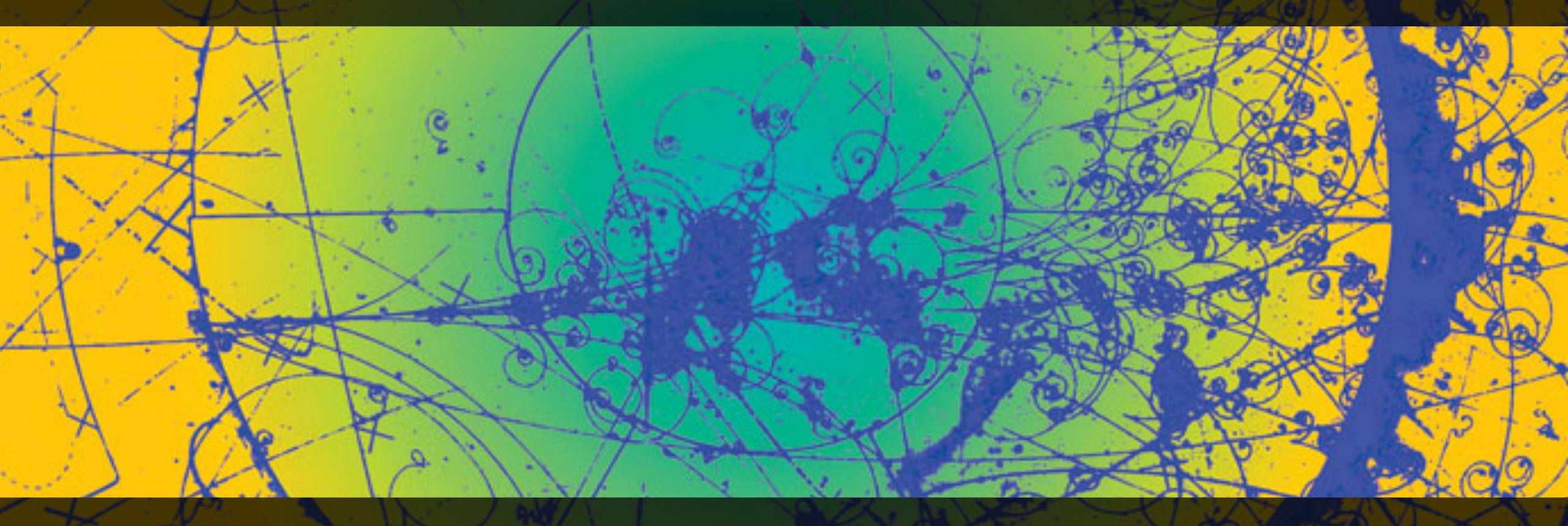
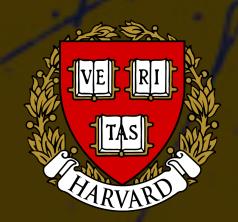
# Neutrinos: physics beyond the Standard Model

Neutrino University, July 23, 2025





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Harvard University → University of Iowa



### New Physics with Neutrinos: way more than I can cover in one lecture

References to other lectures series for those interested in phenomenology/theory:

### https://npc.fnal.gov/neutrino-university-past-series/

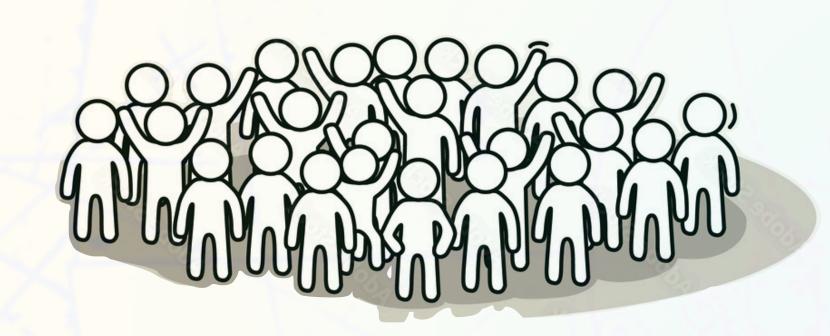
- 1) Mainz lectures on Lab probes of DM and neutrinos Brian Batell
  - 2) TASI lectures on <u>neutrino mass models</u> André de Gouvea
    - 3) ICTP lectures on <u>neutrino physics</u> Pedro Machado
      - 4) TASI lectures on <u>neutrino physics</u> Shirley Li

+ many others...

Much more at the International Neutrino Summer School (INSS) 2025

We hope to record the INSS lectures, stay tuned!

### By the way... what does phenomenology mean?



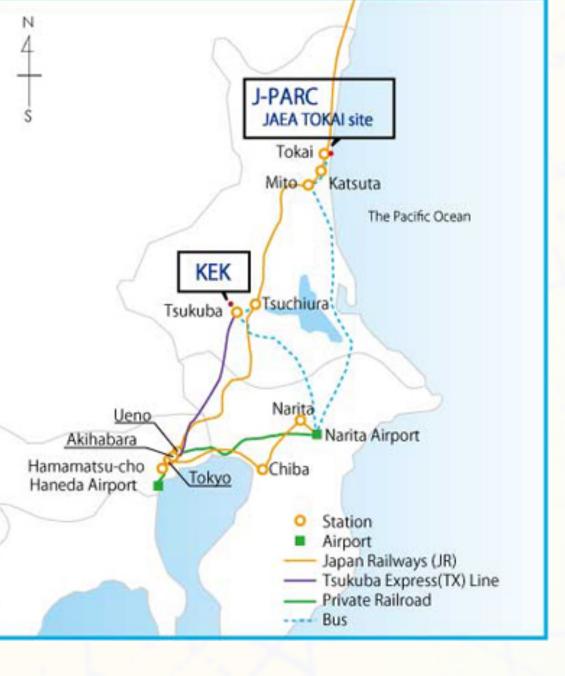


### Theory:

Ideas to answer conceptual and empirical puzzles. Make sense of data.

### **Experiment:**

Measurements of known and unknown phenomena, expansion of our empirical boundaries.



### Phenomenology

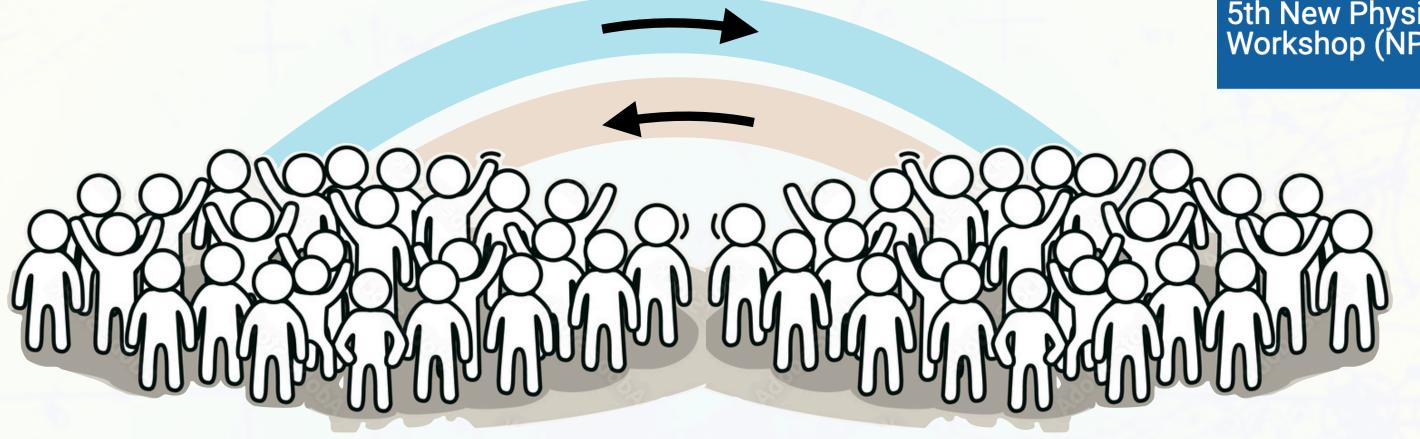
NEAT: Neutrino Experiment and Theory (Workshop)

2nd Short-Baseline Experiment-Theory Workshop, April 2nd to 5th 2024

5th New Physics Opportunities at Neutrino Facilities Workshop (NPN 2025)



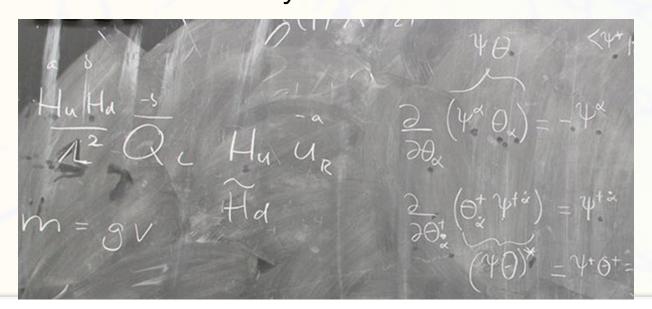
Joint workshops

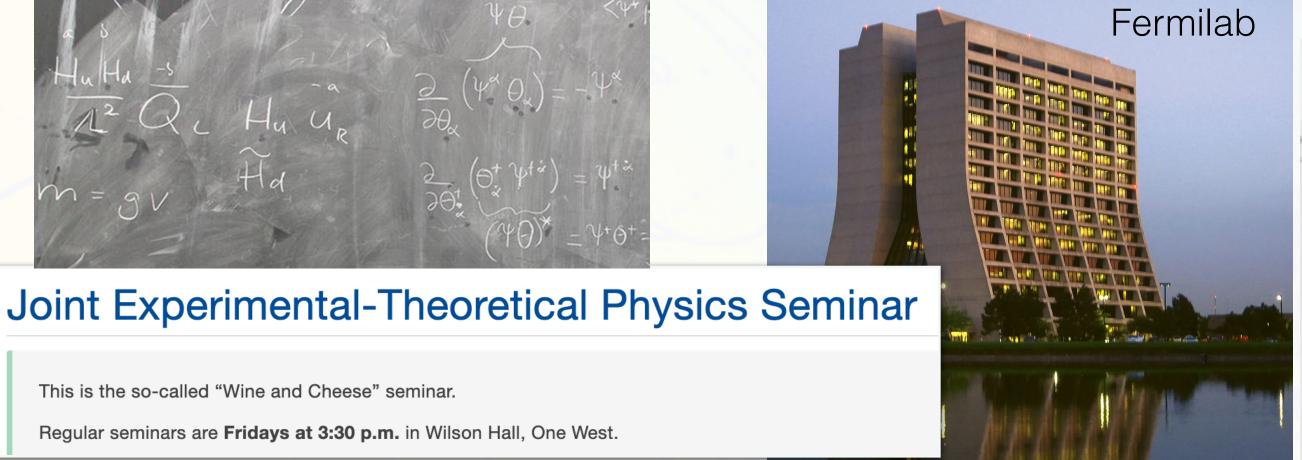


#### The interface or transition between the two worlds.

Interprets and predicts measurements using existing or proposed theories.

#### Fermilab Theory division









This is the so-called "Wine and Cheese" seminar.

Regular seminars are Fridays at 3:30 p.m. in Wilson Hall, One West.

### This Lecture / Talk

1) Neutrinos in the Standard Model — do they fit?

The Higgs and fermion masses: Dirac? Majorana? Both?

A taste of neutrino mass theories.

### 2) Neutrinos as probes of new fundamental physics

New forces and particles.

Neutrinos as portals to dark matter.

**Note:** I will take lots of shortcuts and will have to talk about things superficially. Please ask questions!

### This Lecture / Talk

1) Neutrinos in the Standard Model — do they fit?

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2) Neutrinos as probes of new fundamental physics

New forces and particles.

Neutrinos as portals to dark matter.

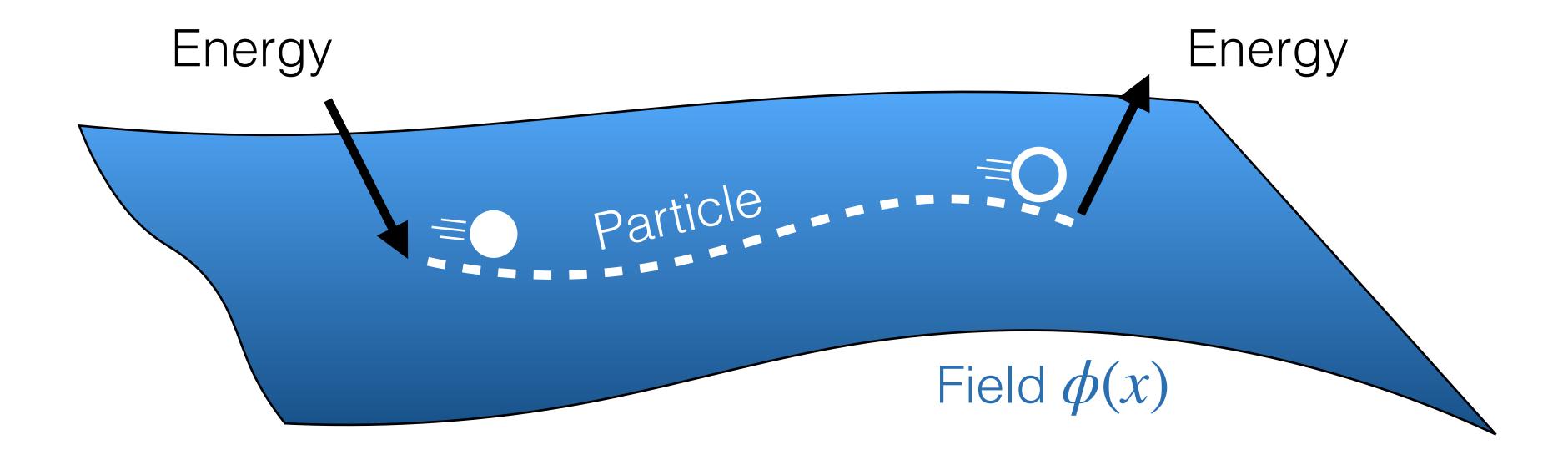
### **The Standard Model**



Quanta Magazine: A New Map of the Standard Model of Particle Physics

### **Particles and Fields**

Particles are the smallest excitations of "quantum field"



Each particle is associated with its own field

The Higgs



The Higgs Boson (Spin-0)



### **Dynamics**

(Symmetries)



Strong Force
SU(3)



The Higgs Boson (Spin-0)



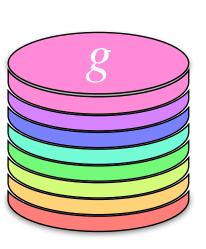
### **Dynamics**

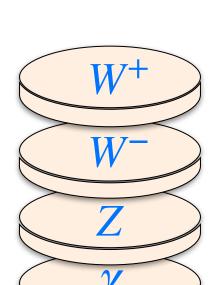
(Symmetries)



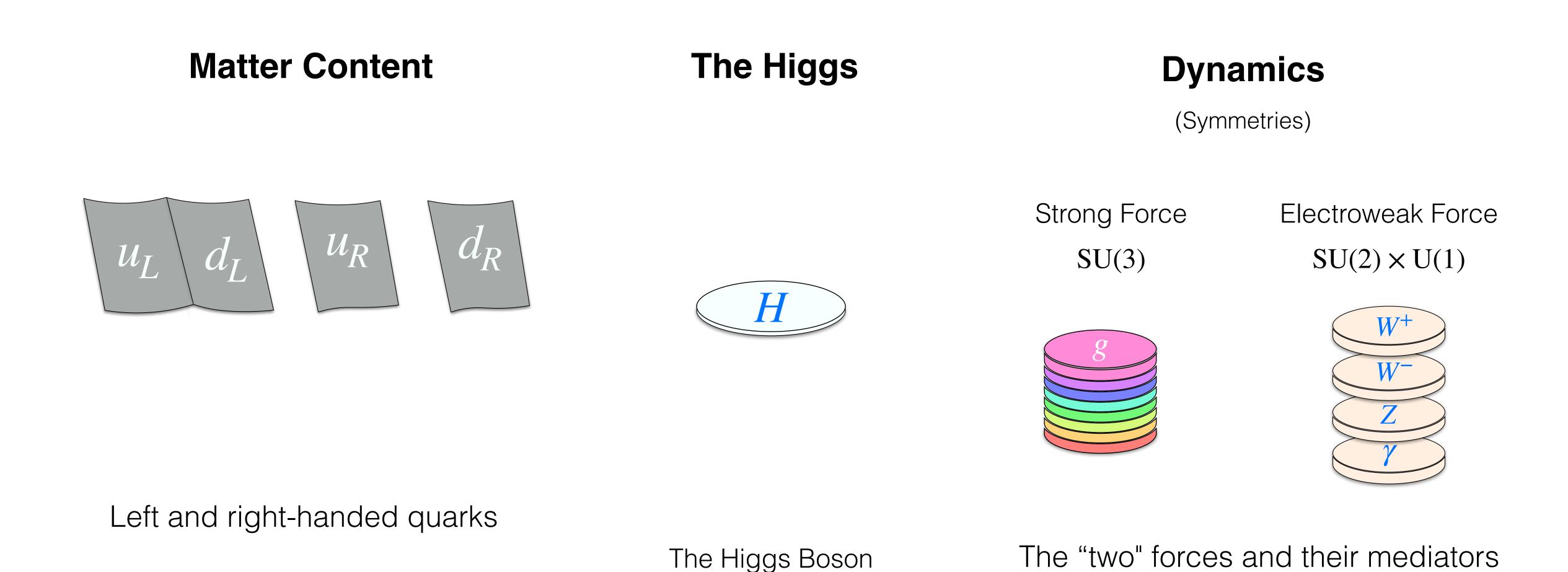
Strong Force
SU(3)

