Neutrino Summer Lectures 2021 @ Fermilab (virtual)

Supernova Neutrinos

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Max-Planck-Institut für Physik (Werner-Heisenberg-Institut) SFB 1258 | Neutrinos | Dark Matte





Crab Nebula – Remnant of SN 1054

Crab Nebula – Remnant of SN 1054

新歌山村 一家史志卷九 一八重 聽 時一 一日没至和元年五月已去出天爾東南可數寸嚴 年文月乙已出東北方近濁有芒甚至丁已几十三 月文聖和元年五月已去出天爾東南可數寸嚴 年文月乙已出東北方近濁有芒甚至丁已几十三 法犯次將壓屏星西北方近濁有芒甚至丁已几十三 法犯次將壓屏星西北方近濁有芒甚至丁已几十三 法犯次將壓屏星西北方近濁有芒甚至丁已几十三 法犯次將壓屏星西北方近濁有芒甚至丁已几十三 法犯次將壓屏星西北方近濁有芒甚至 一月丁承祖 天王月丁五見南斗點前天禧五年四月西辰出軒轅 九十一日没三年三月之已出東南方大中祥将四

> Crab Pulsar Chandra X-ray composite image

EVOLUTION OF STARS



http://earthspacecircle.blogspot.com/2013/07/stellar-evolution.html

Black Hole

EVOLUTION OF STARS



Black Hole









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Newborn Neutron Star



Gravitational binding energy $E_b \approx 3 \times 10^{53} \text{ erg} \approx 17\% \text{ M}_{\text{SUN}} \text{ c}^2$ This shows up as 99% Neutrinos 1% Kinetic energy of explosion 0.01% Photons, outshine host galaxy Neutrino luminosity

$$\begin{array}{rcl} \mathsf{L}_{_{\rm V}} &\sim & 3\times 10^{53} \ \mathrm{erg} \ / \ 3 \ \mathrm{sec} \\ &\sim & 3\times 10^{19} \ \mathrm{L}_{_{\rm SUN}} \end{array}$$

While it lasts, outshines the entire visible universe

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Grand Unified Neutrino Spectrum (GUNS) at Earth



Why No Prompt Explosion?



Dissociated Material (n, p, e, v)

- mock

Voissocia[†]

- Shock wave forms within the iron core
- Dissipates its energy by dissociating the remaining layer of iron

Shock Revival by Neutrinos

Stalled shock wave must receive energy to start re-expansion against ram pressure of infalling stellar core

Shock can receive fresh energy from neutrinos!



Supernova Delayed Explosion Scenario



Three Phases of Neutrino Emission



• De-leptonization of outer core layers

• Neutrinos powered by infalling matter

Cooling on neutrino diffusion time scale

Spherically symmetric Garching model (25 M_{\odot}) with Boltzmann neutrino transport

Neutrino Signal of a Failed Supernova (40 M_{SUN})



Sumiyoshi, Yamada & Suzuki, arXiv:0706.3762

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Breaking Spherical Symmetry (3D Effects)



Melson, Janka, Bollig, Hanke, Marek & Müller, arXiv:1504.07631

Hydrodynamic Instabilities (3D Simulations)

Convection



SASI Standing accretion shock instability



Images: Tobias Melson

\rightarrow 3D Model of Princeton Group (YouTube)

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LESA – A New Instability

Lepton Emission Self-Sustained Asymmetry

Sky map of lepton-number flux ($v_e - \overline{v}_e$) relative to 4π average (11.2 M_{SUN} model) Deleptonization flux into one hemisphere, roughly dipole distribution



Tamborra, Hanke, Janka, Müller, Raffelt & Marek, arXiv:1402.5418

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Status of LESA

• After skeptical comments, confirmed by other groups

Not an artifact of neutrino transport approximation

Glas, Janka, Melson, Stockinger & Just, *Effects of LESA in three-dimensional supernova simulations with multi-D and ray-by-ray-plus neutrino transport,* arXiv:1809.10146

