

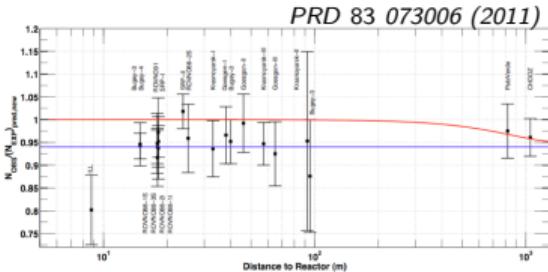
# Sterile neutrino searches at Fermilab

Gianluca Petrillo ([petrillo@slac.stanford.edu](mailto:petrillo@slac.stanford.edu))  
*(showing recent results from MINOS+, MiniBooNE, and the SBN experiments)*



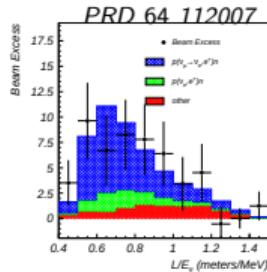
Fermilab 53rd Annual Users Meeting, August 13, 2020

# Anomalies from the electron neutrino world

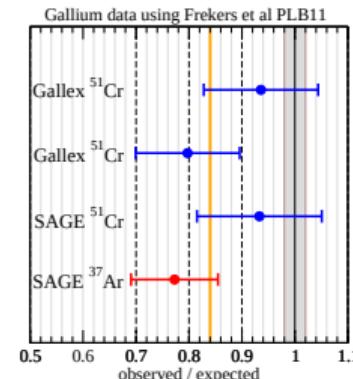


“reactor anomaly” unexpectedly low rate ( $-6\%?$ ) of positrons from reactor  $\bar{\nu}_e$  (U and Pu decays)

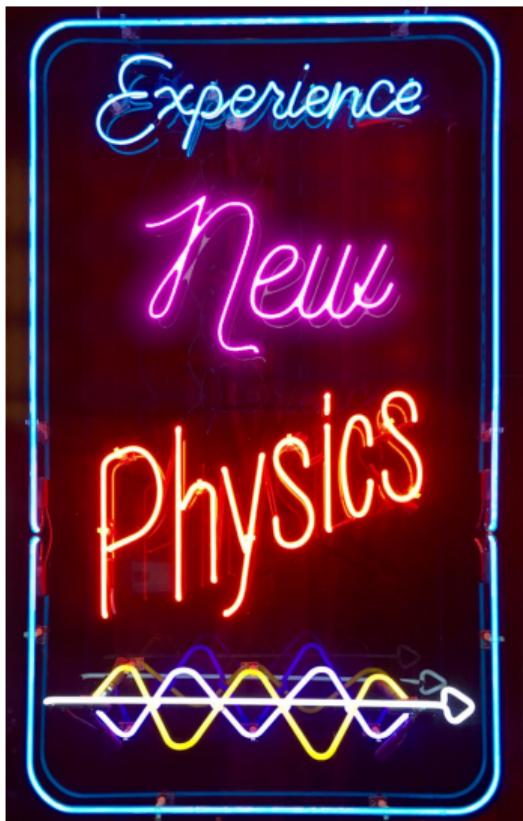
“gallium anomaly” unexpectedly low rate of electron from radioactive  $\nu_e$  sources



“LSND excess” unexpectedly high rate of electrons from  $\mu$  decays at rest

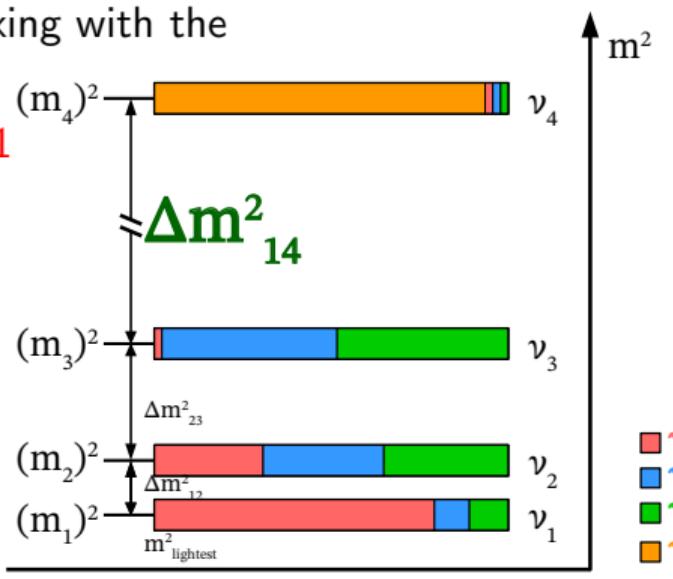


# Accommodating anomalies: enter sterile neutrinos



A way out: postulate  $N$  new “sterile” neutrinos

- from 3 to  $(3 + N)$
- no electric, no strong, no weak charge
- realised by quantum mixing with the 3 “standard” neutrinos
- simplest scenario:  $N = 1$   
→ observable:  $\Delta m_{41}^2$

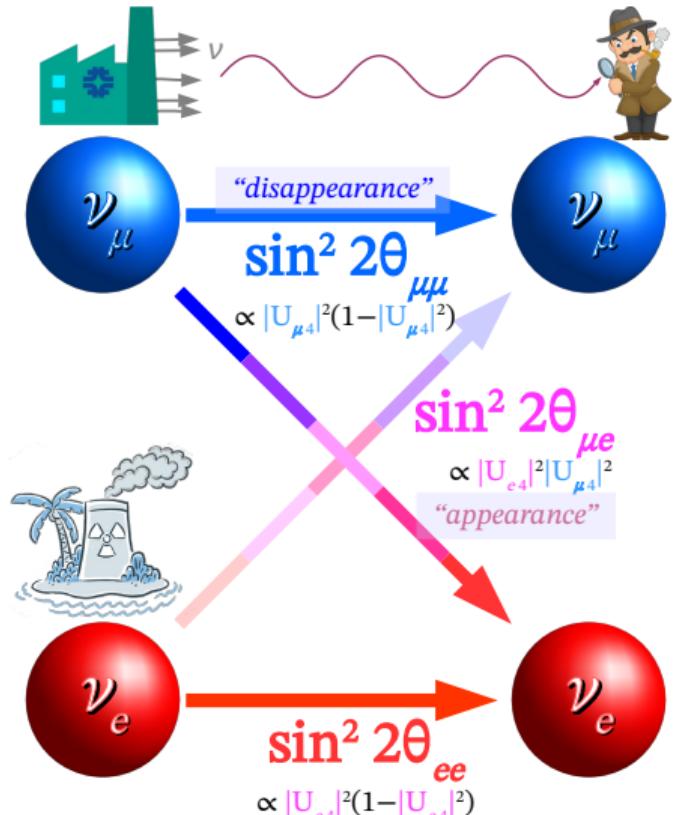


# Accommodating anomalies: oscillation parameters (3 + 1)

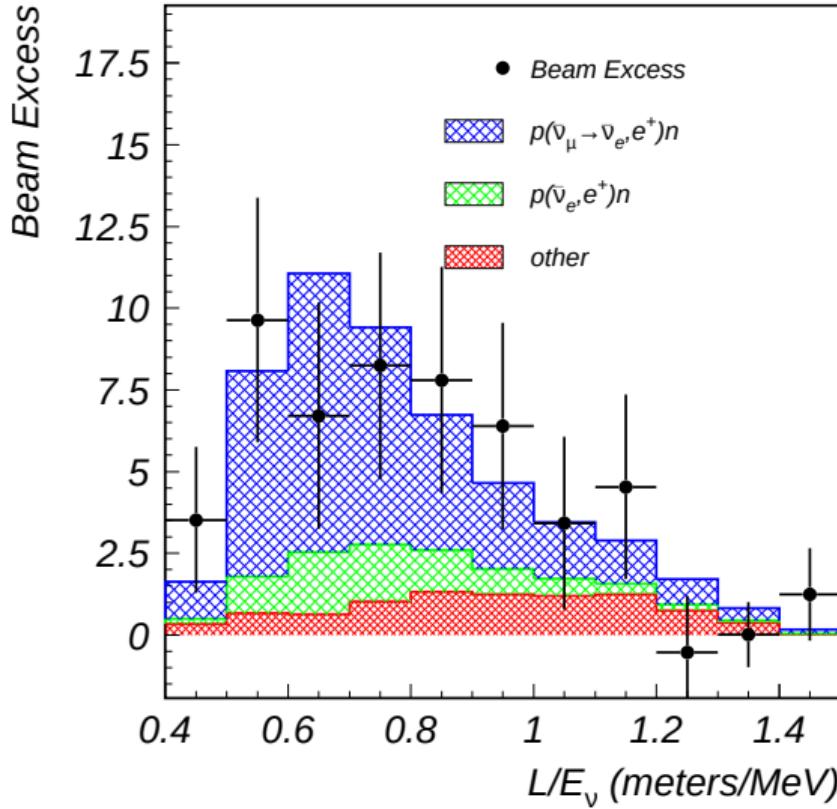
A practical, simplified view:  
only  $\nu_e$  and  $\nu_\mu$  (the ones we probe) mix

Three measurements ( $\sin^2 2\theta_{\mu e}$ ,  $\sin^2 2\theta_{\mu \mu}$ ,  $\sin^2 2\theta_{ee}$ )  
for two parameters ( $|U_{e4}|^2$ ,  $|U_{\mu 4}|^2$ ): self-consistency  
check!

(Plenty of shortcuts:  $\Delta m_{41}^2 \gg \Delta m_{31}^2$ , ignoring  $\nu_\tau$ , same probability for  $\nu$  and  $\bar{\nu}$ , ...)



