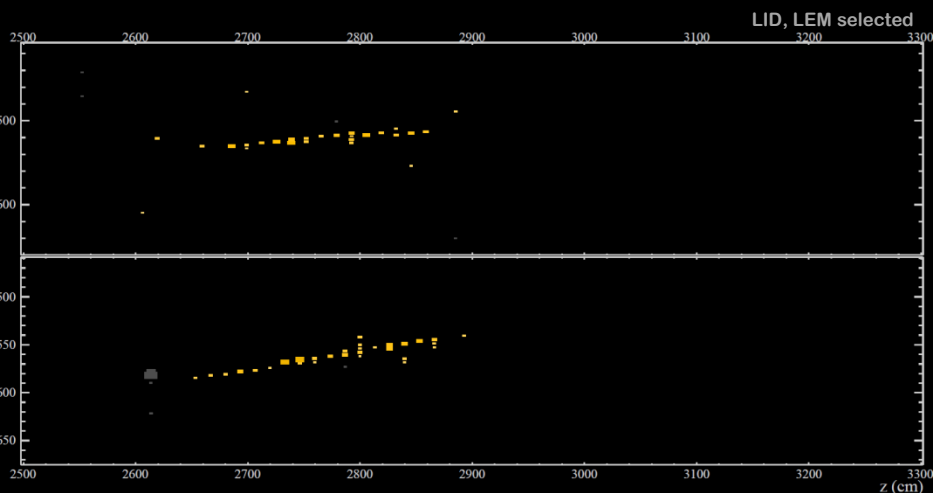
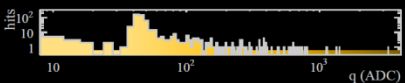
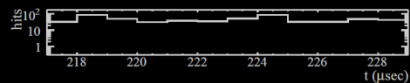




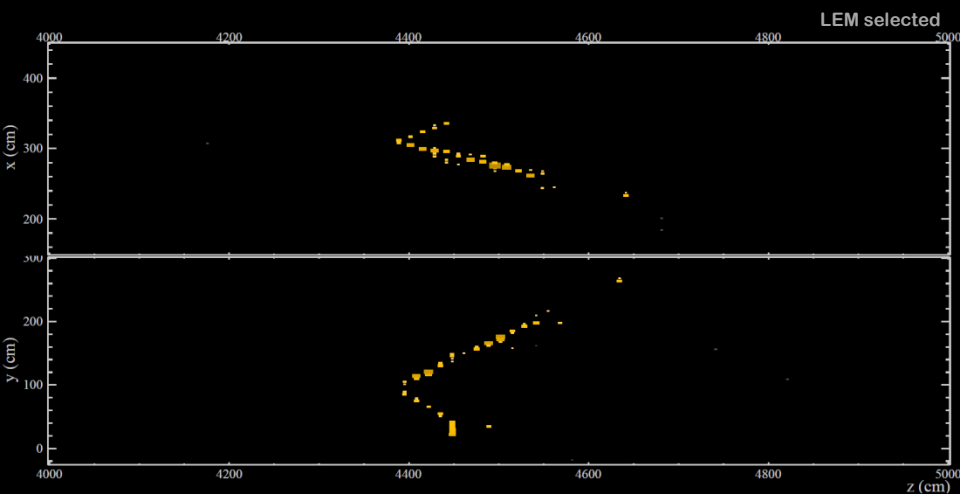
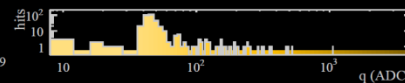
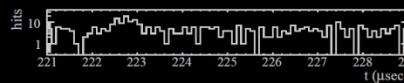




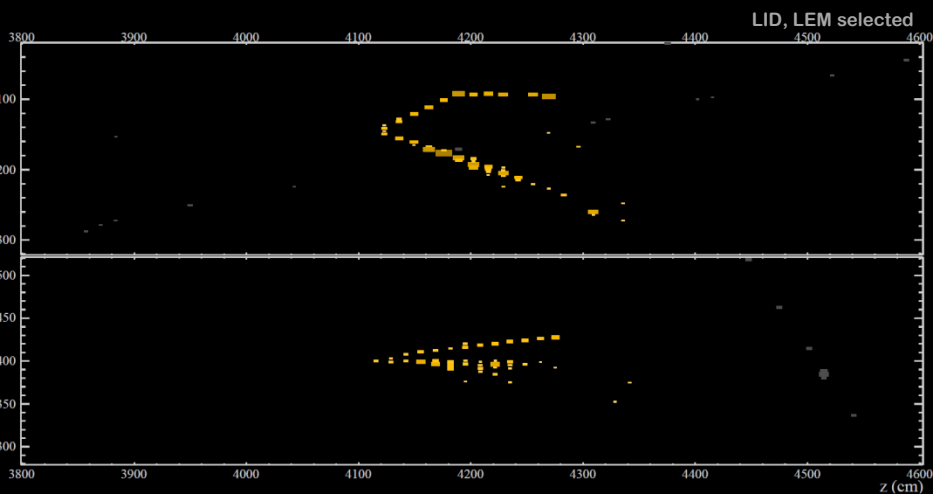
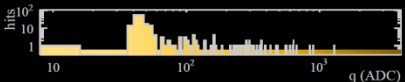
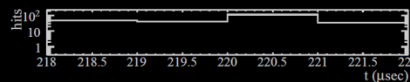
NOvA - FNAL E929  
 Run: 19193 / 13  
 Event: 188331 / --  
 UTC Fri Mar 27, 2015  
 09:44:53.281953920



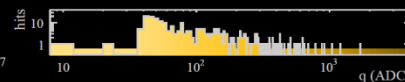
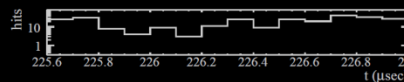
NOvA - FNAL E929  
 Run: 19264 / 26  
 Event: 369602 / --  
 UTC Sun Apr 5, 2015  
 08:50:3.954503552