• Suppressed by fast rotation of progenitor

Walk, Tamborra, Janka & Summa, *Effects of SASI and LESA in the neutrino emission of rotating supernovae*, arXiv:1901.06235

- LESA is a consequence of asymmetric proto-neutron star (PNS) convection
- But not yet a simple explanation ("in 25 words or less")



Self-consistent 3D Supernova Models From -7 Minutes to +7 Seconds: A 1-bethe Explosion of a ${\sim}19\,{\rm M}_{\odot}$ Progenitor

Robert Bollig,¹ Naveen Yadav,^{1,2} Daniel Kresse,^{1,3} Hans-Thomas Janka,¹ Bernhard Müller,^{4,5,6} and Alexander Heger^{4,5,7,8}

arXiv:2010.10506



Figure 1. Explosion dynamics and neutrino emission of model M_P3D_LS220_m- and its extension M_P3D_LS220_m-HC. The time axes are chosen for optimal visibility. Left: Mass shells with entropy per nucleon color-coded. Maximum, minimum, and average shock radii, gain radius, and the mass shells of Si/O shell interface and final NS mass are marked. The vertical white line separates VERTEX transport (left, time linear) and HC neutrino approximation (right, time logarithmic). Right: Emitted luminosities and mean energies of ν_e , $\bar{\nu}_e$, and a single species of heavy-lepton neutrinos. The time axis is split as in the left panel. Right of the vertical solid line we show neutrino data from the artificially exploded 1D simulation.

Death Watch of a Million Supergiants

- Monitoring 27 galaxies within 10 Mpc for many years
- Visit typically twice per year
- 10⁶ supergiants (lifetime 10⁶ years)
- Combined SN rate: about 1 per year

First 7 years of survey:

- 6 successful core-collapse SNe
- 1 candidate failed SN





Gerke, Kochanek & Stanek, arXiv:1411.1761 Adams, Kochanek, Gerke, Stanek (& Dai), arXiv:1610.02402 (1609.01283)

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Empirical Fraction of Black-Hole Formation

2020 update: 11 yr baseline, 8 SNe, 1 old & 1 new candidate for failed SN





Roughly a quarter of all core-collapses could lead to BH formation, in agreement with theory estimates!

Sanduleak –69 202

Supernova 1987A 23 February 1987

Neutrino Signal of Supernova 1987A



Irvine-Michigan-Brookhaven (IMB) Detector



SN 1987A Event No.9 in Kamiokande



Interpreting SN 1987A Neutrinos



Interpreting SN 1987A Neutrinos



Interpreting SN 1987A Neutrinos



Where is the Neutron Star of SN 1987A?

 No pulsar or neutron star has been seen until now (35 years later)
 Infra-red excess observed by ALMA: In "the blob" strong indication for NS Expected position, remnant hidden by dust [Cigan+ arXiv:1910.02960]

Most plausible model: Thermally cooling non-pulsar NS [Page+ arXiv:2004.06078]

https://www.bbc.com/news/scienceenvironment-50473482

Atacama Large Millimeter/Submillimeter Array (ALMA) at ESO in Chile





Do Neutrinos Gravitate?



Shapiro time delay for particles moving in a gravitational potential

$$\Delta t = -2 \int_{A}^{B} dt \, \Phi[r(t)]$$

For trip from LMC to us, depending on galactic model,

 $\Delta t \approx 1-5$ months

Neutrinos and photons respond to gravity the same to within

 $1-4 \times 10^{-3}$

Longo, PRL 60:173, 1988 Krauss & Tremaine, PRL 60:176, 1988

Supernova 1987A Energy-Loss Argument





Emission of very weakly interacting particles would "steal" energy from the neutrino burst and shorten it. (Early neutrino burst powered by accretion, not sensitive to volume energy loss.)

