

Probing Neutrino Oscillations at The NOvA Experiment

55th Annual Fermilab Users Meeting

Adam Lister, on behalf of the NOvA Collaboration

University of Wisconsin – Madison

16 June 2022

Neutrino Oscillations

Neutrinos mix...

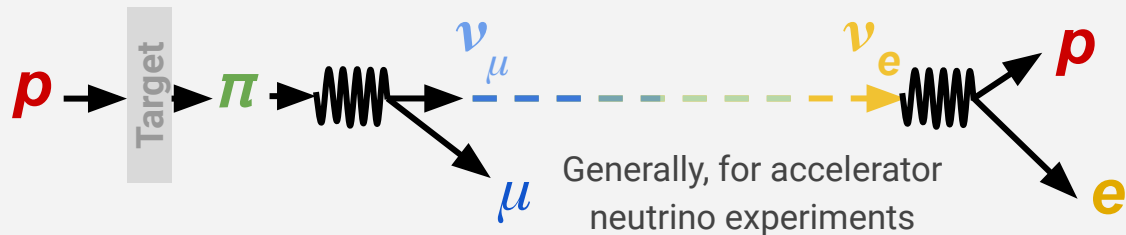
$$|\nu_\alpha\rangle = \sum_i U_{\alpha i}^* |\nu_i\rangle$$

Flavour eigenstates (blue arrow pointing to $|\nu_\alpha\rangle$)

Mixing Matrix (orange arrow pointing to $U_{\alpha i}^*$)

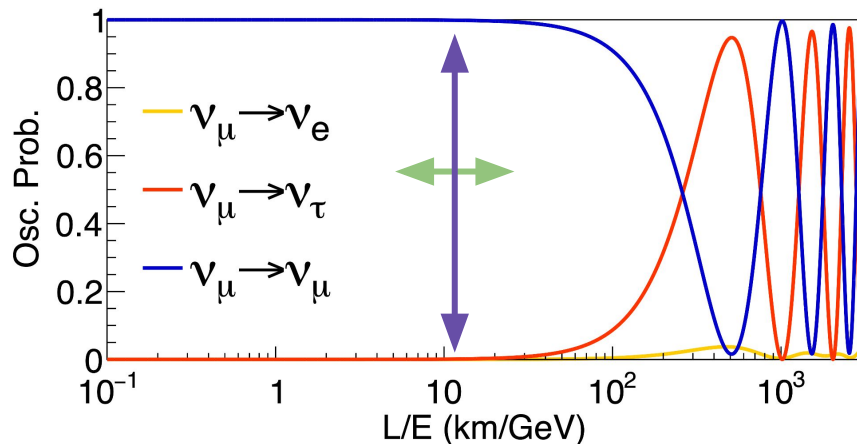
Mass eigenstates (green arrow pointing to $|\nu_i\rangle$)

...and oscillate between different flavours!



The **three-flavour** model of neutrino oscillations (ν_e, ν_μ, ν_τ) has been very successful

- $\Delta m_{21}^2, \Delta m_{32}^2$ control **frequency**
 - $\theta_{12}, \theta_{13}, \theta_{23}$ control **magnitude**
 - δ_{CP} controls **ν - $\bar{\nu}$ differences**
 - L (baseline)
 - E (neutrino energy)
- Experimental choices!

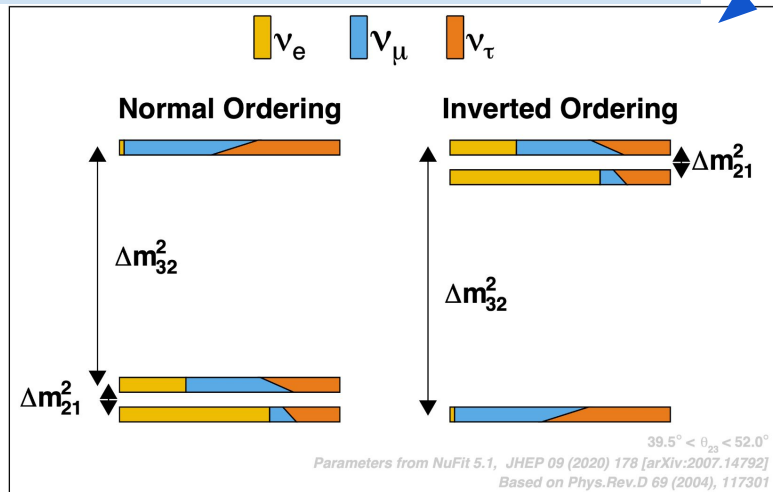


Open Questions

What is the Neutrino Mass Ordering?

Normal or inverted?

Implications for $\nu 0\beta\beta$, cosmology!

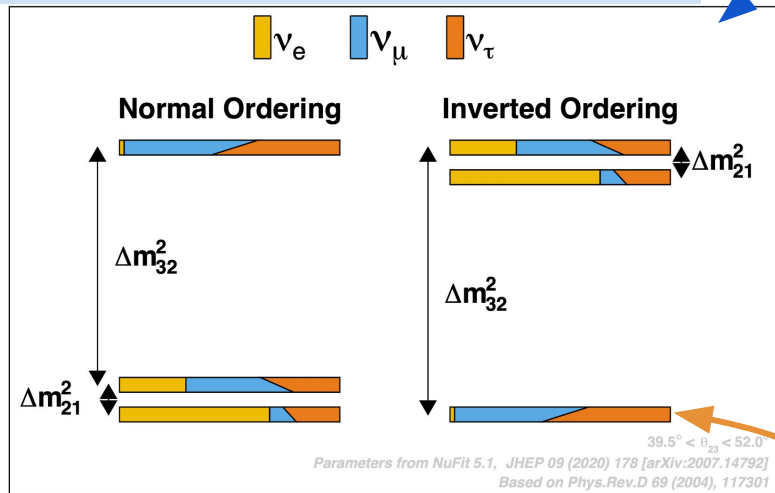


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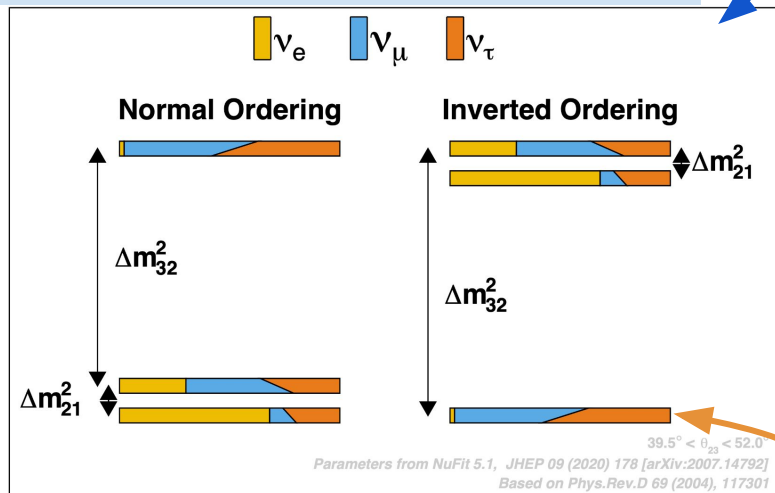
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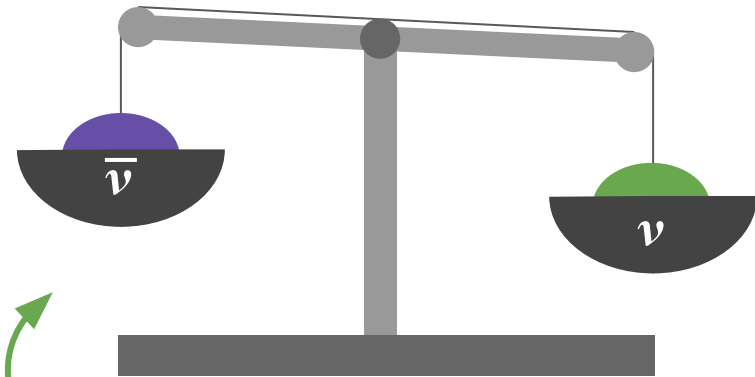
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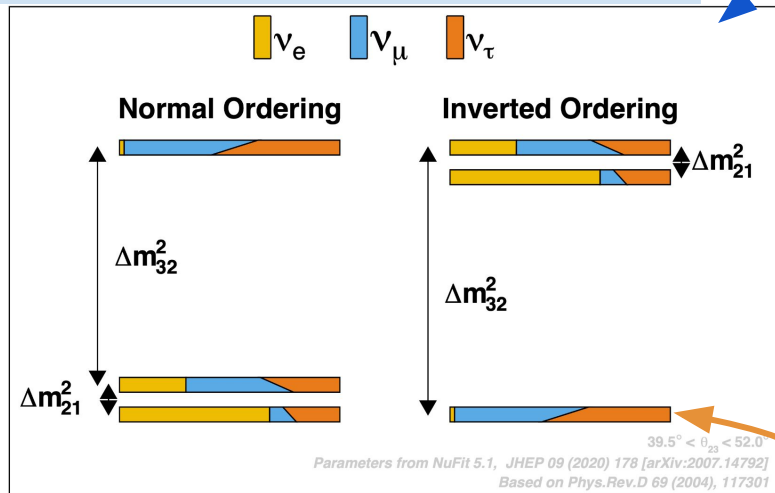
Is CP violated?

Non-conservation of CP important for matter-antimatter asymmetry

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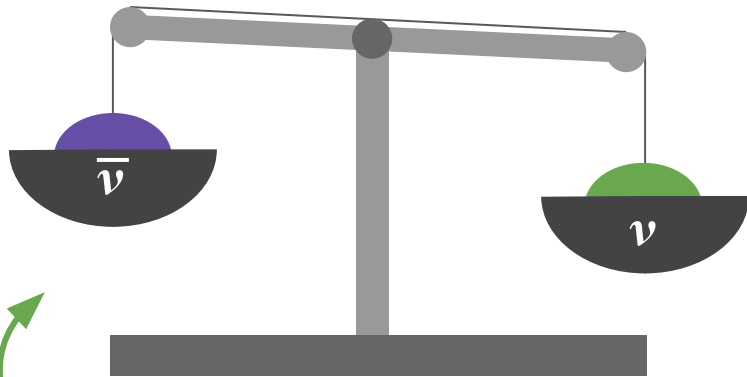


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Is three-flavour the full picture?
Additional neutrino states?
Non-standard interactions?



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In this talk

NOvA's oscillation analyses can probe these open questions!

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New Bayesian treatment of NOvAs three-flavour analysis

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Non-standard interactions?

A new search for sterile neutrinos in the NOvA data

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An Introduction to The NOvA Experiment



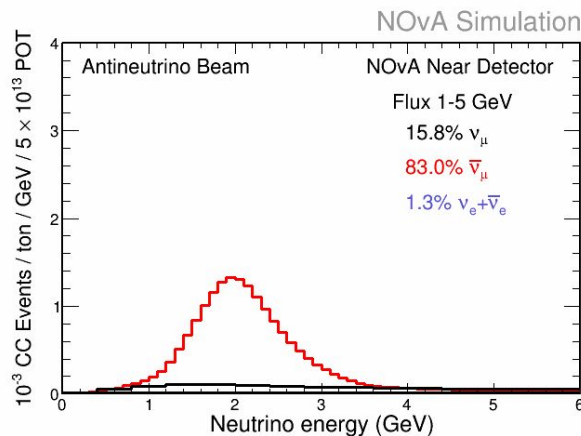
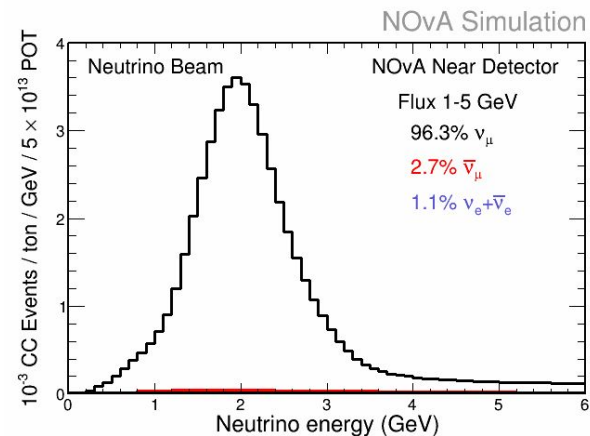
The NOvA Experiment

NOvA is a long baseline neutrino experiment.