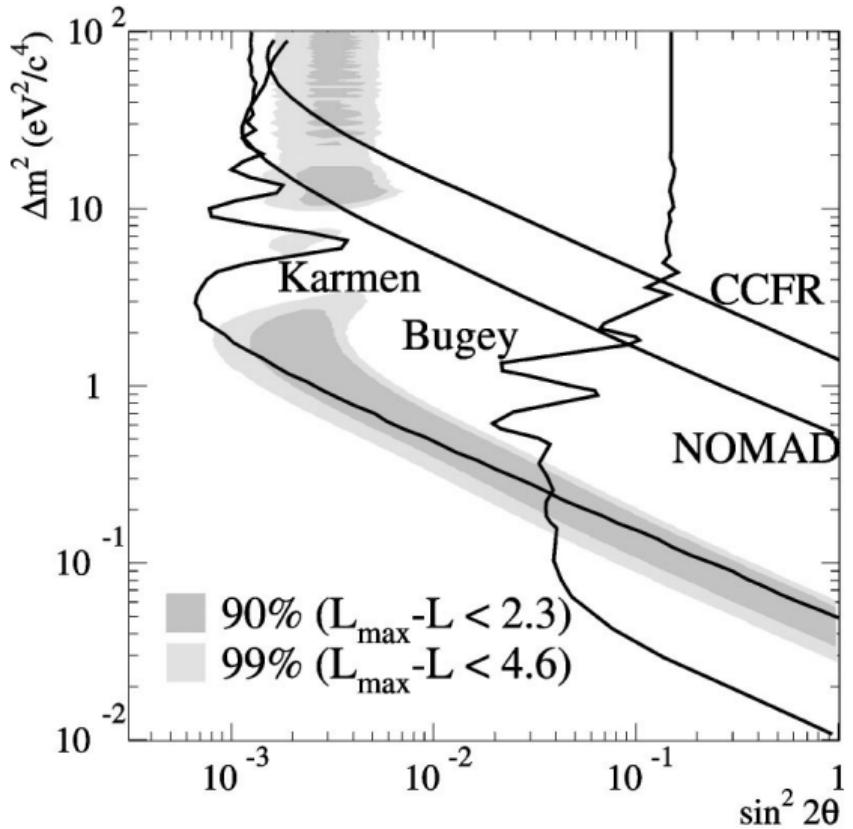
# LSND result



- LSND experiment sits at  $\frac{L}{E} \approx 1 \text{ m/MeV}^*$  and observes the “appearance” of positrons from  $\bar{\nu}_\mu$  “beam”!

\* See A. Mastbaum's talk.

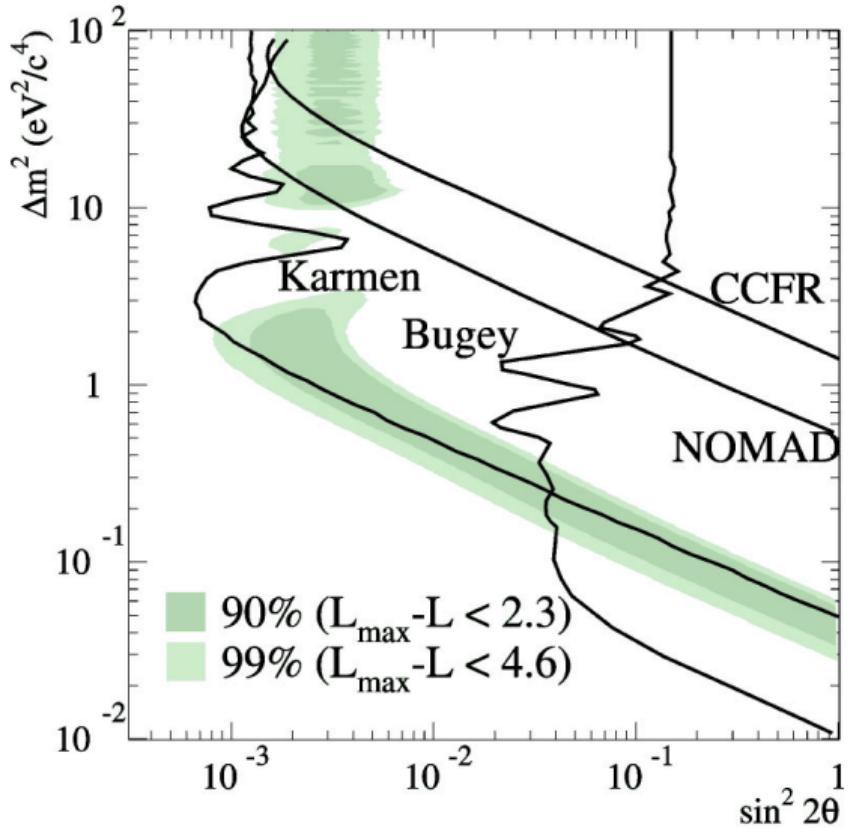
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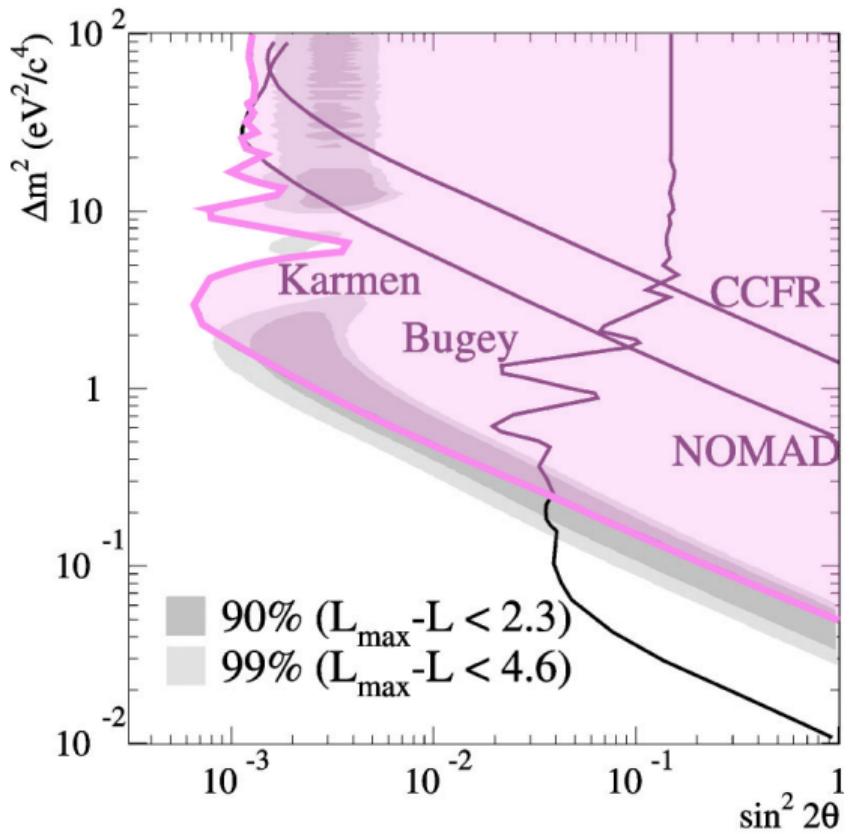
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- LSND data supports the existence of a sterile neutrino with mass  $\mathcal{O}(1 \text{ eV})$   
(best fit:  $\Delta m_{41}^2 = 1.2 \text{ eV}^2$ ,  $\sin^2 2\theta_{\mu e} = 0.003$ )  
(Phys.Rev.D **64** (2001) 112007)

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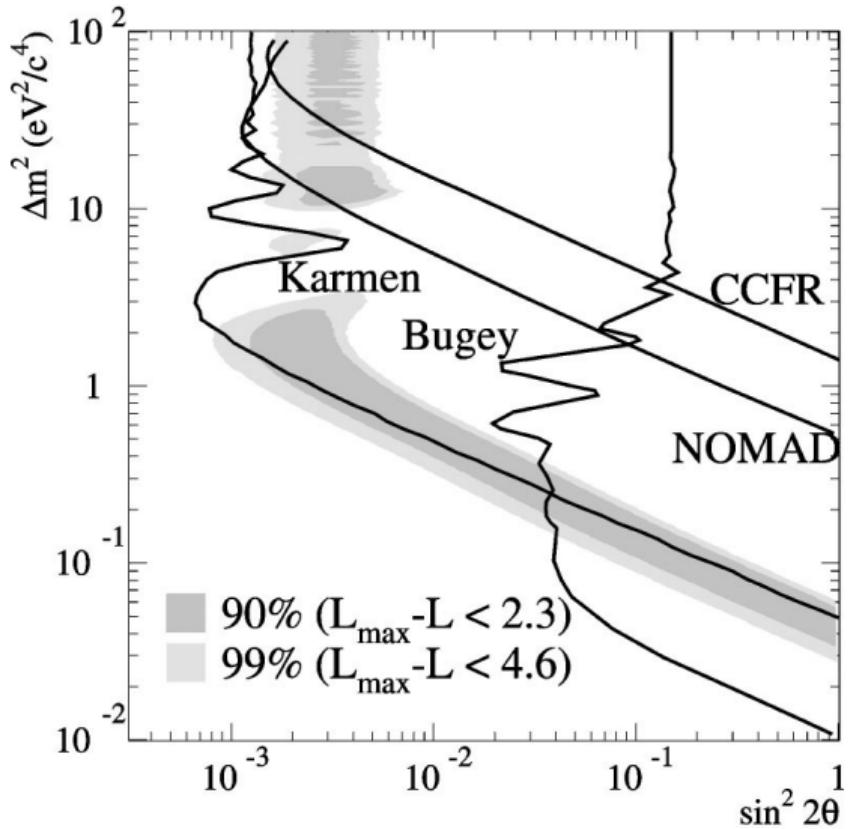
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# LSND result



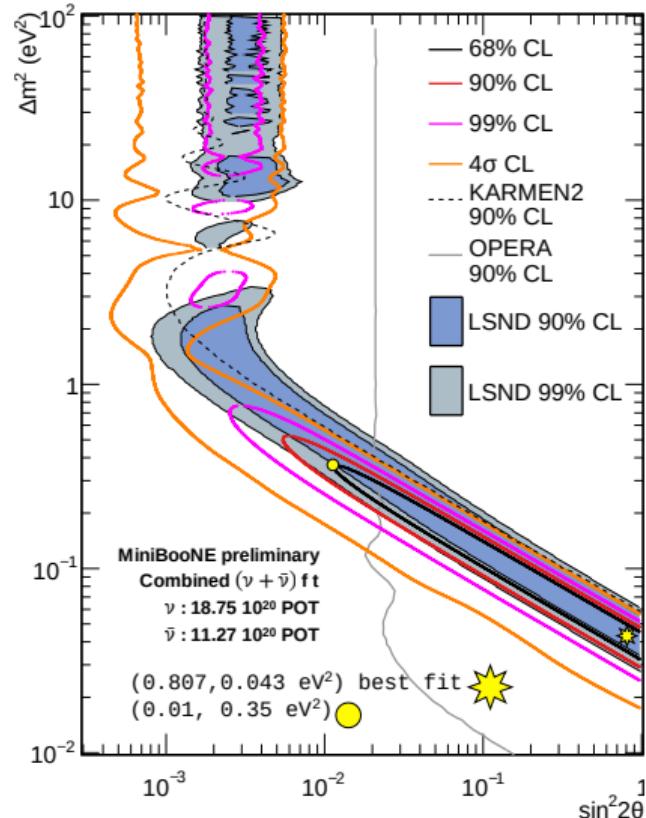
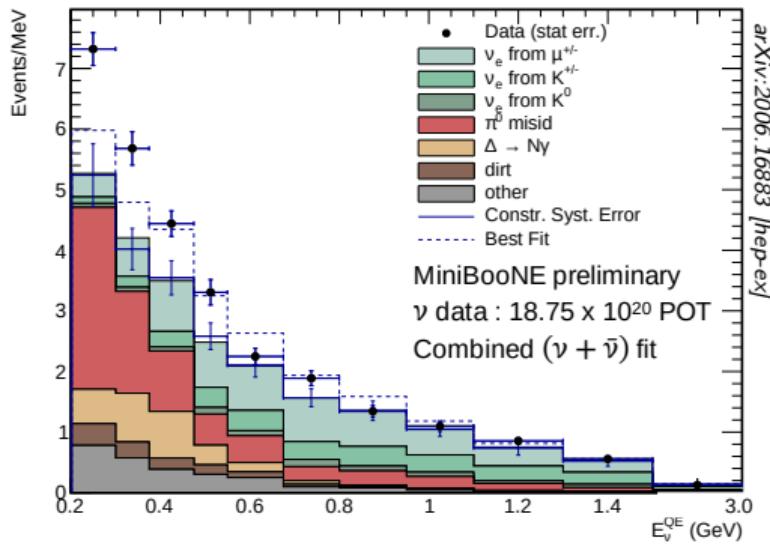
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# Confirmation of the excess: MiniBooNE

MiniBooNE was proposed to solve the riddle...