Electroweak Force  $SU(2) \times U(1)$ 





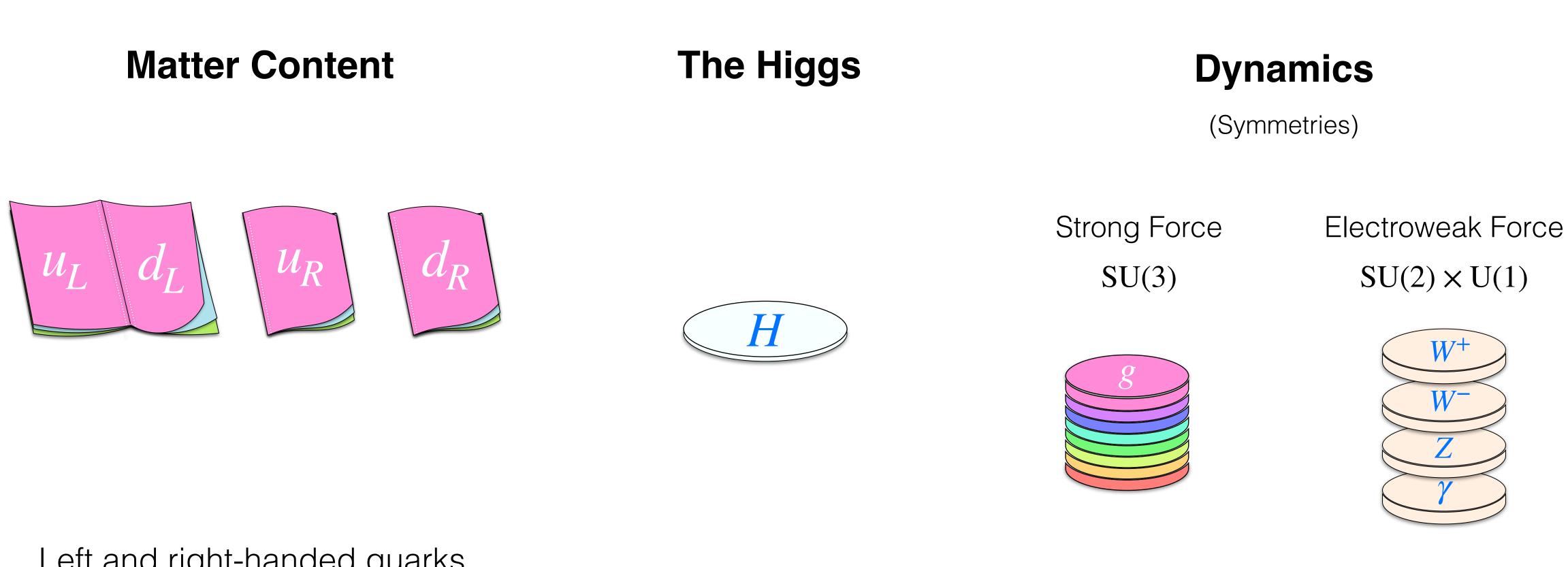
The Higgs Boson (Spin-0)



The Higgs Boson

(Spin-0)

Bosons (Spin-1)



Left and right-handed quarks with 3 colors

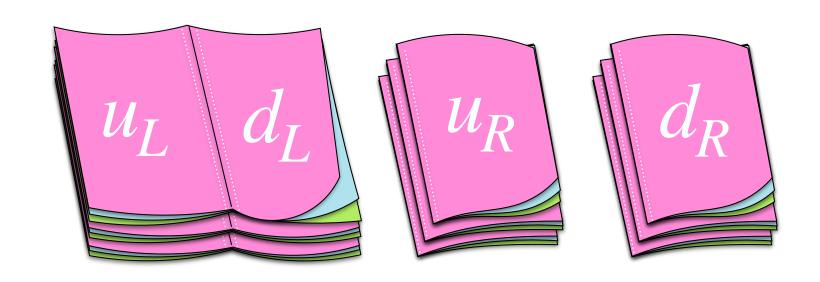
The Higgs Boson (Spin-0)

#### **Matter Content**

### The Higgs

### **Dynamics**

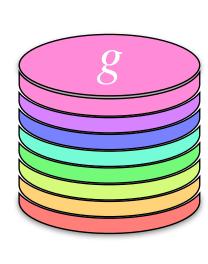
(Symmetries)

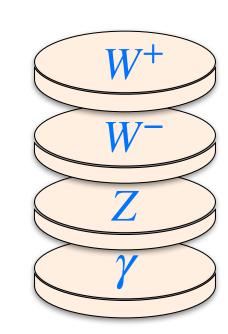




Strong Force
SU(3)

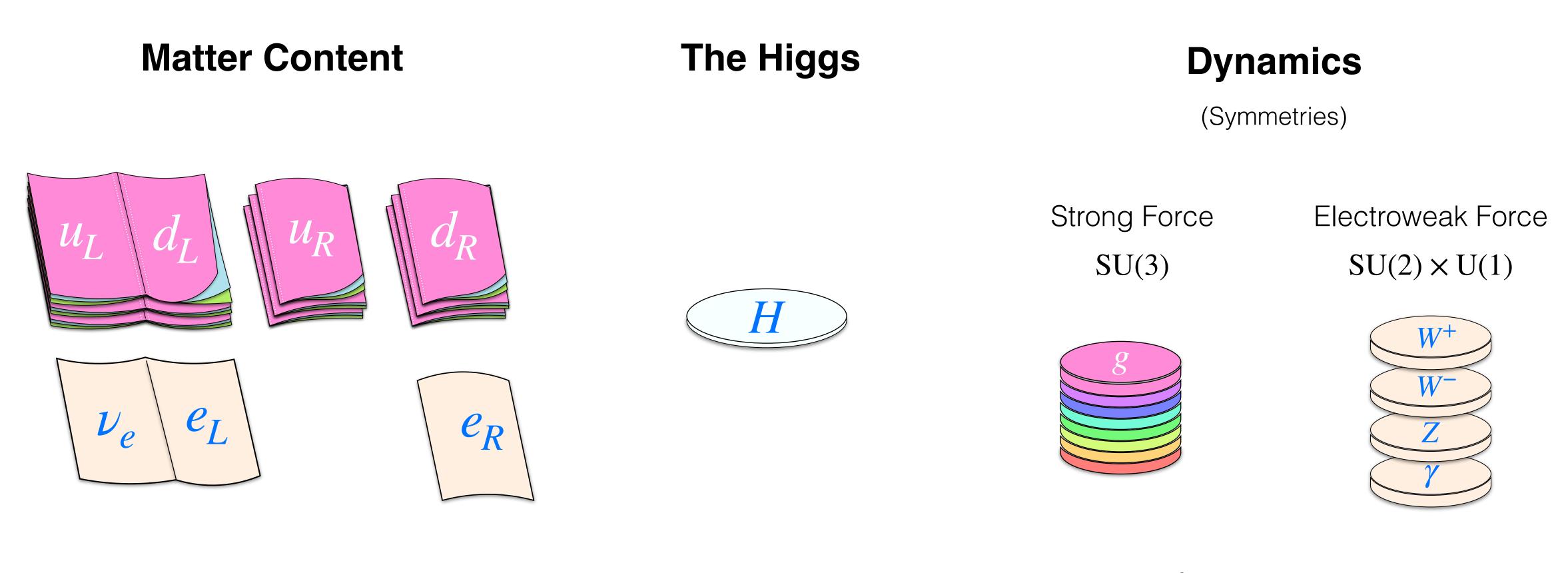
Electroweak Force  $SU(2) \times U(1)$ 





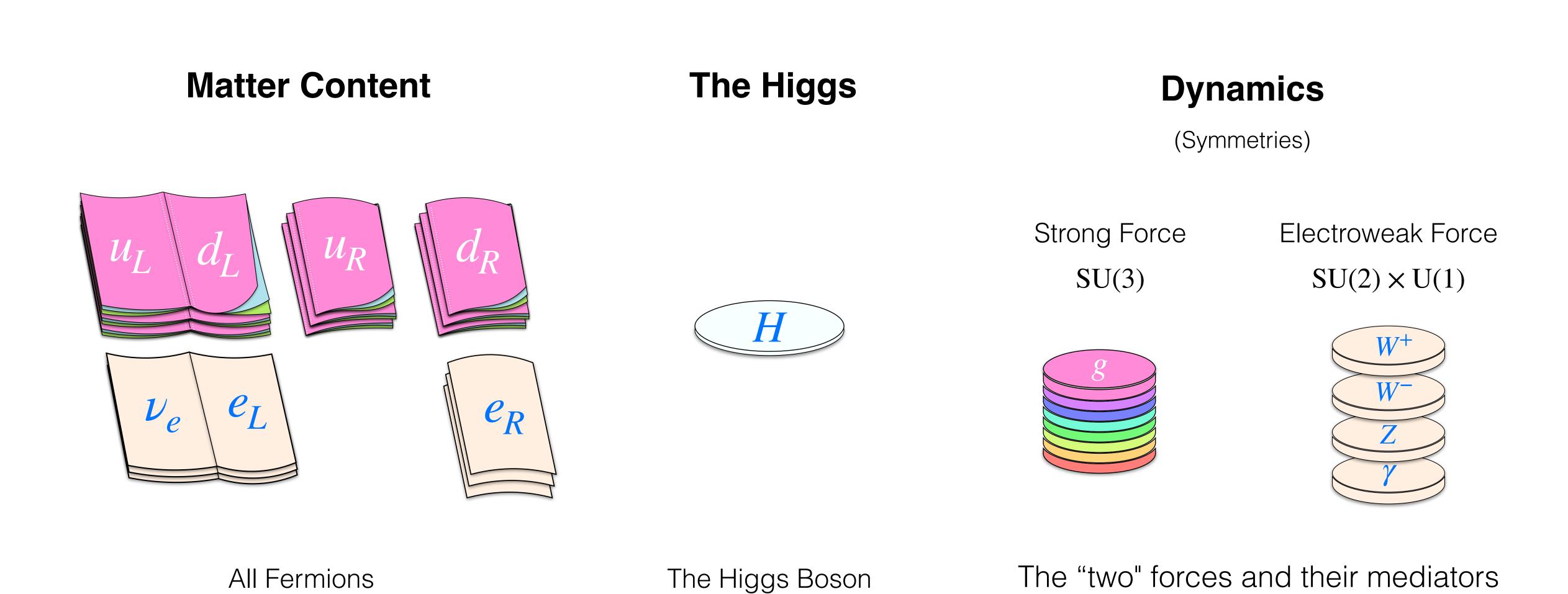
Left and right-handed quarks
with 3 colors
and 3 generations (u, c, t and d, s, b)

The Higgs Boson (Spin-0)



Leptons

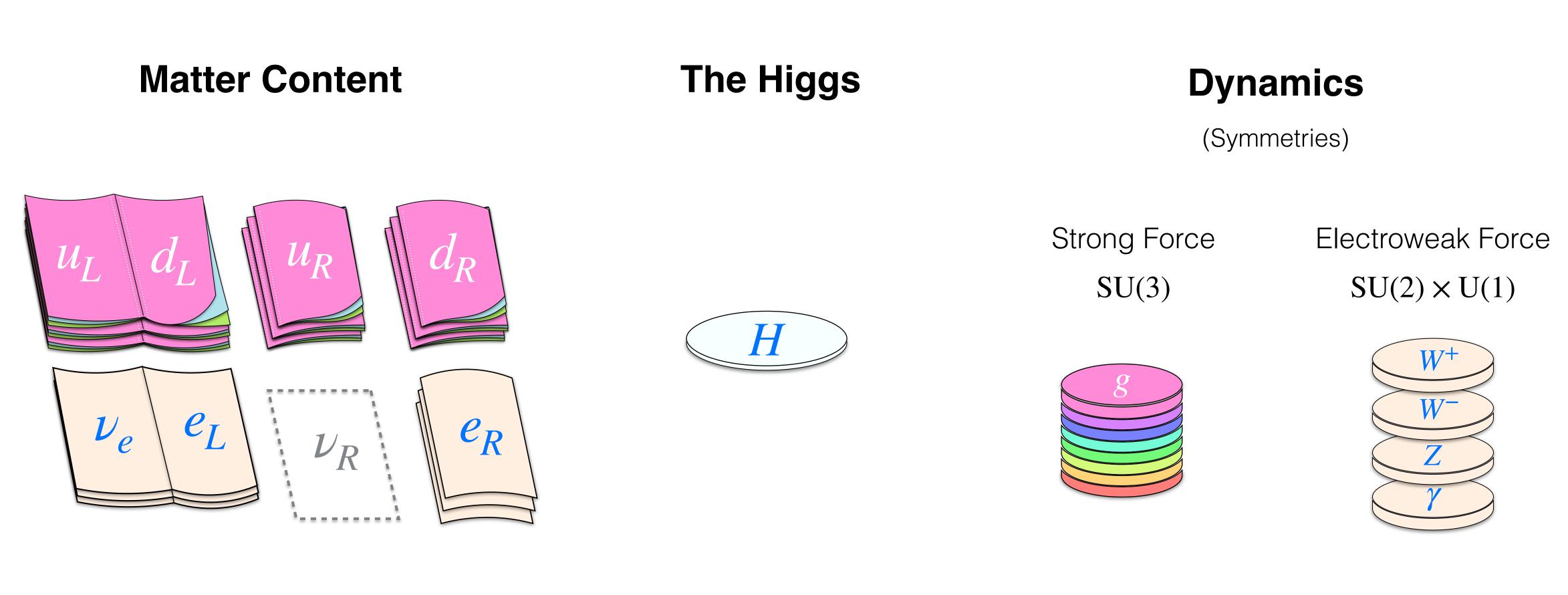
The Higgs Boson (Spin-0)



(Spin-0)

Bosons (Spin-1)

(Spin-1/2)

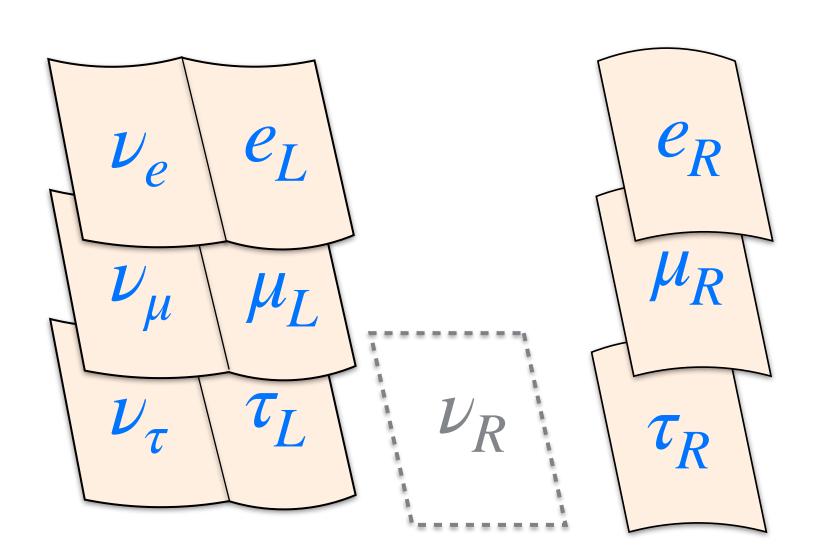


All Fermions
(Spin-1/2)

The Higgs Boson (Spin-0)

## How many neutrinos?

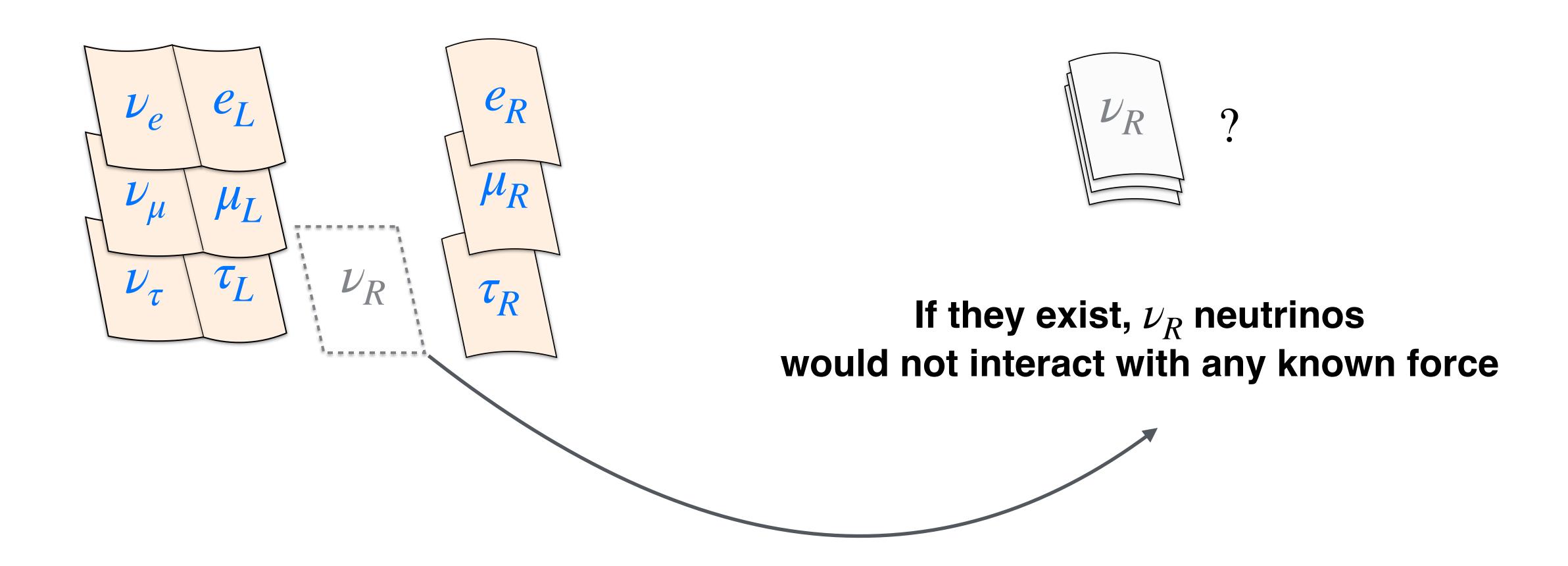
Usual lepton flavors.



### How many neutrinos?

Usual lepton flavors.

"Sterile" or "right-handed" neutrinos.