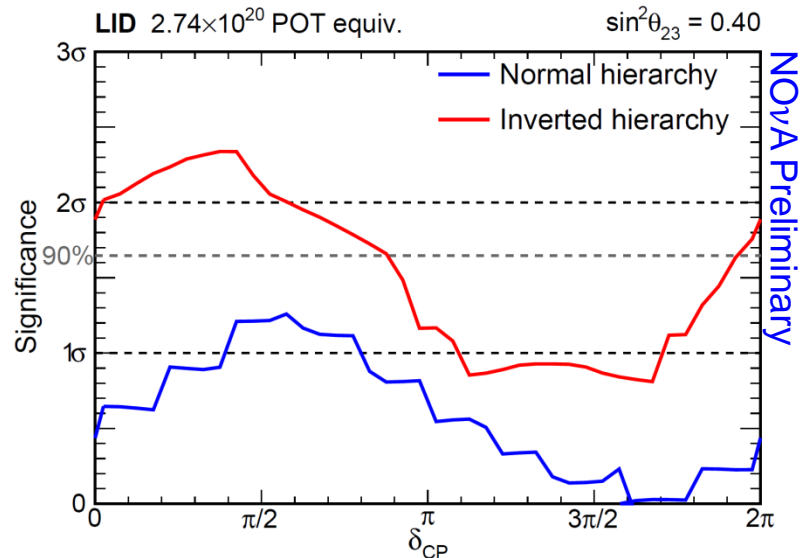
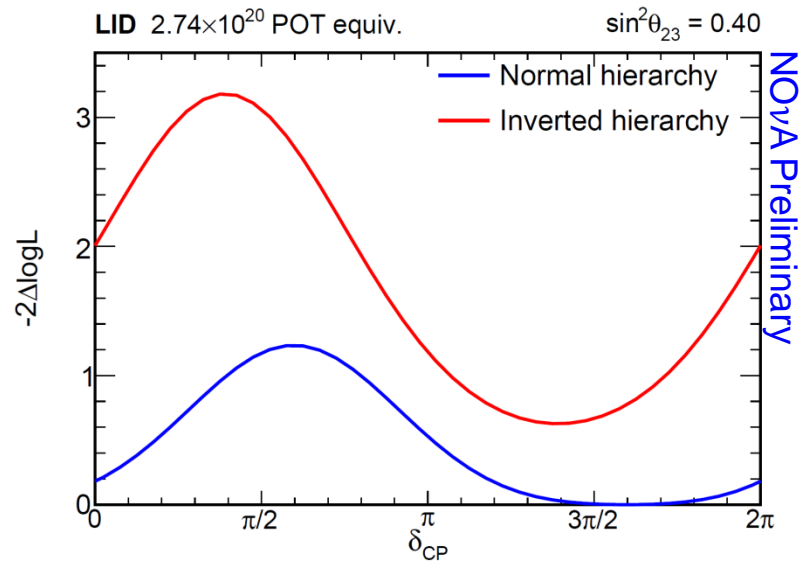
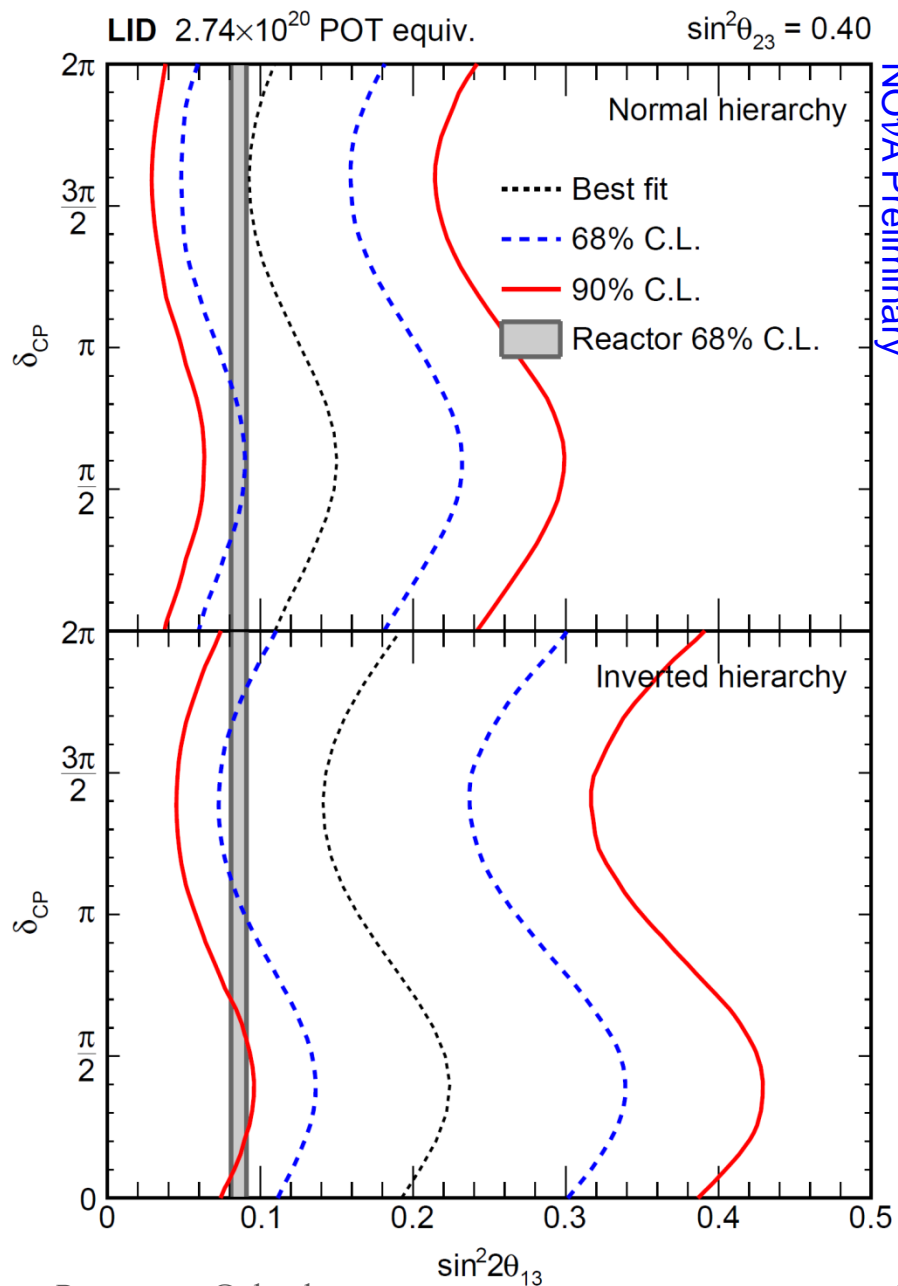
NOvA - FNAL E929  
 Run: 19381 / 10  
 Event: 142949 / --  
 UTC Fri Apr 17, 2015  
 12:42:58.701229120