- **Near Detector** ~1 km, **Far Detector** ~810 km
- Detectors **14 mrad off-axis** of the NuMI beamline
 - Neutrino energy peaked around **2 GeV**

Collected 37×10^{20} protons-on-target (**Thank you Fermilab!**)

Used in these analyses: **13.6×10^{20}** POT ν -beam data
 12.5×10^{20} POT $\bar{\nu}$ -beam data

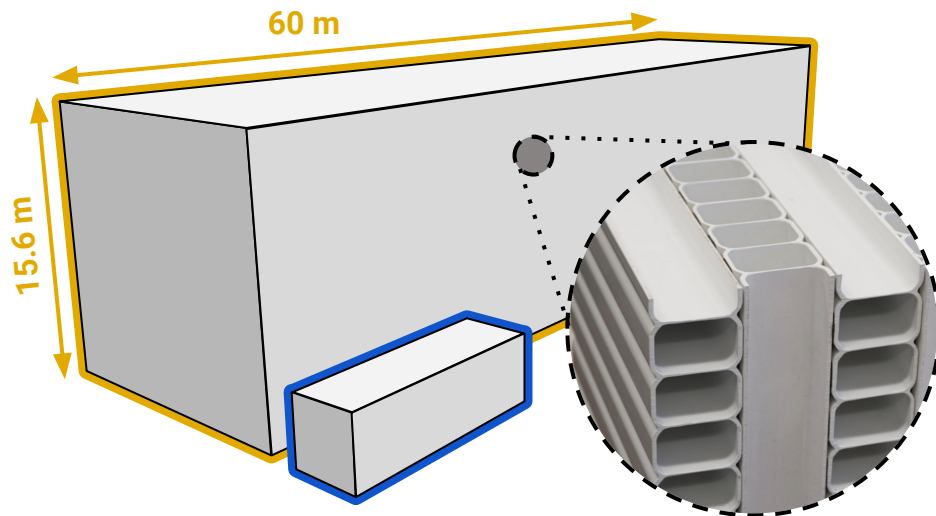


The NOvA Detectors

★ At New Perspectives:
"NOvA in 10 Minutes" - Maria Manrique Plata

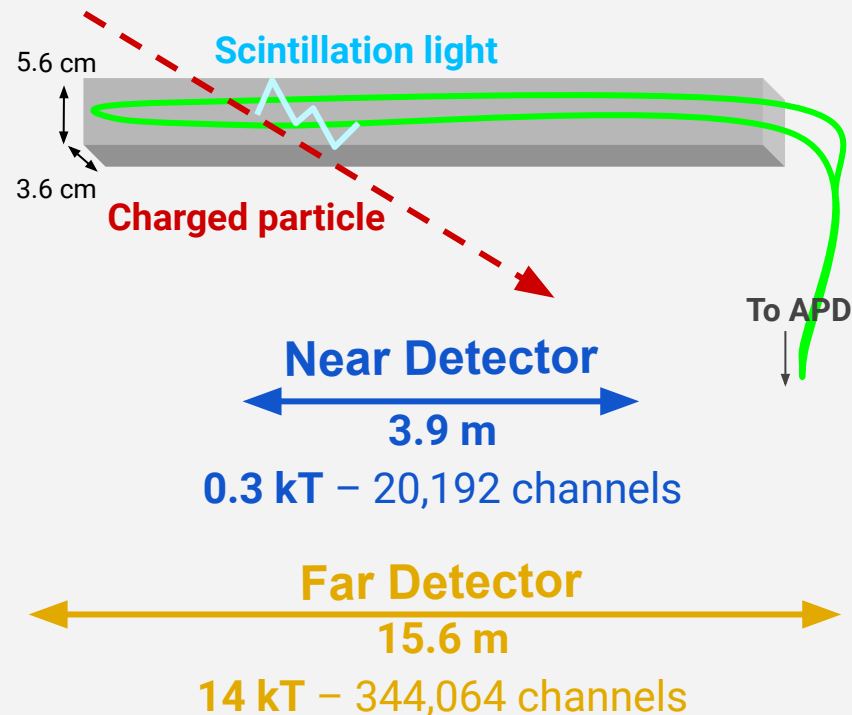
Functionally identical **Near** and **Far** detectors

- Segmented tracking calorimeters
- Extruded PVC cells filled with liquid scintillator



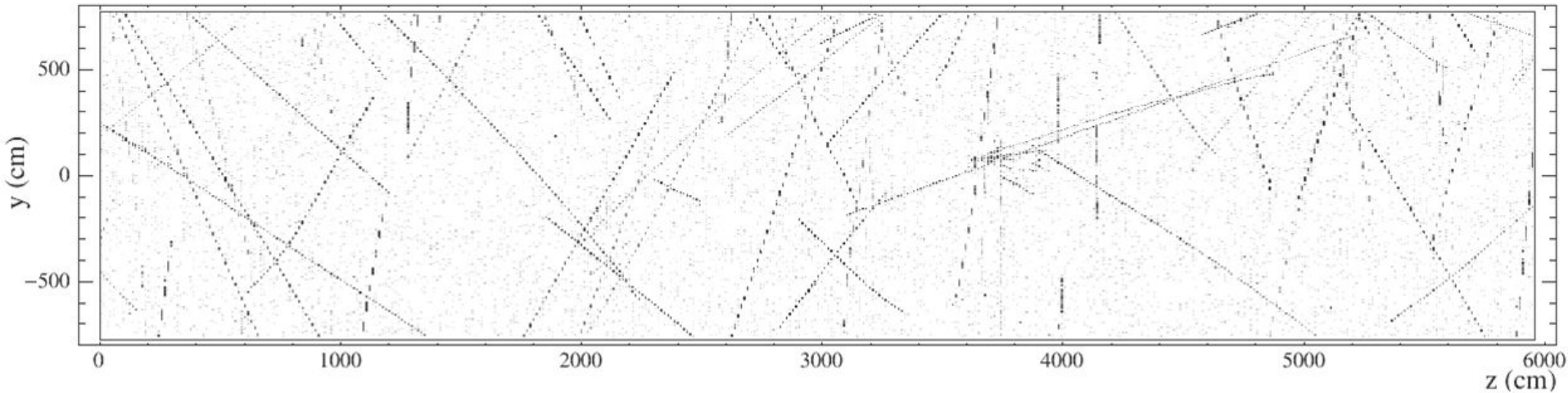
Alternating plane orientation → **two views per event**

Cells! The Building Block of NOvA



Neutrino Interactions in NOvA

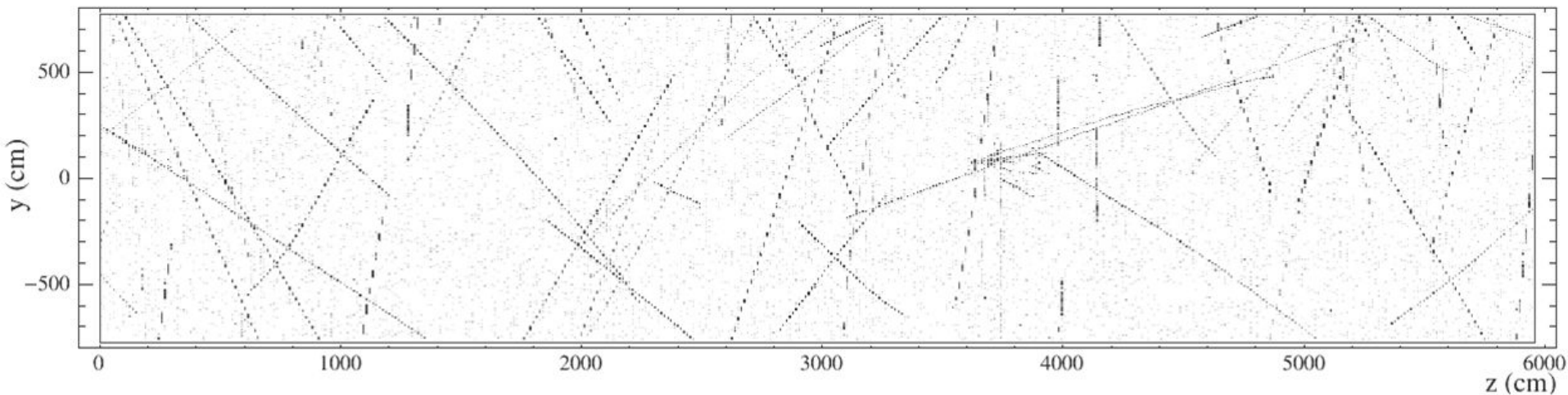
The NOvA detectors are optimised for surface running in a 2 GeV beam!



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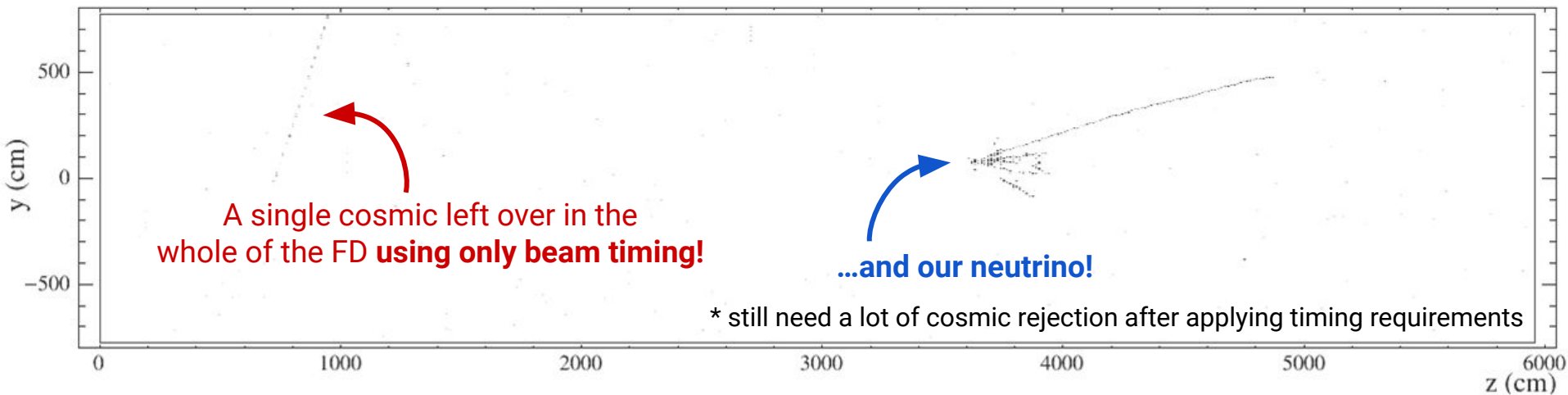
- Have ~10 ns timing
 - Select ν from overwhelming cosmic backgrounds (FD)
 - Separate ~10s ν per spill (ND)



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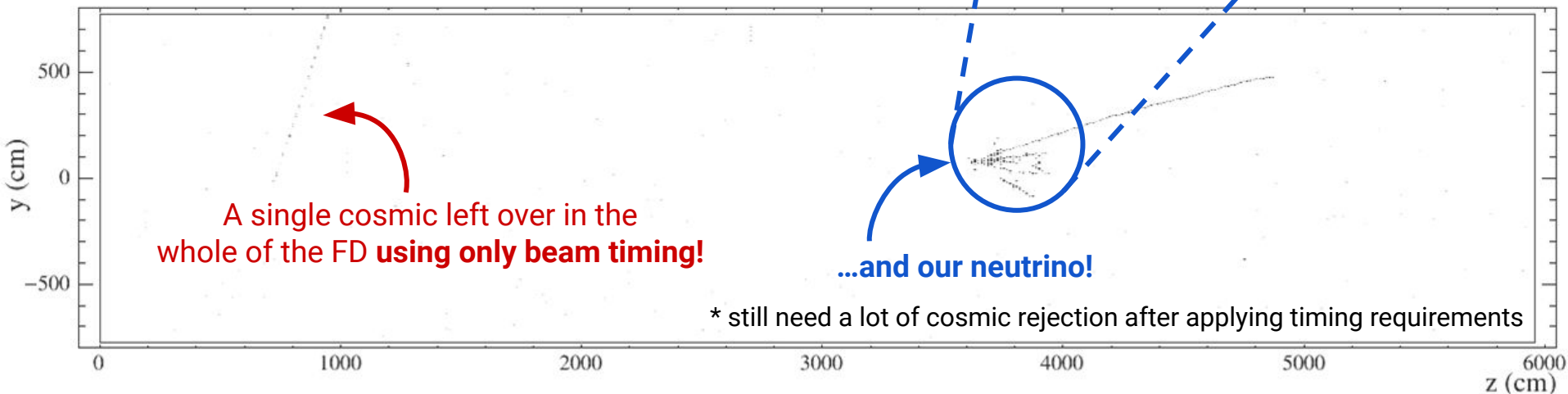
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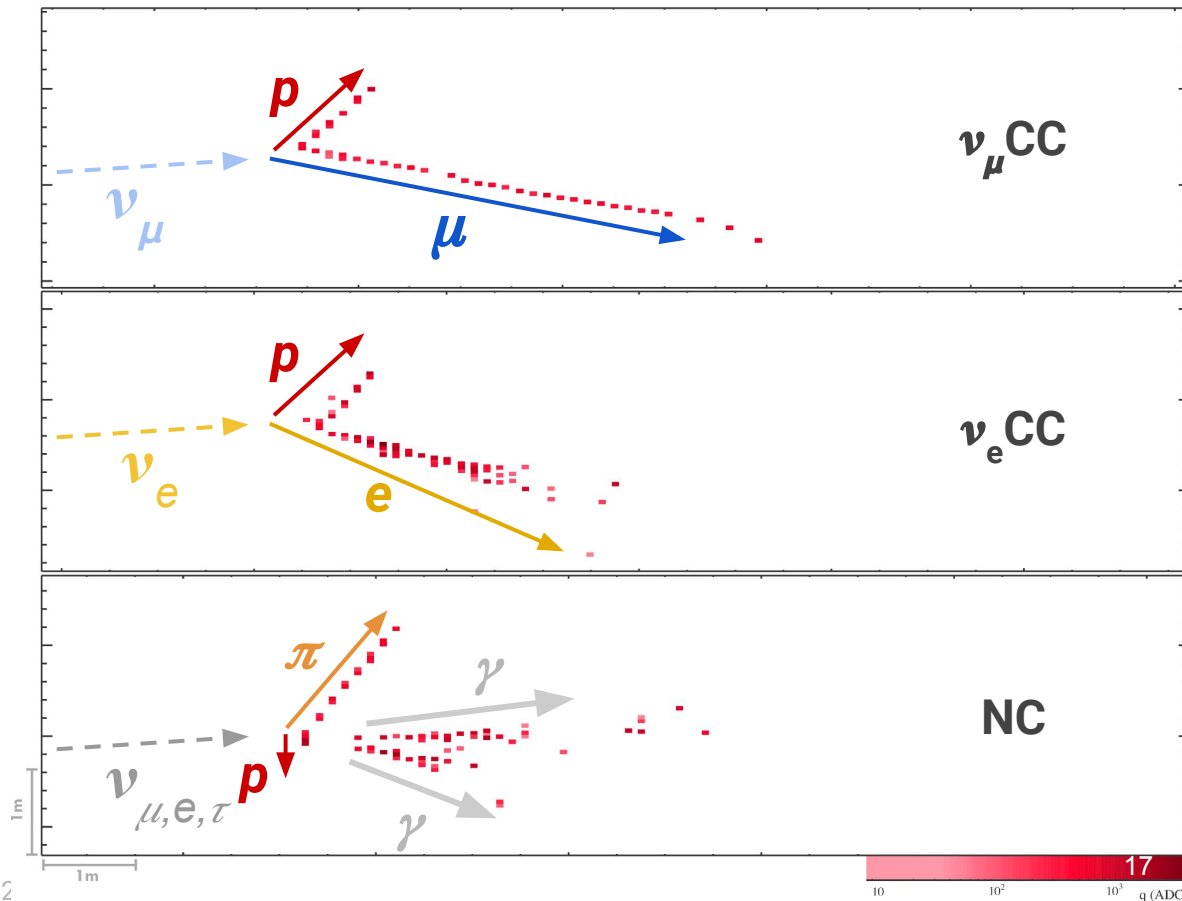