#### **Fermion Masses**

**Dirac Mass** 

Majorana Mass

$$\psi_L \xrightarrow{m_D} \psi_R$$

$$\psi_L \xrightarrow{W_L} \overline{\psi_L}$$

Couples two chiral particles

Going from particle to antiparticle

### Put in "by-hand": a mass is an intrinsic property of the field.

It should be Lorentz-invariant, so I need to associate it with a combination that has "no spin":

$$\psi_L \psi_R$$
 or  $\psi_L \overline{\psi_L}$ 

#### **Fermion Masses**

**Dirac Mass** 

Majorana Mass

$$m_D$$
 $\psi_L \longrightarrow \psi_R$ 
 $Q_{EM}$ 

$$\psi_L$$
  $\longrightarrow$   $\overline{\psi}_L$   $Q_{\text{EM}}$   $Q_{\text{EM}}$ 

### Any quantum number associated with the fermion is violated by a Majorana mass

Reason why all other SM fermions can only have a Dirac mass.

Electromagnetic charge  $Q_{\mathrm{EM}}$  conservation is incompatible with a Majorana mass

#### What about neutrinos?

**Dirac Mass** 

Majorana Mass

$$m_D$$
 $\nu_L \longrightarrow \nu_R$ 
 $Q_{\rm EM} = 0$ 
 $Q_{\rm EM} = 0$ 

$$\begin{array}{ccc}
 & m_L \\
 & \nu_L & \longrightarrow & \overline{\nu_L} \\
Q_{\text{EM}} & = 0 & -Q_{\text{EM}} & = 0
\end{array}$$

Neutrinos carry no electromagnetic charge, so Majorana masses are allowed.

The only fundamental fermion we know of that can do this.

#### What about neutrinos?

#### **Dirac Mass**

### Majorana Mass

$$\begin{array}{ccc}
 & m_D \\
 & \nu_L & \longrightarrow & \nu_R \\
Q_W \neq 0 & Q_W = 0
\end{array}$$

#### However!

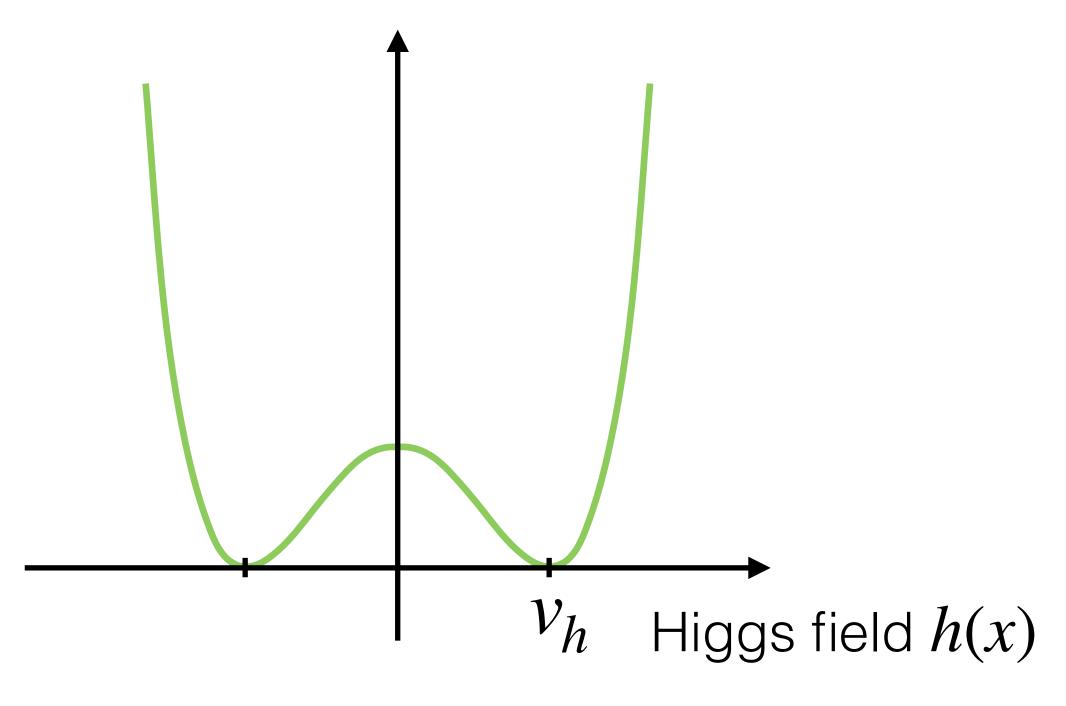
Left- and right-handed particles carry different charges (" $Q_{
m W}$ ") under the Weak force (the charge responsible for weak Z and  $W^{\pm}$  interactions).

### Shouldn't " $Q_{\rm W}$ " also be conserved?

 $Q_W$  is actually a combination of the fermion charge under the  $SU(2)_L \times U(1)_Y$  gauge symmetries.

### The Higgs Mechanism

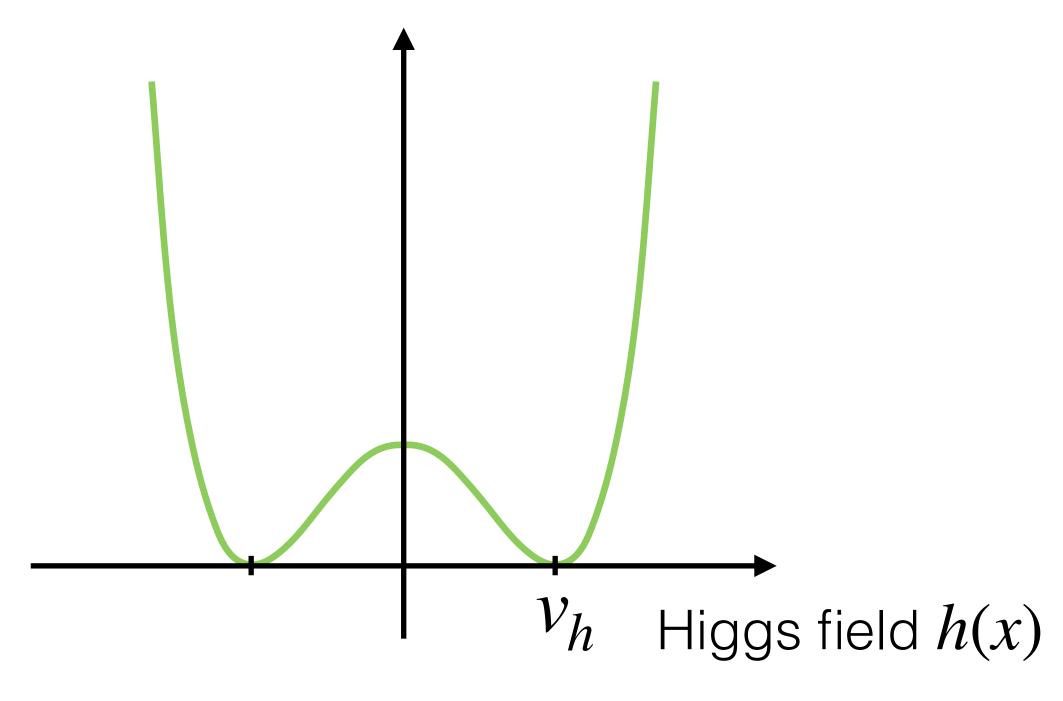
Potential energy V(x)



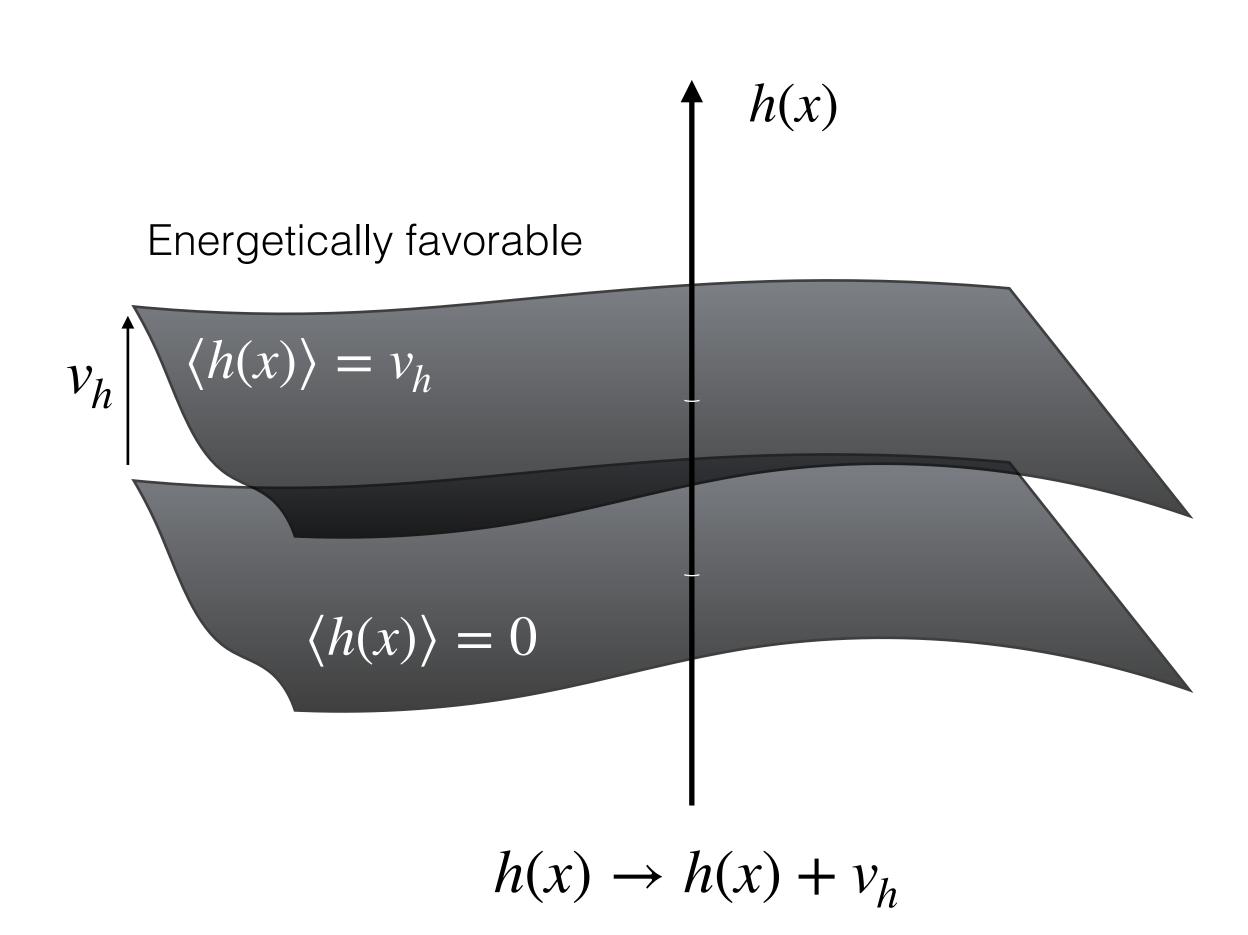
$$V(x) \sim \left(h^2 - v_h^2\right)^2$$

### The Higgs Mechanism

Potential energy V(x)

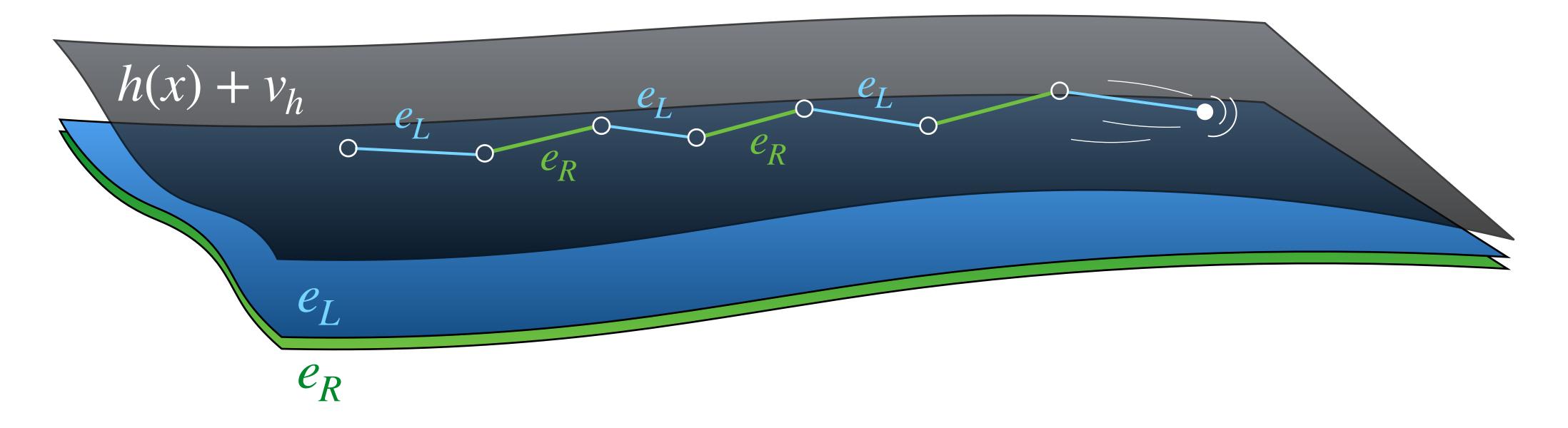


$$V(x) \sim \left(h^2 - v_h^2\right)^2$$



Higgs develops a non-zero "vacuum expectation value" (v.e.v.)

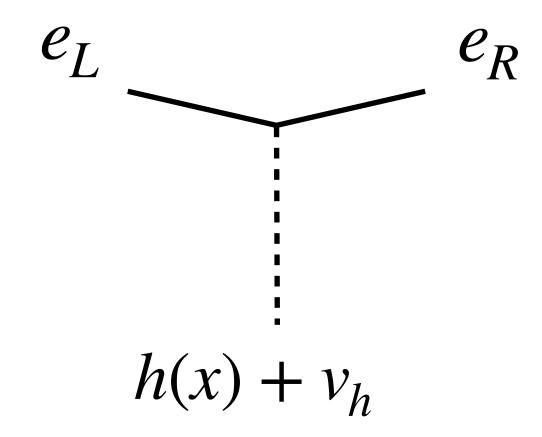
### Cartoonish picture fermion masses from the Higgs field



Electron-Higgs interactions give rise to the electron mass.

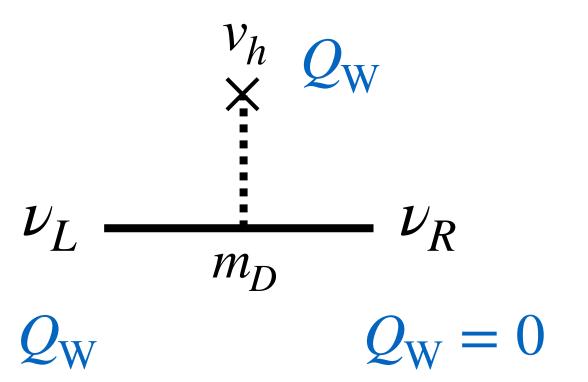
As we will see, " $e_L$ " and " $e_R$ " are two sides of the same coin:

### the Dirac fermion "e"



This is a "phib" (a physics fib): see this nice discussion by M. Strassler

#### "Dirac Mass"



$$m_D = y \times v_h$$

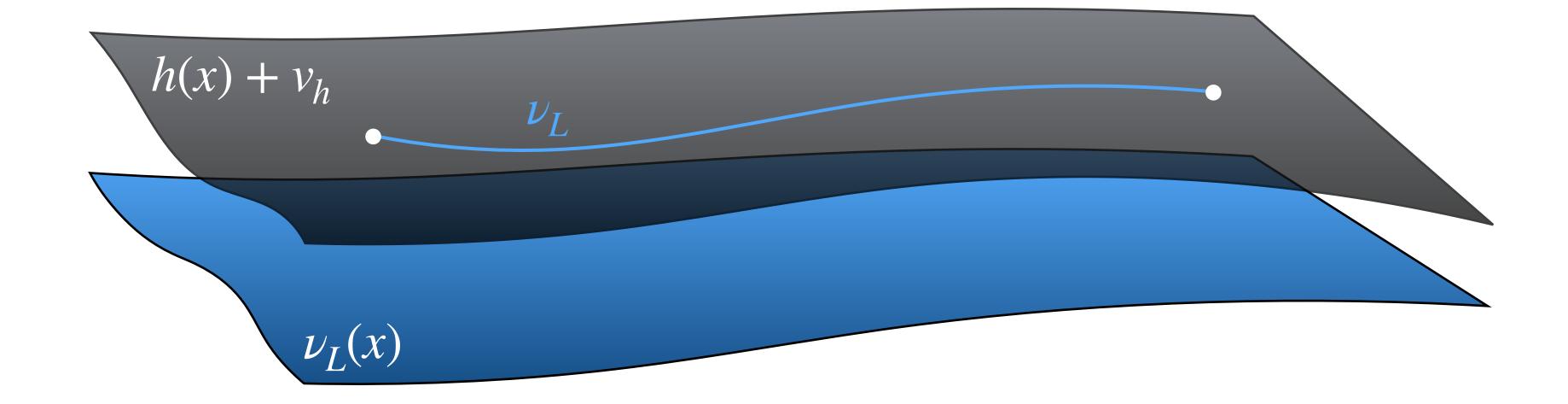
y Is called the Yukawa coupling (Typically between 0 and 1)

It turns out that the Higgs background allows this charge to be effectively violated.

The Higgs acts to "store" and "lend" any leftover " $Q_W$ ".

 $m_D$  and  $m_L$  are now no longer intrinsic properties of the field, but the result of the Higgs (background) interacting with fermions, conserving  $Q_W$  overall.

### The problem with neutrinos

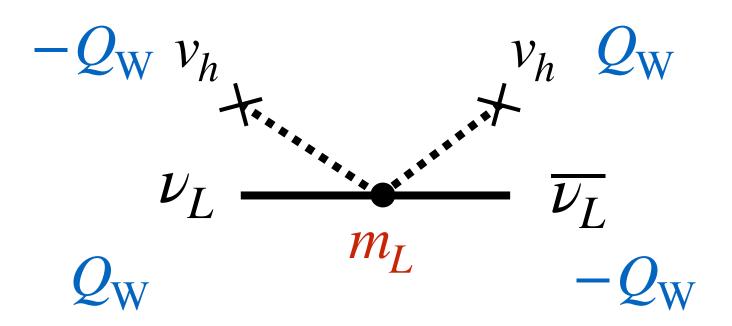


Without  $\nu_R$ , the Standard Model predicts massless neutrinos.

