



NEUTRINO INTERACTIONS: WHY WE CARE, HOW TO MEASURE THEM, AND WHAT WE KNOW SO FAR

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WHO AM !?



- Physics coordinator for the MicroBooNE experiment (and before that I was convener of the cross-section physics group). I have also studied neutrino oscillation with the T2K and DUNE experiments
- Given my background and training:
 - You'll see a lot of MicroBooNE results in this lecture!
 - I'll focus mostly on accelerator neutrino
 experiments in the ~GeV region → because of time we'll largely avoid deep inelastic scattering
 - This will be a pretty experimental lecture more on what we can measure and how than the theory



THE GOALS OFTHIS LECTURE

Discuss neutrino-nucleus interactions...

- ...why they're important
- ...what makes them so hard to understand
- …how we measure them
- Image: ...and some of the experimental measurements showing what we know so far
- My aim is for you to leave this lecture understanding some of the concepts to the point that you are able to talk to your colleagues about these problems, and understand seminars at the lab



WHAT I WILL NOT COVER

But here are some key words you can google...

- Most of the maths!
 - A lot of the maths in this area is something you'll cover in grad school or beyond (if you continue in neutrino physics)
 - Today we'll focus on the physical concepts and ideas more than the underlying theory
- Handedness and parity violation in weak interactions
- Electron scattering or high-energy neutrino interactions
- Unfolding (ok, I'll mention this, but it's a much bigger topic than I'm going to have time to go into)



Some references:

- Halzen and Martin, Quarks and Leptons (textbook)
- Griffiths, Introduction to Elementary Particles (textbook)
- NuSTEC White Paper: Status and Challenges of Neutrino-Nucleus Scattering (as of 2017)
- Review Paper: Progress in measurements of 0.1-10 GeV neutrino scattering and anticipated results from future experiments (from 2018)
- Look at some of the LOIs submitted to Snowmass on the topic of Neutrino Interactions (very current, this year!)



RECAP: NEUTRINO OSCILLATION



Electron neutrino appearance





Probability to detect a neutrino of a given flavour **oscillates** as:

$$\sin^2\left(\frac{\Delta m_{ij}^2 L}{4E}\right)$$
$$\Delta m_{ij}^2 = m_i^2 - m_j^2$$

$$P(\nu_{\mu} \rightarrow \nu_{\mu}) \simeq 1 - 4\cos^{2}\theta_{13}\sin^{2}\theta_{23}$$
$$\times [1 - \cos^{2}\theta_{13}\sin^{2}\theta_{23}]\sin^{2}\frac{\Delta m_{32}^{2}L}{4E}$$
$$+ (\text{solar, matter effect terms})$$





How to measure a cross section







And mass-squared splittings



RECAP: NEUTRINO OSCILLATION



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This is what a neutrino looks like in a particle detector:





We can only "see" neutrinos when they interact





We can only "see" neutrinos when they interact





We can only "see" neutrinos when they interact





We can only "see" neutrinos when they interact









Charged-current

- → Exchange of W boson
- → Lepton produced with same flavour as v



Neutral-current

- \rightarrow Exchange of Z boson
- \rightarrow Independent of V flavour
- → We will never know the flavour of the original v!



- What the neutrino interacts with depends on the energy of the particle exchanged
 → "energy transfer", ω
- Higher energy transfer = smaller de Broglie wavelength = can "see" smaller particles







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NEUTRINO ENERGY RECONSTRUCTION

 $v_{\mu} + n \rightarrow \mu^{-} + p$

$$E^{QE}_{\nu} = \frac{m_p^2 - (m_n - E_b)^2 - m_{\mu}^2 + 2(m_n - E_b)E_{\mu}}{2(m_n - E_b - E_{\mu} + p_{\mu}\cos\theta_{\mu})}$$

Kinematic

 Assume an interaction type (e.g. CCQE)
 → if a CCQE interaction with a nucleon at rest, can calculate neutrino energy from lepton kinematics

Advantage:

Doesn't need hadron reconstruction

Disadvantages:

- Energy is wrong if underlying interaction is wrong (i.e. not CCQE)
- Nuclear effects smear resolution

$v_{\mu} + A \rightarrow \mu^{-} + X$

 $E_{\nu} = E_{\mu} + E_X$

Calorimetric

 Add up all measured energy from the leptonic and hadronic components

Advantage:

 No a priori assumption about underlying interaction

Disadvantages:

- Relies on hadron reconstruction
- Nuclear effects smear resolution



NUCLEAR EFFECTS: IT'S EVEN MORE COMPLICATED

Interaction with...