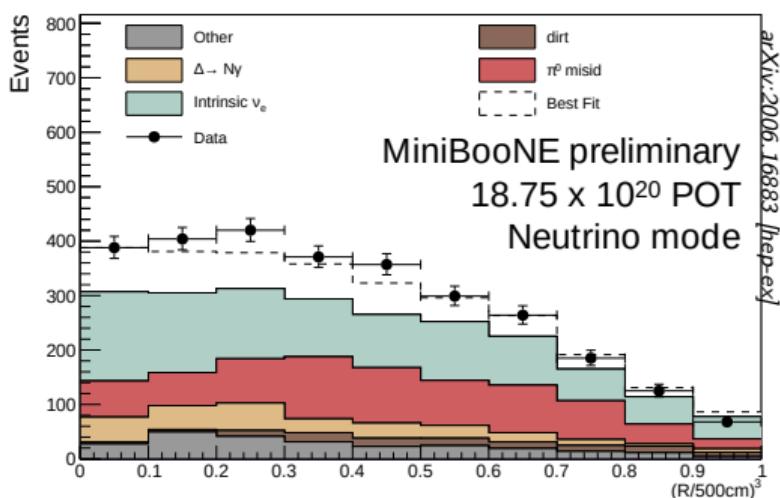
- $E_\nu \approx 500 \text{ MeV}$ ,  $L \approx 500 \text{ m} \rightarrow \text{again } \frac{L}{E} \approx 1 \text{ m/MeV}$
- collected data (both  $\nu$  and  $\bar{\nu}$ ) in 2002–2019
- and *did* observe an excess of events! but...



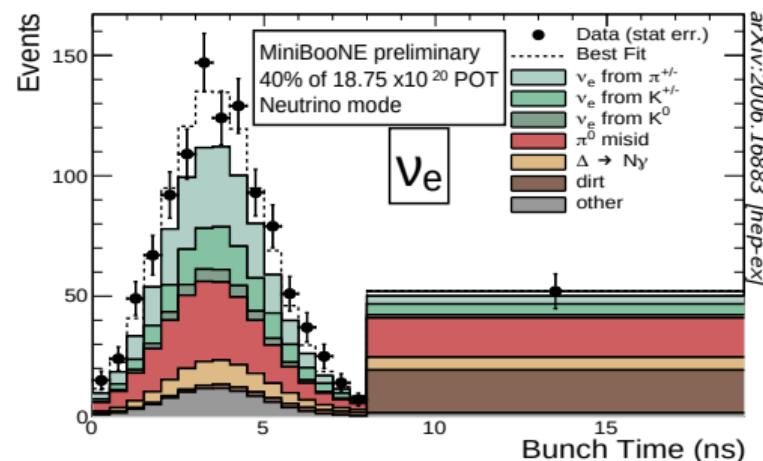
# Results from MiniBooNE, tests and limitations

How to interpret those events? are those really electrons, or photons? some recent checks:

- $\gamma$  leaking in from outside the detector?  
→ excess is not concentrated at borders



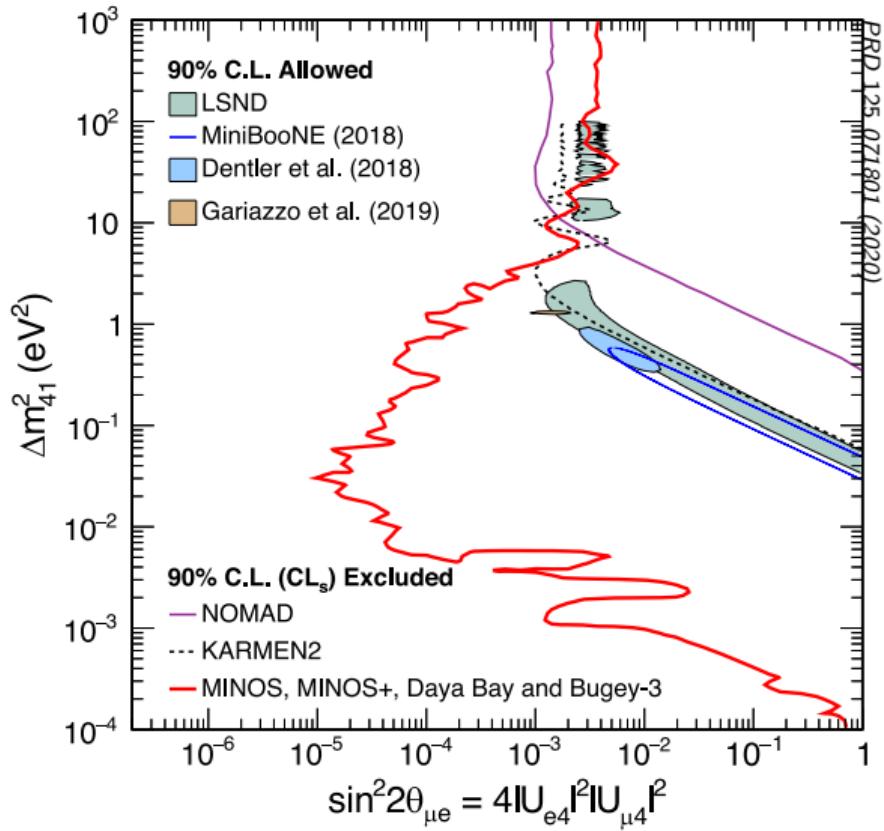
- some external, unrelated background?  
→ excess matches time of arrival of beam



MiniBooNE is publishing observed 2D distributions that may help model builders.

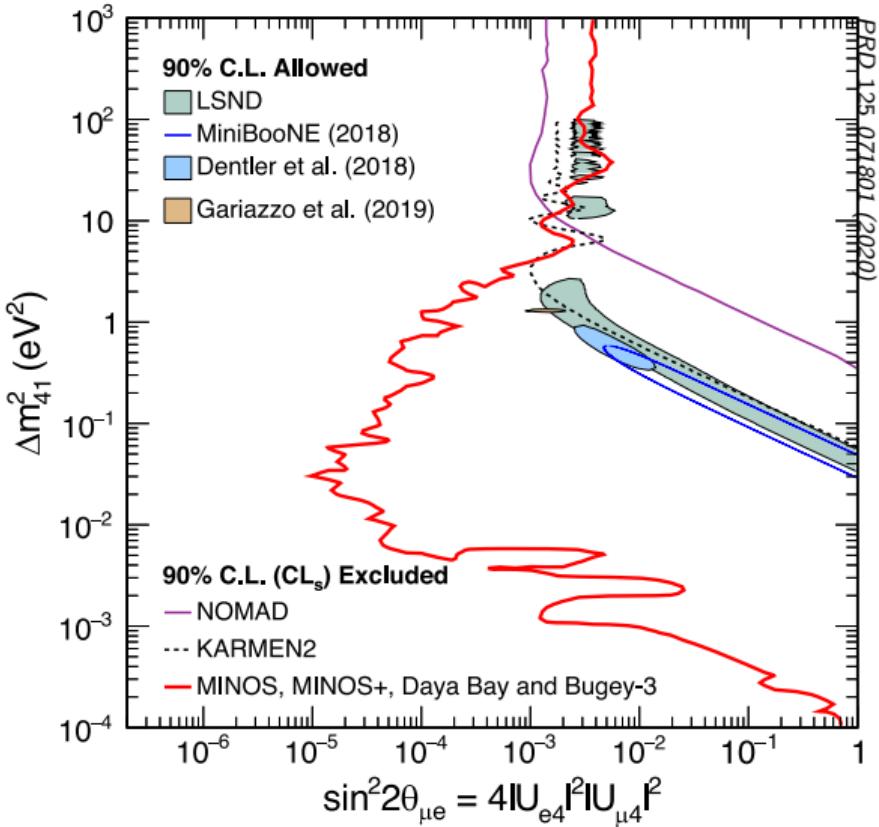
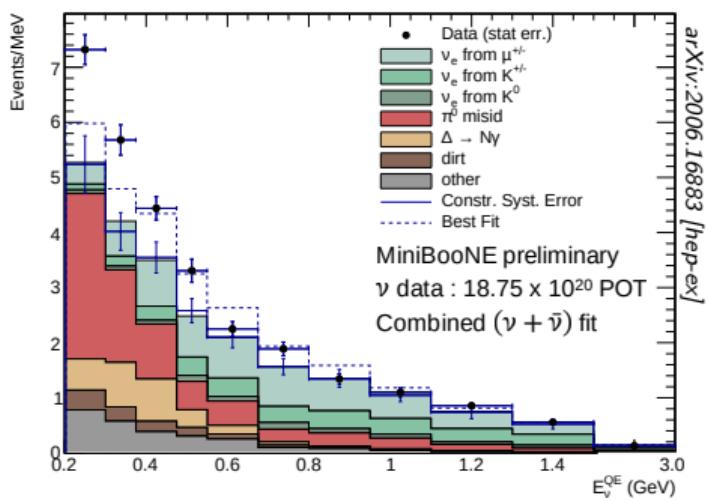
# The other side of the oscillation: MINOS+