* still need a lot of cosmic rejection after applying timing requirements

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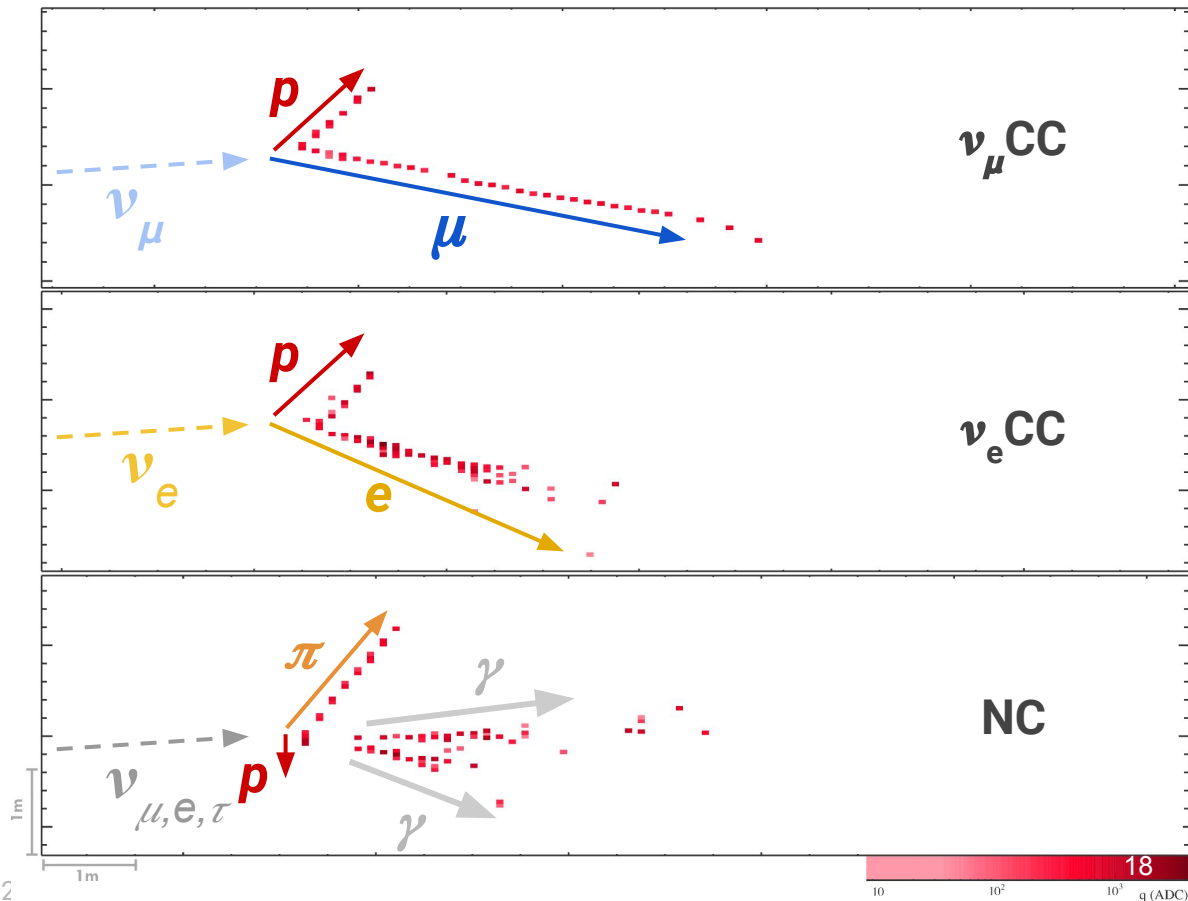
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- Small atomic mass provides radiation length ~ 40 cm
 - ~ 6 samples per radiation length \rightarrow **electrons** easy to disambiguate from **muons**
 - Large photon conversion distance help with π^0 background rejection



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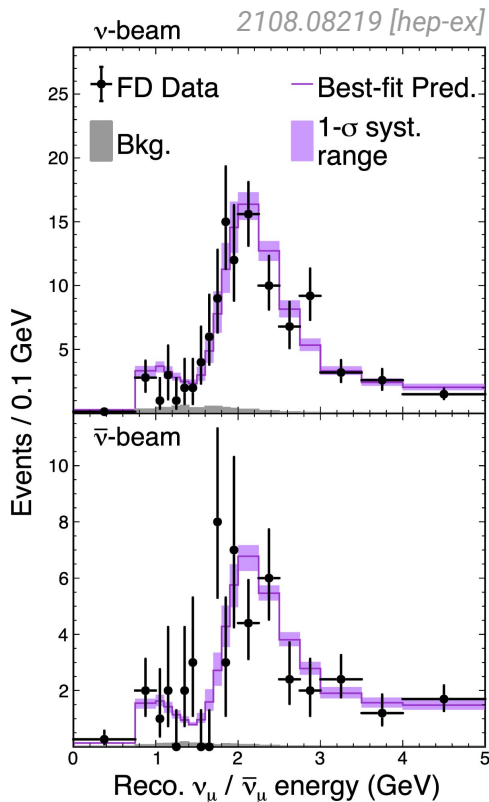
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- Machine Learning algorithms used for particle ID and event ID



Results!



2020 Far Detector Spectra

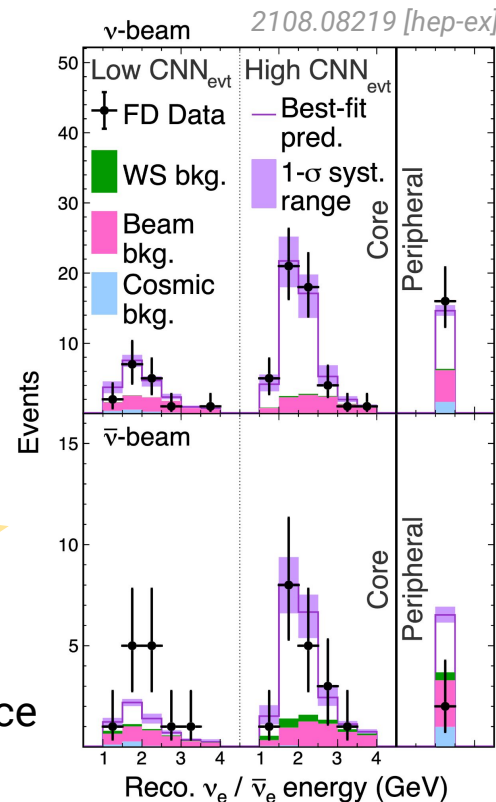


Very high purity
selection for both
 ν and $\bar{\nu}$ modes

Backgrounds
primarily from
 ν_e intrinsic to
the beam
(irreducible)

$>4\sigma$ $\bar{\nu}_e$ appearance

Disfavour strong asymmetry in ν_e - $\bar{\nu}_e$ appearance



A New Bayesian Strategy!

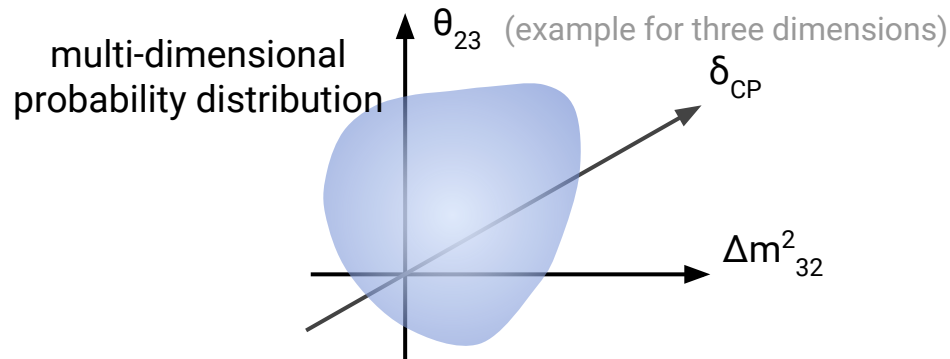
Different statistical techniques help us probe our data in different ways!

We've developed a new Bayesian analysis in the context of our three-flavour analysis.

Main Take Away

The **probability distribution** can be used to **quickly produce credible intervals for new parameters!**

ANALYSIS OUTPUT



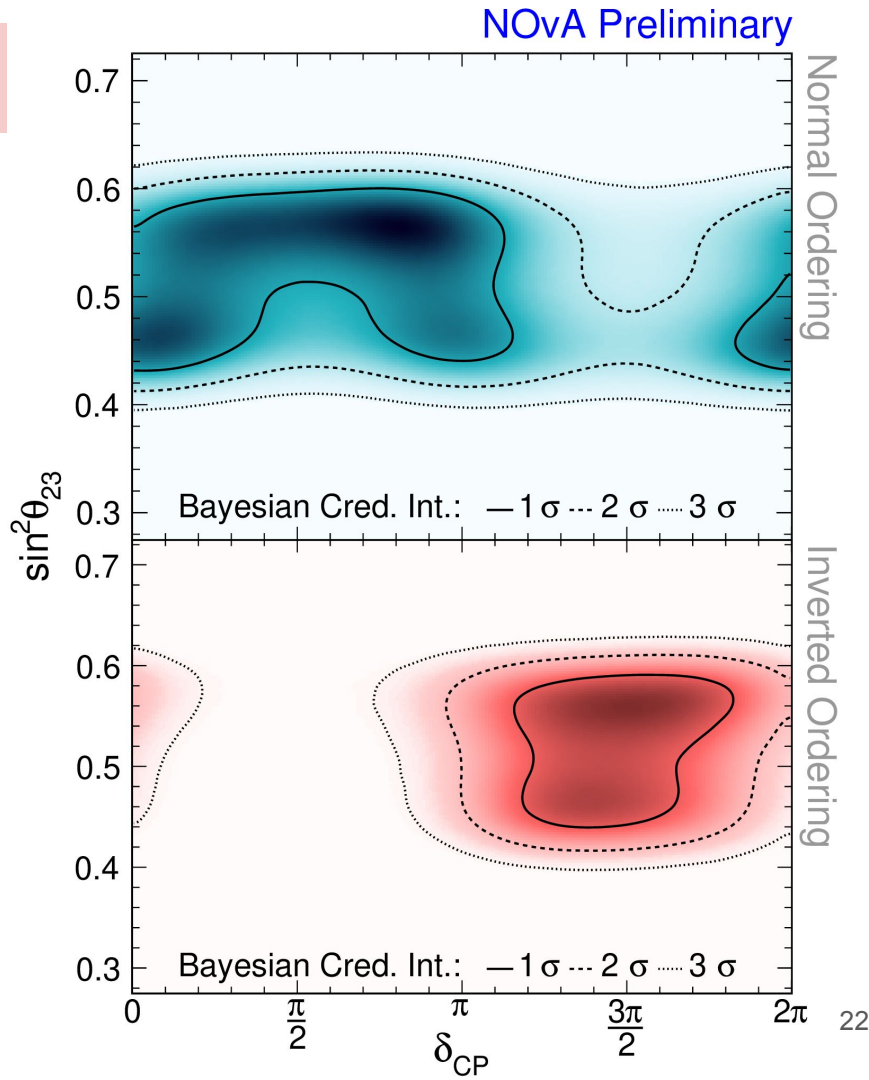
Bayesian Analysis Results

Conclusions drawn from the data are the same as in previous frequentist analysis

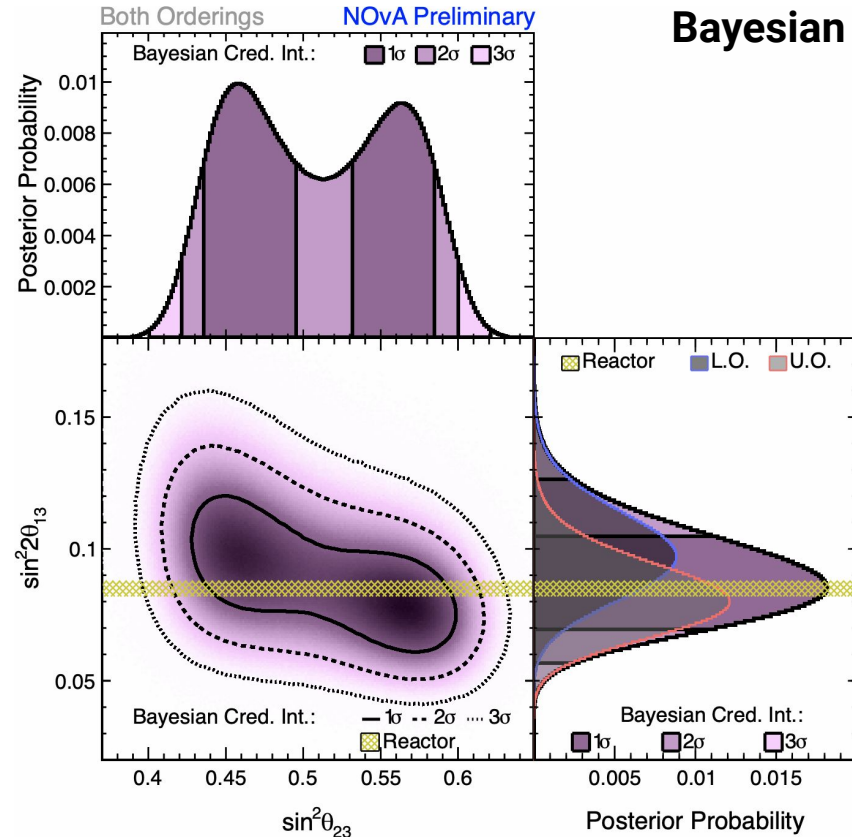
Exclude $\delta_{\text{CP}} = \pi/2$ at $>3\sigma$ (IO)

Less strong constraint on δ_{CP} in the NO,
but **disfavour** region around $\delta_{\text{CP}} = 3\pi/2$

Weak preference for NO, Upper octant



NOvA θ_{13} Measurement



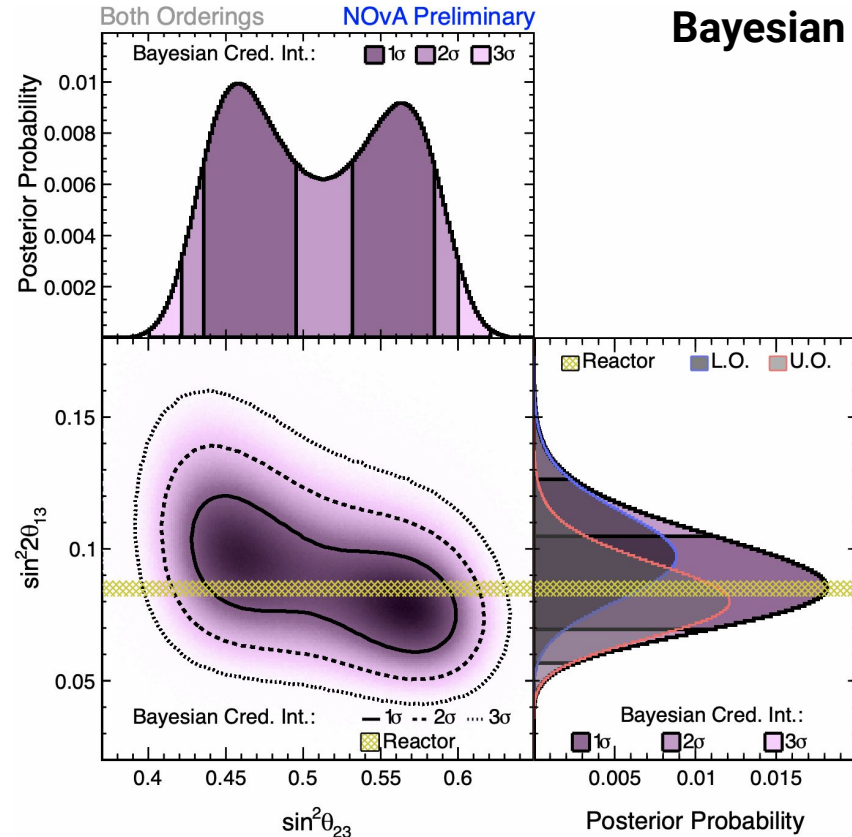
Bayesian analysis allows us to report a measurement of θ_{13}

$$\sin^2(2\theta_{13}) = 0.085^{+0.020}_{-0.016}$$

When reporting measurements of other parameters, we **constrain θ_{13} using reactor neutrino results (yellow, hashed).**

Good agreement with reactor experiments!