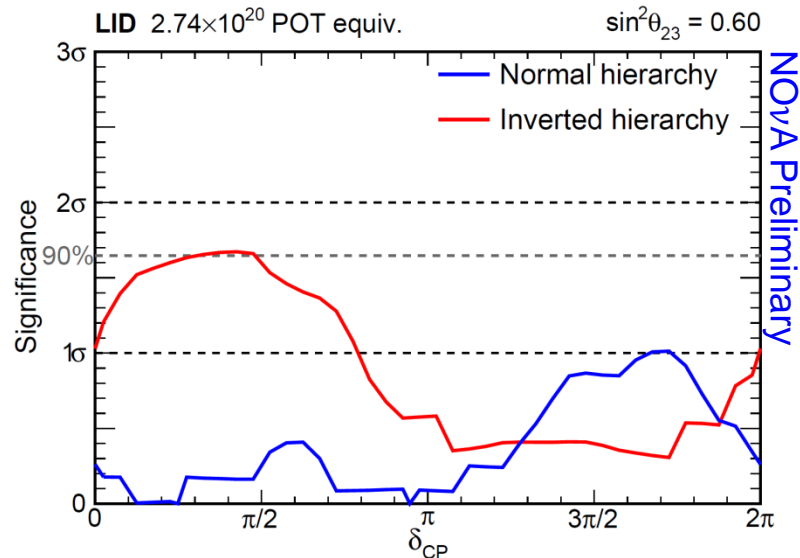
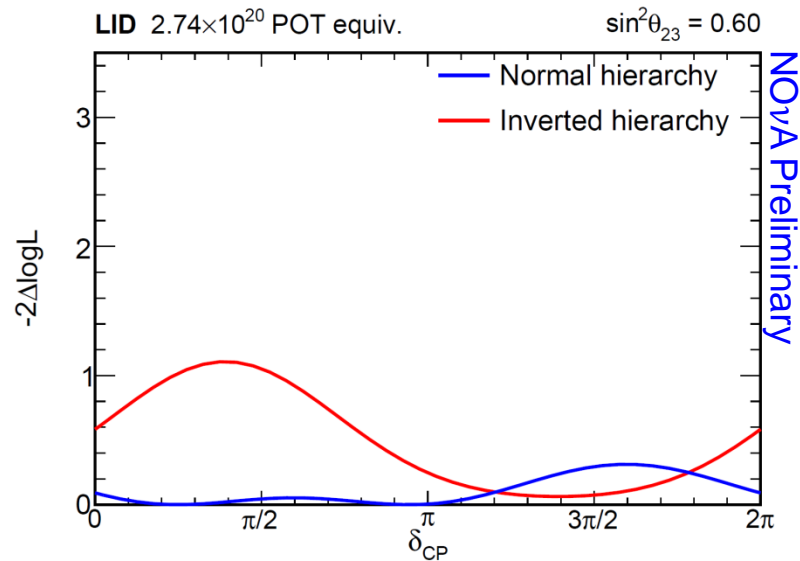
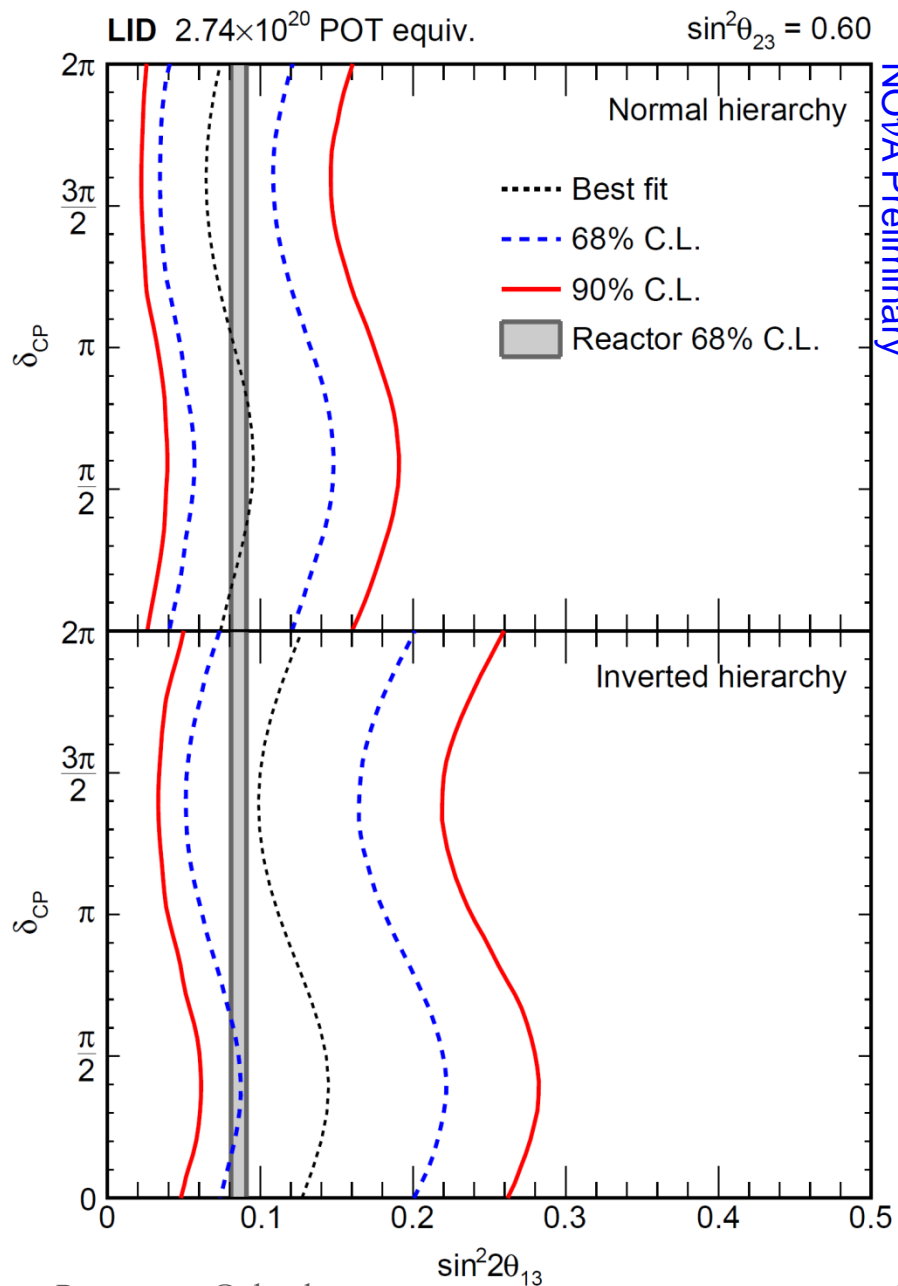
NOvA - FNAL E929  
 Run: 19578 / 5  
 Event: 96089 / --  
 UTC Thu May 14, 2015  
 17:55:39.044985484