Bare fermion

(Grad school) homework problem

Free nucleon

Parameterise with form factors

Nucleus

What is the initial state? What escapes the nucleus?



NUCLEAR EFFECTS: IT'S EVEN MORE COMPLICATED

The first problem is understanding the **initial state**. That means:

- The nucleon in the interaction is not free or at rest it is in a nucleus. It experiences some **potential due** to other nucleons and has some momentum
- This is deep **nuclear** physics! We have some models for the initial nucleon momentum — some simple, some more sophisticated — but they give different answers and we don't know what's right
- The nucleons can also form **correlated pairs** (or triplets? More?) so then the interaction may not even be with a single nucleon, but with a **correlated** state of multiple nucleons (e.g. 2p2h)





NUCLEAR EFFECTS: IT'S EVEN MORE COMPLICATED

Griffiths, Introduction to Electrodynamics

Charge screening in the nuclear medium "RPA"

- Analagous to screening of electric charge in a dielectric
- Calculated using Random Phase Approximation
- Effect is to suppress cross section at low energy, momentum transfer (= forward-going lepton)
- Most important for CCQE interactions





NUCLEAR EFFECTS: IT'S EVEN MORE COMPLICATED

Image: <u>T. Golan</u>



Final State Interactions:

Particles may re-interact as they exit nucleus

- \rightarrow particles can be lost
- → particles you see may
- not be from neutrino interaction



NUCLEAR EFFECTS: IT'S EVEN MORE COMPLICATED





NUCLEAR EFFECTS: IT'S EVEN MORE COMPLICATED



We measure what our detector actually sees

But this contains all sorts of physics!



NUCLEAR EFFECTS: IT'S EVEN MORE COMPLICATED



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HOW TO MEASURE A NEUTRINO INTERACTION CROSS SECTION



WHAT IS A CROSS SECTION?

Think about neutrinos flying at a detector. How many neutrinos will interact?





WHAT IS A CROSS SECTION?

The cross section is a measure of the probability that a neutrino will interact



Classical analogy: think of the literal cross section of two balls that you throw at each other



IN PRACTICE:

Total cross section \rightarrow probability for this interaction to Number of interactions we happen at all measured σ Nsignal Φ 3 Ntargets Number of Efficiency targets \rightarrow probability we measure an Incoming interaction if it happens neutrino flux



IN PRACTICE:





HOW DO WE MEASURE IT?

Number of interactions, N_{signal,i}

- Ideally, we'd be able to pick out exactly the type of interactions we want from our data
- But life doesn't work like that!
 Reconstruction can fail, our algorithms can get confused, and they don't always perfectly identify the particles we want
- So N_{data,i} = N_{signal,i} + N_{bkg,i}





How to measure a

Events / 0.25 GeV

HOW DO WE MEASURE IT?

Estimating the backgrounds, N_{bkg,i}

- We have no idea how much of our data events are really signal vs background
- We can estimate it using simulation but (as we'll see later) our simulations aren't always that great...
- We can improve our estimate by trying to select background events in data and fit the simulation to make sure it matches

→ lots of caveats here: need to be confident that the background data you select behaves the same as the background in your signal region



Reconstructed E₄ (GeV)

HOW DO WE MEASURE IT?

Efficiency

Scale up the number of events we measure to the number of events we think really happened (e.g. if we think we measure only 10% of events, multiply whatever we measure by 10 to get the "real" value)



- Has to be estimated from simulation
- But we know the models in our simulations are not great!
- This is a problem can lead to bias in our calculation. Needs to be handled carefully
- Best practice: try and ensure efficiency is flat (so the model doesn't have too much impact) or show it is the same for many different models (still dangerous: you can't test them all!).



HOW DO WE MEASURE IT?