NOvA θ_{13} Measurement



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24th June

Fermilab Wine & Cheese Seminar

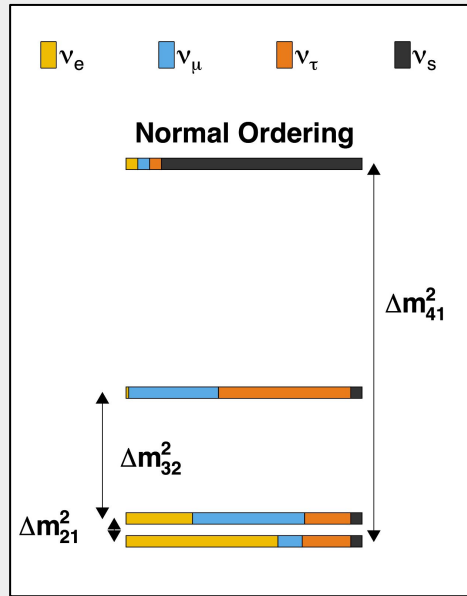
A Bayesian Look at 3-flavor Oscillations in NOvA:

Drilling Deeper into PMNS

Artur Sztuc 24

Looking For New Physics

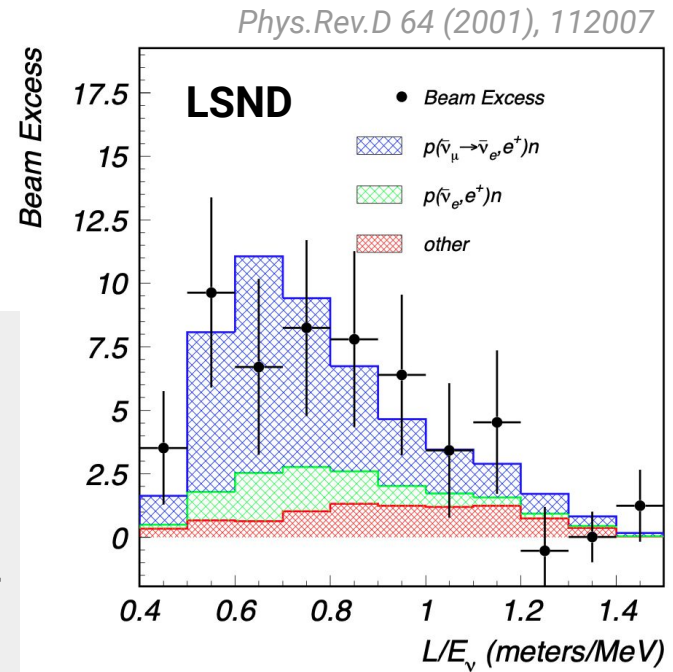
Several anomalous results potentially explained by oscillations with $\Delta m^2 \gg \Delta m^2_{21}, \Delta m^2_{31}$ (not predicted by three-flavour!)



Previous **NOvA** analyses use NC disappearance to probe the possibility of a **3+1 model**

Phys.Rev.D 96 (2017) 7, 072006
Phys.Rev.Lett. 127 (2021) 20, 201801

Limitation was using ND data to predict far detector spectrum, using a dedicated tune of the cross-section models to NOvA data



Analysis Strategy

Two Detector Fit

No NOvA cross-section tune

Tailored systematic uncertainties

This allows us to **increase our Δm^2_{41} range**

Analysis Samples

NC sample

Any oscillation in ND is governed
entirely by sterile parameters

Oscillations at FD are at
atmospheric frequency and give
us access to $\theta_{24}, \theta_{34}, \delta_{24}$

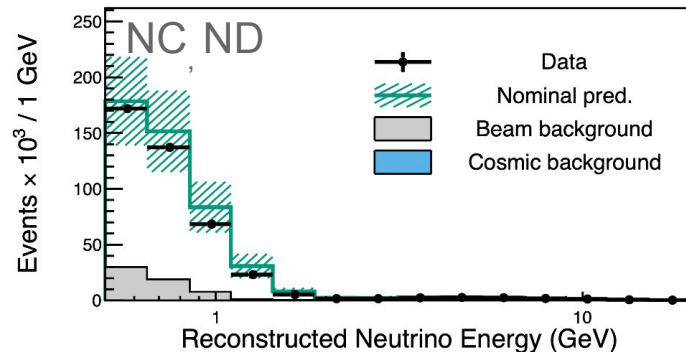
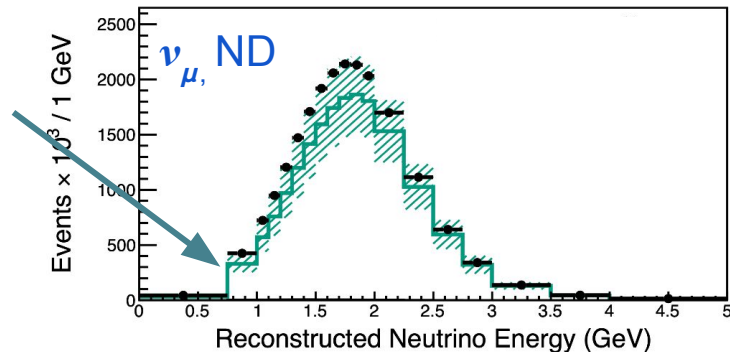
ν_μ sample

Constrains $\Delta m^2_{32}, \theta_{23}$
and size of θ_{24}

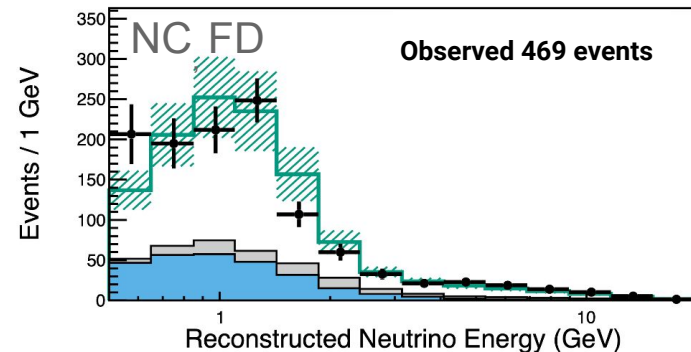
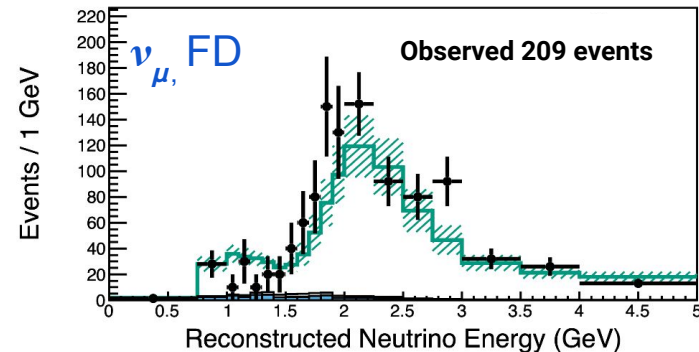
Sterile Neutrinos at NOvA

Neutrino Beam

Pre-fit distributions
(No NOvA cross-section tune)



NOvA Preliminary



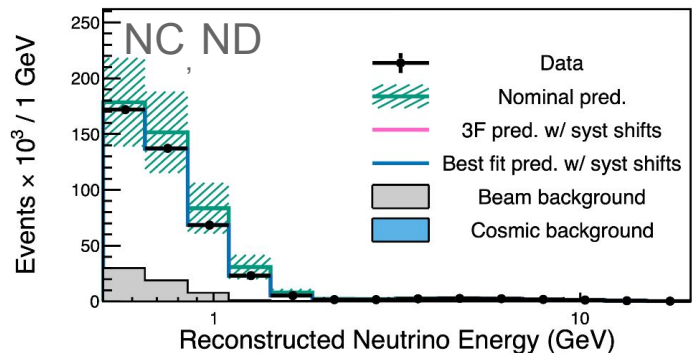
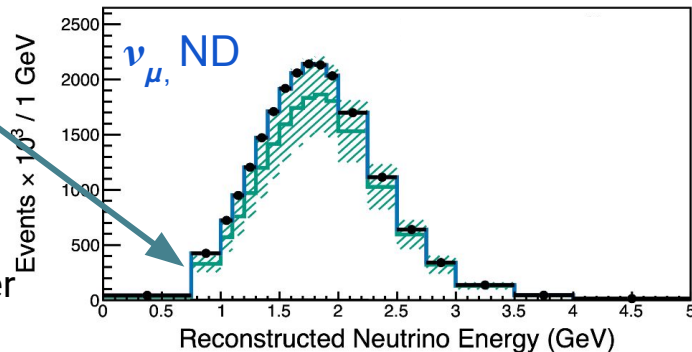
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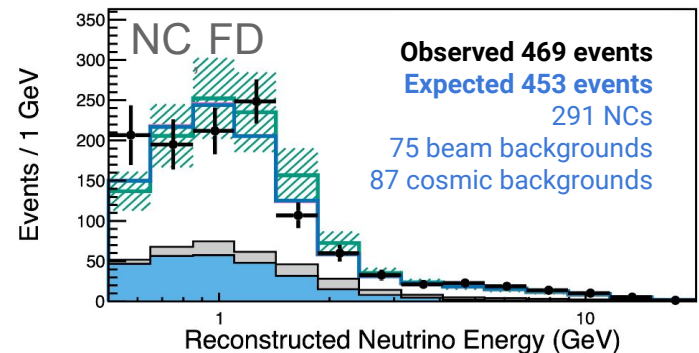
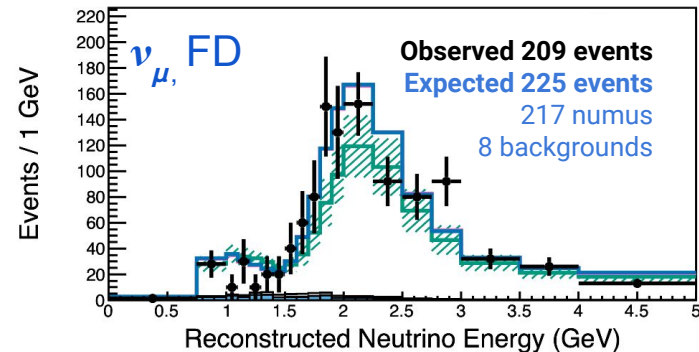
Pre-fit distributions
(No NOvA cross-section tune)

Three-flavour prediction
lays almost perfectly under
our
3+1 best fit

This data can be well
described by 3-flavour
oscillations within our
systematic uncertainties



NOvA Preliminary



Sterile Neutrino Results

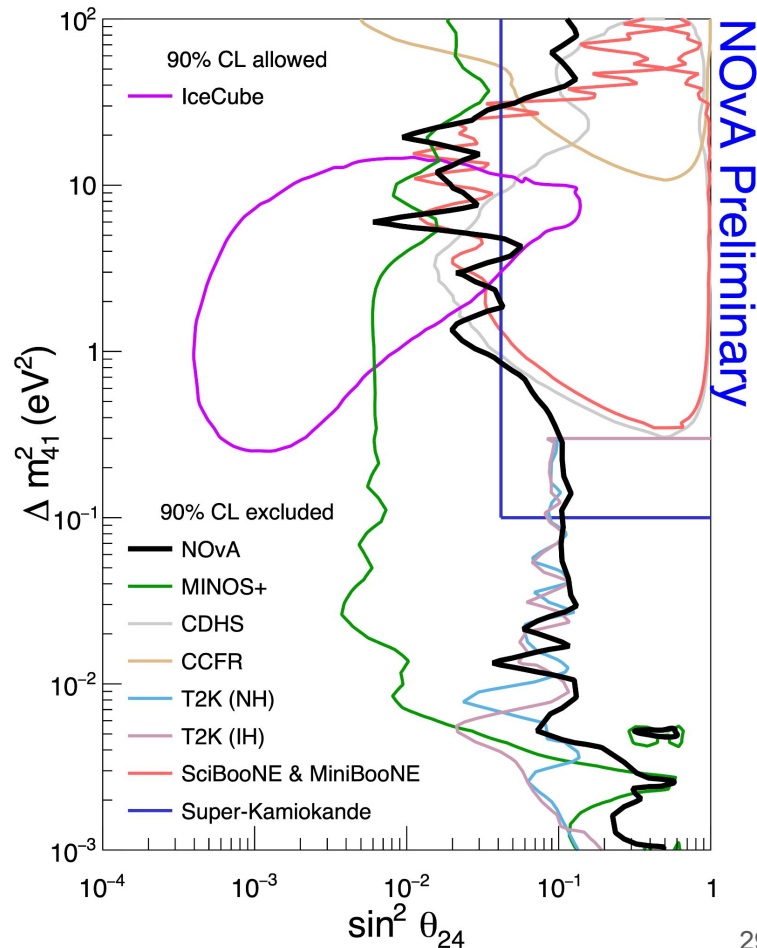
NOvA data shows no evidence for sterile neutrinos under 3+1 model

Sensitivity **at high Δm^2_{41} driven by the Near Detector**, and is systematics limited