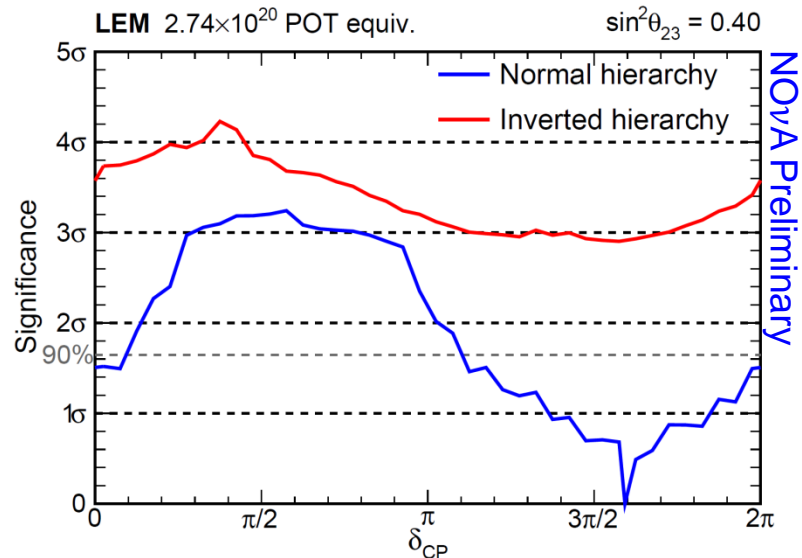
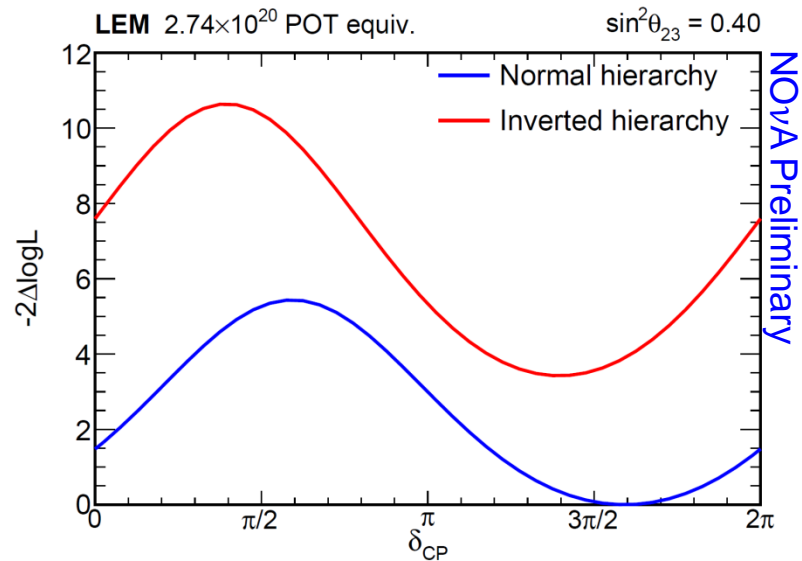
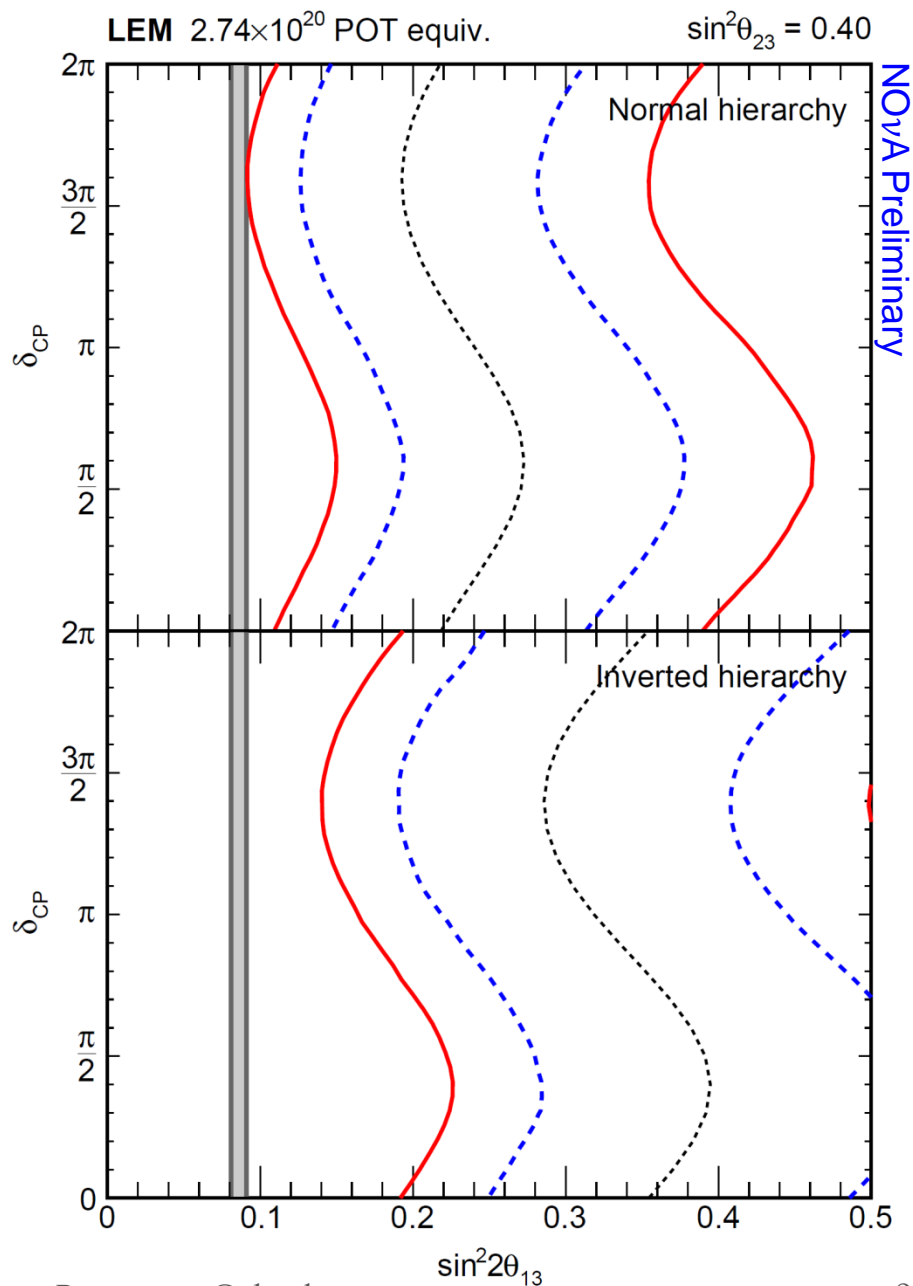
# LID: Fixing $\sin^2\theta_{23} = 0.4$