- $\nu_\mu \leftrightarrow \nu_e$  oscillations imply “disappearance” of both  $\nu_\mu$  and  $\nu_e$
- results from MINOS+ and ICECUBE set *limits on  $\nu_\mu$  disappearance*
- combination of (Daya Bay + Bugey 3) (reactor experiments) and MINOS+ strengthens the exclusion of LSND/MiniBooNE signal as due to sterile neutrino within “3+1” model



# Contradicting observations?

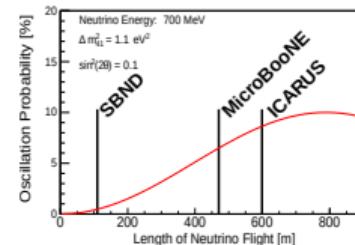
- $\nu_\mu \rightarrow \nu_\mu$  and  $\nu_\mu \rightarrow \nu_e$  results “in tension”
  - ... and “3+1” model does not fit MiniBooNE data that well at low neutrino energy (*dashed line* ↓):



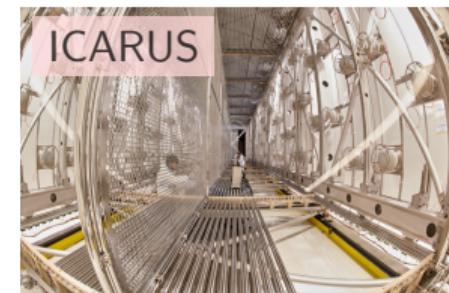
# The SBN program components

Fermilab Short Baseline Neutrino (SBN) program:

- designed to address the open questions on  $\approx 1 \text{ eV}^2$  sterile neutrinos
- measure  $\nu_\mu$  and  $\nu_e$  oscillations, resolve electrons from photons

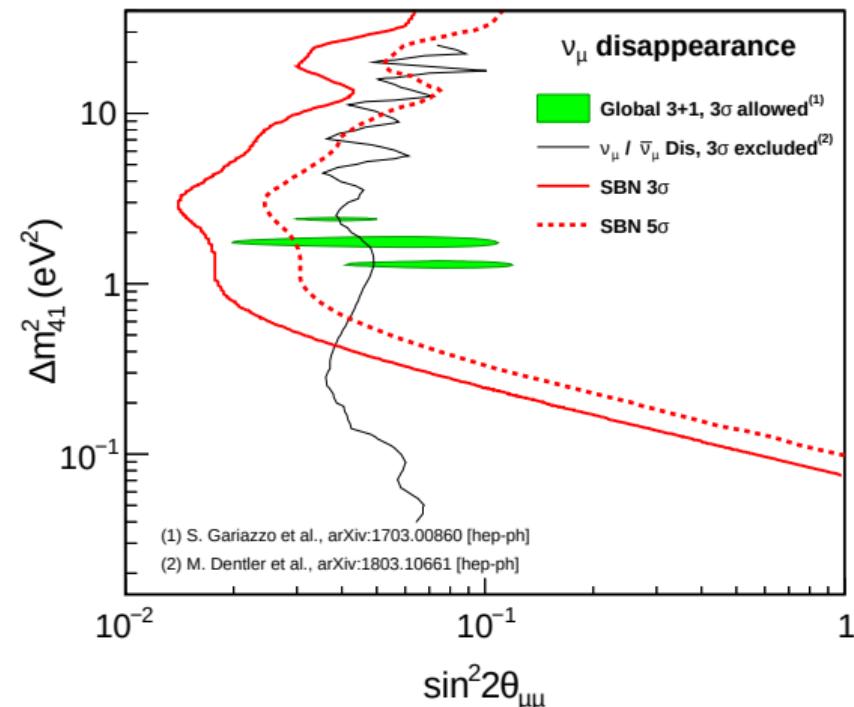
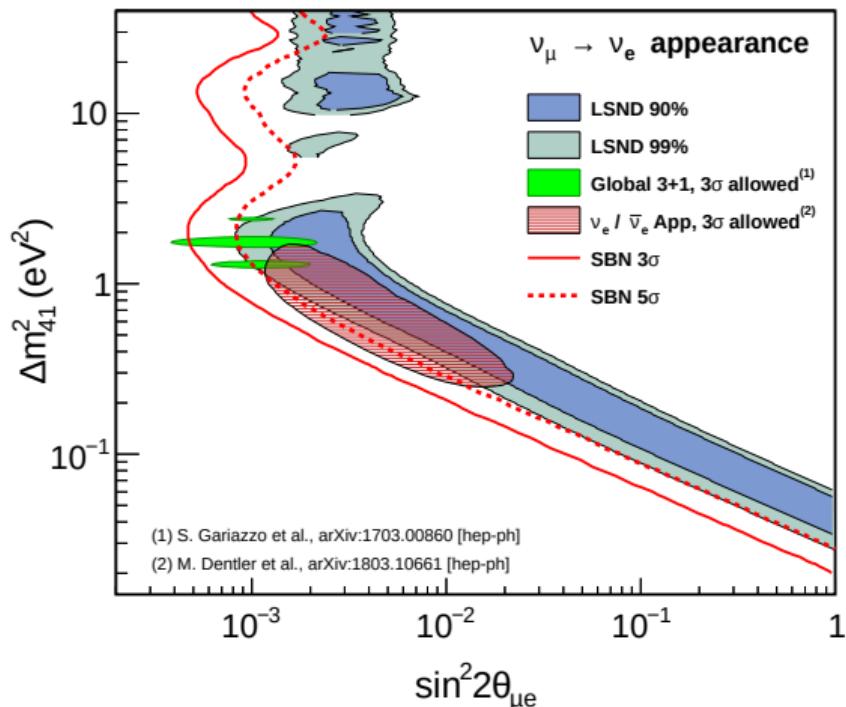


Booster  
Neutrino  
Beam,  
average:  
 $E_\nu \approx 700 \text{ MeV}$



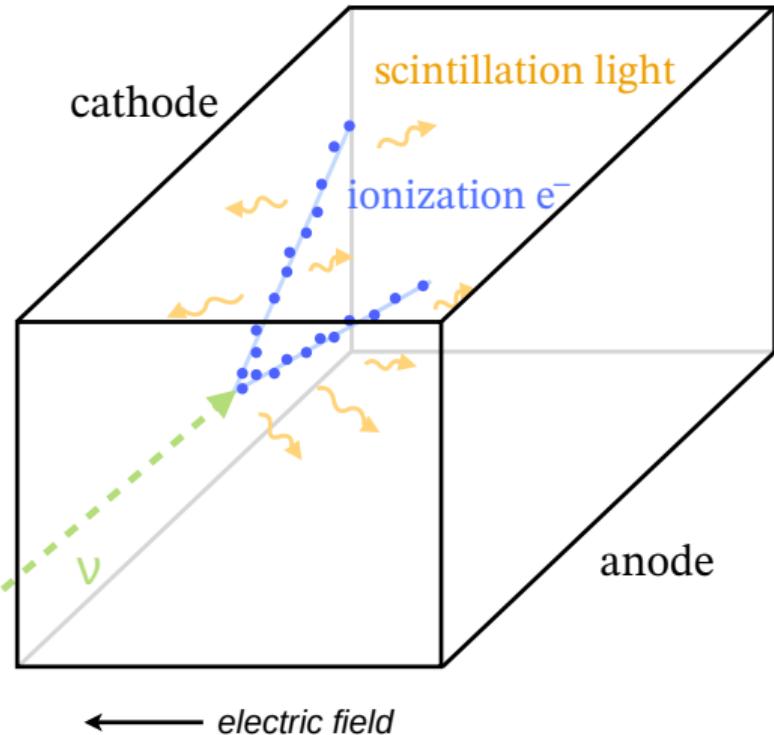
active argon	112 ton	85 ton	470 ton
$L$	110 m	470 m	600 m
$p$ on target	$6 \cdot 10^{20}$	$12 \cdot 10^{20}$	$6 \cdot 10^{20}$
first data	2021	2015	2020

# SBN expected sensitivity



Expected sensitivity at 3 SD covers MiniBooNE and LSND  
(Annu. Rev. Nucl. Part. Sci. 69, 363 (2019))

# Liquid Argon Time Projection Chamber (LArTPC) is good for you

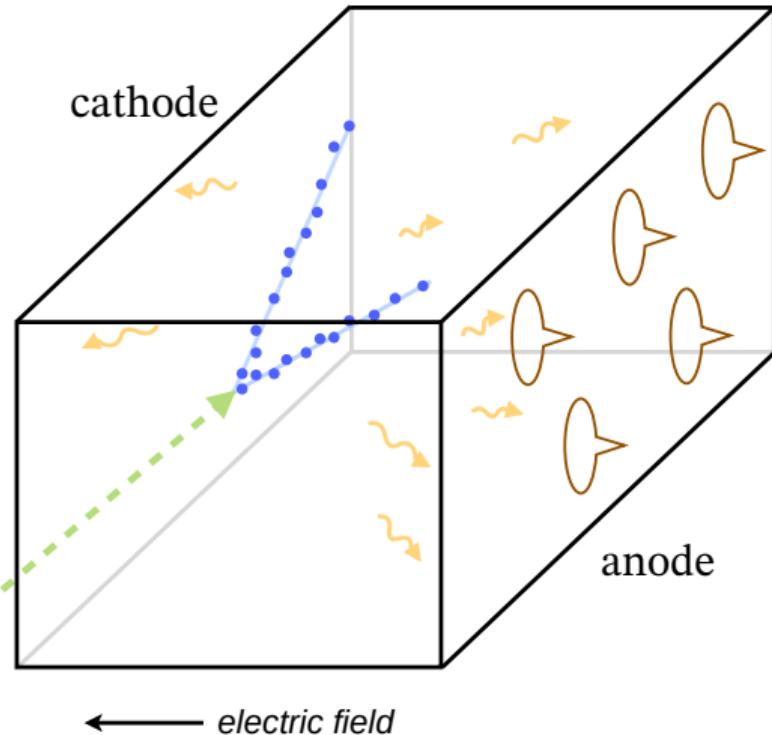


How does it work:

- pioneered by ICARUS (@Italy)
- ① beam interacts with  $^{40}\text{Ar}$
- ② charged particles cross it, ionise it, produce scintillation light