Late-time signal most sensitive observable

Axions and Stars



Axion conversion in neutron star magnetospheres

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Operational Detectors for Supernova Neutrinos



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SuperNova Early Warning System (SNEWS)



• Neutrinos arrive several hours before optical outburst

- Issue an alert to astronomical community
- Trigger to LIGO, NOvA, GCN

IceCube Neutrino Telescope at the South Pole



IceCube as a Supernova Neutrino Detector



- Each optical module (OM) picks up Cherenkov light from its neighborhood
- \sim 300 Cherenkov photons per OM from SN at 10 kpc, bkgd rate in one OM < 300 Hz
- SN appears as "correlated noise" in \sim 5000 OMs
- Significant energy information from time-correlated hits

Pryor, Roos & Webster, ApJ 329:355, 1988. Halzen, Jacobsen & Zas, astro-ph/9512080. Demirörs, Ribordy & Salathe, arXiv:1106.1937.

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SASI Detection Perspectives (27 M_{SUN} Model)



Tamborra, Hanke, Müller, Janka & Raffelt, arXiv:1307.7936. See also Lund, Marek, Lunardini, Janka & Raffelt, arXiv:1006.1889

Time-of-flight signal dispersion for next nearby supernova

$$\Delta t = 51 \,\mu \mathrm{s} \, \left(\frac{D}{10 \,\mathrm{kpc}}\right) \left(\frac{10 \,\mathrm{MeV}}{E_{\nu}}\right)^2 \left(\frac{m_{\nu}}{100 \,\mathrm{meV}}\right)^2$$

- Laboratory: $m_{\nu} < 1.0 \text{ eV}$
- Cosmological limit $\sum m_{
 u} < 0.23$ eV, so that $m_{
 u} < 0.1$ eV
- KATRIN sensitivity roughly 0.2 eV

To measure fast SN signal variations, cosmological limit and future KATRIN measurement/limit very important!

SN Neutrino Detection Channels

Channel	Observable(s) ^a	Interactions ^b
$v_x + e^- \rightarrow v_x + e^-$	С	17/10
$\bar{\nu}_e + p \to e^+ + n$	С, N, А	278/165
$\overline{\nu_x + p} \to \nu_x + p$	С	682/351
$v_e + {}^{12}C \to e^- + {}^{12}N^{(*)}$	C, N, G	3/9
$\bar{\nu}_e + {}^{12}\mathrm{C} \to e^+ + {}^{12}\mathrm{B}^{(*)}$	C, N, G, A	6/8
$\overline{\nu_x + {}^{12}\mathrm{C}} \rightarrow \nu_x + {}^{12}\mathrm{C}^*$	G, N	68/25
$\overline{\nu_e + {}^{16}\text{O}} \to e^- + {}^{16}\text{F}^{(*)}$	C, N, G	1/4
$\overline{\bar{\nu}_e + {}^{16}\text{O}} o e^+ + {}^{16}\text{N}^{(*)}$	C, N, G	7/5
$\overline{\nu_x + {}^{16}\mathrm{O}} \rightarrow \nu_x + {}^{16}\mathrm{O}^*$	G, N	50/12
$\overline{\nu_e + {}^{40}\mathrm{Ar}} \to e^- + {}^{40}\mathrm{K}^*$	C, G	67/83
$\overline{\bar{\nu}_e} + {}^{40}\mathrm{Ar} \to e^+ + {}^{40}\mathrm{Cl}^*$	C, A, G	5/4
$v_e + {}^{208}\text{Pb} \to e^- + {}^{208}\text{Bi}^*$	Ν	144/228
$\overline{\nu_x + {}^{208}\text{Pb}} \rightarrow \nu_x + {}^{208}\text{Pb}^*$	Ν	150/55
$\overline{\nu_x + A \to \nu_x + A}$	С	9,408/4,974

^aThe observables column lists primary observable products relevant for interactions in current detectors. Abbreviations: C, energy loss of a charged particle; N, produced neutrons; G, deexcitation γ s; A, positron annihilation γ s. Note there may, in principle, be other signatures for future detector technologies or detector upgrades.