# LID: Fixing $\sin^2\theta_{23} = 0.6$



# LEM: Fixing $\sin^2\theta_{23} = 0.4$

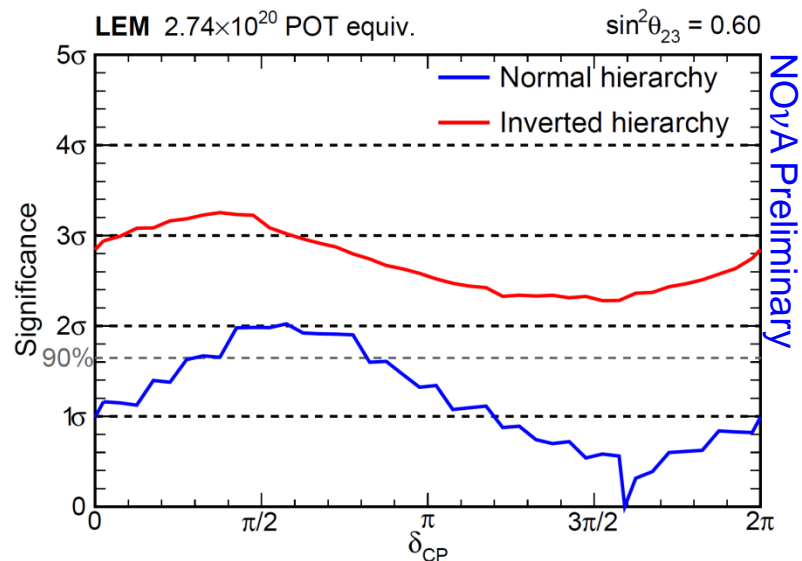
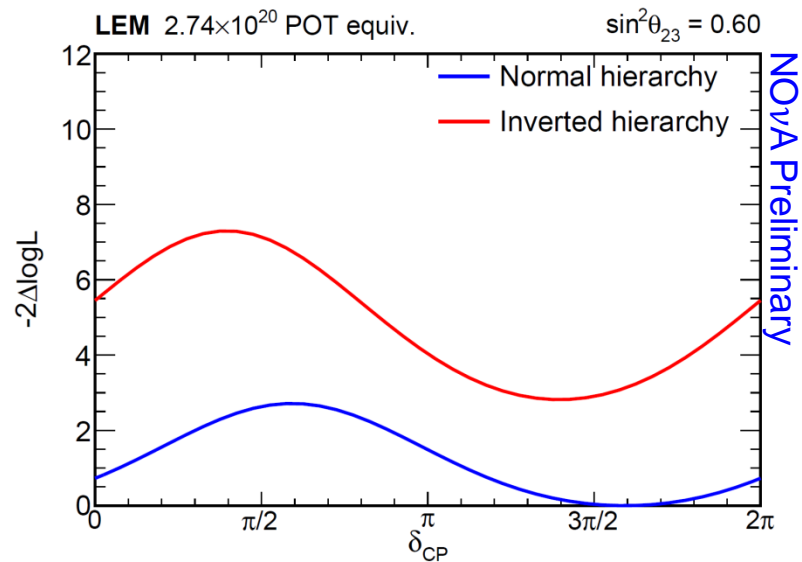
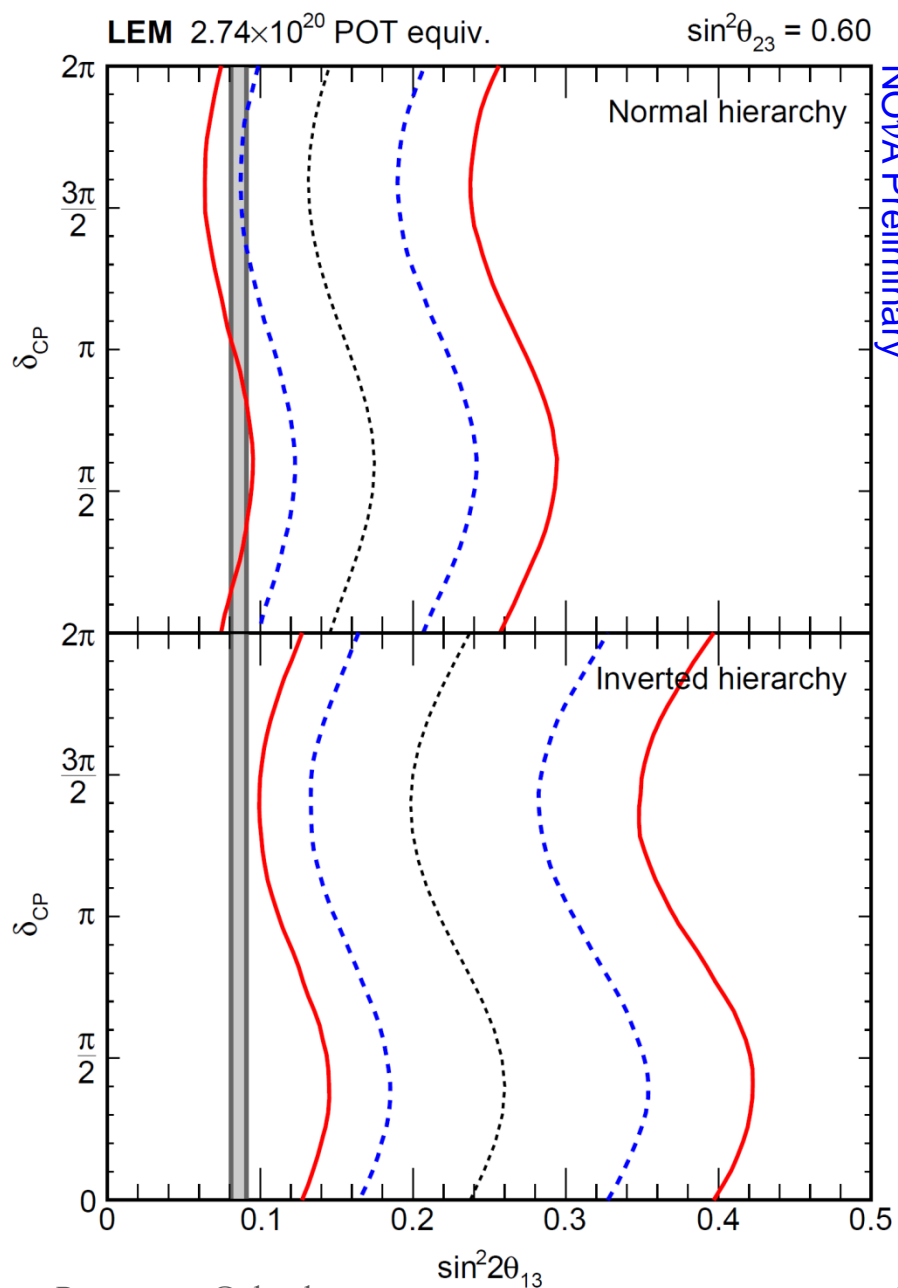