As you know, this is **not** compatible with the fact that neutrinos oscillate!

### What about Majorana masses?

### "Majorana Mass"



$$m_L = \text{coupling'} \times \frac{v_h^2}{\Lambda}$$

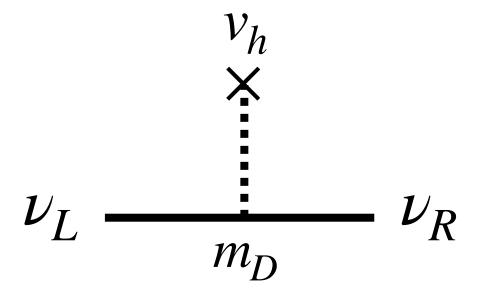
 $m_L$  implies the existence of a new energy scale  $\Lambda$  that is different from  $v_h$ . The SM is then an **effective theory** and recognize the existence of **new physics at scale**  $\Lambda$ .

Not a solution on its own, but a useful framework.

### What about right-handed neutrinos?

#### "Dirac Mass"

### Majorana Mass



$$\begin{array}{cccc}
& m_R \\
\nu_R & \longrightarrow & \overline{\nu_R}
\end{array}$$

 $\nu_R$  is "sterile" — it need not carry any (conserved) quantum number.

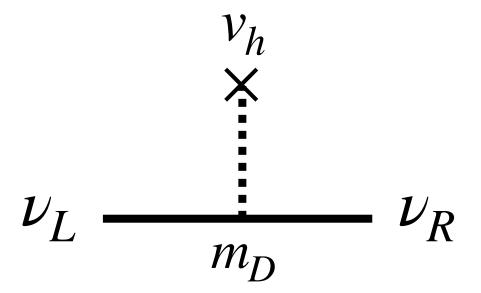
Unless new symmetries exist in nature,  $\nu_R$  should have a Majorana mass.

This can now be a genuine fundamental parameter.

### What about right-handed neutrinos?

#### "Dirac Mass"

### Majorana Mass



$$\nu_R \longrightarrow \overline{\nu_R}$$

One such symmetry could be Lepton Number:

$$L(\nu_L) = L(\nu_R) = +1$$

If conserved,  $m_R=0$  and  $m_L=0$ , and neutrinos are simply Dirac particles.

Mass matrix of  $\nu_L$  and  $\nu_R$ :

$$(
u_L \quad \nu_R) \begin{pmatrix} 0 & m_D \\ m_D & m_R \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R \end{pmatrix}$$

#### Interaction (flavor) basis

 $(
u_L \quad \nu_R) \begin{pmatrix} 0 & m_D \\ m_D & m_R \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R \end{pmatrix}$ 

#### Mass (physical) states

Diagonalize to mass basis 
$$(\nu_1 \quad \nu_2) \begin{pmatrix} m_1 & 0 \\ 0 & m_2 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

General 2 neutrino picture: 
$$\begin{cases} m_R^2 \pm \sqrt{4m_D^2 + m_R^2} \\ m_{1,2} \sim \frac{m_R^2 \pm \sqrt{4m_D^2 + m_R^2}}{2m_R} \end{cases}$$

**Weakly-interacting neutrino** (standard)

**Sterile neutrino** (new physics, not observed)

$$|\nu_L\rangle = \cos\theta |\nu_1\rangle + \sin\theta |\nu_2\rangle$$

$$|\nu_R\rangle = -\sin\theta |\nu_1\rangle + \cos\theta |\nu_2\rangle$$

### Let's investigate a few regimes

#### Interaction (flavor) basis

$$(\nu_L \quad \nu_R) \begin{pmatrix} 0 & m_D \\ m_D & 0 \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R \end{pmatrix}$$

# Diagonalize to mass basis

#### Mass (physical) states

$$(\nu_{-} \quad \nu_{+}) \begin{pmatrix} m_{\nu_{-}} & 0 \\ 0 & m_{\nu_{+}} \end{pmatrix} \begin{pmatrix} \nu_{-} \\ \nu_{+} \end{pmatrix}$$

### Dirac Neutrinos: degenerate states

$$m_R = 0$$

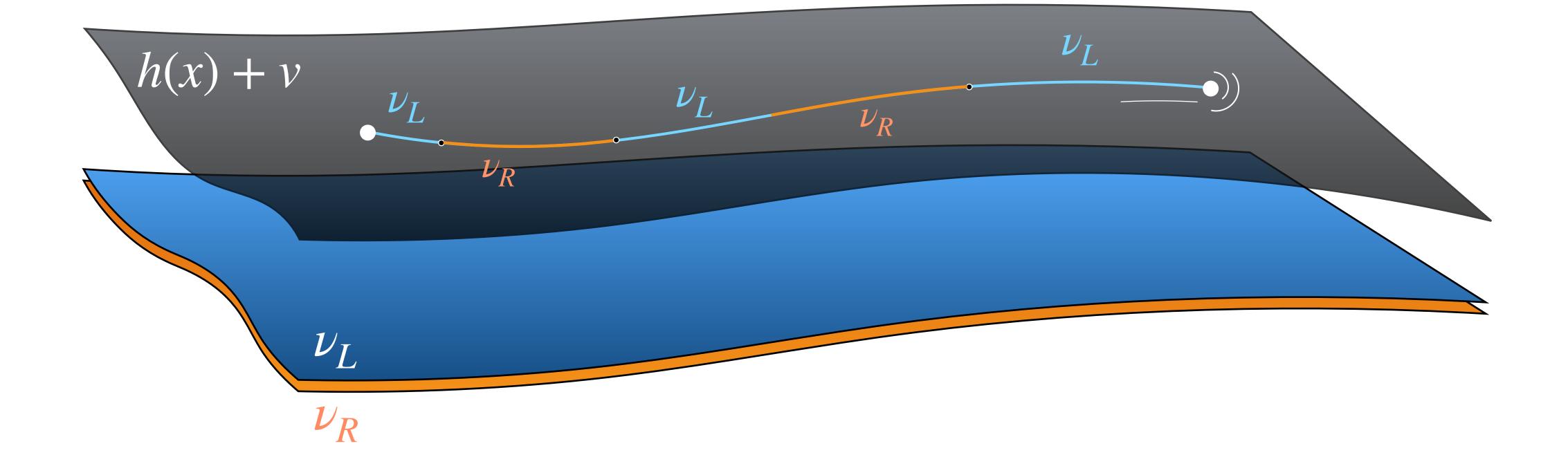
$$\begin{cases} m_{\nu_+} = m_D \\ m_{\nu_-} = m_D \end{cases}$$

#### Weakly-interacting neutrino (standard)

$$\langle \nu_L \rangle = \frac{|\nu_+\rangle + |\nu_-\rangle}{\sqrt{2}} \qquad \theta = 0$$

Sterile neutrino (new physics, not observed)

$$\nu_R\rangle = \frac{|\nu_+\rangle - |\nu_-\rangle}{\sqrt{2}}$$



#### Interaction (flavor) basis

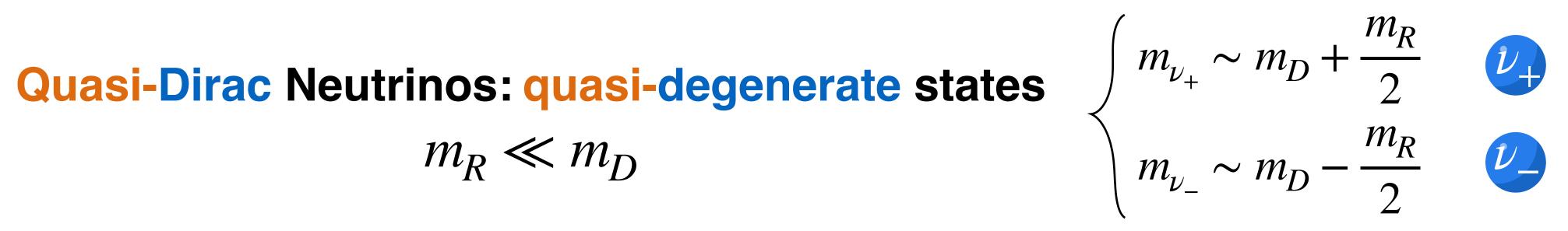
$$(\nu_L \quad \nu_R) \begin{pmatrix} 0 & m_D \\ m_D & m_R \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R \end{pmatrix}$$

Diagonalize to mass basis

#### Mass (physical) states

$$(\nu_{-} \quad \nu_{+}) \begin{pmatrix} m_{\nu_{-}} & 0 \\ 0 & m_{\nu_{+}} \end{pmatrix} \begin{pmatrix} \nu_{-} \\ \nu_{+} \end{pmatrix}$$

$$m_R \ll m_D$$



#### **Weakly-interacting neutrino** (standard)

**Sterile neutrino** (new physics, not observed)

$$|\nu_L\rangle \sim \frac{|\nu_+\rangle + |\nu_-\rangle}{\sqrt{2}}$$

$$\theta \sim \frac{\pi}{4}$$

$$|\nu_R\rangle \sim \frac{|\nu_+\rangle - |\nu_-\rangle}{\sqrt{2}}$$

#### Neutrino Masses (seesaw)

Interaction (flavor) basis

$$(\nu_L \quad \nu_R) \begin{pmatrix} 0 & m_D \\ m_D & m_R \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R \end{pmatrix}$$

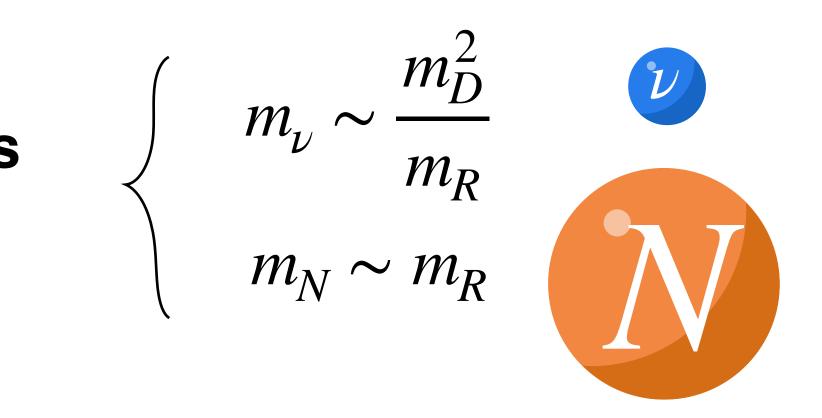
Diagonalize to mass basis

Mass (physical) states

$$(\nu \quad N) \begin{pmatrix} m_{\nu} & 0 \\ 0 & m_{N} \end{pmatrix} \begin{pmatrix} \nu \\ N \end{pmatrix}$$

Seesaw Mechanism: large Majorana masses

$$m_R \gg m_D$$



Weakly-interacting neutrino (standard)

Sterile neutrino (new physics, not observed)

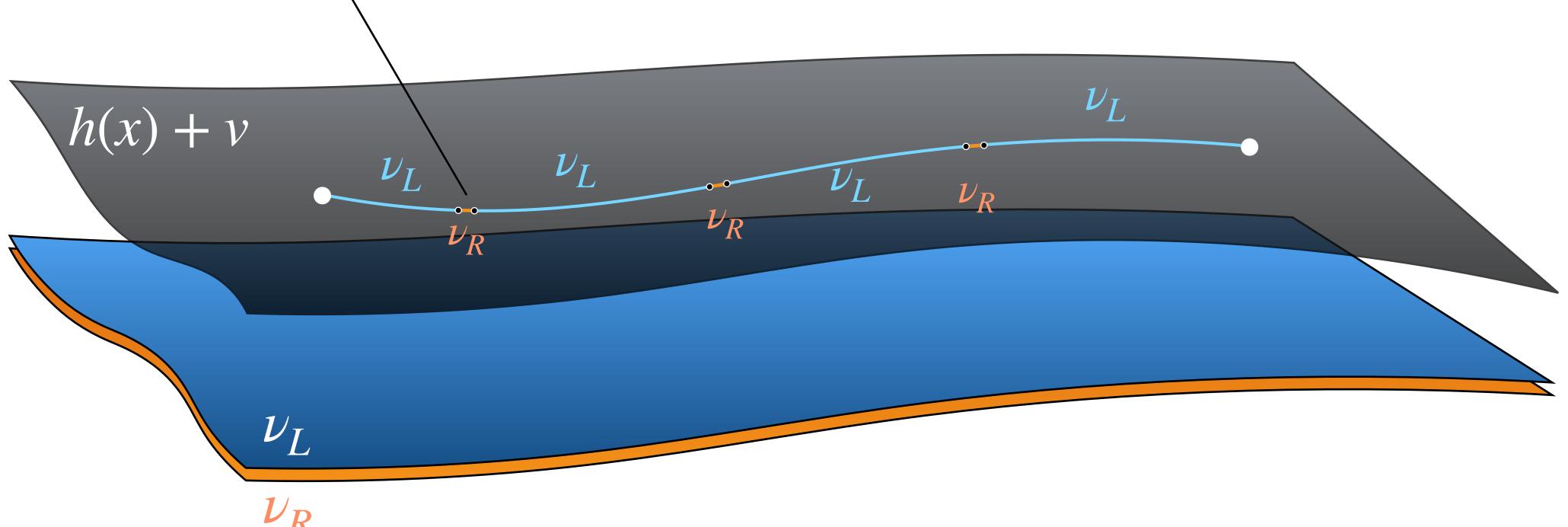
$$|\nu_L\rangle \sim |\nu\rangle + \theta |N\rangle$$

$$\theta \ll 1$$

$$|\nu_R\rangle \sim |N\rangle - \theta |\nu\rangle$$

#### Yet another cartoon: the seesaw mechanism

$$\delta t \delta E > rac{\hbar}{2} \implies \delta t \sim rac{1}{m_R}$$
 "The larger  $m_R$  is, the less time  $\nu_R$  has to fluctuate into existence"



#### Neutrino Masses (seesaw)

#### Interaction (flavor) basis

$$(\nu_L \quad \nu_R) \begin{pmatrix} 0 & m_D \\ m_D & m_R \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R \end{pmatrix}$$

Diagonalize to mass basis

#### Mass (physical) states

$$(\nu \quad N) \begin{pmatrix} m_{\nu} & 0 \\ 0 & m_{N} \end{pmatrix} \begin{pmatrix} \nu \\ N \end{pmatrix}$$

#### Let's put some numbers in

(assuming "couplings" = 1 so that  $m_D \sim v_h$ )

What we want:  $m_{\nu} \sim 0.1 \, \, \mathrm{eV}$ 

$$\text{What we get: } m_{\nu} \sim \frac{m_D^2}{m_R} = 0.1 \text{ eV} \left( \frac{v_h}{100 \text{ GeV}} \right)^2 \left( \frac{10^{14} \text{ GeV}}{m_N} \right) \quad \text{and} \quad \theta^2 \sim 10^{-24}$$

Physicists



#### Neutrino Masses (seesaw)

#### Interaction (flavor) basis

 $(ec{
u}_L \quad ec{
u}_R) \, \begin{pmatrix} 0 & M_D \ M_D & M_R \end{pmatrix} \, \begin{pmatrix} ec{
u}_L \ ec{
u}_R \end{pmatrix}$ 

Diagonalize to

Mass (physical) states

$$(\vec{
u} \quad \overrightarrow{N}) \, \begin{pmatrix} M_{
u} & 0 \\ 0 & M_N \end{pmatrix} \, \begin{pmatrix} \vec{
u} \\ \vec{N} \end{pmatrix}$$

In reality, this is a matrix multiplication problem:

(3x3) (3x?) (?x?) (?x3) 
$$M_{\nu} \sim M_{D} M_{R}^{-1} M_{D}^{T}$$

#### We know nothing about the matrix $M_N$ :

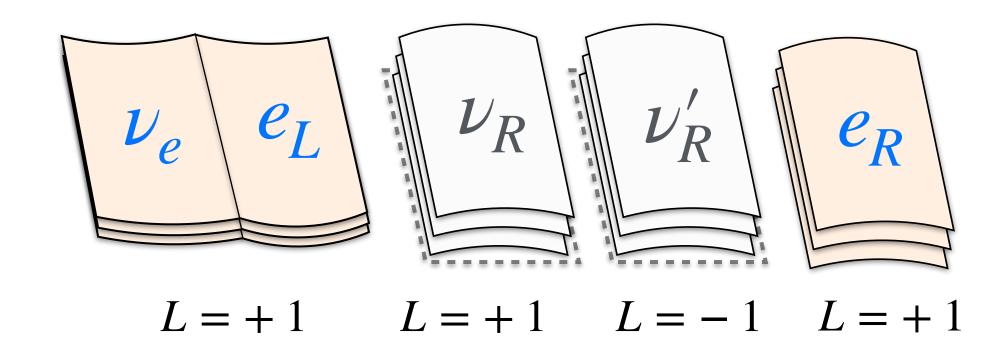
How many right-handed neutrinos (how many entries in  $\vec{\nu}_R$ )? Do they respect new kinds of symmetries? Do they feel new interactions?