^bThe interactions column gives interactions per kilotonne at 10 kpc for two different neutrino flux models for neutrino energies greater than 5 MeV, computed according to **http://www.phy.duke.edu/~schol/snowglobes**. No detector response is taken into account here, and actual detected events may be significantly fewer. For elastic scattering and inverse β decay, the numbers per kilotonne refer to water; for other detector materials, the numbers need to be scaled by the relative fraction of electrons or protons, respectively. For neutrino-proton elastic scattering, the numbers per kilotonne refer to scintillators.

Scholberg, arXiv:1205.6003, see also http://www.phy.duke.edu/~schol/snowglobes

Current and Near-Future SN Neutrino Detectors

Detector	Type	Mass~(kt)	Location	Events	Flavors	Status
Super-Kamiokande	H_2O	32	Japan	7,000	$ar{ u}_e$	Running
LVD	$C_n H_{2n}$	1	Italy	300	$ar{ u}_e$	Running
KamLAND	$C_n H_{2n}$	1	Japan	300	$ar{ u}_e$	Running
Borexino	$C_n H_{2n}$	0.3	Italy	100	$ar{ u}_e$	Running
IceCube	Long string	(600)	South Pole	(10^{6})	$ar{ u}_e$	Running
Baksan	$C_n H_{2n}$	0.33	Russia	50	$ar{ u}_e$	Running
$MiniBooNE^*$	$C_n H_{2n}$	0.7	USA	200	$ar{ u}_e$	(Running)
HALO	Pb	0.08	Canada	30	$ u_e, u_x$	Running
Daya Bay	$C_n H_{2n}$	0.33	China	100	$ar{ u}_e$	Running
$\mathrm{NO} \nu \mathrm{A}^*$	$C_n H_{2n}$	15	USA	4,000	$ar{ u}_e$	Turning on
SNO+	$C_n H_{2n}$	0.8	Canada	300	$ar{ u}_e$	Near future
$MicroBooNE^*$	Ar	0.17	USA	17	$ u_e$	Near future
DUNE	Ar	34	USA	3,000	$ u_e$	Proposed
Hyper-Kamiokande	H_2O	560	Japan	$110,\!000$	$ar{ u}_e$	Proposed
JUNO	$C_n H_{2n}$	20	China	6000	$ar{ u}_e$	Proposed
RENO-50	$C_n H_{2n}$	18	Korea	5400	$ar{ u}_e$	Proposed
LENA	$C_n H_{2n}$	50	Europe	$15,\!000$	$ar{ u}_e$	Proposed
PINGU	Long string	(600)	South Pole	(10^{6})	$ar{ u}_e$	Proposed

Next Generation Very-Large-Scale Detectors (2020+)









IceCube Gen-2

- Dense infill (PINGU)
- Larger volume (statistics for high-E events) Doubling the number of optical modules

Megaton-class water Cherenkov detector Notably Hyper-Kamiokande SN neutrino statistics comparable to IceCube, but with event-by-event energy information

Scintillator detectors (20 kilotons)

- JUNO in China for reactor nus (construction)
- RENO-50 in Korea for reactor nus (plans)
- Baksan Large Volume Scintillator Detector (discussions in Russia)

Liquid argon time projection chamber

For long-baseline oscillation experiment DUNE

- Unique SN capabilities (CC v_e signal)
- But cross sections poorly known

Xenon Dark Matter Detectors



- Coherent scattering of low-E nus on Xe (77 neutrons)
- All 6 nu species contribute



Pinning down SN neutrino flux and average energy

See for example Horowitz et al. (astro-ph/0302071) Chakraborty et al. (arXiv:1309.4492) XMASS Collaboration (arXiv:1604.01218) Lang et al. (arXiv:1606.09243)

Local Group of Galaxies



SN Distance Distribution and Peak Count Rate



Core-Collapse SN Rate in the Milky Way



van den Bergh & McClure, ApJ 425 (1994) 205. Cappellaro & Turatto, astro-ph/0012455. Diehl et al., Nature 439 (2006) 45. Strom, A&A 288 (1994) L1. Tammann et al., ApJ 92 (1994) 487. Adams et al., ApJ 778 (2013) 164. Alekseev et al., JETP 77 (1993) 339.