NOνA Preliminary

NOνA Preliminary

NOνA Preliminary

# LEM: Fixing $\sin^2\theta_{23} = 0.6$

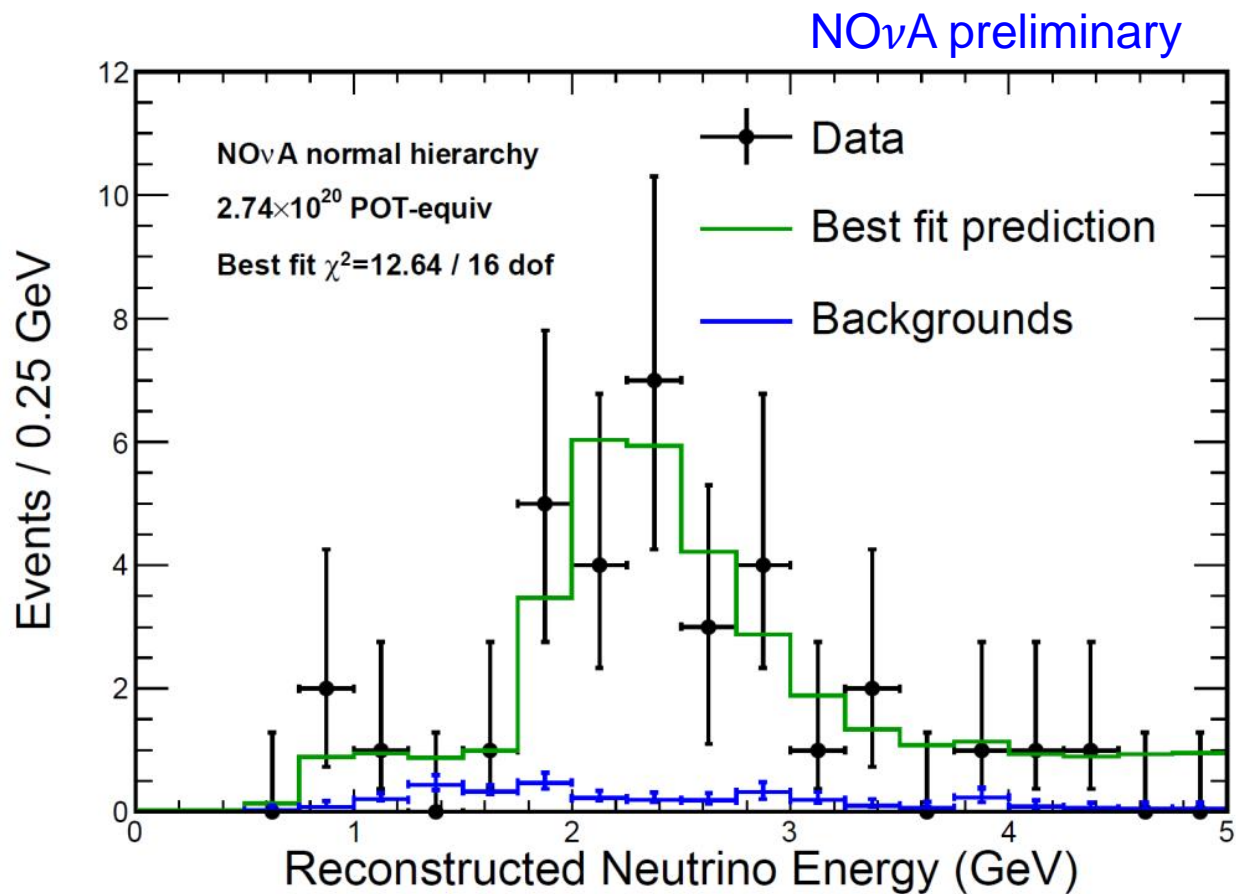


NOνA Preliminary

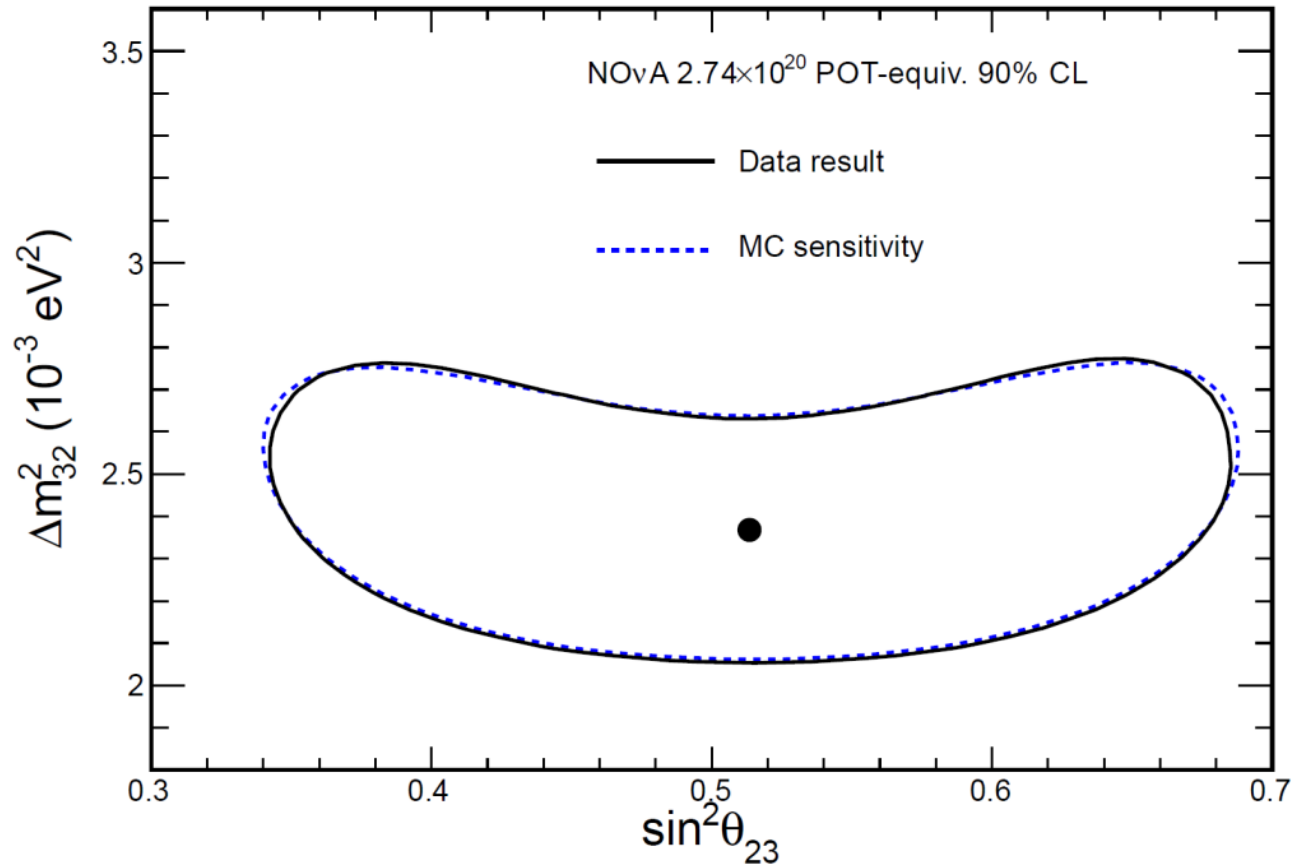
NOνA Preliminary

NOνA Preliminary

Zoomed view of  
Far Det.  $\nu_\mu$  CC  
energy spectrum







Comparison of NOvA disappearance result to the expected sensitivity for the same best-fit parameters.

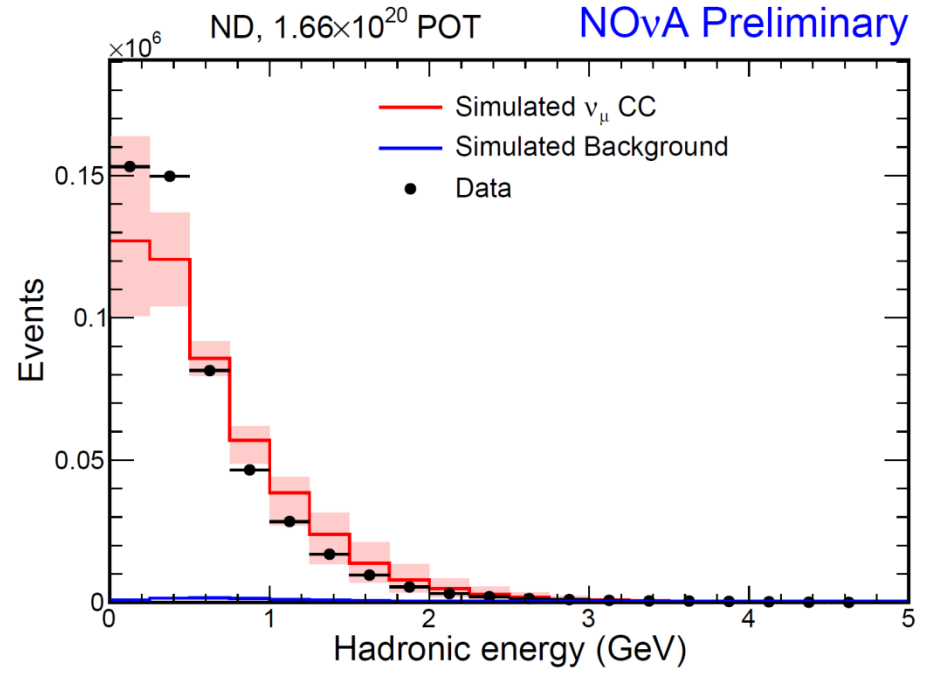
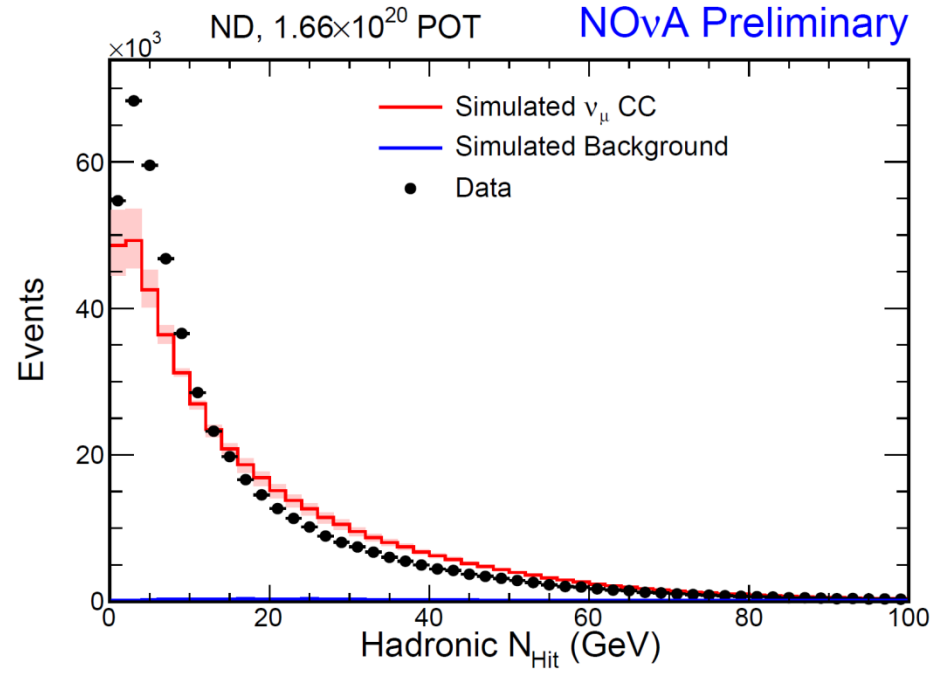
# $E_{\text{had}}$ modeling