Unfolding

- We can't measure events with perfect precision, so we will always reconstruct some events into the wrong bin
- Unfolding refers to how we go backwards from the reconstructed values we measure to the underlying true values
- E.g. we measure the energy deposited in a detector but we want to report the cross section as a function of the particle's real energy
- Need to estimate relationship from simulation → can easily lead to bias!
- Not necessarily a solvable problem. Many statistical methods offer different levels of bias and tradeoffs hot debate in the community

Images: M. Betancourt


EVENT GENERATORS

- This is a complicated problem we can't easily calculate a solution!
- Instead use Monte Carlo event generators to predict backgrounds and efficiency
- These factorise the problem into separate steps (an approximation not strictly correct)



Image: C. Andreopoulos



EVENT GENERATORS

- A number of event generators "on the market"
- Each makes different approximations and assumptions — can produce different predictions even for the same model. Implementation choices are important
- Many generators also tune
 theoretical models to data →
 "effective" models that can match
 data better but may not be as
 predictive in other distributions that
 are also important



SOME EXPERIMENTAL RESULTS

AKA: HOW WE KNOW WHAT WE KNOW (AND WHAT WE DON'T)



How to measure a cross section E

Experimental Measurements







v_µ CC INCLUSIVE: MINERva Measurement





v_µ CC INCLUSIVE: MINERvA MEASUREMENT

Phys. Rev. D 101, 112007 (2020)





vµ CC INCLUSIVE: MINERvA MEASUREMENT

Phys. Rev. D 101, 112007 (2020)







What have we learned?

Models seem generally reasonable, but:

•A more detailed look with MINERvA shows none of the models really predict their data well

•We have a lot to improve! First step is to look in more detail at specific types of interactions to work out where improvements are needed



νμ CC0π

- Target CCQE-like interactions: look for a visible muon and no visible pions
 - Will also have contributions from

 e.g. pion production where the pion
 is absorbed in the nucleus and never
 leaves



- These interactions are the "golden channel" for neutrino oscillation at T2K, and the dominant interaction type in many other experiments (e.g. MicroBooNE, SBND, ICARUS, MINERvA low-energy, NOvA) → the fact that they are used so much means they are important to understand well!
- Luckily, CCQE is also the simplest interaction type (although nuclear effects won't let us have an easy time...)



V_μ CCOπNp: MICROBOONE MEASUREMENT

- Select events with one muon, one or more visible protons, and no pions
- Major difference between green and blue predictions: blue includes RPA corrections
 → strong evidence that these corrections are needed
- Effect more prominent in MicroBooNE than other experiments because argon is a large nucleus





v_{μ} CC0 π Np: TRANSVERSEVARIABLES (T2K)

- First proposed here: Phys. Rev. C 94, 015503 (2016)
- Used by T2K, MINERvA, MicroBooNE
- Conservation of momentum: pure CCQE interactions will have no transverse imbalance
- Look at different regions in these parameters: start to tease apart the impact of nuclear effects
 - Separate out different interaction processes
 - Separate out initial state effects and final state interactions (FSIs)



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MICROBOONE-NOTE-1108-PUB





What have we learned?

- Even though the CCQE-like interaction is the simplest possible channel, we still can't predict it perfectly for nuclear targets
- Nuclear effects are important (surprise!)
- •We are developing effective techniques to isolate these effects, which means we'll be able to learn more about them in the future



 v_{μ} CC0 $\pi 2p$

- So far I've basically talked about CC0π interactions as CCQE-like
- But we know CC2p2h (2 particle, 2 hole) processes are important too
- Part of the reason I've ignored it so far is many experiments have high thresholds such that they can't see the second proton
- But liquid argon detectors can!



MICRBOONE-NOTE-1096-PUB







- We did have strong indirect evidence for the existence of 2p2h interactions even from experiments that couldn't detect the second proton
- See this data from MiniBooNE, using a CCQE-like selection







- The ArgoNeut Liquid
 Argon TPC experiment
 saw 30 Ιμ0π2p
 events
- Some events showed a specific back-to-back topology that suggests a specific mechanism for nucleon-nucleon short range correlations in the initial nucleus



Phys. Rev. D 90, 012008 (2014)





- MicroBooNE recently released preliminary results showing the first-ever direct measurement of the 2-proton cross section
- This is hugely important:
 we can learn a lot more from direct measurements than fitting models to CC0TNp data!



MICROBOONE-NOTE-1117-PUB







What have we learned?