High and Low Supernova Rates in Nearby Galaxies



Last Observed Supernova: 1885A

Observed Supernovae: 1917A, 1939C, 1948B, 1968D, 1969P, 1980K, 2002hh, 2004et, 2008S, <u>2017eaw</u> N6946-BH1 (failed SN 2009/10)

Supernova Rate in the Local Universe (Past Decade)



Kistler, Yüksel, Ando, Beacom & Suzuki, arXiv:0810.1959

The Red Supergiant Betelgeuse (Alpha Orionis)



First resolved image of a star other than Sun

Distance (Hipparcos) 130 pc (425 lyr)

If Betelgeuse goes Supernova:

- 6×10⁷ neutrino events in Super-Kamiokande
- 2.4×10³ neutrons /day from Si burning phase (few days warning!), need neutron tagging [Odrzywolek, Misiaszek & Kutschera, astro-ph/0311012]

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Distance Scales and Detection Strategies



Rate ~ 0.01/yr Rate ~ 1/yr

high statistics, object identity, all flavors burst variety

Rate $\sim 10^8/yr$

cosmic rate, average emission

Neutrino 2012, Kyoto, Japan, June 2012

Diffuse Supernova Neutrino Background (DSNB)

- A few core collapses/sec in the visible universe
- Emitted v energy density

 extra galactic background light
 10% of CMB density
- Detectable $\overline{\nu}_e$ flux at Earth ~ 10 cm⁻² s⁻¹ mostly from redshift $z \sim 1$
- Confirm star-formation rate
- Nu emission from average core collapse & black-hole formation
- Pushing frontiers of neutrino astronomy to cosmic distances!



Window of opportunity between reactor $\overline{\nu}_e$ and atmospheric ν bkg

Supernova vs. Star Formation Rate in the Universe



arXiv:1102.1977

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Experimental DSNB Searches



DSNB detection should succeed over the next decade (2023+)

- Super-K with gadolinium enhancement
- JUNO scintillator detector

Supernova Neutrino Flavor Conversion



Flavor Conversion in Core-Collapse Supernovae



Level-Crossing Diagram in a Supernova Envelope



Inverted mass hierarchy



Dighe & Smirnov, Identifying the neutrino mass spectrum from a supernova neutrino burst, astro-ph/9907423

SN Flavor Oscillations and Mass Hierarchy

- Mixing angle Θ_{13} has been measured to be "large"
- MSW conversion in SN envelope adiabatic
- Assume that collective flavor oscillations are not important

	Mass ordering			
	Normal (NH)	Inverted (IH)		
v_e survival prob.	0	$\sin^2 \theta_{12} \approx 0.3$		
$\overline{\nu}_e$ survival prob.	$\cos^2 \theta_{12} \approx 0.7$	0		
$\overline{\nu}_e$ Earth effects	Yes	No		

- When are collective oscillations important?
- How to detect signatures of hierarchy?

Deleptonization v_e Burst in DUNE

Neutrino mass ordering in argon detector



Fig. 11 Expected event rates as a function of time for the electron-capture model in [8] for 40 kton of argon during early stages of the event – the neutronization burst and early accretion phases, for which self-induced effects are unlikely to be important. Shown are: the event rate for the unrealistic case of no flavor transitions (blue) and the event rates including the effect of matter transitions for the normal (red) and inverted (green) orderings. Error bars are statistical, in unequal time bins.