Sensitivity **at low Δm^2_{41} driven by the Far Detector**, and is less systematics limited

Limits on θ_{24} are competitive around $\Delta m^2_{41} = 10 \text{ eV}^2$

→ Limits come from both ν_μ and NC samples



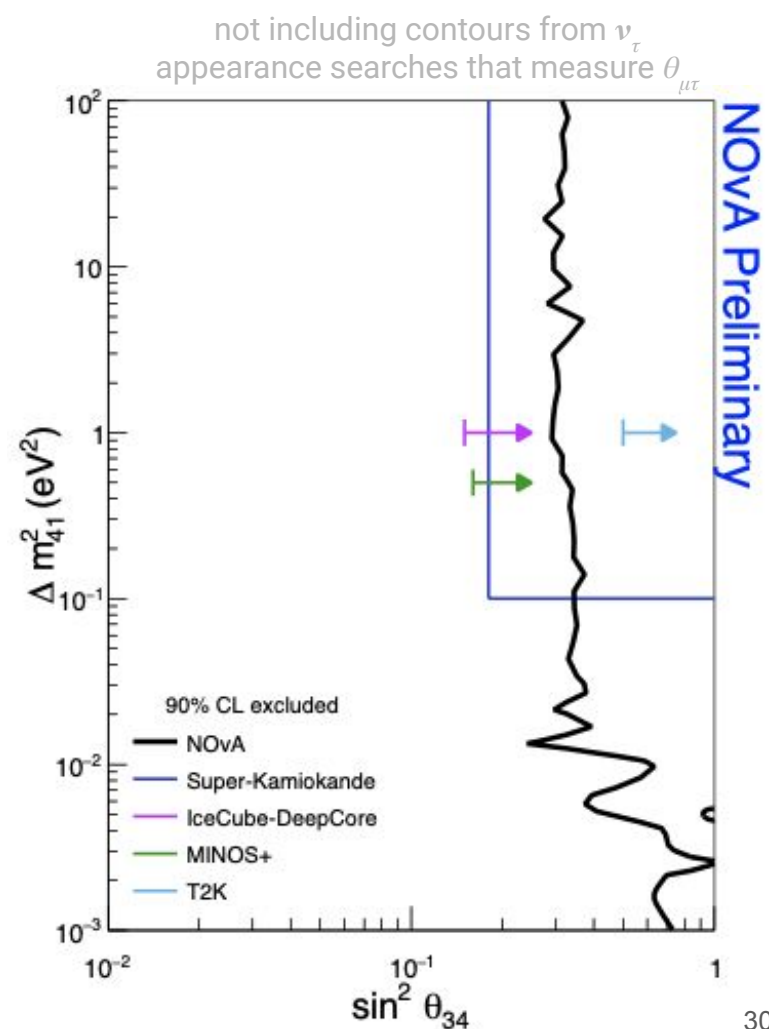
Sterile Neutrino Results

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NC disappearance gives us direct access to θ_{34}
→ This has generally been accessed by short baseline ν_τ appearance searches, which give access to $\theta_{\mu\tau}$




NOvA Into The Future

- New analyses

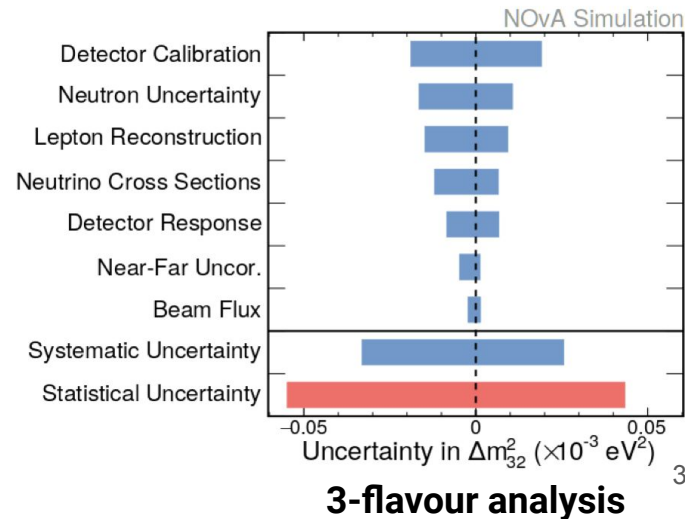
- ν_μ CC low-hadronic activity ★
 - [Poster, *Leo Aliaga*]
- ν_μ CC coherent pion production ★
 - [Poster, *Chatura Kuruppu*]
 - [New Perspectives talk, *Chatura Kuruppu*]
- Studies towards ν -e elastic scattering ★
 - [New Perspectives talk, *Barnali Brahma*]
- NOvA-T2K joint fit! 

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NOvA Test Beam



NOvA Into The Future

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 - [New Perspectives talk, Barnali Brahma]
 - NOvA-T2K joint fit! 
- NOvA Test Beam
- New MW-capable target and MW-capable horn installed
 - New power record **893 kW!**



NOvA Test Beam



MW-capable target



MW-capable horn

Future Sensitivities

Expect > 2x **current protons-on-target** (both $\nu/\bar{\nu}$!)

Mass hierarchy determination depends on **the true value of δ_{CP} , and statistics.**

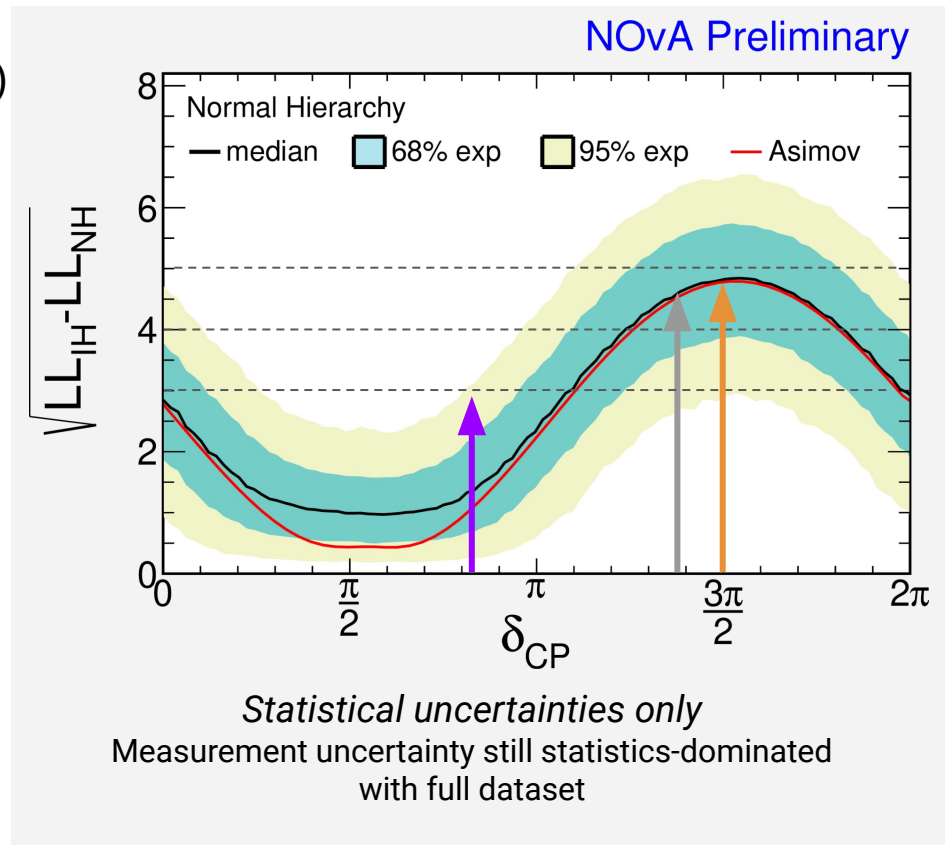
For MH = Normal

$$\delta_{CP} = 0.82\pi \text{ (NOvA best fit)}$$

~2.5% chance of 3σ MH determination

$$\delta_{CP} = 1.37\pi \text{ (T2K best fit, ~most favourable params)}$$

>50% chance at 4σ MH determination



Conclusions

NOvA has an extensive physics program!

- Three-flavour oscillation physics
- Oscillation physics with alternate models
- Neutrino cross-sections
- Exotic phenomena searches

From this talk:

- New Bayesian techniques: new measurement of θ_{13}
- We find no evidence for sterile neutrinos in the NOvA data (under the 3+1 model)

Many more exciting results to come in the future!





Thank you from the

 collaboration

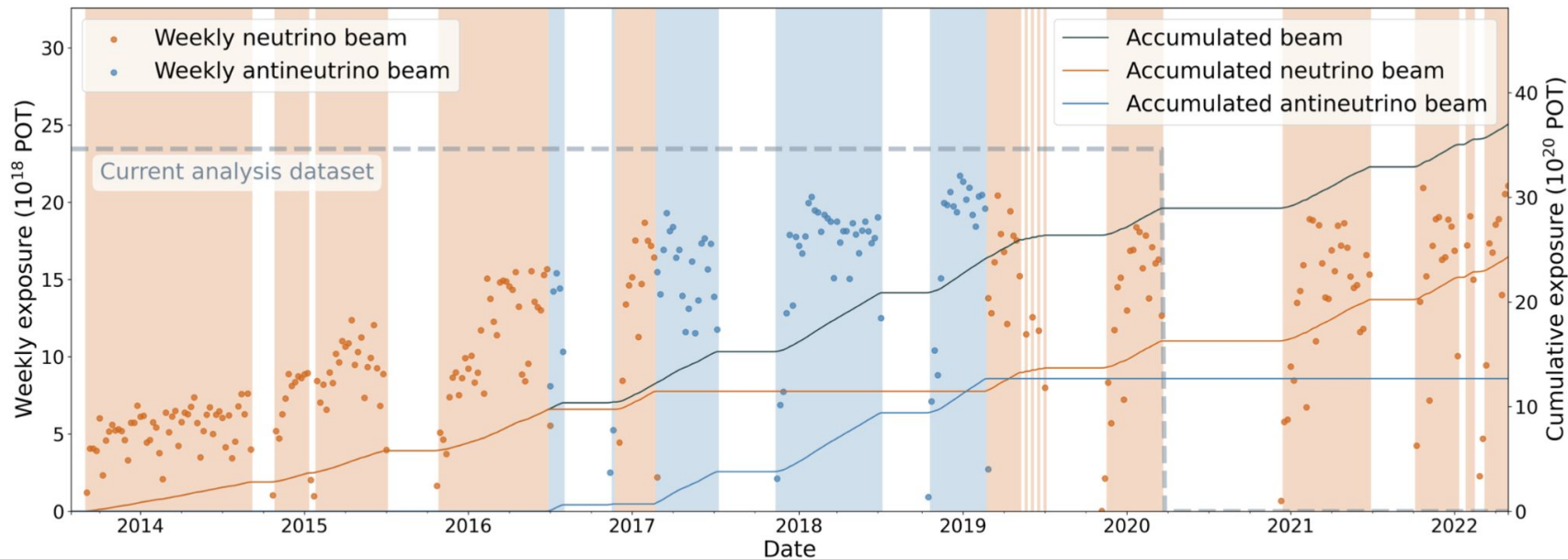
April 2022



Adam Lister, University of Wisconsin - Madison
 55th Annual Fermilab Users Meeting, 16 June 2022

Additional Slides

The NuMI Beam

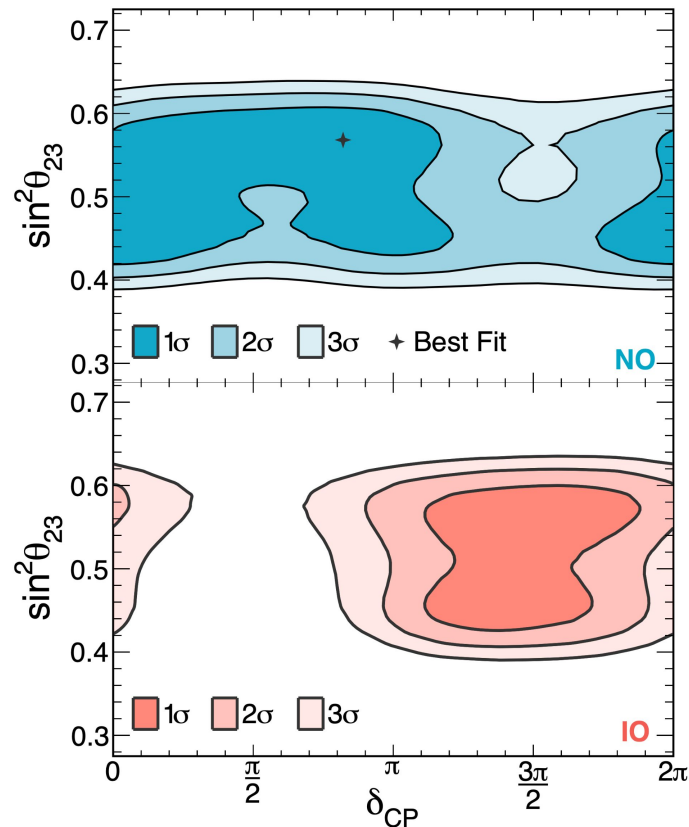
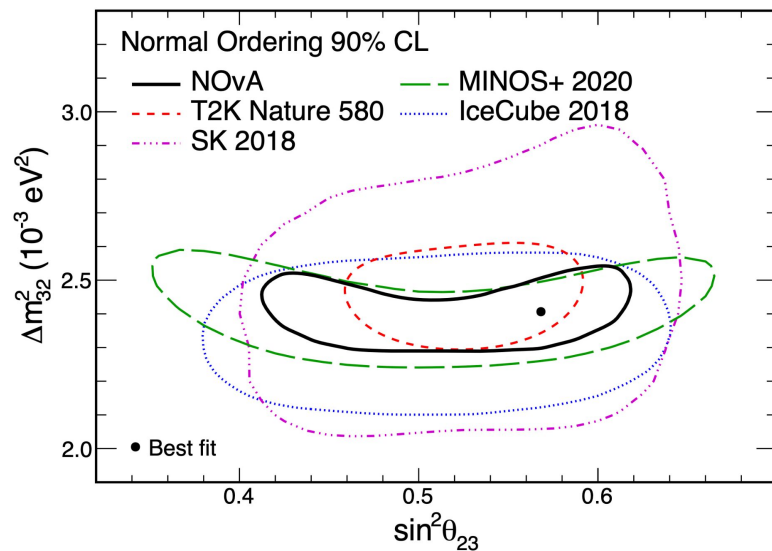