#### Neutrino Masses (the *inverse* seesaw)

#### Interaction (flavor) basis

$$\left(egin{array}{cccc} 
u_L & 
u_R & 
u_R' 
ight) \left(egin{array}{cccc} 0 & m_D & 0 & \ m_D & 0 & M \ 0 & M & m_R \end{array}
ight) \left(egin{array}{cccc} 
u_L & \ 
u_R & \ 
u_R' & \ 
u_R'$$

Now there are two kinds of RH neutrinos for a single  $\nu_L$ 



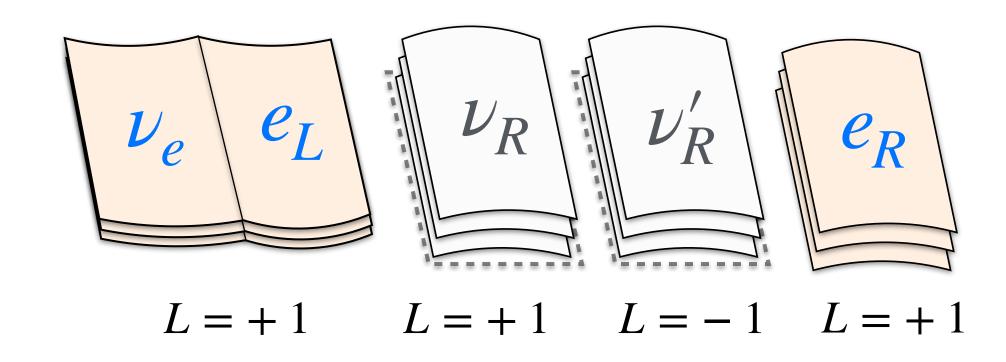
"Left for the reader": Diagonalize this mass matrix assuming  $m_D \ll M$  and  $m_R \ll M$ .

#### Neutrino Masses (the *inverse* seesaw)

#### Interaction (flavor) basis

$$\left(egin{array}{cccc} 
u_L & 
u_R & 
u_R' 
ight) \left(egin{array}{cccc} 0 & m_D & 0 \ m_D & 0 & M \ 0 & M & m_R \end{array}
ight) \left(egin{array}{c} 
u_L \ 
u_R \ 
u_R' \end{array}
ight)$$

Now there are two kinds of RH neutrinos for a single  $u_L$ 



You should find:

$$m_1 = \frac{m_D^2}{M^2} m_R$$
 (Majorana particle) and  $m_{2,3} \sim M \pm \frac{m_R}{2}$  (quasi-Dirac pair).

A "seesaw", but the smaller the "Majorana" mass  $m_R$  is, the lighter neutrinos are.

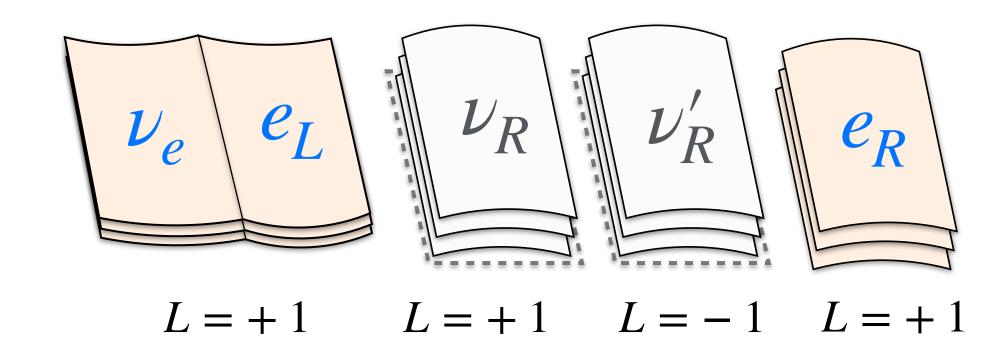
Lepton number is almost a good symmetry (it is exact if  $m_R = 0$  and "lightest" neutrinos are massless)

#### Neutrino Masses (the *inverse* seesaw)

#### Interaction (flavor) basis

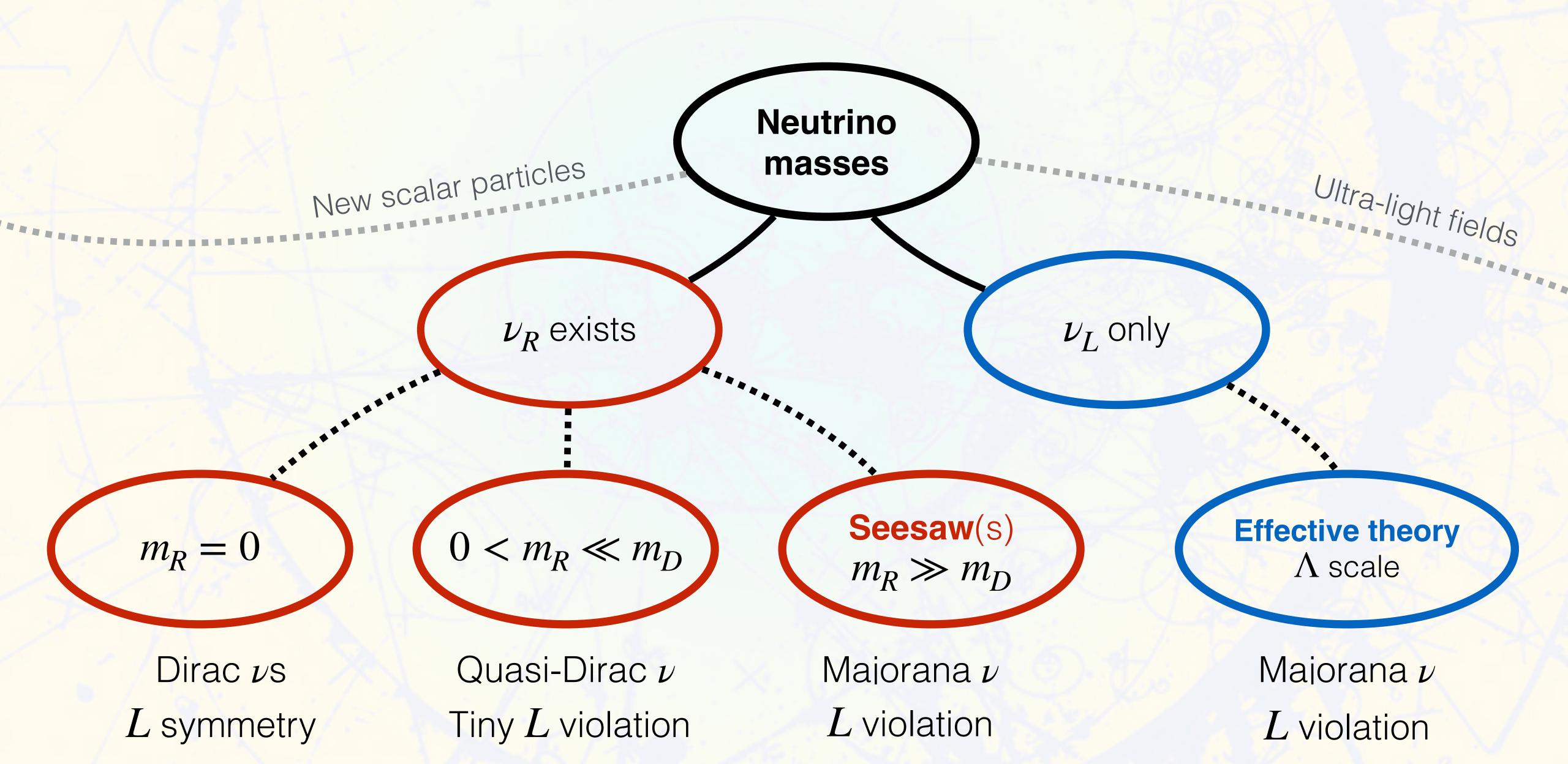
$$egin{pmatrix} (
u_L & 
u_R & 
u_R' ) \begin{pmatrix} 0 & m_D & 0 \\ m_D & 0 & M \\ 0 & M & m_R \end{pmatrix} \begin{pmatrix} 
u_L \\ 
u_R \\ 
u_R' \end{pmatrix}$$

Now there are two kinds of RH neutrinos for a single  $\nu_L$ 



Heavy neutrinos can be within experimental reach:

### Summary



#### This Lecture / Talk

1) Neutrinos in the Standard Model — do they fit?

The Higgs and fermion masses: Dirac? Majorana? Both?

A taste of neutrino mass theories.

#### 2) Neutrinos as probes of new fundamental physics

New forces and particles.

Neutrinos as portals to dark matter.

#### **Incompleteness problems:**

Dark matter

Neutrino masses and mixing

## STANDARD MODEL

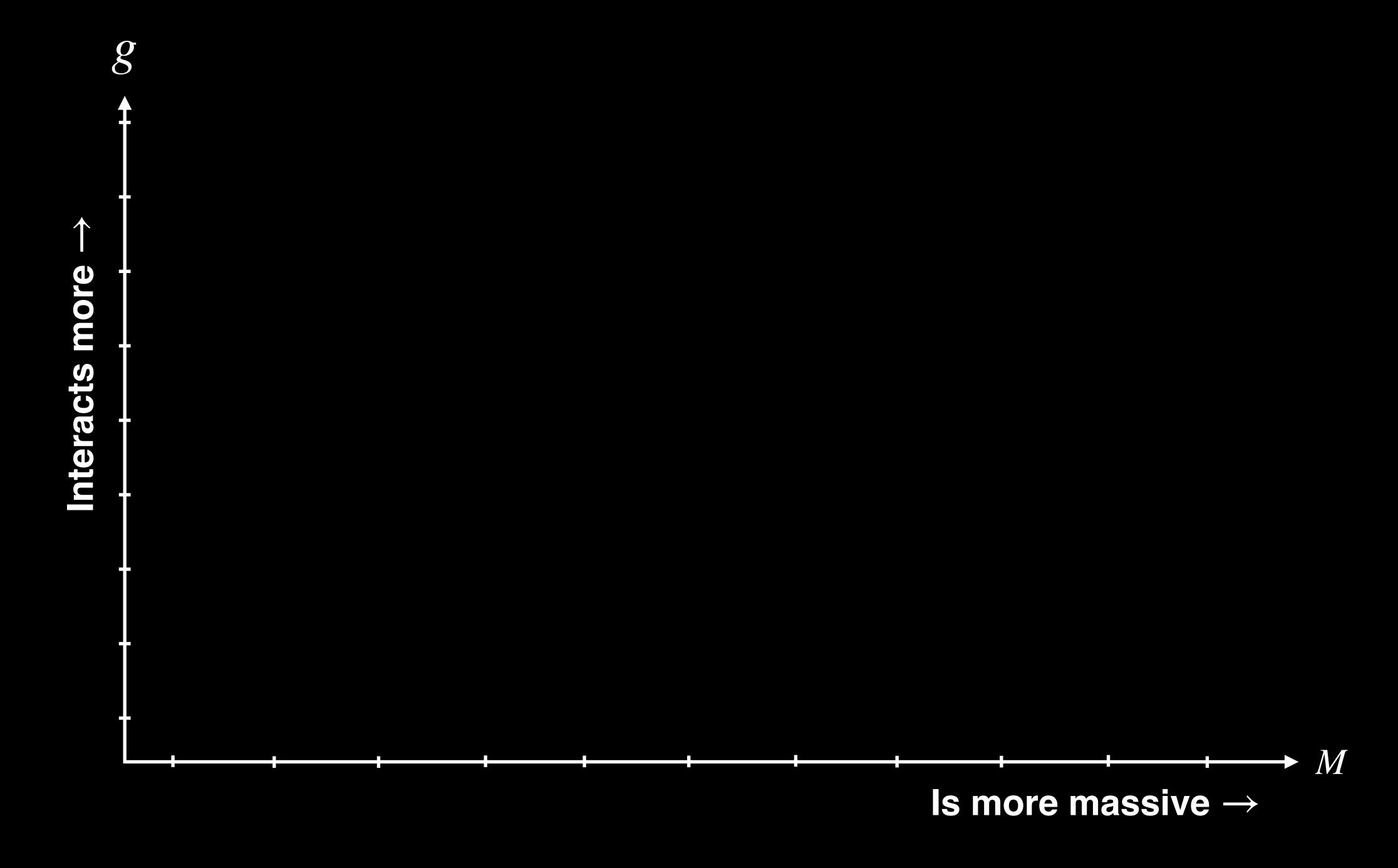
#### **Predictivity problems:**

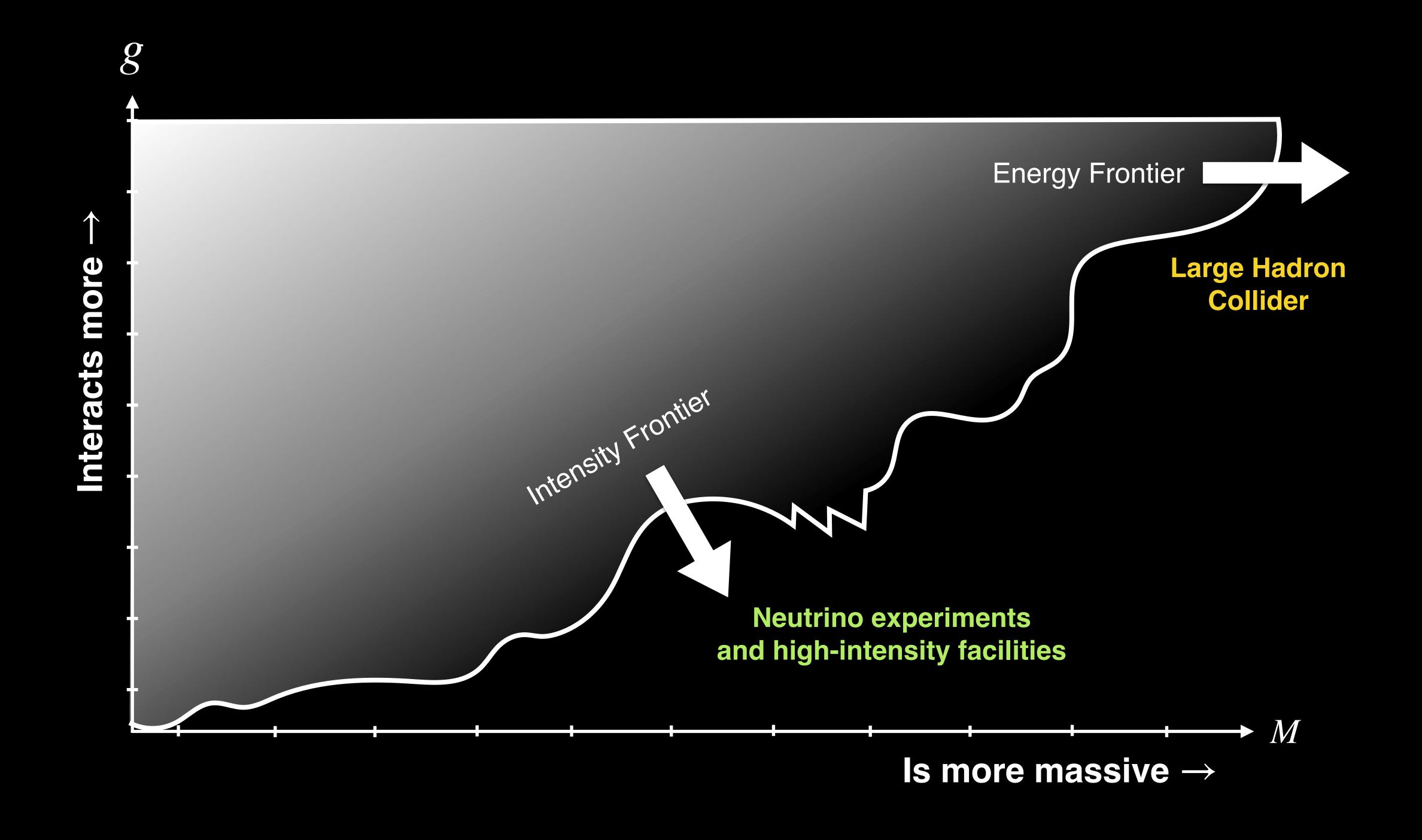
No CP violation in strong force?

Fermion mass pattern?

Higgs mass and naturalness?

Matter-antimatter asymmetry?





# STANDARD MODEL

#### **DARK SECTOR**

New particles carry no SM charge

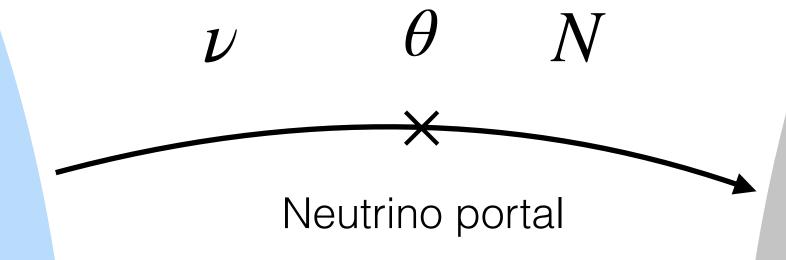
New fundamental mass scales

New particles can be light

Testable at low energies!