\rightarrow DUNE Collaboratation, arXiv:2008.06647

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Early-Phase Signal in Anti-Neutrino Sector

Garching Models with M = 12–40 M_{\odot}



- In principle very sensitive to hierarchy, notably IceCube or HK
- "Standard candle" to be confirmed by other than Garching models

Abbasi et al. (IceCube Collaboration) A&A 535 (2011) A109 Serpico, Chakraborty, Fischer, Hüdepohl, Janka & Mirizzi, arXiv:1111.4483

Flavor-Off-Diagonal Refractive Index

2-flavor neutrino evolution as an effective 2-level problem

$$i\frac{\partial}{\partial t} \binom{\nu_e}{\nu_{\mu}} = H \binom{\nu_e}{\nu_{\mu}}$$

Effective mixing Hamiltonian

$$i \frac{\partial}{\partial t} \begin{pmatrix} v_e \\ v_\mu \end{pmatrix} = H \begin{pmatrix} v_e \\ v_\mu \end{pmatrix}$$
ffective mixing Hamiltonian
$$H = \frac{M^2}{2E} + \sqrt{2}G_F \begin{pmatrix} N_e - \frac{N_n}{2} & 0 \\ 0 & -\frac{N_n}{2} \end{pmatrix} + \sqrt{2}G_F \begin{pmatrix} N_{v_e} & N_{\langle v_e | v_\mu \rangle} \\ N_{\langle v_\mu | v_e \rangle} & N_{v_\mu} \end{pmatrix}$$

Mass term in flavor basis: causes vacuum oscillations

Wolfenstein's weak potential, causes MSW "resonant" conversion together with vacuum term

Flavor-off-diagonal potential, caused by flavor oscillations. (J.Pantaleone, PLB 287:128,1992)

Flavor oscillations feed back on the Hamiltonian: Nonlinear effects!

Self-Induced Flavor Conversion

Flavor conversion (vacuum or MSW) for a neutrino of given momentum \boldsymbol{p}

 Requires lepton flavor violation by masses and mixing

$$\nu_{\boldsymbol{e}}(p) \to \nu_{\boldsymbol{\mu}}(p)$$

$$\frac{\Delta m_{\rm atm}^2}{2E} = 10^{-10} \,\rm eV = 0.5 \,\rm km^{-1}$$

Pair-wise flavor exchange by $\nu - \nu$ refraction (forward scattering)

- No net flavor change of pair
- Requires dense neutrino medium (collective effect of interacting neutrinos)
- Can occur without masses/mixing (and then does not depend on $\Delta m^2/2E$)
- Familiar as neutrino pair process $\mathcal{O}(G_F^2)$ Here as coherent refractive effect $\mathcal{O}(G_F)$

$$\begin{split} \nu_{e}(p) + \overline{\nu}_{e}(k) &\to \nu_{\mu}(p) + \overline{\nu}_{\mu}(k) \\ \nu_{e}(p) + \nu_{\mu}(k) &\to \nu_{\mu}(p) + \nu_{e}(k) \end{split}$$

$$\sqrt{2}G_{\rm F}n_{\nu} = 10^{-5}{\rm eV} = 0.5~{\rm cm}^{-1}$$

E = 12.5 MeV R = 80 km $L_{\nu} = 40 \times 10^{51} \text{ erg/s}$

Three Phases – Three Opportunities



"Standard Candle"

- SN theory
- Distance
- Flavor conversions
- Multi-messenger time of flight

Strong variations (progenitor, 3D effects, black hole formation, ...)

- Testing astrophysics of core collapse
- Flavor conversion has strong impact on signal

EoS & mass dependence

- Testing Nuclear Physics
- Nucleosynthesis in neutrino-driven wind
- Particle bounds from cooling speed (axions ...)

Many large detectors online for next decades Every year a 3% chance I am optimistic to see more supernova neutrinos!

Some Reviews

- Mirizzi, Tamborra, Janka, Saviano & Scholberg:
 Supernova Neutrinos: Production, Oscillations and Detection
 → arXiv:1508.00785
- Burrows & Vartanyan: Core-Collapse Supernova Explosion Theory → <u>arXiv:2009.14157</u>
- Janka: Neutrino Emission from Supernovae
 → arXiv:1702.08713
- Beacom: The Diffuse Supernova Neutrino Background
 → arXiv:1004.3311
- Himmel & Scholberg: Supernova Neutrino Detection $\rightarrow arXiv:1205.6003$