•Measurements of the CC0 π process give strong evidence for the presence of 2p2h interactions \rightarrow more than just CCQE needed to explain data

• Liquid argon experiments are starting to produce the first ever direct measurements of these processes



PION PRODUCTION

- Most theoretical work recently has been in the CC0π regime
 - → dominant channel for T2K, MiniBooNE, MicroBooNE, and others
- DUNE will operate at higher energies → need a better understanding of pion production and Deep Inelastic Scattering







CC π PRODUCTION: MINERvA

- Most pion production models don't fully model nuclear effects or 2p2h interactions (but they should happen)
 a lot of room to improve!
- MINERvA have studied transverse variables in CCπ⁰ production

 \rightarrow learn about nuclear effects in these interactions

■ Measurements of CCπ[±] production give valuable information too → none of our current models seem sufficient (surprise?)



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NC π⁰ PRODUCTION

Important background to V_e searches $\rightarrow \pi^0 \rightarrow \gamma \gamma$ looks like V_e if one photon missed

So we need to understand this process in detail

MicroBooNE measurements find models over-predict





MICROBOONE-NOTE-IIII-PUB

UNIVERSITY OF

OXFORD



µBooN







What have we learned?

- Current models are kind of reasonable, but don't describe the data very well
- Not surprising, because there has been less focus on this channel historically
- More data is becoming available → inspire improvements in our understanding before DUNE



Motivation: Oscillation

Neutrino Interactions

ve INTERACTIONS

- Many experiments look for V_e appearance to measure neutrino oscillation → must understand V_e interactions well!
- But our neutrino beams are ~97%+ v_µ by design → hard to study v_e, very few measurements
- Mostly we assume lepton universality: nuclear effects and interaction probabilities are the same for V_µ and V_e (except for e vs µ mass)
- But there are some direct measurements (and more coming!)



SUMMARY

- In the most positive way possible:
 - Neutrino interactions are hard! Our understanding of the nuclear initial state, interaction processes themselves, and final state interactions could all improve
 - But they're also really important to understand properly to reduce uncertainty and avoid bias in our measurements of fundamental neutrino properties
 - There is a lot of work to do and lot of interesting problems to solve -

experimental data is vital to constrain models and gain a better understanding

• We're getting there - join the fun!

HOW DO WE MEASURE IT?

Incoming neutrino flux

- Most experiments use neutrino beams: measure
 Protons On Target (POT) when beam is
 running → related to number of neutrinos produced
- Convert into a number of neutrinos per cm² at detector site
- For example: **Fermilab** BNB O(10²¹) POT per year $\mu Boo NE \qquad \phi = 3.15749 \times 10^{10} \text{ cm}^{-2} \text{ per year}$
- Note: this means the cross section we calculate is "flux averaged" → it depends on the flux seen at that specific detector. Can not directly compare measurements in different neutrino beams!

Number of targets

- Regardless of the interaction we are studying, we usually report cross section per nucleus
- Calculate number of nuclei from detector mass/volume and density
- For example: $\mu Boo NE \sim Mass = 85 \text{ tonnes}$ $N_{nuclei} = \rho_{Ar} V N_A / m_{mol}$ $= 1.203 \times 10^{30}$

HOW DO WE MEASURE IT?

- Clearly bias in our result is a big worry: how can you measure something new if your analysis will only reproduce the model you put in?
- One (popular) way to check for bias: fake data tests!
- Type I) put in a different model as fake data, calculate the cross section, and compare to the model to see if you got it right
- Type 2) use a different model to calculate the data cross section, and see if you get the same result

v_µ CC INCLUSIVE: MicroBooNE MEASUREMENT

Phys. Rev. Lett. 128, 151801 (2022)

Experimental Measurements

HBOONE

(Probably) protons

> Shower that starts at neutrino vertex = probably an electron

NuMI DATA: RUN 10811, EVENT 2549. APRIL 9, 2017.

Ne

think)

17 cm

RECAP: NEUTRINO OSCILLATION

RECAP: NEUTRINO OSCILLATION

$$\begin{aligned} \mathbf{c}_{ij} &= \cos \theta_{ij} \\ \mathbf{s}_{ij} &= \sin \theta_{ij} \\ \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{CP}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \\ \end{aligned}$$
flavour

Four free parameters: Three mixing angles θ_{12} , θ_{23} , θ_{13} One phase δ_{CP} Each mixing angle describes mixing
 between two mass states (3c2 = 3)

WHAT IS A CROSS SECTION?