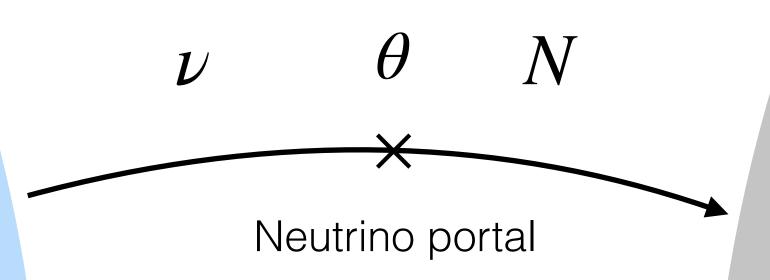
#### STANDARD MODEL

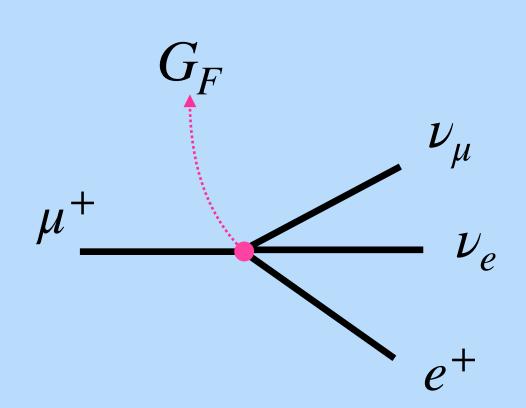




**Heavy Neutrinos** 

#### STANDARD MODEL



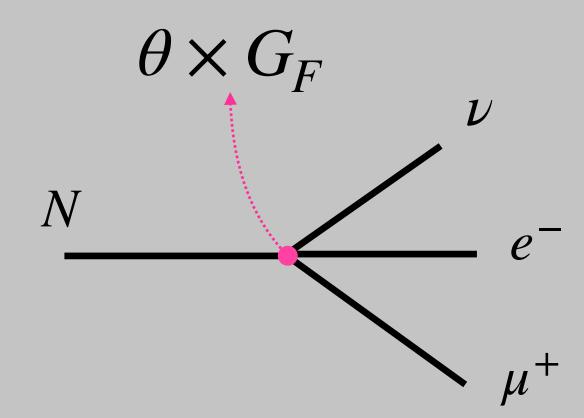


Weak interaction

$$G_F \sim \frac{1}{m_W^2}$$

#### **DARK SECTOR**

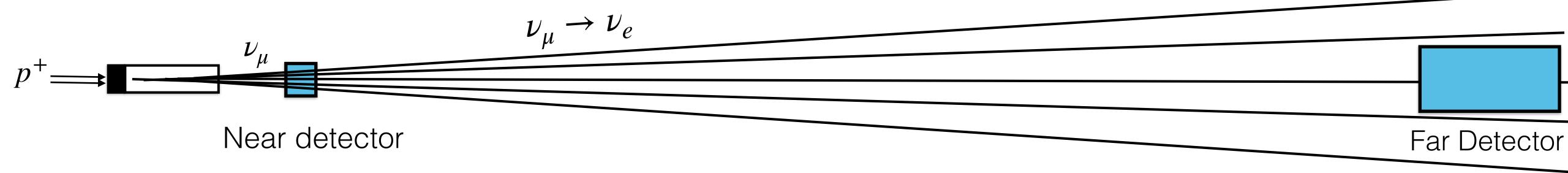
#### **Heavy Neutrinos**



#### Weaker-than-Weak interactions ( $\theta \ll 1$ )

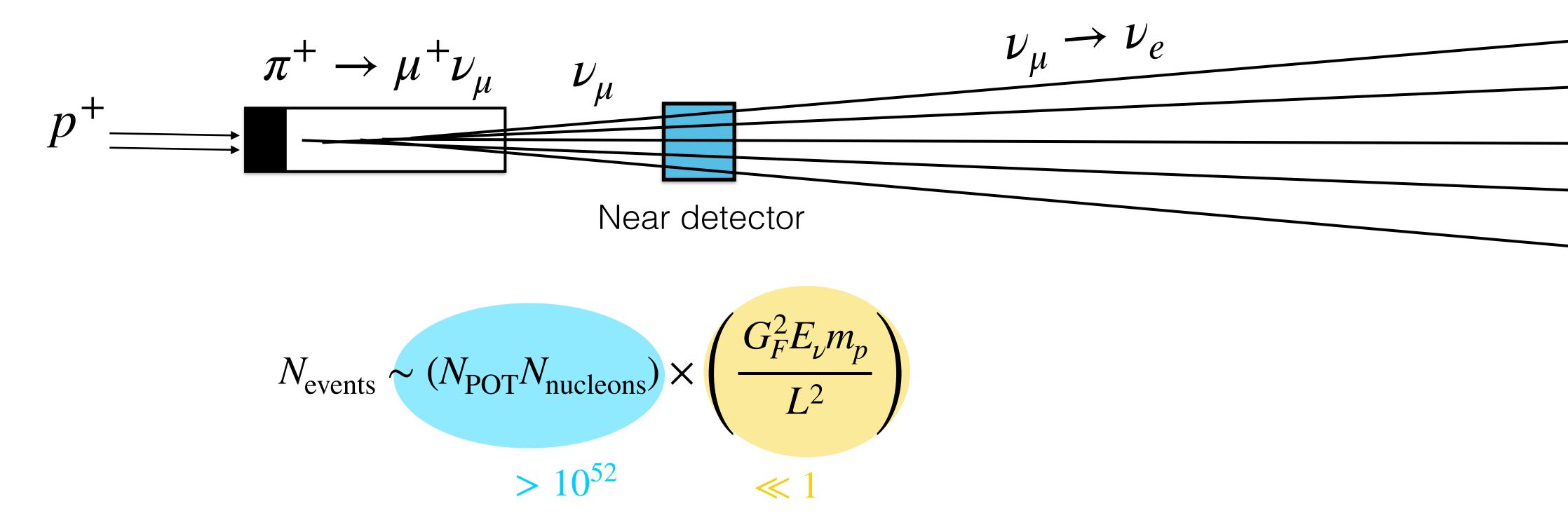
$$\frac{\tau_N}{\tau_{\text{muon}}} \sim \left(\frac{m_\mu}{m_N}\right)^5 \times \frac{1}{\theta^2}$$

#### Accelerator neutrino experiments



#### Accelerator neutrino experiments

(the near detector — largest intensity of neutrinos)

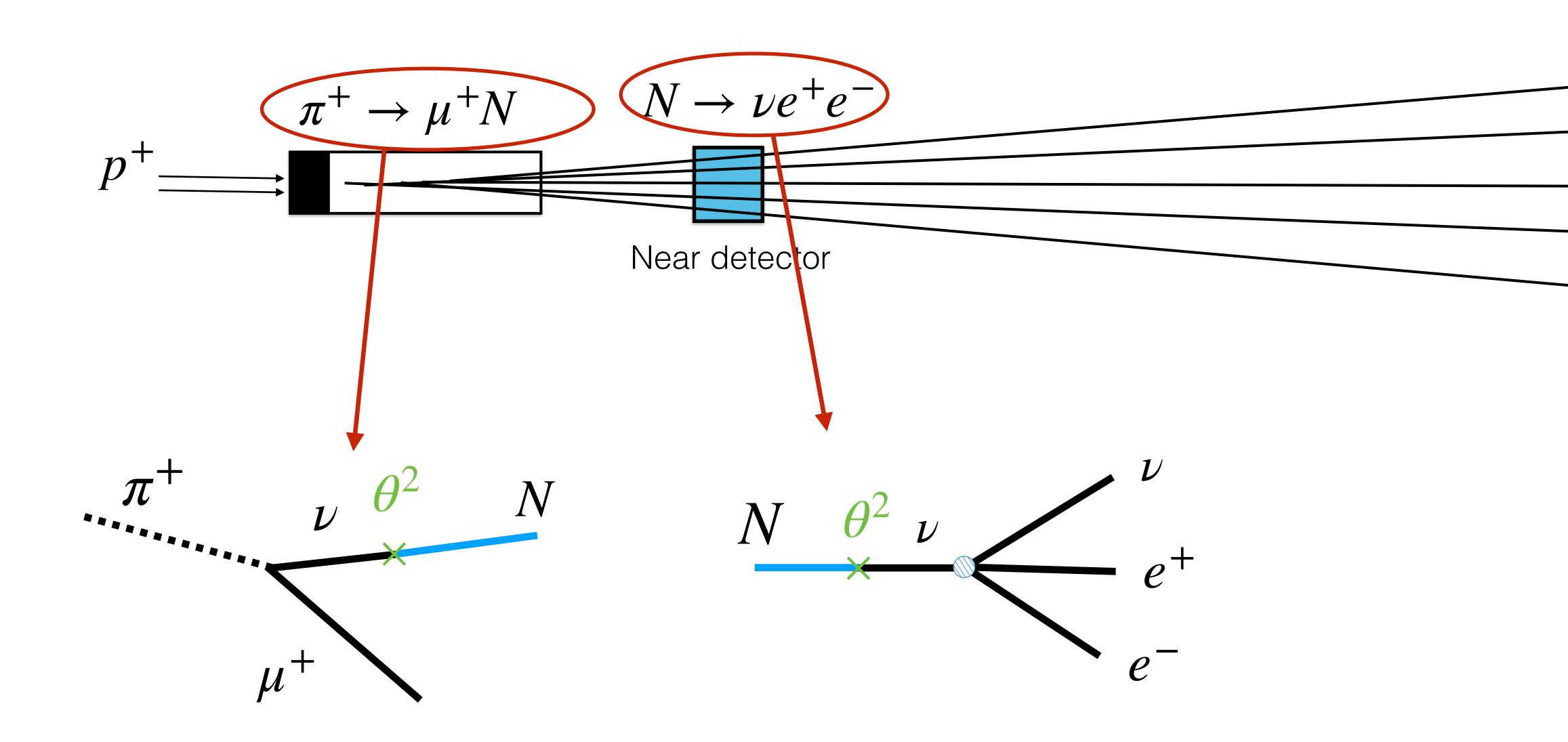


Neutrino experiments are, by construction, sensitive to very rare phenomena.

Really good at searching for new effects that compete with the weak force.

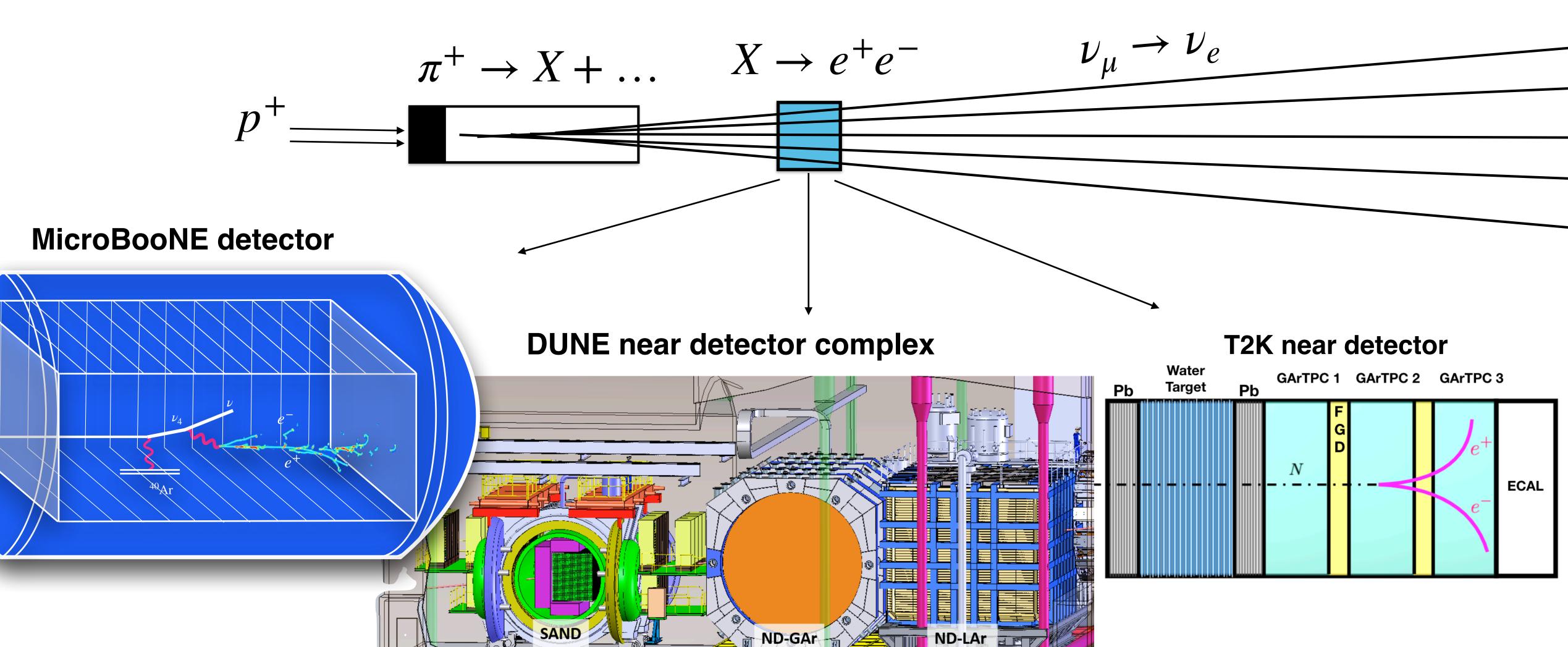
#### Accelerator neutrino experiments

(the near detector — largest intensity of new particles?)

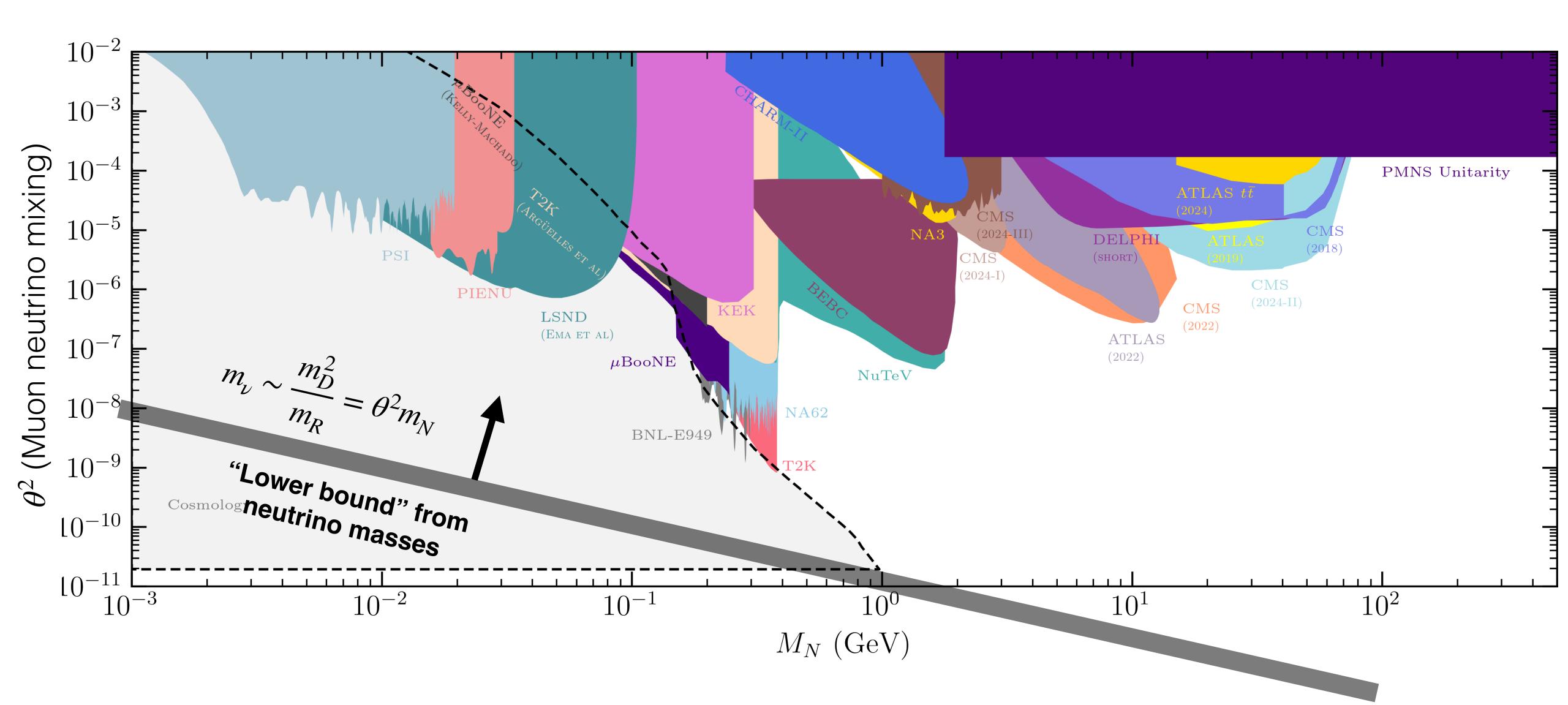


#### **Near Detector and Short-Baseline Experiments**

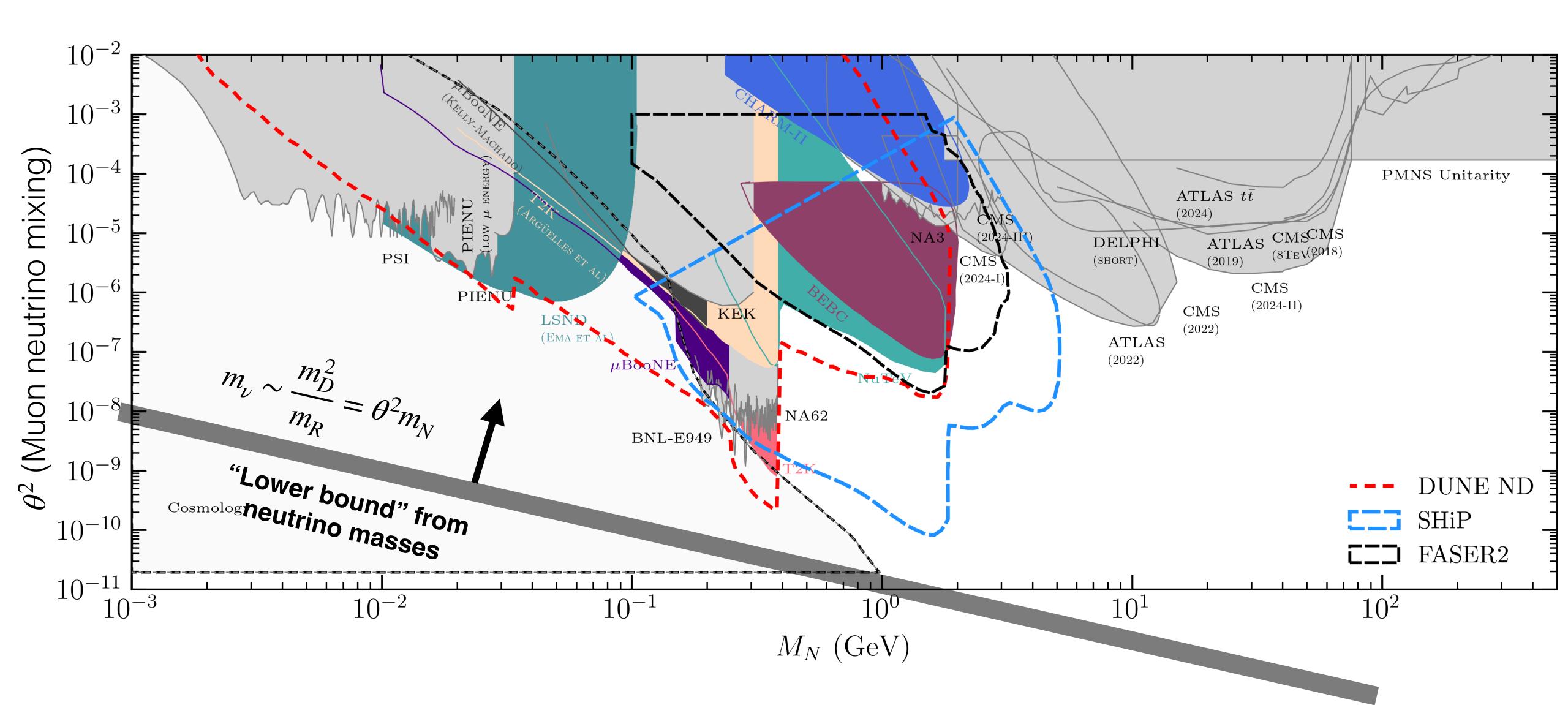
1) as close to the source as possible (high intensity & not interested in standard oscillations) 2) have good particle identification (neutrino vs other BSM phenomena).