*drawing by Y.-T. Tsai*

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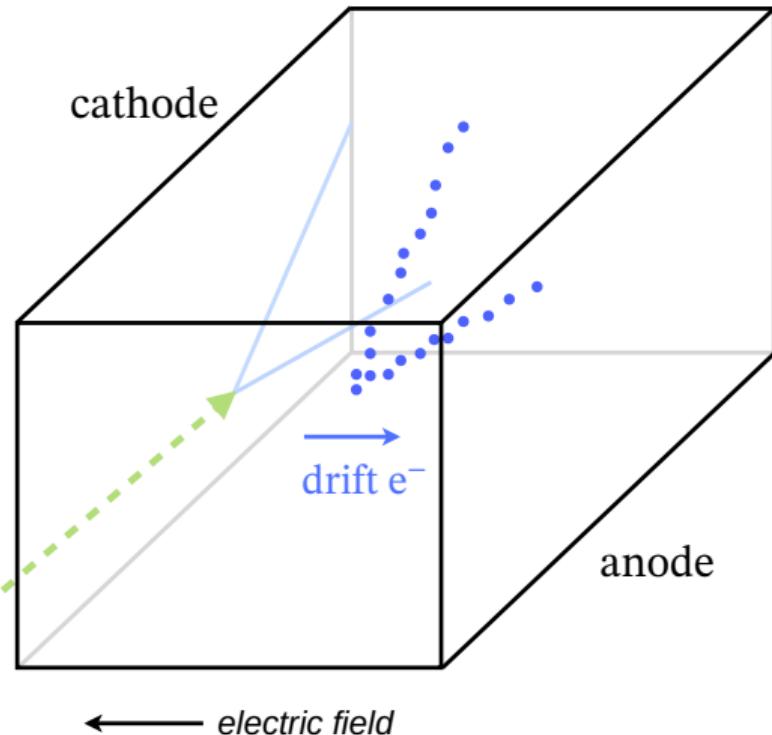


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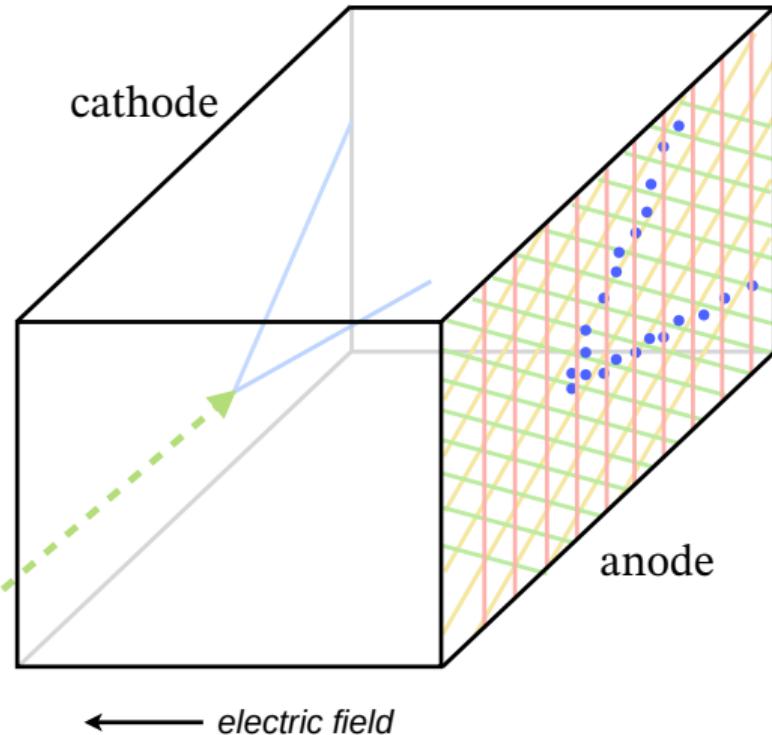


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- ④ ionised  $e^-$  drift in a uniform electric field in  $\mathcal{O}(1\text{ ms})$

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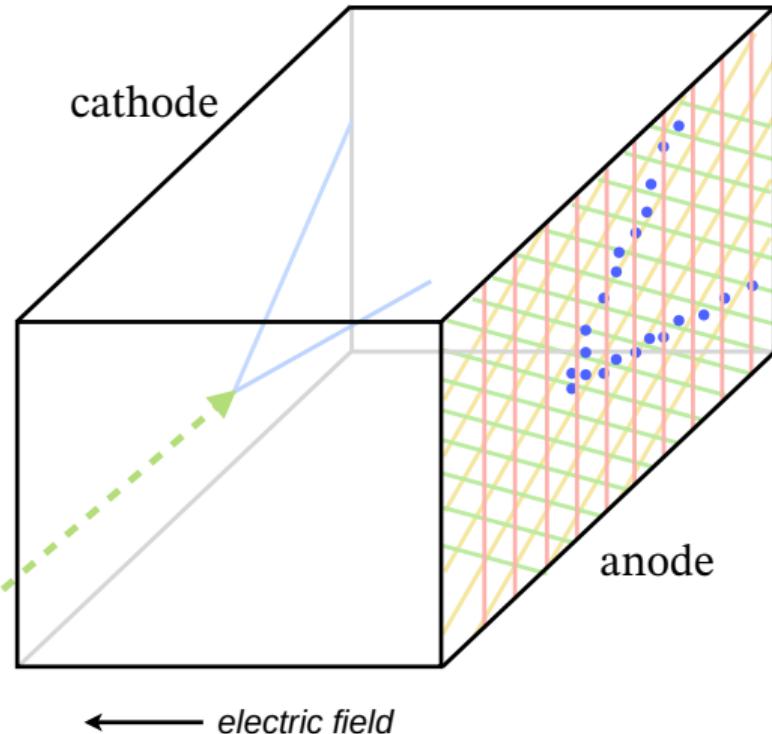


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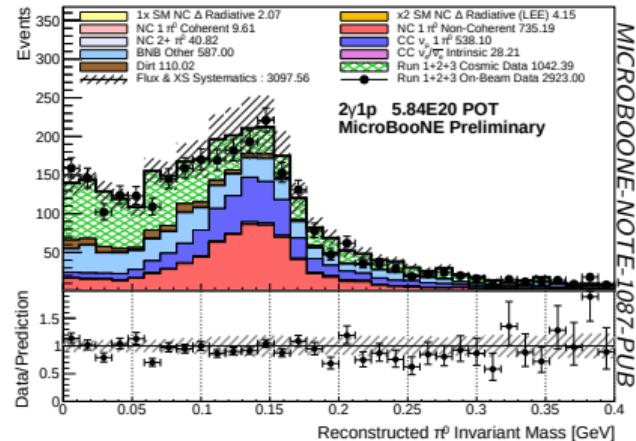
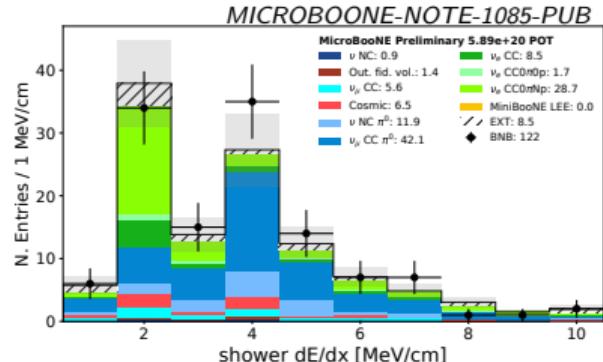
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- ⑤ (three) wire sets sense them arriving
- ⑥ we get three detailed projections of the tracks and mildly localised light flashes

drawing by Y.-T. Tsai

# The avant-garde: MicroBooNE



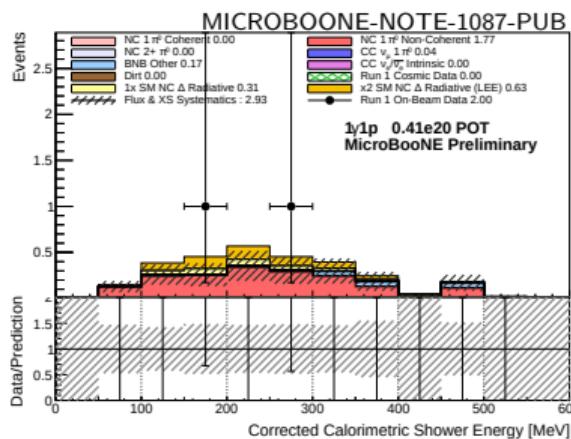
- designed to address the open questions on  $\approx 1 \text{ eV}^2$  sterile neutrinos
- same location as MiniBooNE  $\rightarrow \frac{L}{E} \approx 1 \text{ m/MeV}$
- can resolve photons from electrons ↗
- with good energy resolution for  $E_\nu \rightarrow$
- just completed the 5<sup>th</sup> year of data taking:  
 $12 \cdot 10^{20}$  “protons on target” in the vault



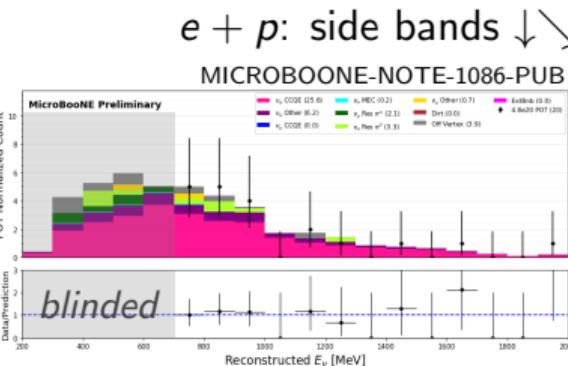
# MicroBooNE pushing forward

MicroBooNE looks for data excess at energy 200 – 600 MeV:

- low energy photons:  $\nu \text{ Ar} \rightarrow \nu \gamma + X$  (MICROBOONE NOTE 1087)  
→ “side product”:  $\nu \text{ Ar} \rightarrow \nu \pi^0$  measurement useful for theory
- low energy electrons: three approaches (MICROBOONE NOTES 1085, 1086, 1088)
- analysis of  $5 \cdot 10^{20}$  PoT ongoing: now checking side bands before full unblinding