*Right: Number of hits in hadronic showers for  $\nu_{\mu}$  CC candidates  
→ Too much activity in MC showers*

Discrepancy enters analysis ~only through  $E_{\text{had}}$  (and then  $E_{\nu}$ )

*Long term: Improve end-to-end modeling of hadronic shower production and propagation*

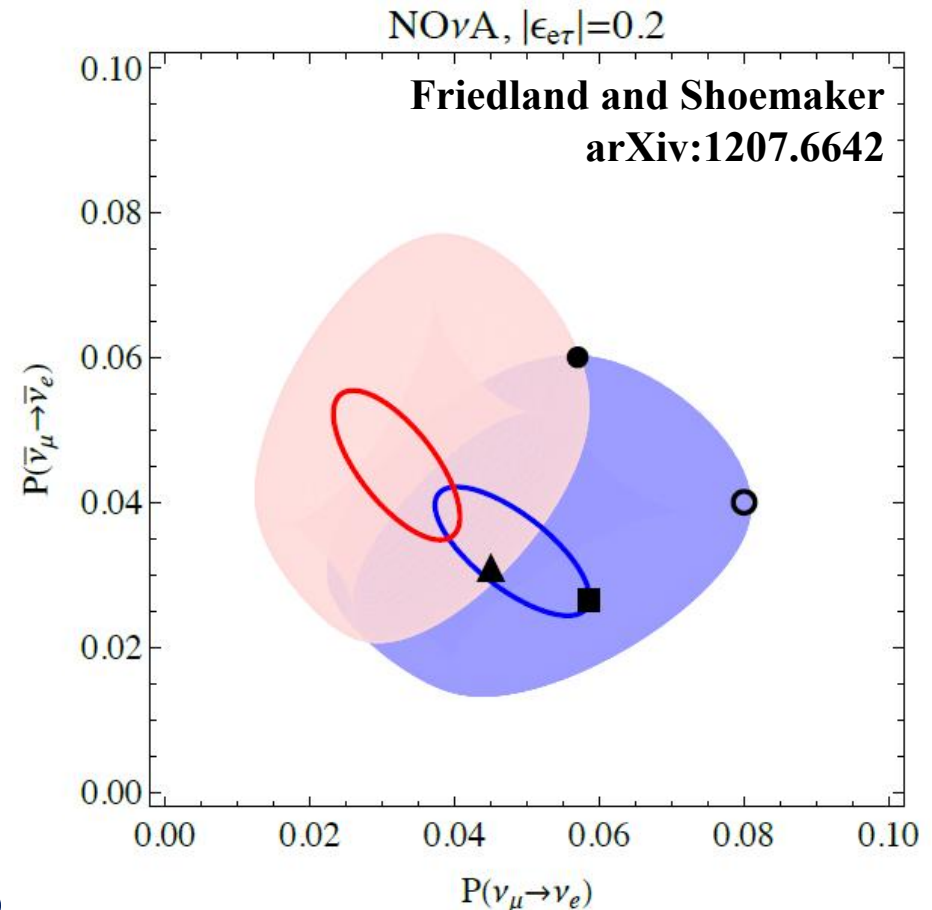
*Short term: Correct discrepancy directly via a shift in reconstructed  $E_{\text{had}}$ , and take the full shift as a systematic.*