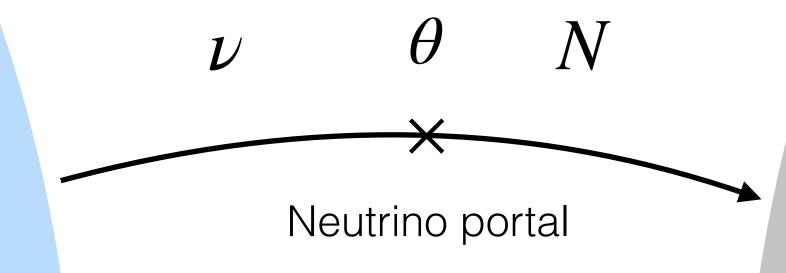
#### Parameter Space of Hypothetical Heavy Neutrinos



#### Parameter Space of Hypothetical Heavy Neutrinos



#### STANDARD MODEL



**DARK SECTOR** 

 $\mathscr{L}_{\mathrm{DS}}$ 

**Heavy Neutrinos** 

#### STANDARD MODEL

### **DARK SECTOR** N Neutrino portal **Heavy Neutrinos** Weaker-than-Weak force **Dark photons** Weaker-than-EM force Photon portal Weaker-than-Yukawa force **Dark Higgs** Higgs portal Axions

Axions and Axion-like-particles

# **STANDARD** MODEL N ${\cal U}$ Neutrino portal Choose Wiselin Photon portal Higgs portal Axions and Axion-like-particles

#### **DARK SECTOR**





**Dark photons** 



**Dark Higgs** 



Axions

# **STANDARD MODEL** N Neutrino portal Chade Misely. Photon portal Higgs portal Axions and Axion-like-particles

#### **DARK SECTOR**



Just the "starter" portals.

Many possibilities to be explored.



**Dark photons** 





**Dark Higgs** 

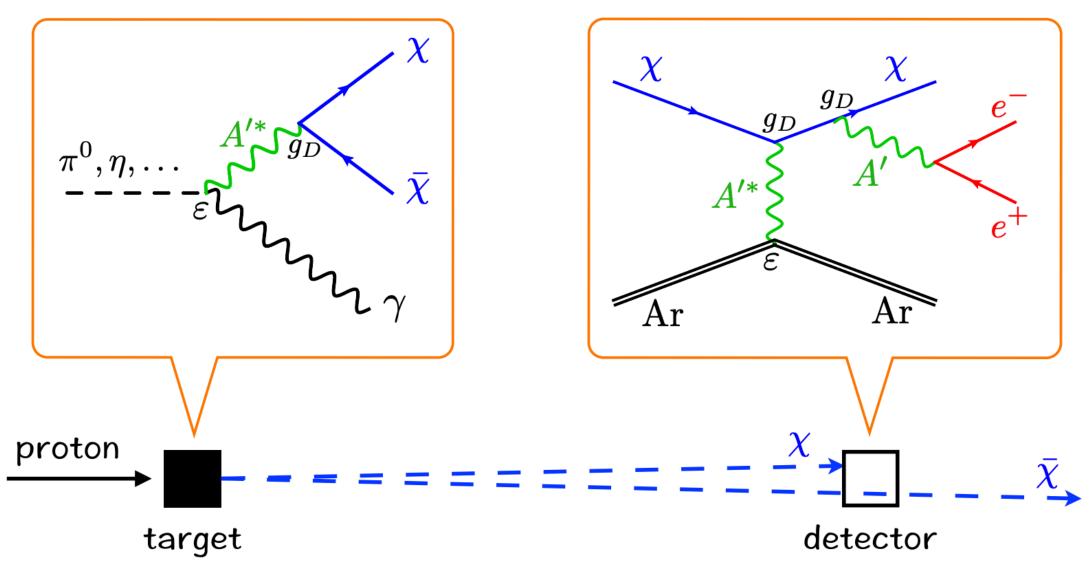




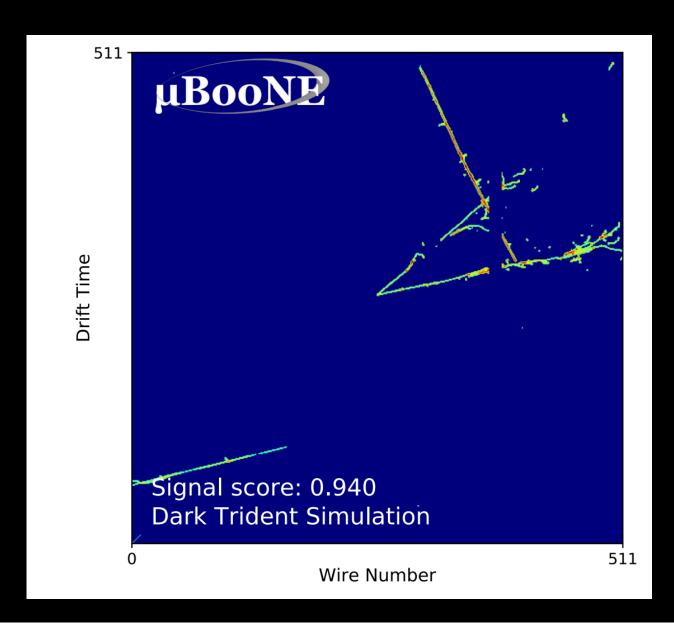


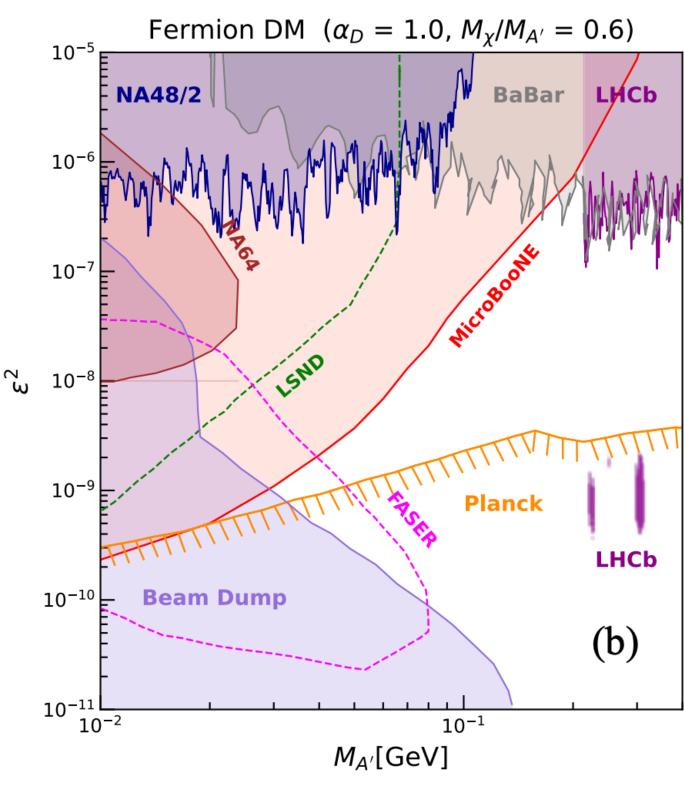
dark matter?

#### Light dark matter example:



 $lpha_D=1,\ M_\chi/M_{A'}=0.6$   $10^{-6}$   $10^{-6}$   $10^{-7}$ LSND  $10^{-8}$   $10^{-9}$   $10^{-10}$ Beam Dump  $10^{-11}$   $10^{-2}$   $10^{-1}$   $10^{-1}$   $10^{-1}$   $10^{-1}$   $10^{-1}$   $10^{-1}$   $10^{-1}$   $10^{-1}$   $10^{-1}$ 

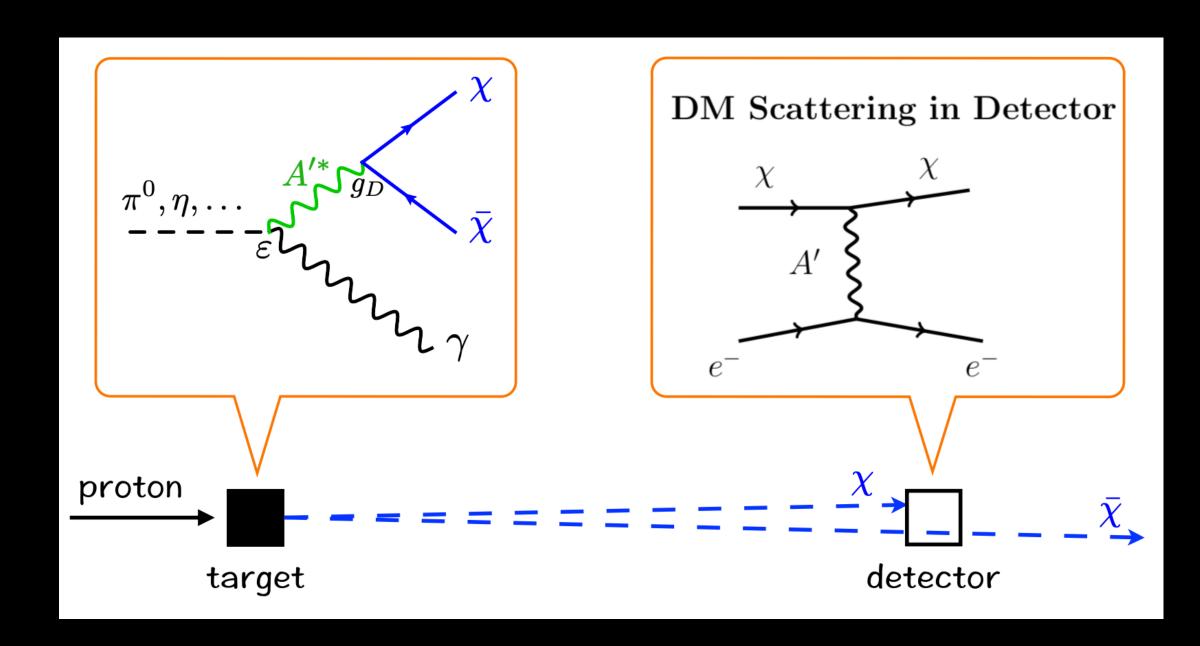




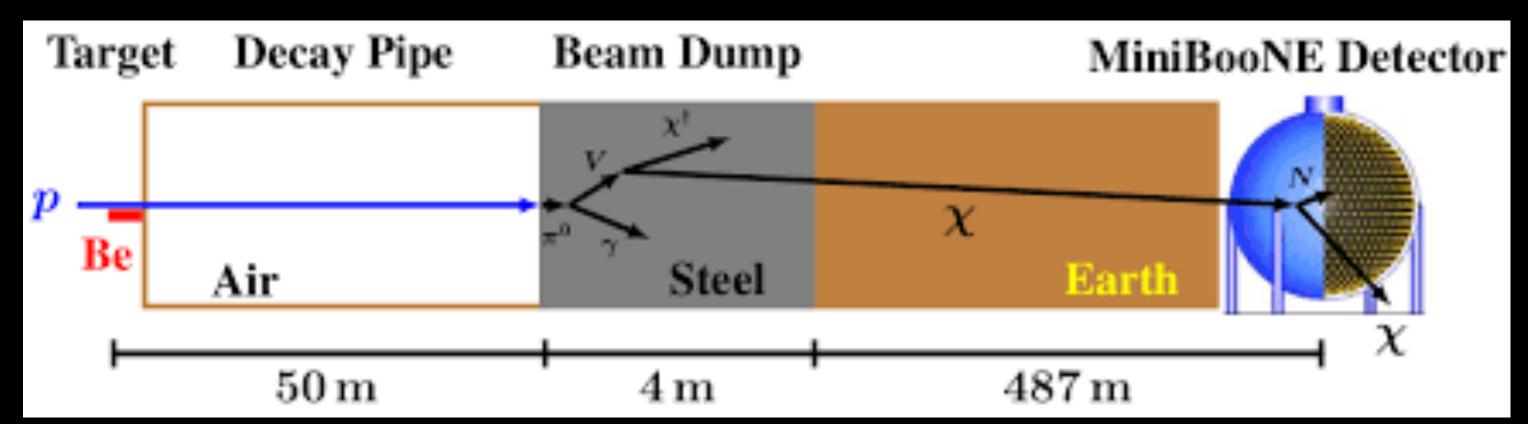
Idea in A. de Gouvea, P. J. Fox, R. Harnik, K. J. Kelly, and Y. Zhang (2018)

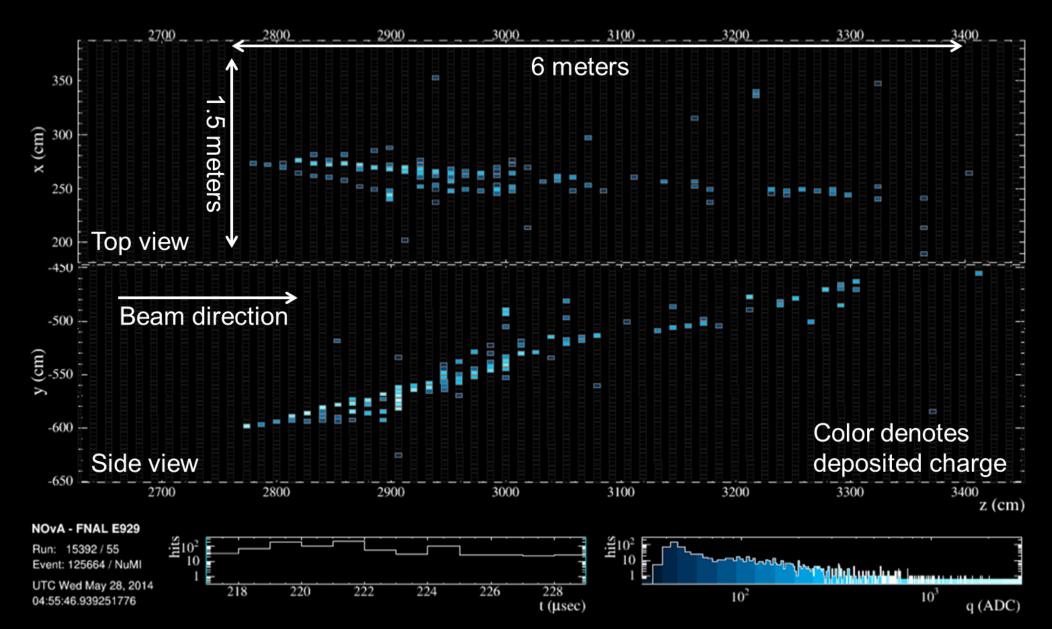
Results from MicroBooNE collaboration (2024)