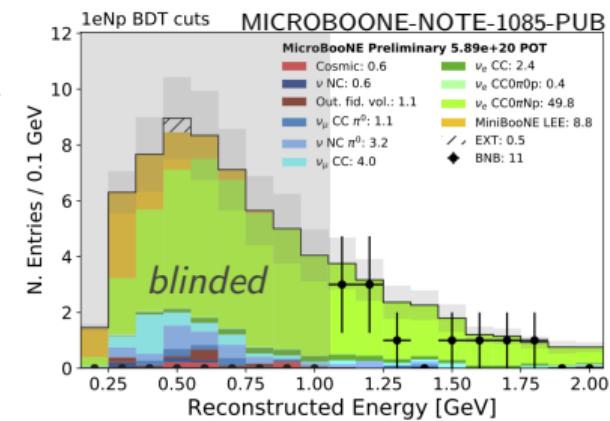


$\gamma + p$ : 5% open data



$\gamma + p$  analysis: reconstructed  $E_\gamma$

$e + p$  analysis: reconstructed  $E_e$



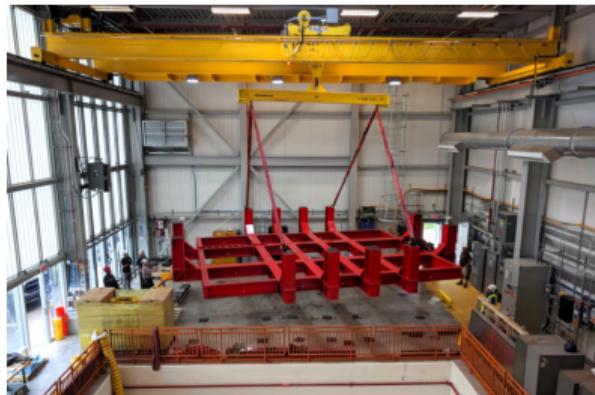
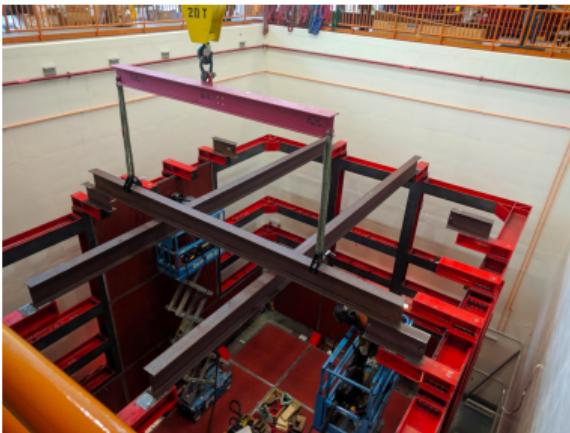
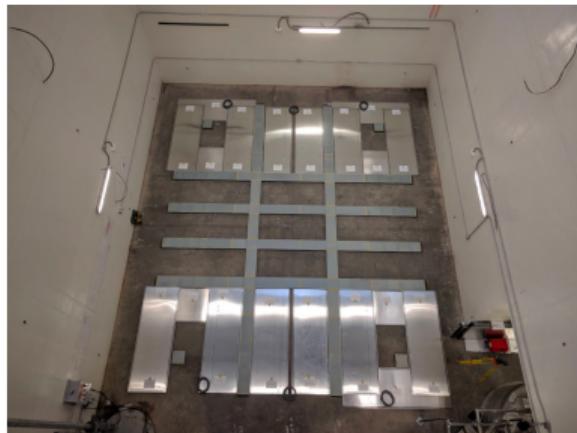
$e + N \times p$  analysis: reconstructed  $E_e$

# Status of SBND construction highlights

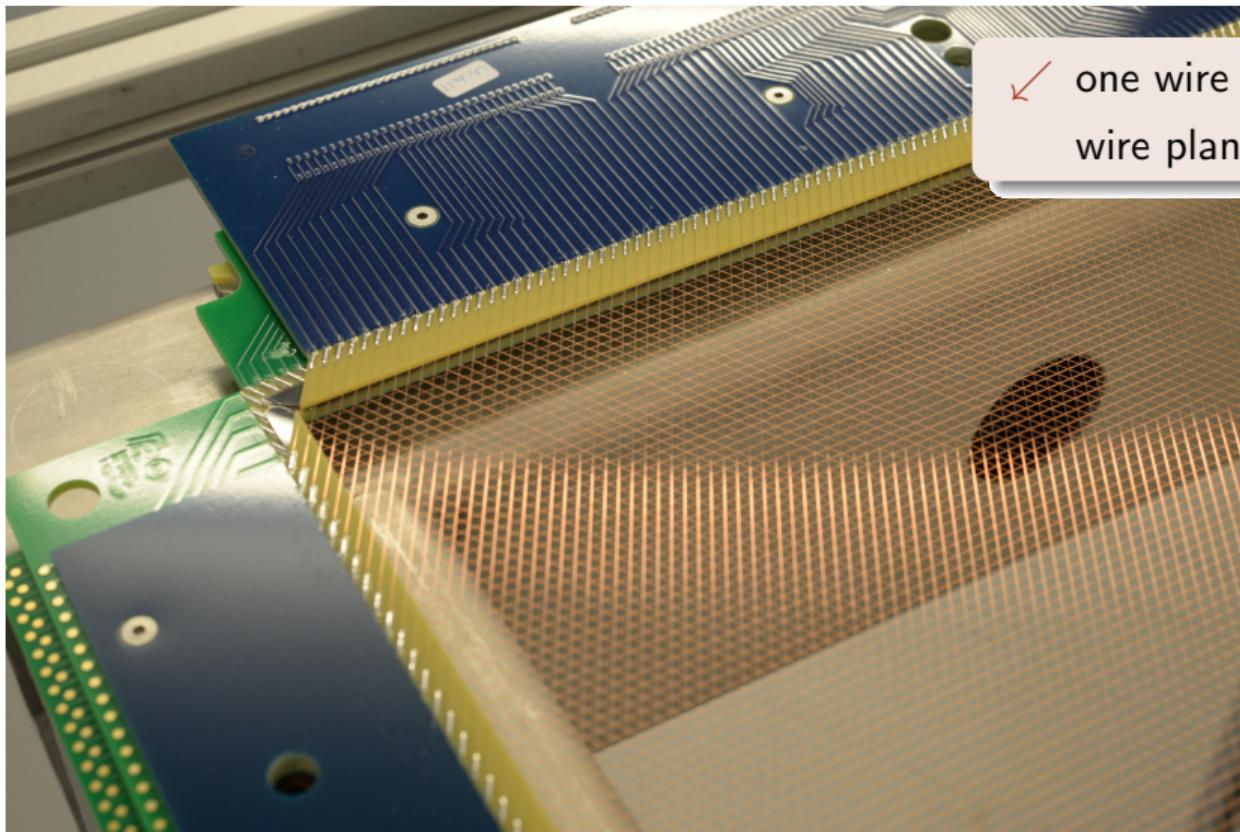
detector building →

↓ bottom side of cosmic ray detector installed

cryostat warm vessel installed ↓↓



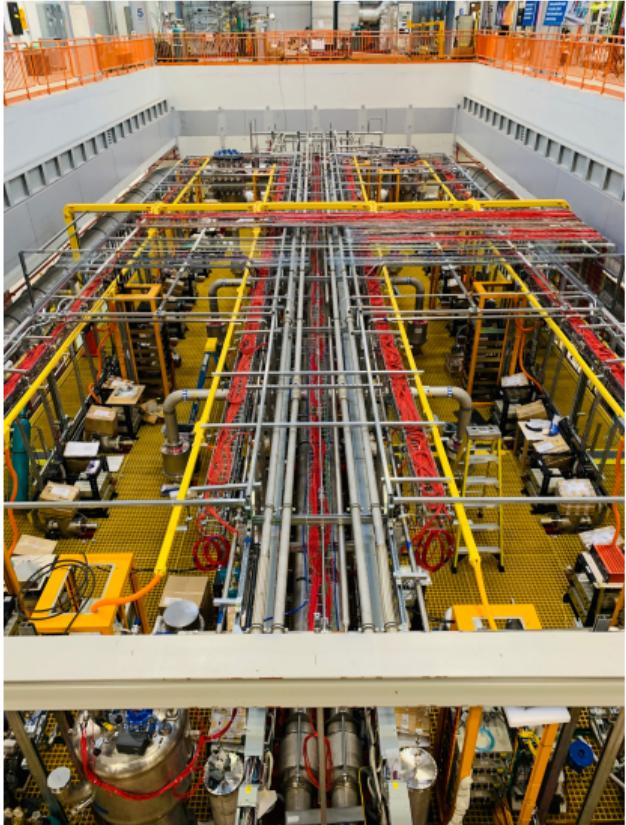
# Status of SBND construction: wire planes



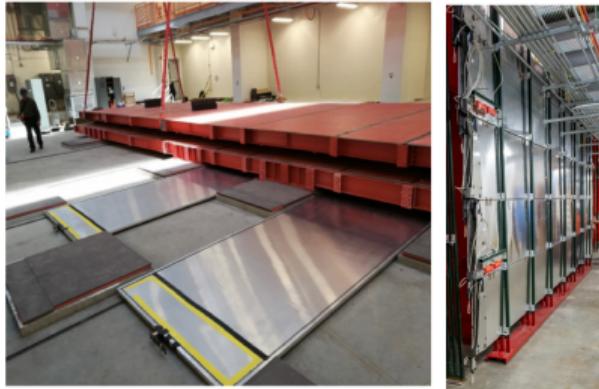
one wire plane (3 wire orientations)  
wire plane support to FNAL DAB ↓



# Status of ICARUS commissioning (I)

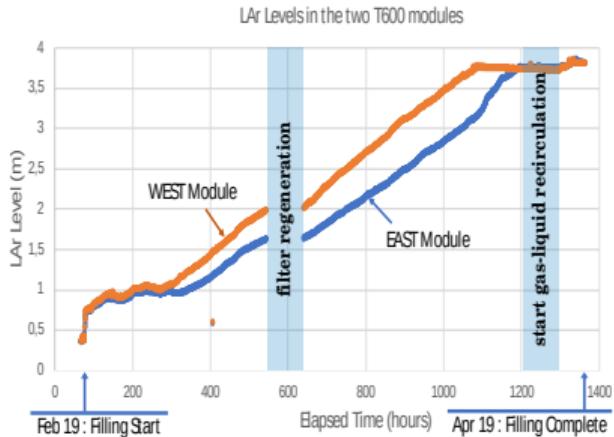


- cryogenic system installed and fully operational
- optical detector and TPC wire readout ready
- trigger distribution system being installed
- study of electronics noise ongoing
- 3-year data taking expected to start in autumn 2020