Collected 37×10^{20} protons-on-target to date

Current analysis dataset uses

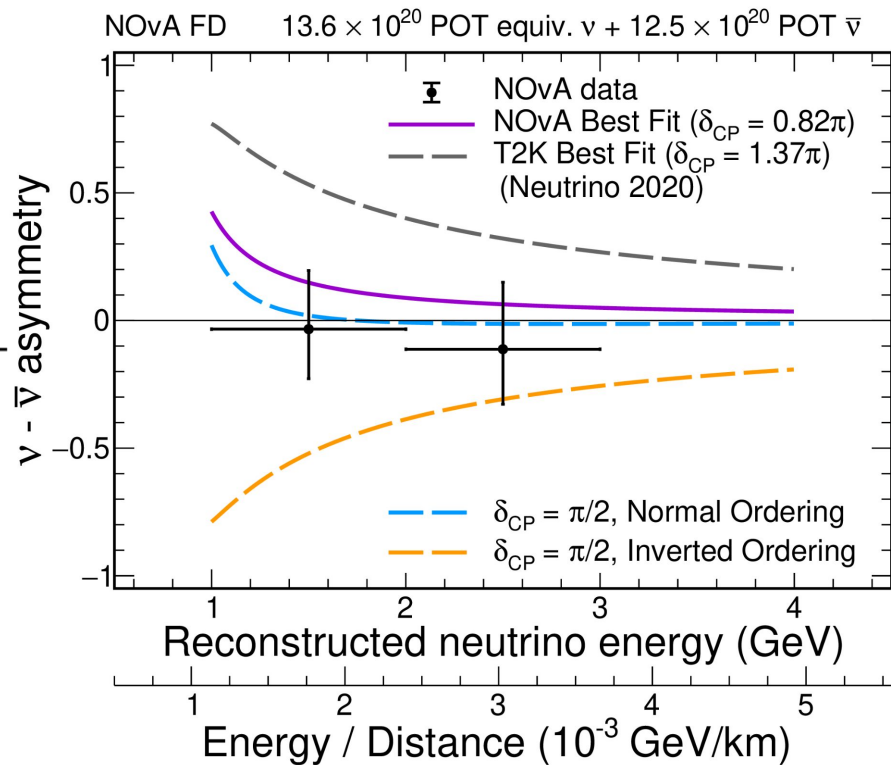
13.6×10^{20} POT neutrino-beam data and **12.5×10^{20}** POT antineutrino-beam data

2020 Frequentist Analysis



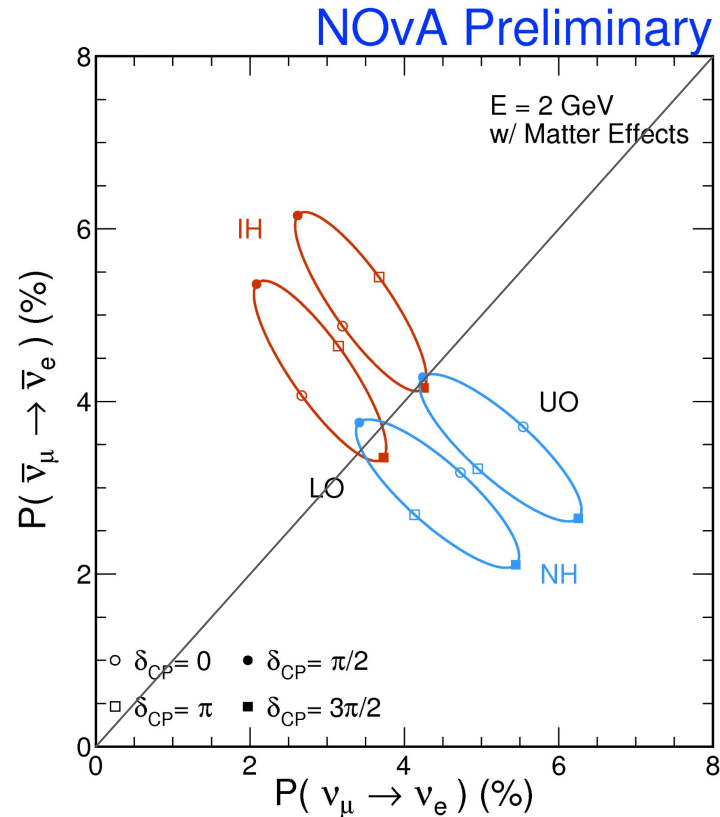
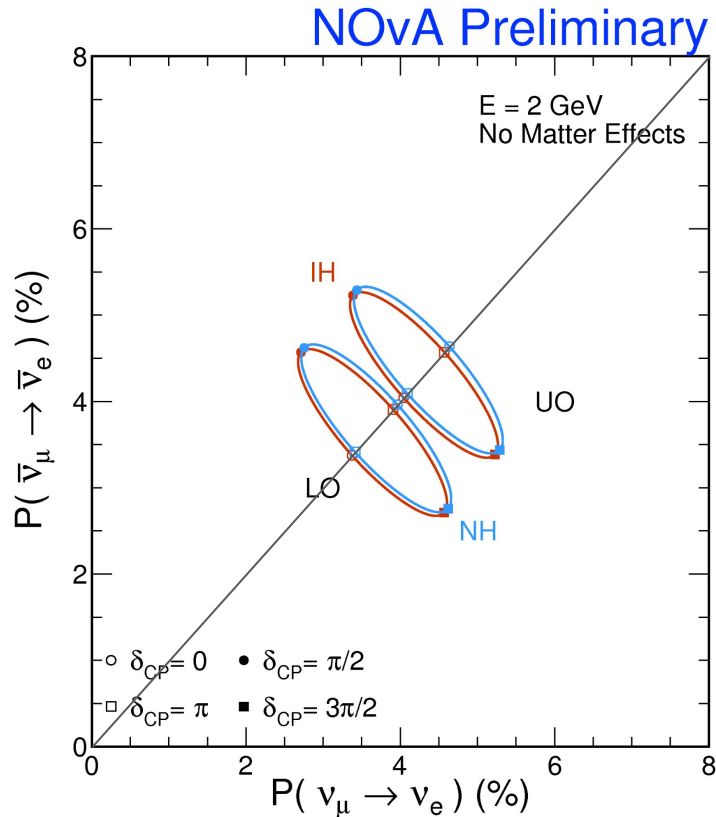
Asymmetry

$$\mathcal{A}_{CP} = \frac{P_{\nu_{\mu} \rightarrow \nu_e} - P_{\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e}}{P_{\nu_{\mu} \rightarrow \nu_e} + P_{\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e}}$$

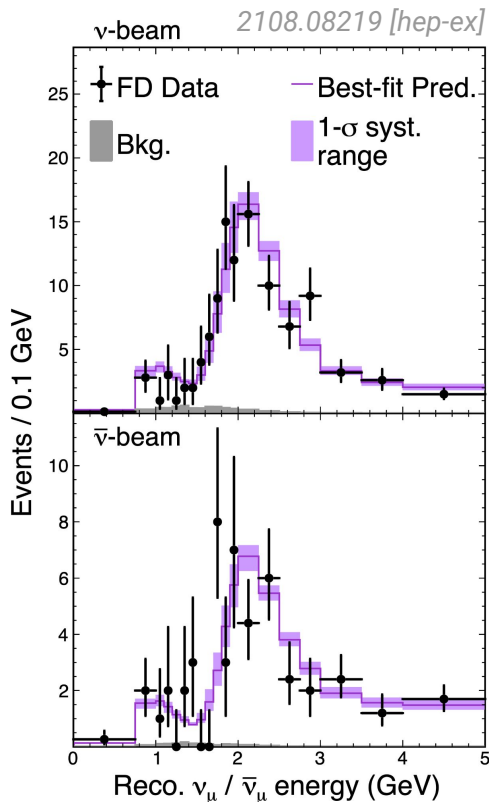


NOvA Preliminary

Matter Effects & Asymmetry



2020 Far Detector Spectra



ν_μ	Obs.	Best Fit	Bg.
ν	211	222.3	8.2
$\bar{\nu}$	105	105.4	2.1

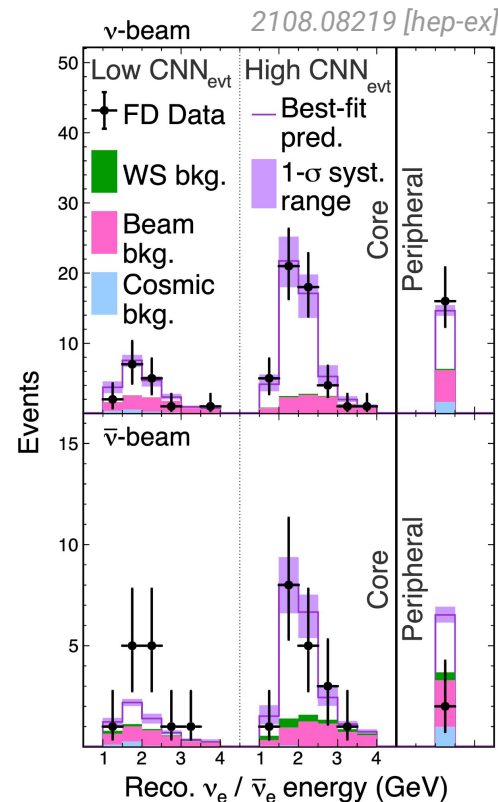
Very high purity
selection for both ν and $\bar{\nu}$ modes

ν_e	Obs.	Best Fit	Bg.
ν	82	85.8	26.8
$\bar{\nu}$	33	33.2	14.0

Backgrounds primarily from ν_e **intrinsic** to the beam (irreducible)

$>4\sigma$ $\bar{\nu}_e$ appearance

Disfavour strong asymmetry in ν_e - $\bar{\nu}_e$ appearance



3F Oscillation Analysis Strategy

ND DATA

DATA-DRIVEN CORRECTION

Data-driven correction to signal/backgrounds in ND

DATA-DRIVEN PREDICTION

Use corrected ND simulation to predict spectra at the FD (constrains uncertainties)

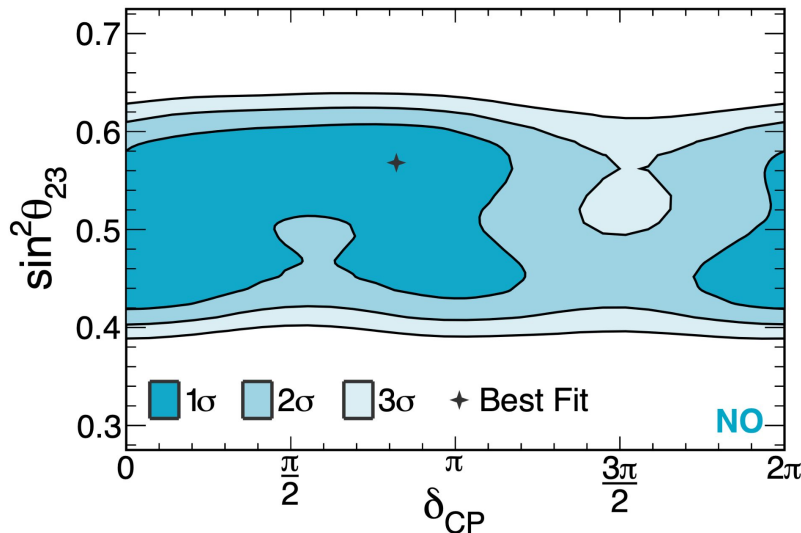
FIT FOR OSCILLATIONS

Best Fit: fit all parameters NOvA is sensitive to

- $\Delta m_{32}^2, \theta_{23}, \delta_{CP}$
- θ_{13} constrained from reactor experiments
- Solar parameters fixed

CONFIDENCE INTERVALS

- **Choose parameter(s)** (eg $\delta_{CP}, \sin^2\theta_{23}$)
- For each point in space, minimise χ^2
- Frequentist correction for coverage



CHOOSE ANOTHER SPACE

A New Bayesian Strategy!

DATA

**stays the same!*

DATA-DRIVEN CORRECTION

Data-driven correction to signal/backgrounds in ND

DATA-DRIVEN PREDICTION

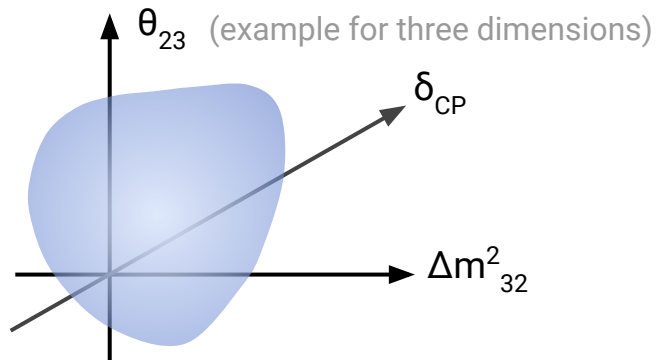
Use corrected ND simulation to predict spectra at the FD (constrains uncertainties)

PRODUCE POSTERIOR PROBABILITY SPACE

Use our current (“prior”) understanding of parameter values to construct a **multi-dimensional “posterior” probability distribution.**

CREDIBLE INTERVALS

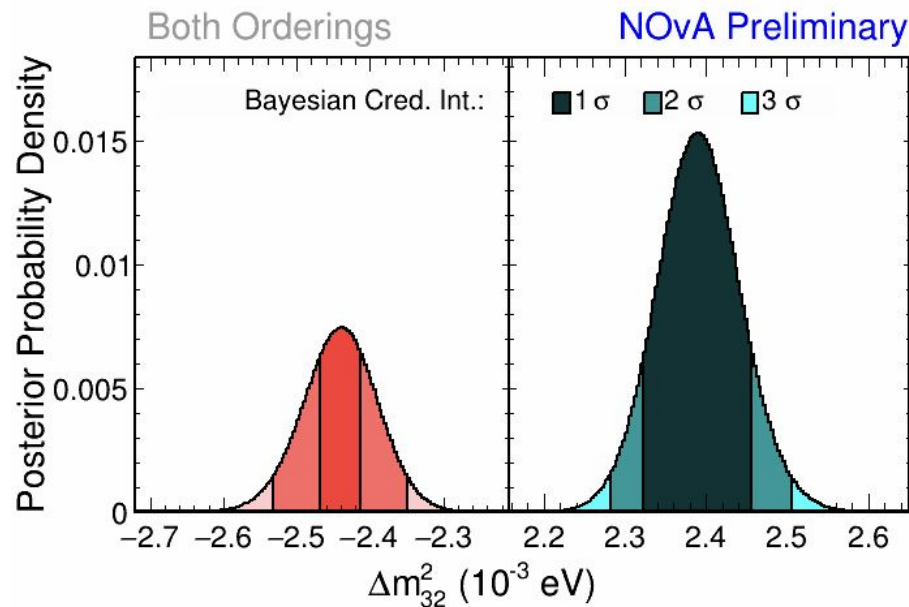
Use probability distribution to produce credible intervals for parameters we’re interested in, marginalising over other parameters