#### Light dark matter example:

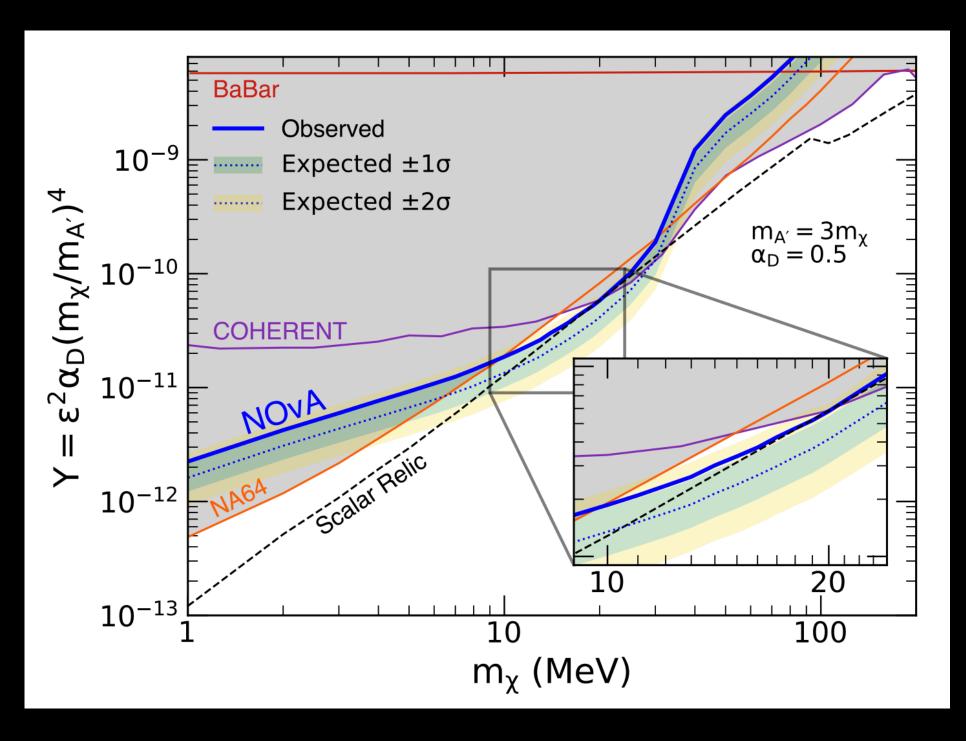


#### **Light Dark Matter**

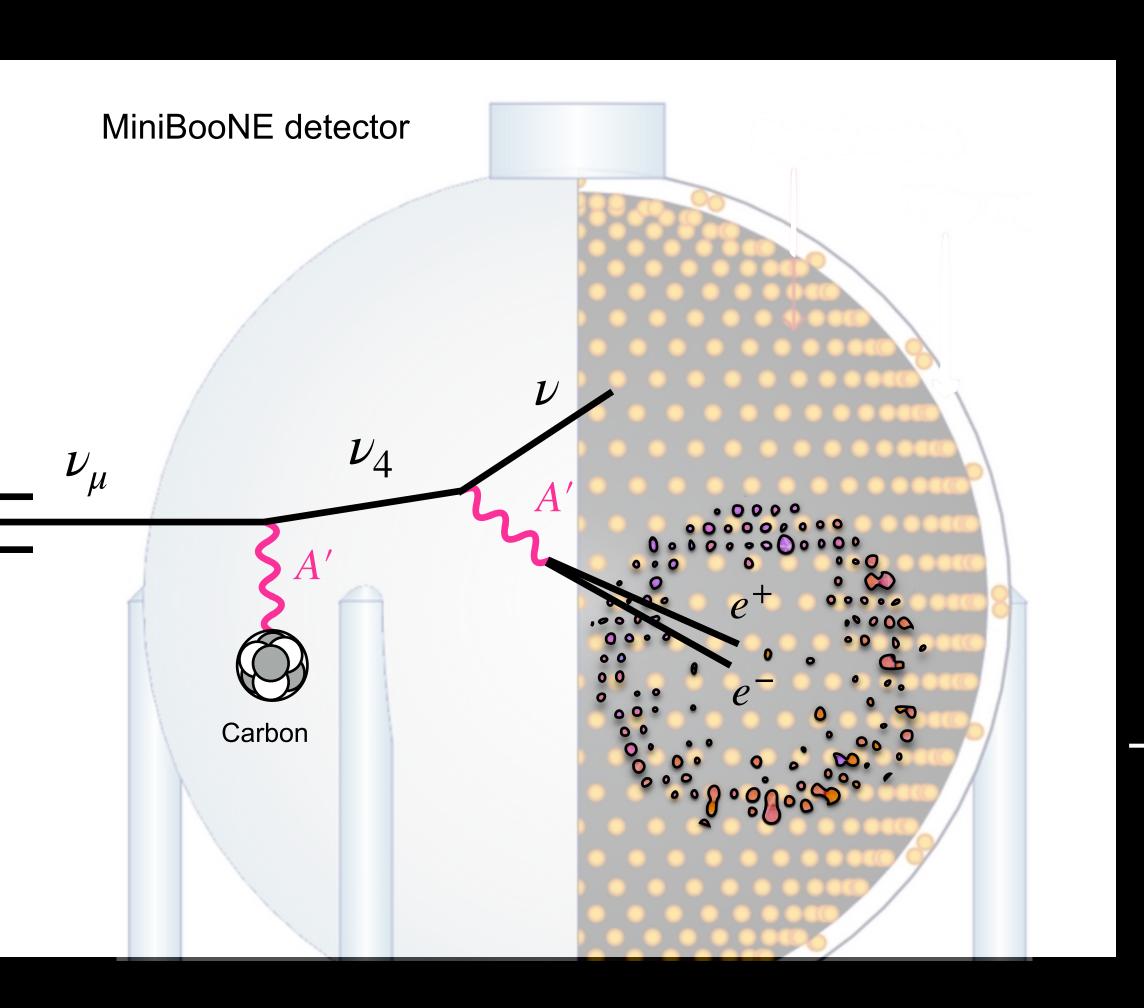




#### **NOvA Experiment**



#### "Dark" heavy neutrino example:

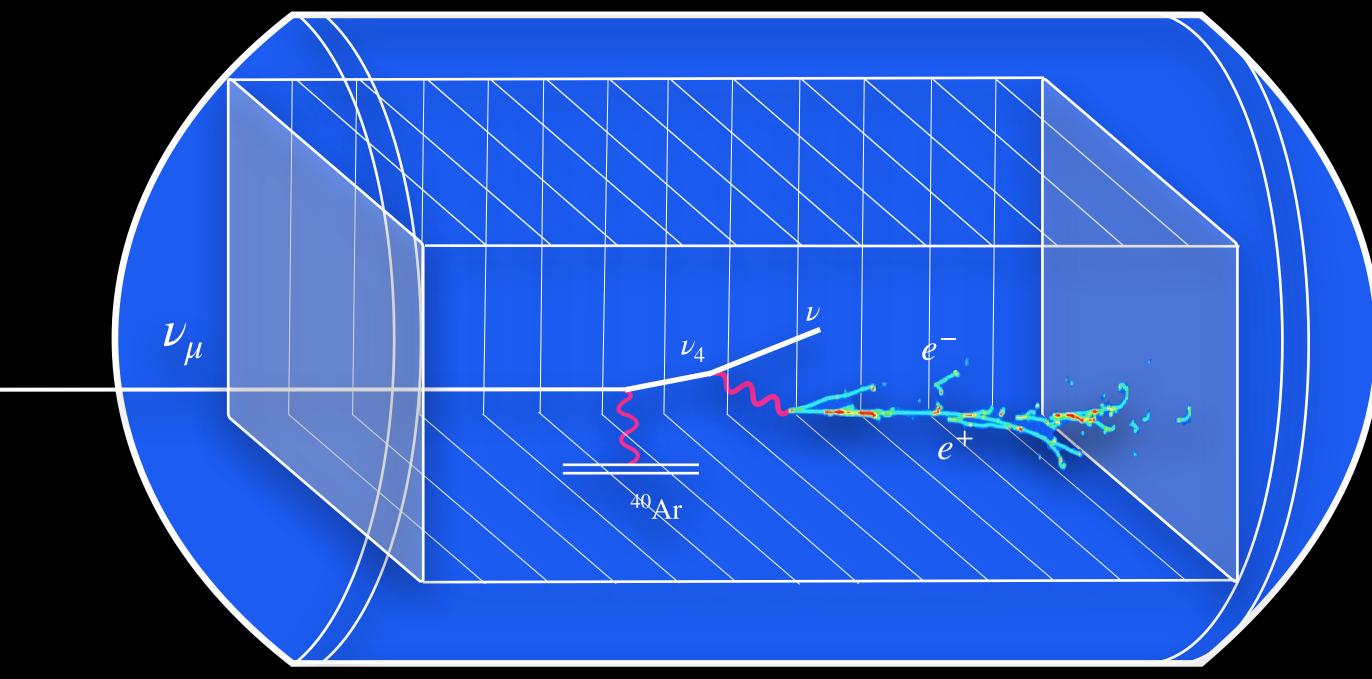


MicroBooNE coll. + theorists! arxiv.org/abs/2502.10900

#### Neutrino upscattering as a source of heavy neutrinos

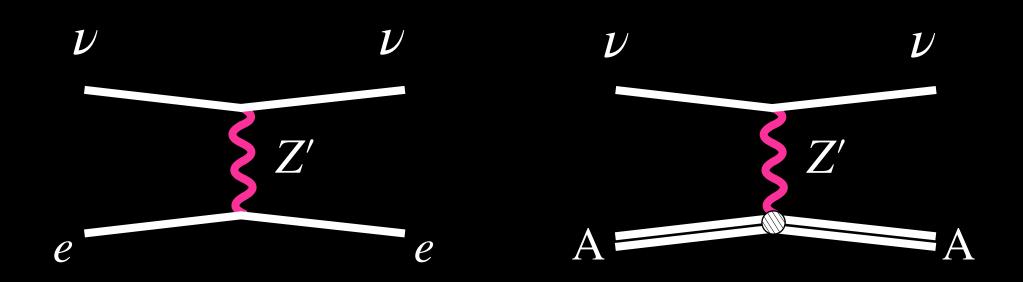
Decay  $N \to \nu A'$  and  $A' \to e^+ e^-$  mimic electron and photon signals at Cherenkov detectors.

MicroBooNE LArTPC

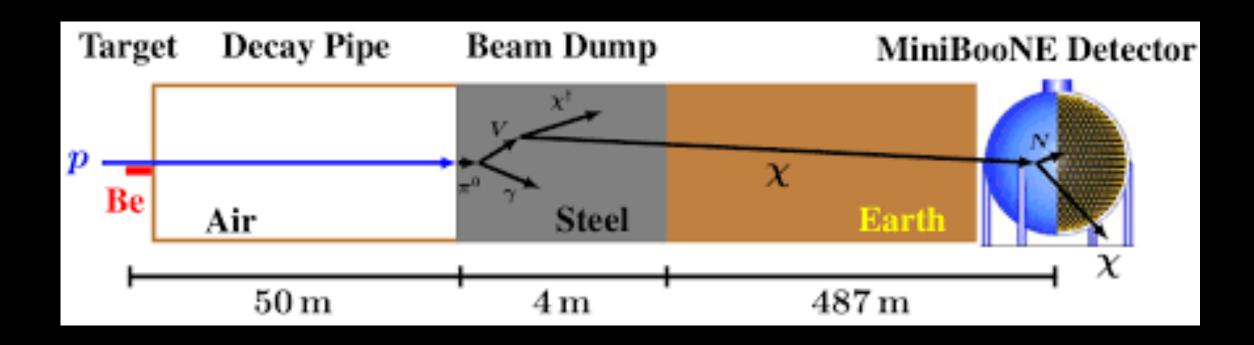


#### Other examples of beyond-the-Standard Model applications:

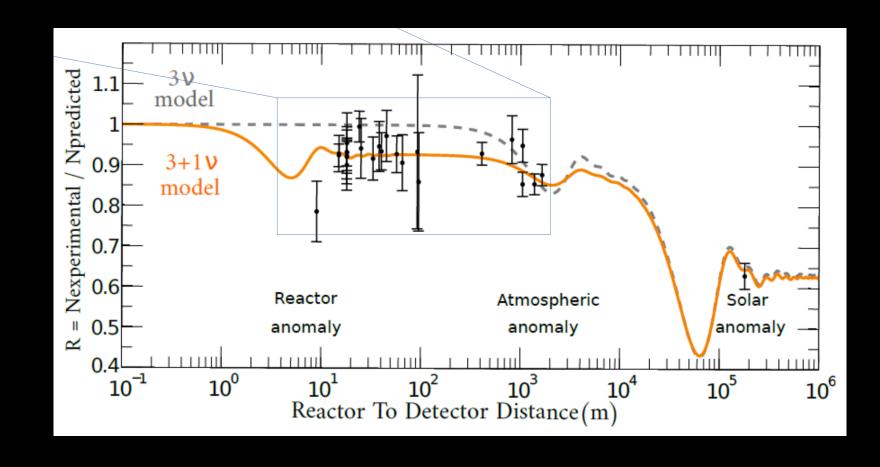
**New Forces**Non-Standard Interactions (NSIs)



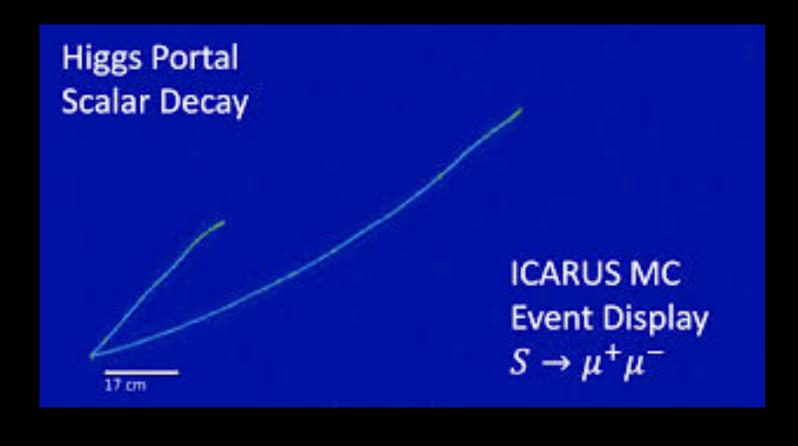
**Light Dark Matter** 



**Sterile Neutrino Oscillations** 



#### **Long-Lived Particles**



#### Take Home

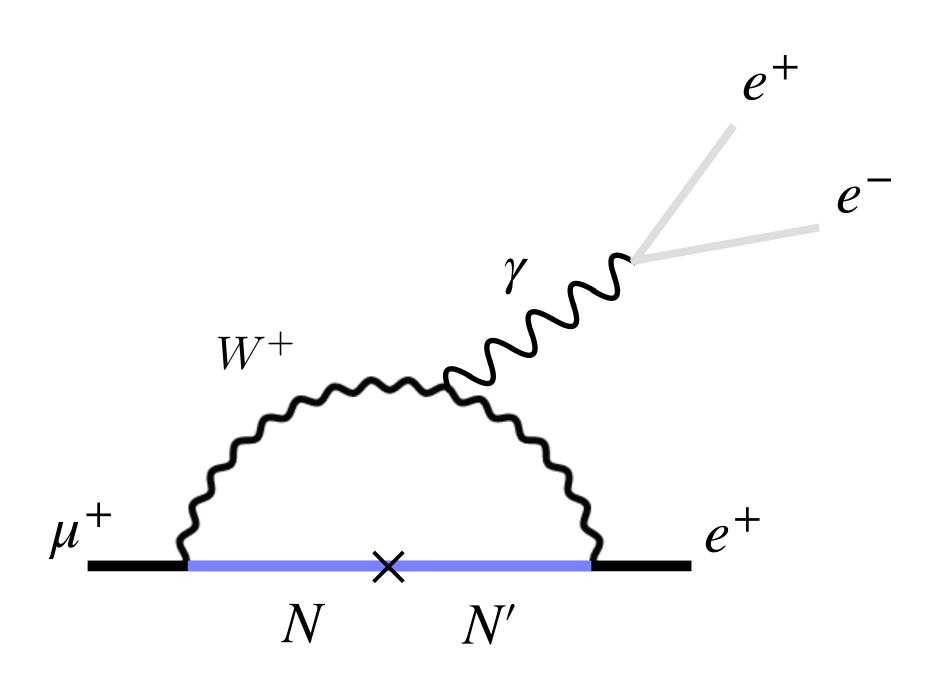
Neutrino masses gives us "reasonable doubt" that the SM is incomplete.

Many explanations: challenge is in testing them. Need data!

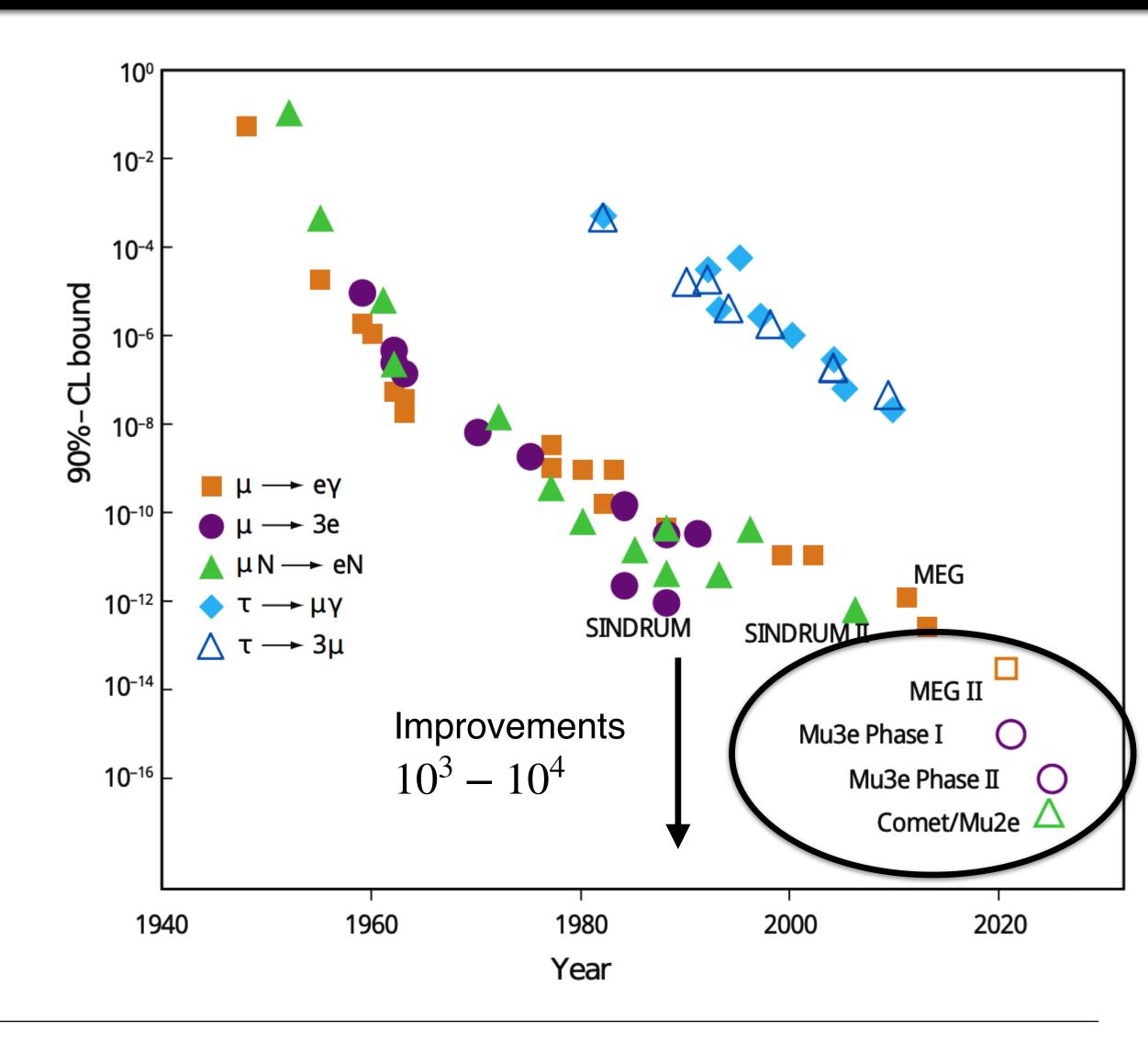
Particle physics entered a new era of studying rare phenomena and neutrino experiments can help us navigate it

This is a fertile ground for phenomenology!

#### Rare muon decays as probes of new physics



Indirect probes of neutrino mass physics



M. Hostert