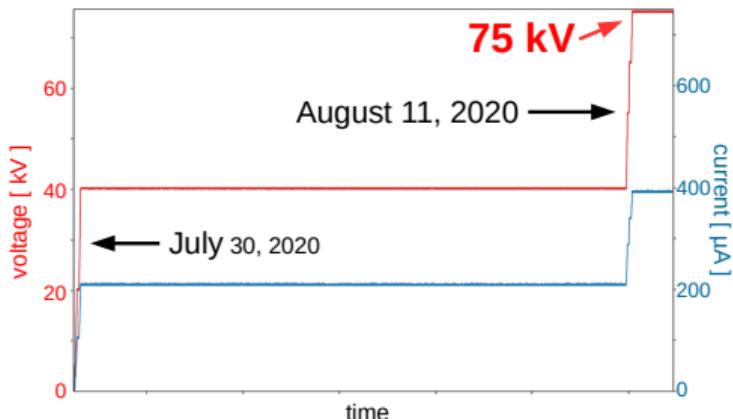
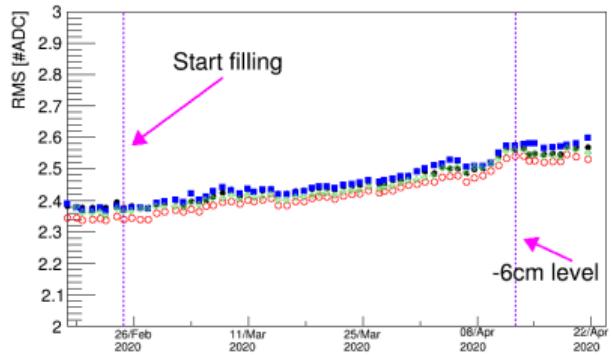
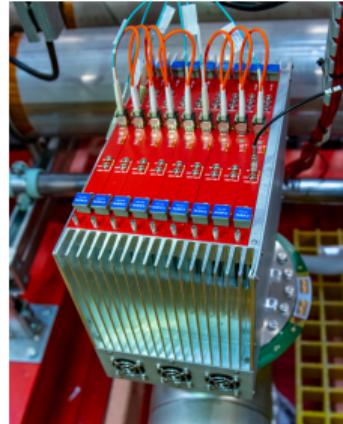


Cosmic ray tagging detectors and concrete overburden will be completed along the way.  
*(shown here bottom and side panels already installed)*

# Status of ICARUS commissioning (II)

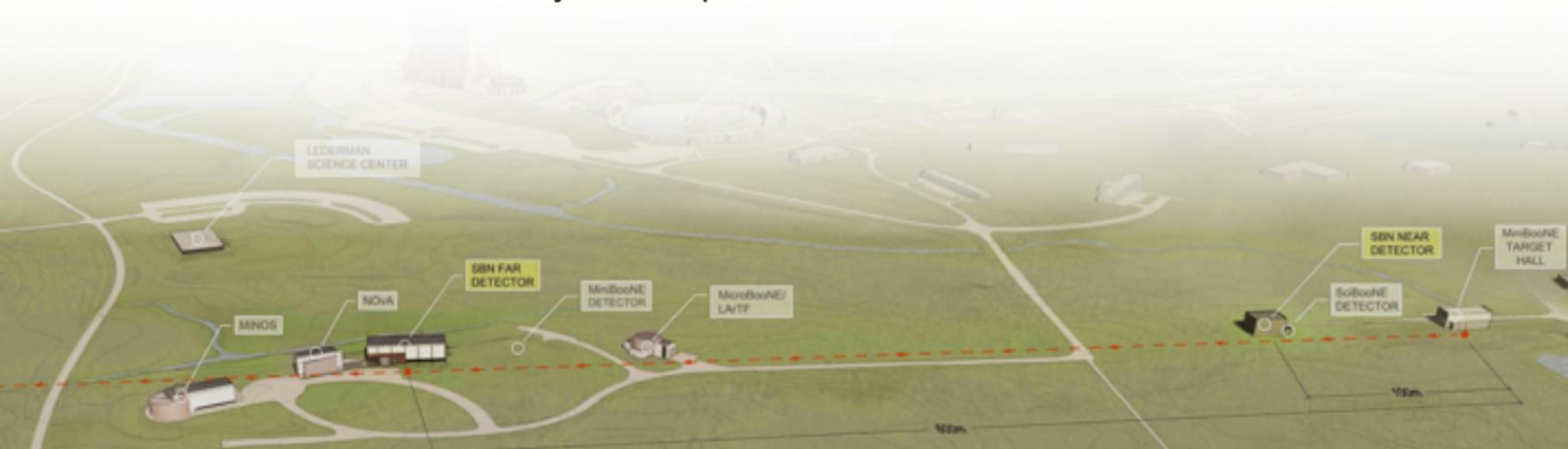


readout cabling completed days ago →  
filling with liquid argon completed in April 2020 (readout noise was monitored)  
noise studies ongoing  
cathode voltage ramped up to the nominal 75 kV ↓



# After SBN

- observations hint to a sterile neutrino at 1 eV<sup>2</sup>, but...
  - “3+N” models are having a hard time; others have been outright excluded
  - more complex models seem to be necessary to explain *the existing data*...
- information-rich LArTPC is solid foundation to cover studies we don’t know yet we need
- with SBN, in five years from now we look forward for the resolution of these anomalies, or the confirmation of discovery of new phenomena



# Additional material

# Neutrinos, oscillations and their probabilities



- each charged lepton comes with a “flavour”, and it keeps it for all its life
- neutrinos also come with a flavour, but they may *change* it
- quantum effect → probability of change “oscillates” in time:

$$P(\nu_e \rightarrow \nu_\mu) \propto P_{e\mu}^{\max} \sin^2 \omega_{e\mu} t$$

- three flavour (e,  $\mu$ ,  $\tau$ ) → six independent parameters:

$\Delta m_{21}^2$	$(7.53 \pm 0.18) \cdot 10^{-5} \text{ eV}^2$	$\sin^2 \theta_{12}$	$(0.307 \pm 0.013) \text{ rad}$
$\Delta m_{31}^2$	$(2.453 \pm 0.034) \cdot 10^{-3} \text{ eV}^2$	$\sin^2 \theta_{13}$	$(0.0218 \pm 0.0007) \text{ rad}$
$\delta_{CP}$	?	$\sin^2 \theta_{23}$	$(0.545 \pm 0.021) \text{ rad}$

... or so we thought.

# Approximate 2-neutrino oscillation probabilities in 3+1 model

Simplified view:

- only one sterile neutrino ( $N = 1$ )...
- .. and only  $\nu_e$  and  $\nu_\mu$  (the ones we probe)
- $\Delta m_{41}^2 \gg \Delta m_{31}^2$ , ignoring  $\nu_\tau$ , same probability for  $\nu$  and  $\bar{\nu}$ , ...

$$P(\nu_\mu \rightarrow \nu_e) = 4|U_{e4}|^2 |U_{\mu 4}|^2 \sin^2 \frac{\Delta m_{41}^2 L}{4E} = \sin^2 2\theta_{\mu e} \sin^2 \frac{\Delta m_{41}^2}{4} \frac{L}{E}$$

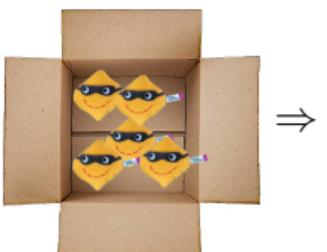
$$P(\nu_\mu \rightarrow \nu_\mu) = 4|U_{\mu 4}|^2 \left(1 - |U_{\mu 4}|^2\right) \sin^2 \frac{\Delta m_{41}^2 L}{4E} = \sin^2 2\theta_{\mu \mu} \sin^2 \frac{\Delta m_{41}^2}{4} \frac{L}{E}$$

$$P(\nu_e \rightarrow \nu_e) = 4|U_{e4}|^2 \left(1 - |U_{e4}|^2\right) \sin^2 \frac{\Delta m_{41}^2 L}{4E} = \sin^2 2\theta_{ee} \sin^2 \frac{\Delta m_{41}^2}{4} \frac{L}{E}$$

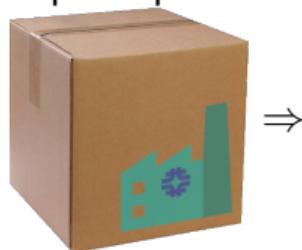
(the oscillation argument is actually  $\sin^2(1.27 \cdot \Delta m_{41}^2 \frac{L}{E})$  using  $\Delta m_{41}^2$  in eV<sup>2</sup>,  $L$  in metres and  $E$  in MeV)

# Some mystic jargon: appearances and disappearances

1. Source packs five  $\nu_\mu$  for us...



2. ... they are sent express par avion...



3. ... and we open the box looking for:



... muons! expect five, find five.

★★★★★ nothing is missing.

Also, no oscillation occurred.

... muons! we find ONLY THREE?!

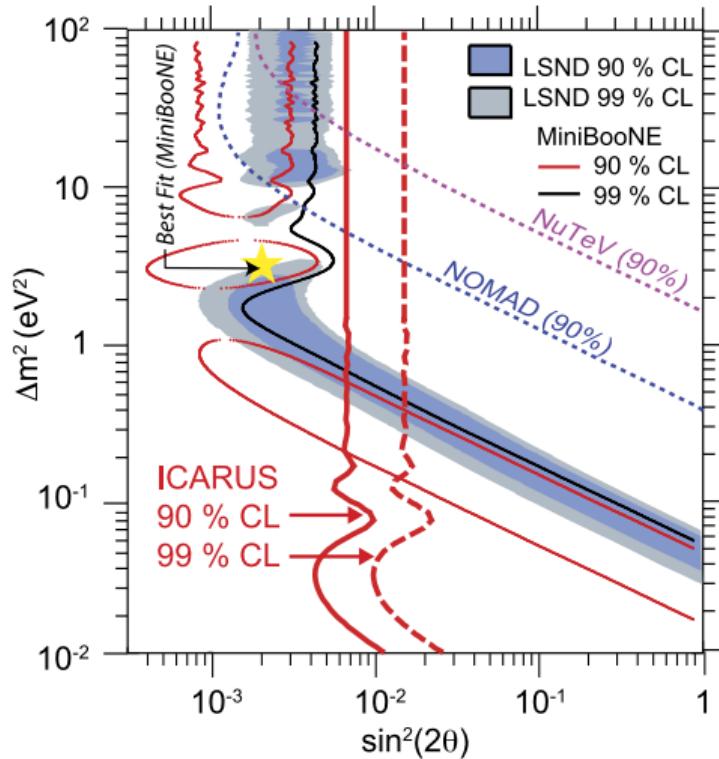
★★ Some  $\mu$  have disappeared!  
(actually, two  $\nu_\mu$  "oscillated away" from  $\mu$  flavour)

... electrons! expect 0... find TWO?!