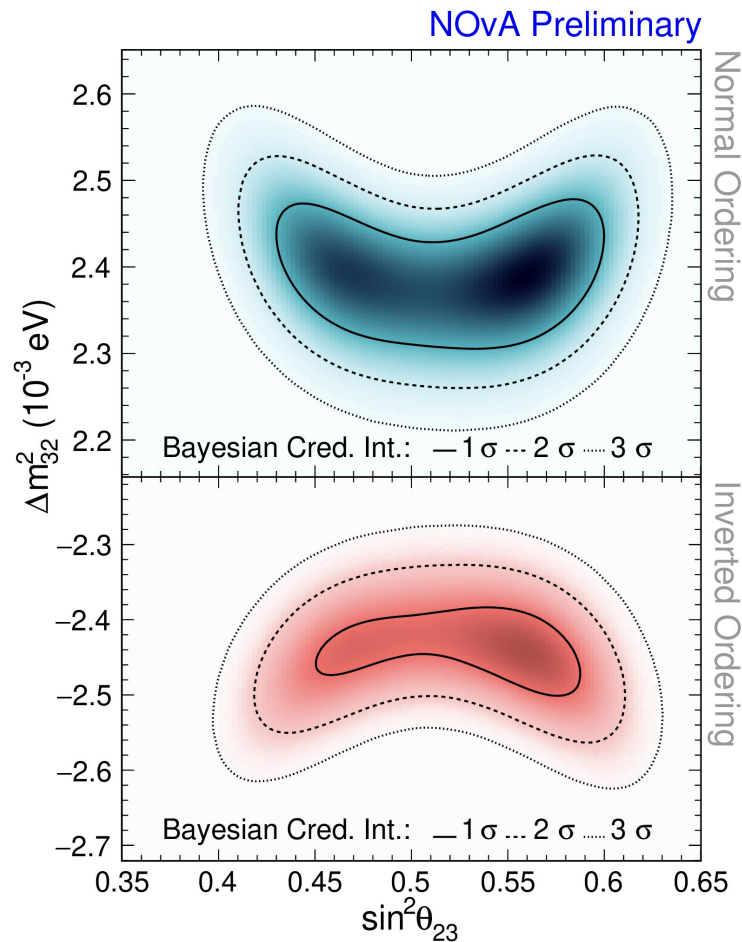
Posterior probability distribution means quick to produce credible intervals in new parameters

DONE!

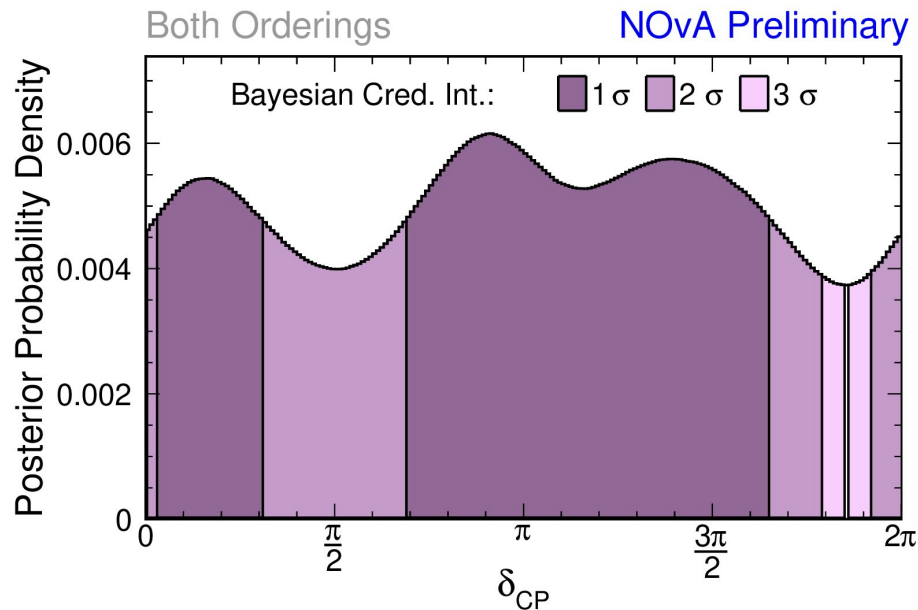
Additional Bayesian Plots



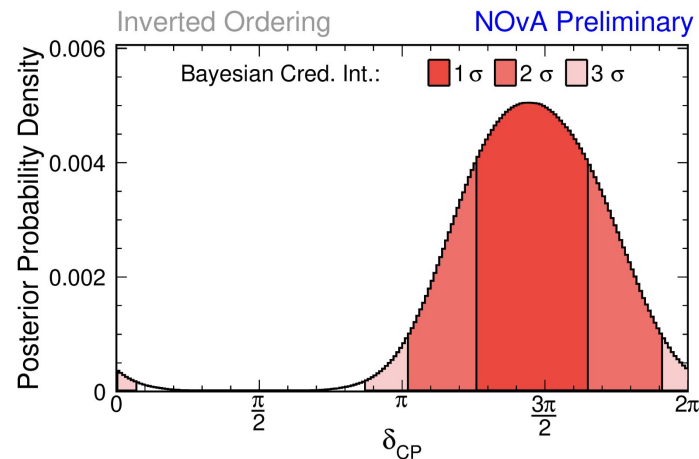
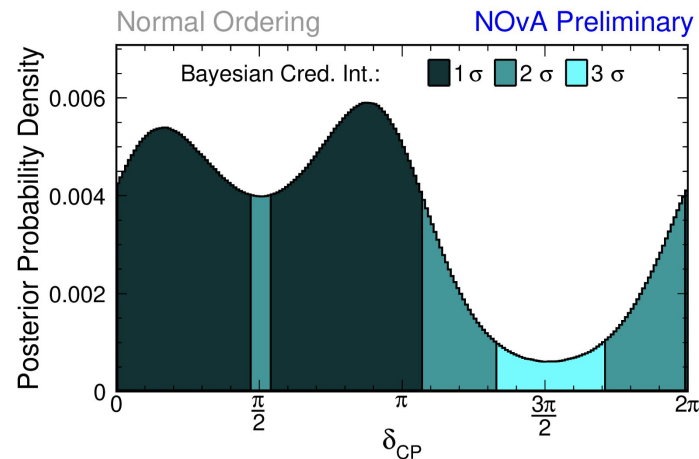
Weak preference for normal ordering, upper octant



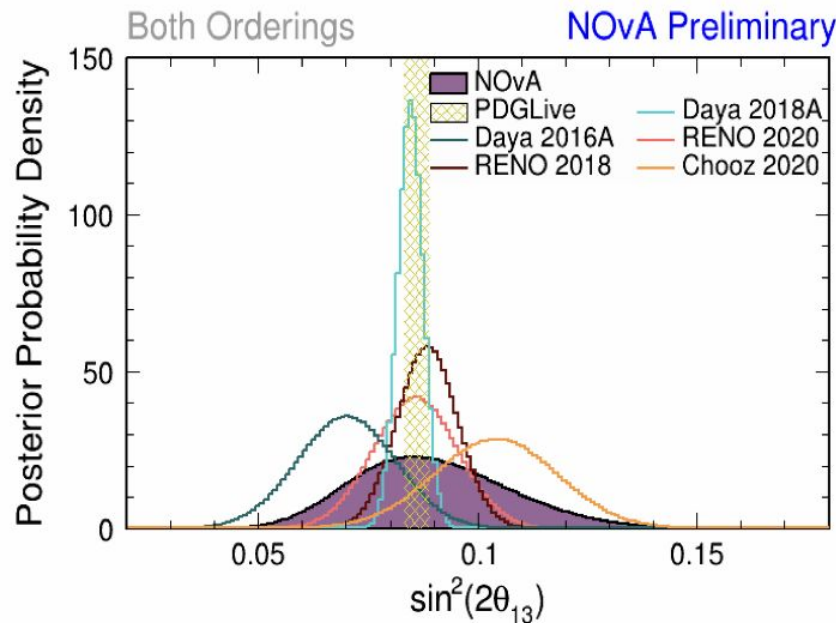
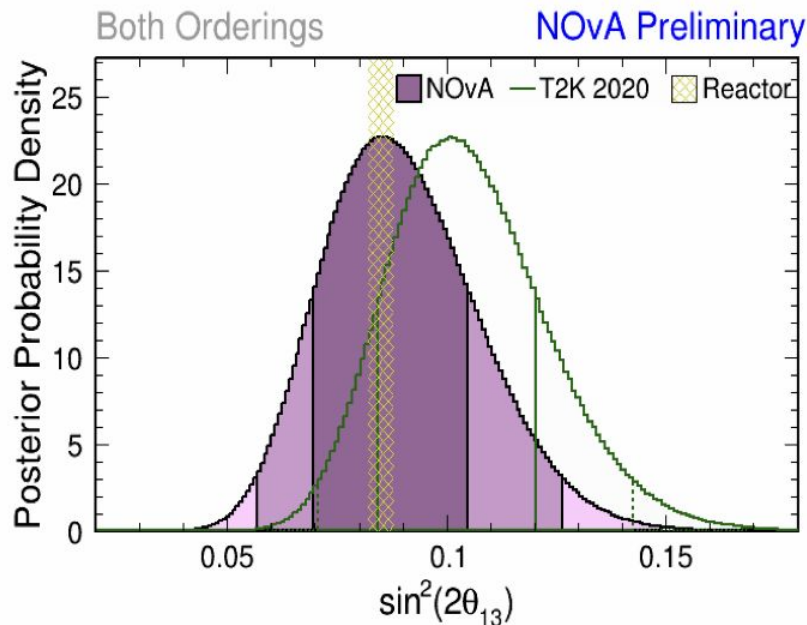
Additional Bayesian Plots



When taking both orderings into account, we have
no strong preference for δ_{CP}

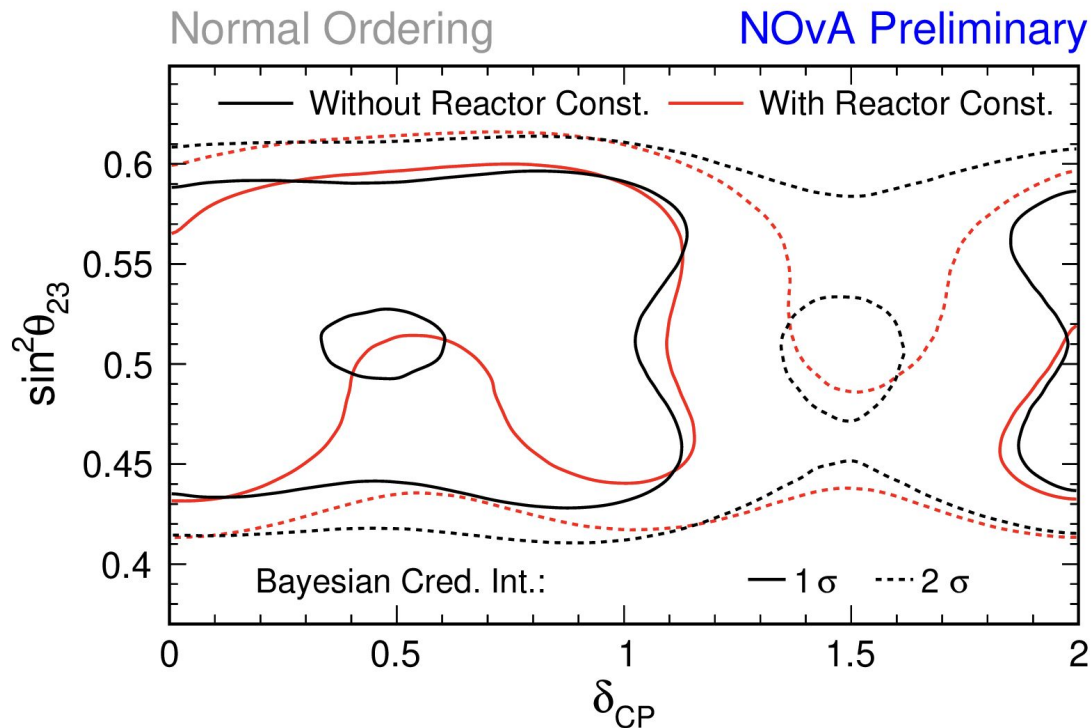


Additional Bayesian Plots



- No tension between NOvA and T2K for θ_{13}
- No tension with measurements from reactor experiments

Additional Bayesian Plots



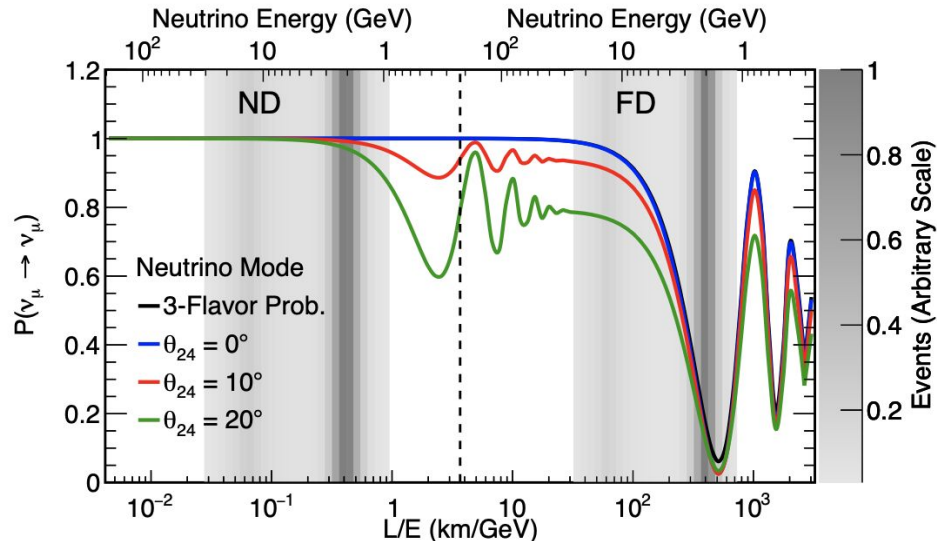
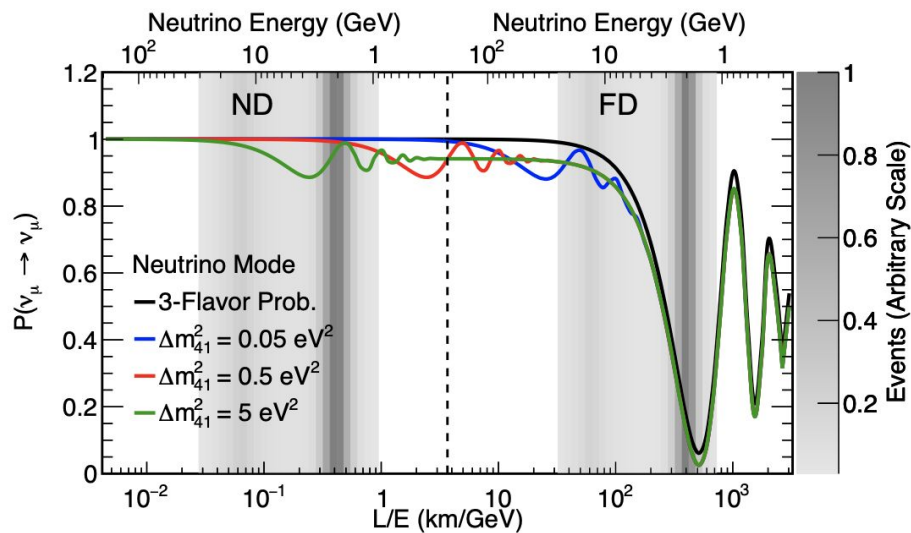
Slightly higher preference for NO with reactor constraint