# Other physics

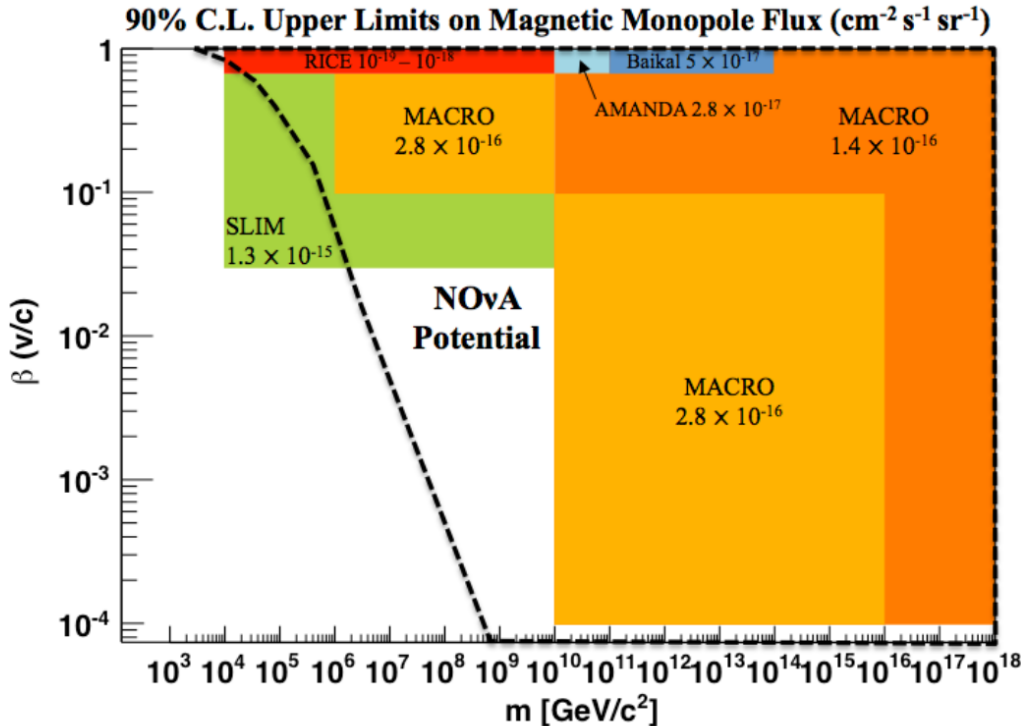
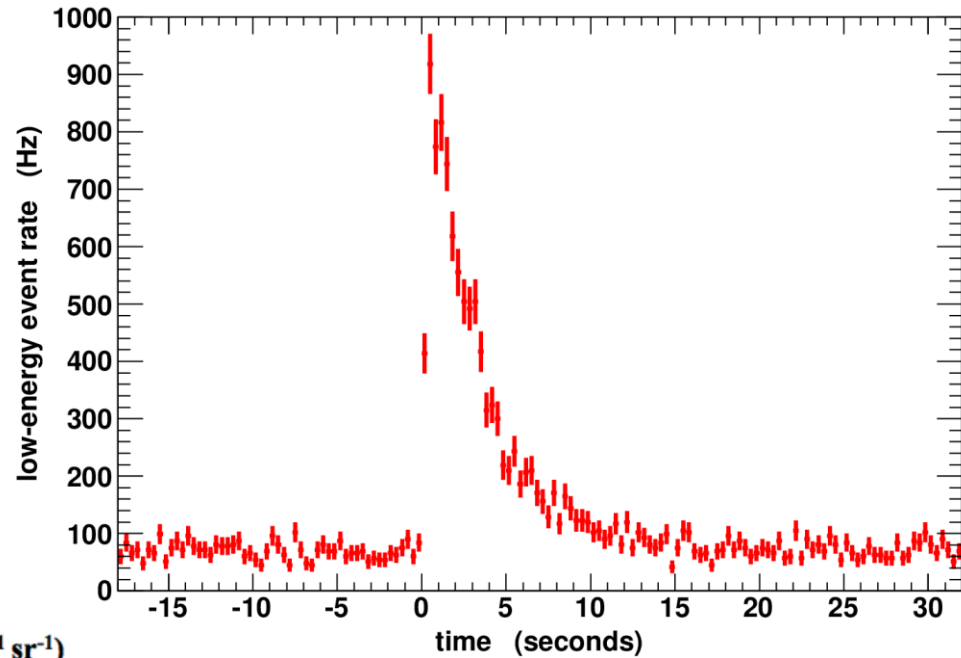
*A selection of measurements possible with NOvA outside of precision 3-flavor oscillation physics...*

- **Non-standard interactions**  
NOvA's long baseline provides new sensitivity, and appearance-mode couplings are largely unconstrained.
- **Neutrino/antineutrino disappearance comparisons**  
Search for few-percent differences in atmospheric oscillation parameters
- **Cross section measurements**  
 $\sim 10^8$  events in full exposure in the ND.  
ND analyses underway. (Two NDOS analyses already out.)



# Supernova neutrinos

Several thousand events in NOvA for a supernova in our galaxy.  
 (Some DAQ development still on-going for this.)



## Monopole searches

Strong ionization signal from any magnetic monopoles that might pass through. (Trigger is operational.)

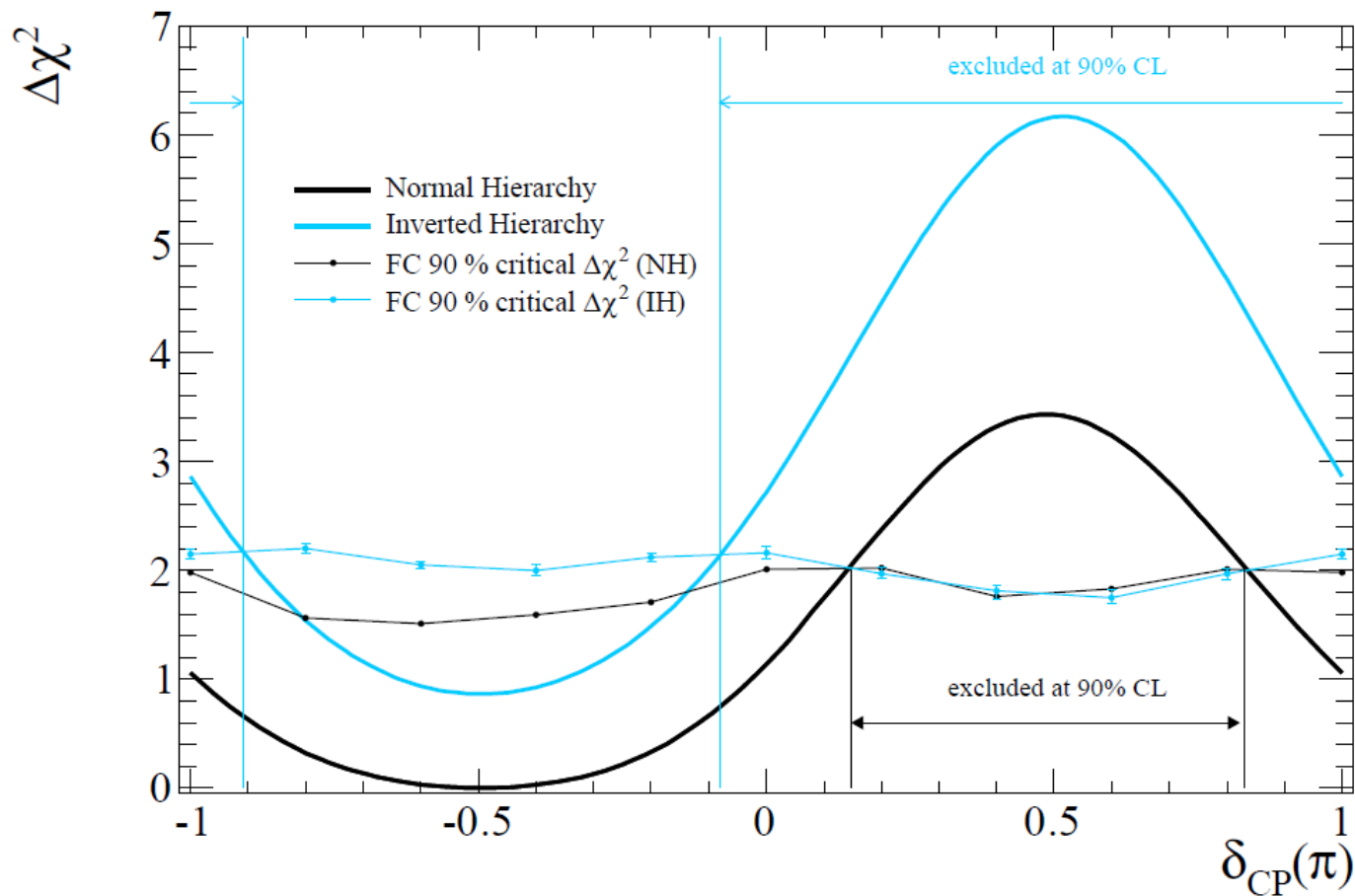


FIG. 37: Profiled  $\Delta\chi^2$  as a function of  $\delta_{CP}$  with the results of the critical  $\Delta\chi^2$  values for the normal and inverted hierarchies for the joint fit with reactor constraint, with the excluded regions found overlaid.