★ "I would give 0 stars if I could!"  
Some  $e^-$  have appeared! (actually, two  $\nu_\mu$  "oscillated" to  $\nu_e$  flavour)

# ICARUS@LNGS: exclusion

## Neutrino



EPJ C(2013) 73: 2599:

- mostly  $\nu_\mu$  beam (CNGS) from CERN to Gran Sasso (LNGS)
- on the axes:  $\Delta m_{41}^2$  and  $\sin^2 2\theta_{\mu e}$

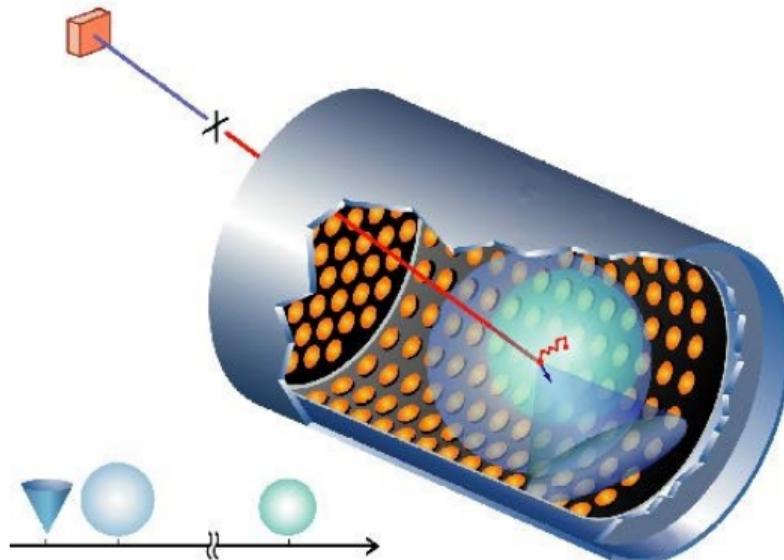
# Recent results from short baseline reactor experiments

- Reactor antineutrino anomaly (RAA): best fit at  $\Delta m_{41}^2 \approx 2.3 \text{ eV}^2$ ,  $\sin^2 2\theta_{\mu e} = 0.5$
- Neutrino-4 (RU) (JETP Lett. 109 (2019) 213; A.P. Serebrov et al. arXiv:2005.05301): oscillations at  $\Delta m_{41}^2 = 7.25 \text{ eV}^2$ ,  $\sin^2 2\theta_{\mu e} = 0.26$  (2.8 s.d.)
- PROSPECT(US): disfavours RAA at 2.2 s.d. (PRL 121 (2018) 251802)
- STEREO (FR): disfavours RAA at 99.9% CL (arXiv:1912.06582 and 2004.04075)
- DANSS (RU): excludes plenty (arXiv:1911.10140v1)
- NEOS (KR): excludes RAA at 4.3 s.d. (PRL 118 (2017) 121802)
- Solid (UK, FR, BE, US): ...

# Excess from antimuon neutrino: LSND

LSND took data at Los Alamos National Laboratory on 1993–1998:

- detector: 167 tons of mineral oil with scintillator
- antimuons decay at rest 30 m upstream  
→ decay  $\bar{\nu}_\mu$  spectrum well known,  $E < 52 \text{ MeV}$
- $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ ,  $\bar{\nu}_e p \rightarrow e^+ n$  (“inverse  $\beta$  decay”), neutron captured emits photon
- look for a  $e^+$  (scintillation + Cherenkov light) and a 2.2 MeV  $\gamma$  after  $\approx 180 \text{ ns}$
- tests  $E_{\bar{\nu}_e} \approx 20 - 60 \text{ GeV}$ ,  $L \approx 25 - 35 \text{ m} \Rightarrow L/E = \mathcal{O}(0.5 - 1.5 \text{ eV}^2)$

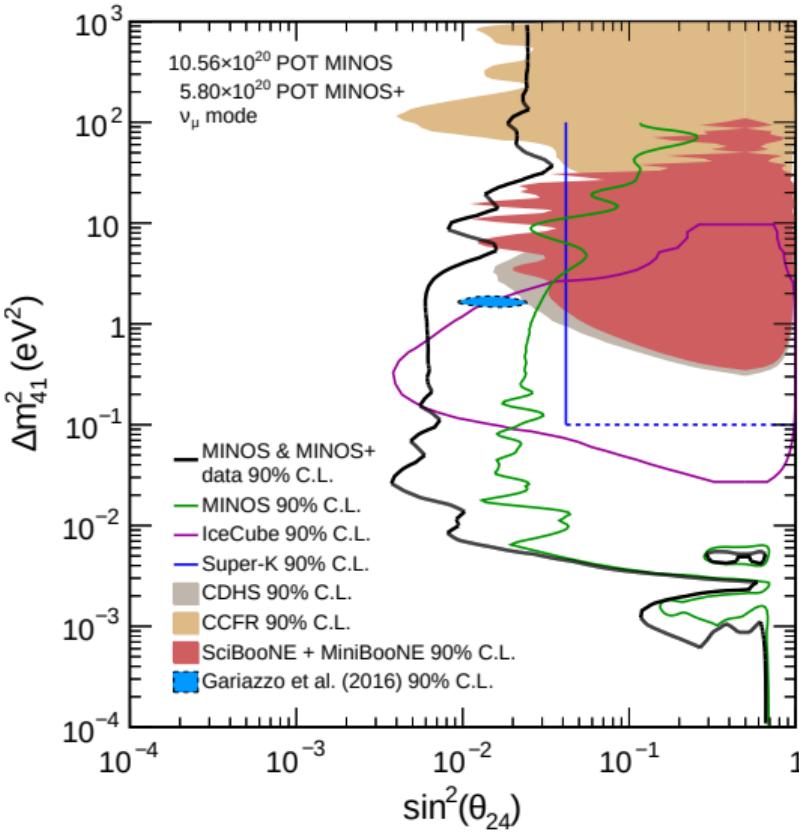


[back to LSND results](#)

# MINOS+ disappearance result

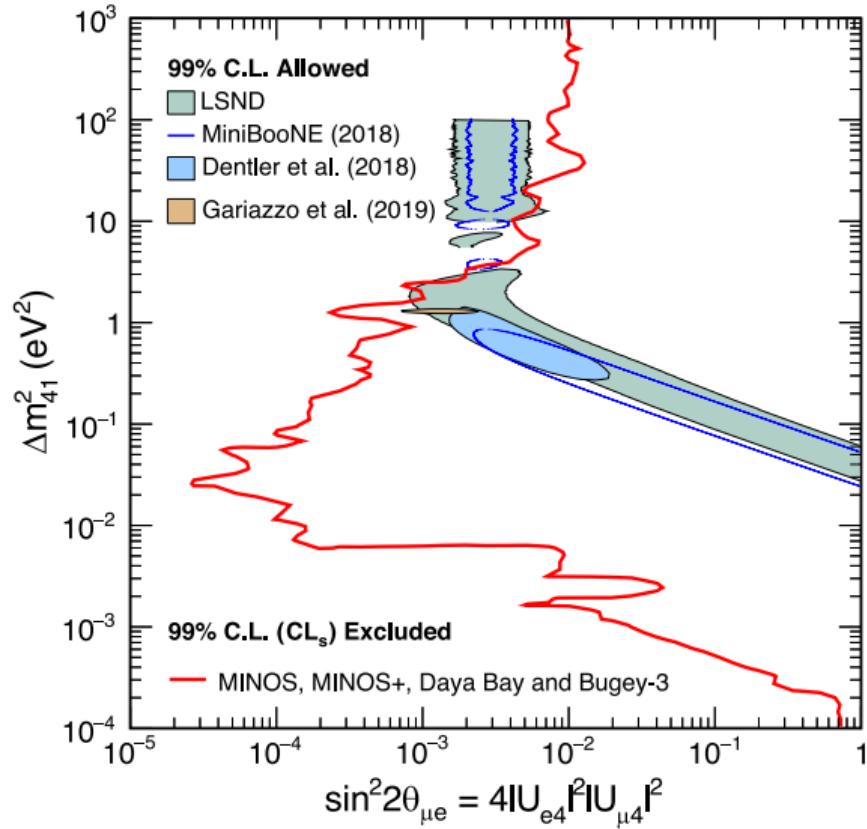
- results from MINOS+ set *limits on  $\nu_\mu$  disappearance* (PRL **122** (2017) 091803)
- MINOS+:  $L = 735 \text{ km}$ ,  $E_\nu = 7 \text{ GeV}$  (newest data)  $\rightarrow \frac{L}{E} \approx 100 \text{ m/MeV}$

(the blue global fit in the plot is dated 2016 ↗)

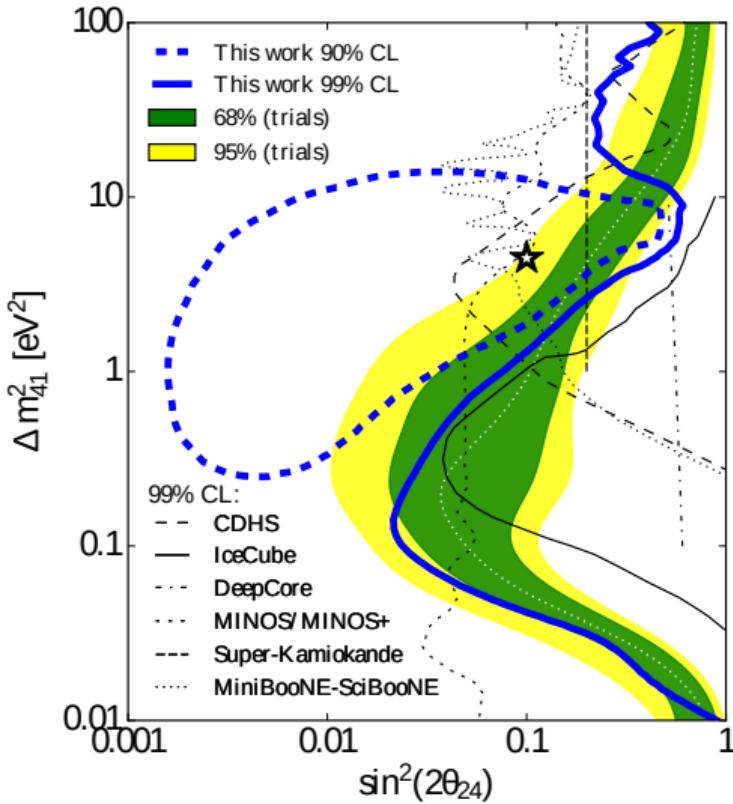


# The other side of the oscillation: MINOS+

Same result as shown before, but  
with CL=99%  
*PRL 125, 071801 (2020)*



# $\nu_\mu$ disappearance limits from ICECUBE



arXiv:2005.12942 (June 2020):

- exclusion “band” at confidence level 99%
- closed contour at confidence level 90% (null hypothesis — no disappearance —  $p$ -value 8%)
- quote: “*best-model location is at  $\Delta m^2 \approx 4.5 \text{ eV}^2$  and  $\sin^2 2\theta_{24} \approx 0.9$  and is strongly preferred, by a factor of 10.7, to the no sterile neutrino hypothesis*”