Sterile ν_μ Disappearance

$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \sin^2 2\theta_{24} \Delta_{41} + 2 \sin^2 2\theta_{23} \sin^2 \theta_{24} \sin^2 \Delta_{31} - \sin^2 2\theta_{23} \sin^2 \Delta_{31}$$

ND Oscillations from Δm_{41}^2
Oscillations at **atmospheric frequency**, notably does not depend on θ_{34}, δ_{24}

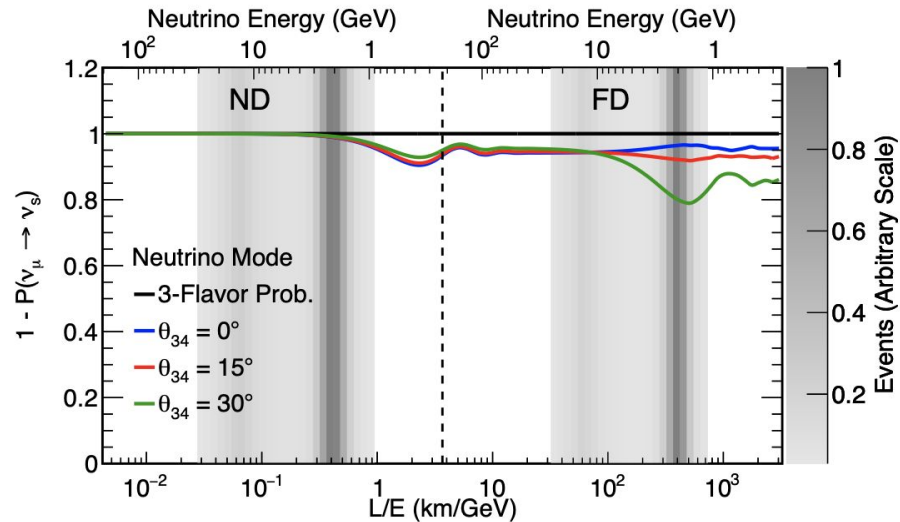
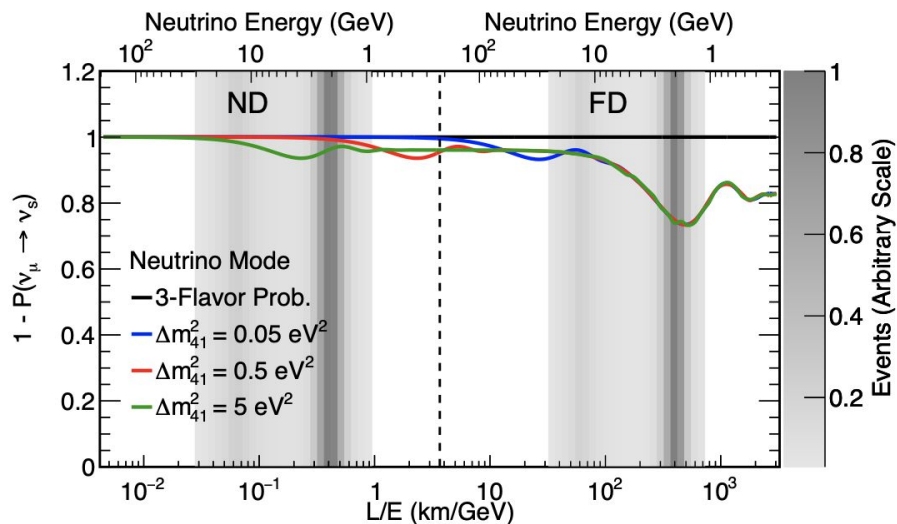
ν_μ sample **constrains atmospheric parameters** and size of θ_{24}



Sterile NC Disappearance

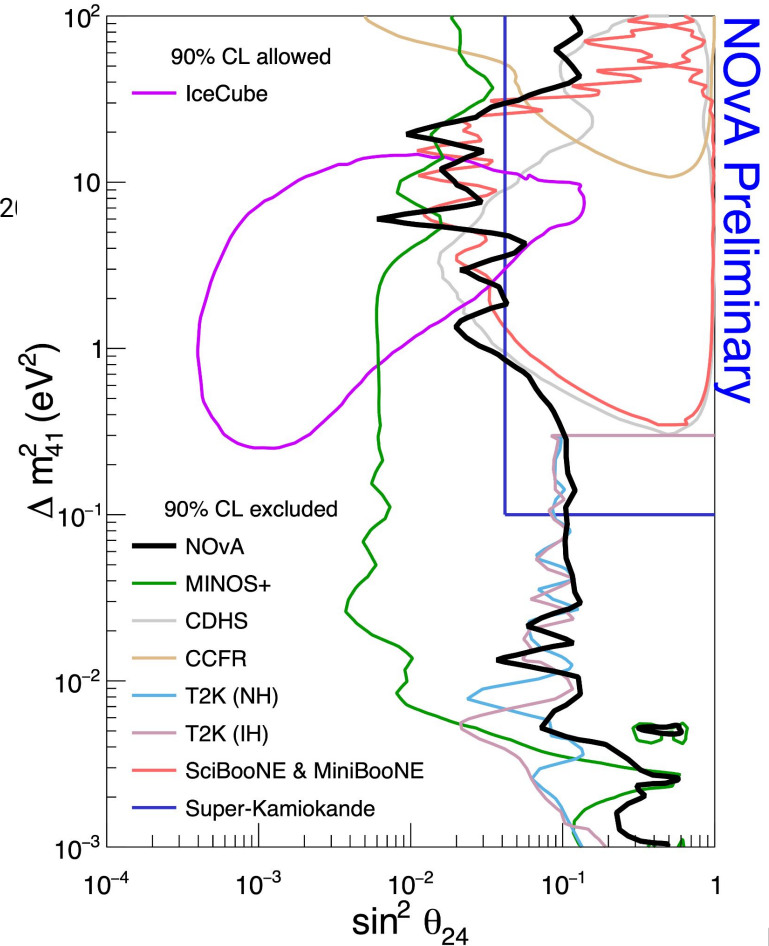
$$\begin{aligned}
 1 - P(\nu_\mu \rightarrow \nu_s) \approx & 1 - \cos^4 \theta_{14} \cos^2 \theta_{34} \sin^2 2\theta_{24} \sin^2 \Delta_{41} \\
 & - \sin^2 \theta_{34} \sin^2 2\theta_{23} \sin^2 \Delta_{31} \\
 & + \frac{1}{2} \sin \delta_{24} \sin \theta_{24} \sin 2\theta_{23} \sin \Delta_{31}
 \end{aligned}$$

ND Oscillations from Δm_{41}^2
 Oscillations at **atmospheric frequency**, gives us access to $\theta_{24}, \theta_{34}, \delta_{24}$



Citations

- SK: K. Abe et al. (Super- Kamiokande), Phys. Rev. D 91, 052019 (2015)
- CDHS: F. Dydak et al. (CDHSW), Phys. Lett. B 134, 281 (1984)
- CCFR: I.E. Stockdale et al. (CCFR), Phys. Rev. Lett. 52, 1384 (1984)
- SciBooNE: K. B. M. Mahn et al. (SciBooNE, MiniBooNE), Phys. Rev. D 85, 032007 (2012)
- MINOS+: P. Adamson et al. (MINOS+) Phys. Rev. Lett. 122, 091803 (2019)
- T2K: K. Abe et al. (T2K) Phys. Rev. D 99, 071103(R) (2019)
- IceCube: M. G. Aartsen et al. (IceCube), Phys. Rev. Lett. 125, 141801 (2020)



What Are Those Arrows?

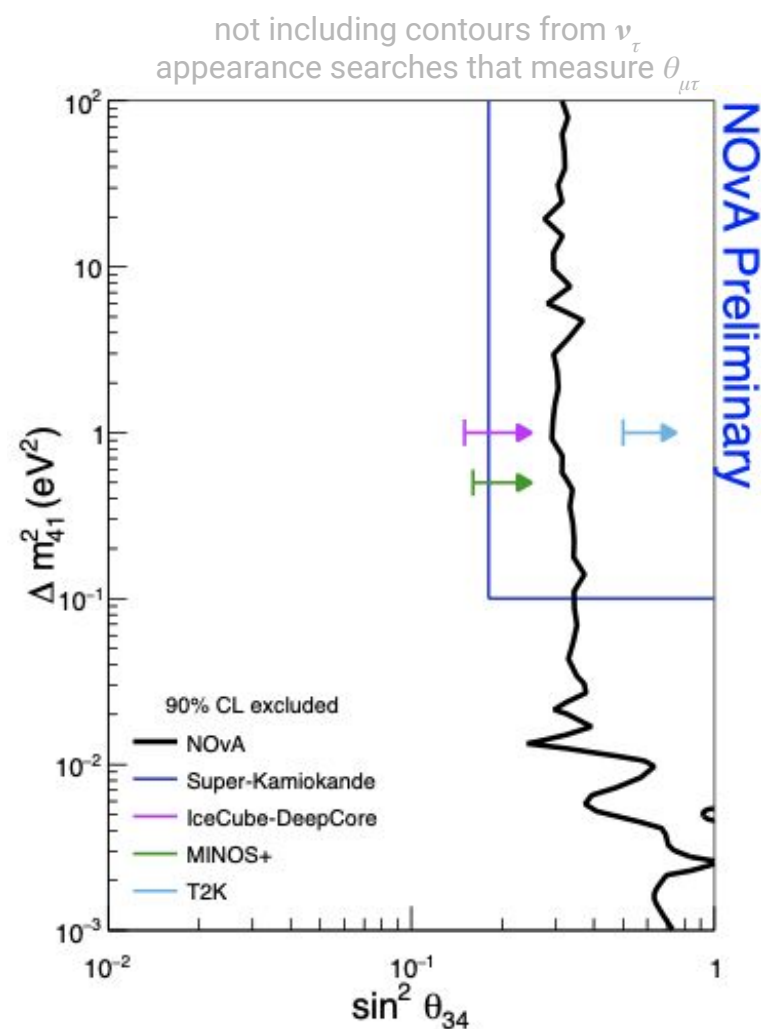
The arrows are because these three experiments report either

$$|U_{\tau 4}|^2 = \cos^2 \theta_{24} \sin^2 \theta_{34}$$

Or θ_{34} directly for a given value of Δm_{41}^2 .

Anomalous ν_τ appearance searches measure

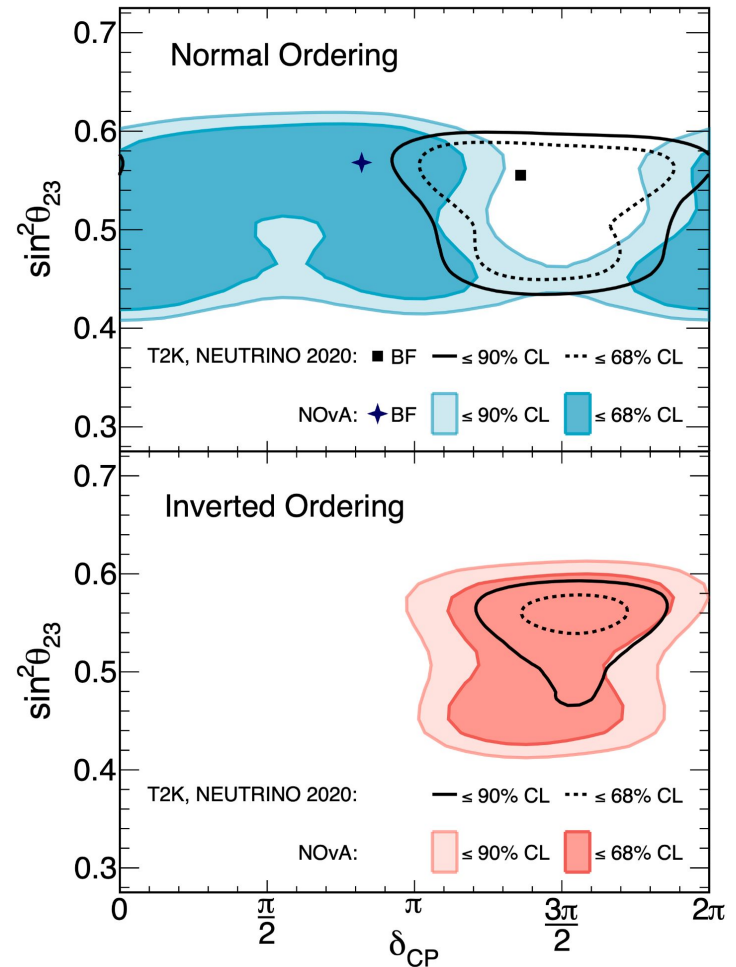
$$\sin^2 2\theta_{\mu\tau} = \cos^4 \theta_{14} \sin^2 2\theta_{24} \sin^2 \theta_{34}$$



NOvA-T2K Joint Analysis

Different best fit points, but still overlap at 1σ .

Made good progress, hoping for public results **this year**



Future Sensitivities

